

# ALPs Production from Light Primordial Black Holes: The Role of Superradiance

Marco Manno, NEHOP Talk - 21/05/2025



# What are Primordial Black Holes?

- ▶ **Various** formation scenarios for PBHs have been proposed, but here we remain agnostic.
- ▶ They are sensitive to **early universe** physics and can also have an impact on it.
- ▶ They could explain lot of unresolved **conundra** (see 1906.08217)
- ▶ Here we focus on **Light** PBHs:

$$10 \text{ g} \lesssim M_{\text{PBH}} \lesssim 10^9 \text{ g}$$

## Hawking Temperature of Kerr Black Holes

$$T_{\text{BH}} = \frac{1}{4\pi G M_{\text{BH}}} \frac{\sqrt{1 - a_{\star}^2}}{1 + \sqrt{1 - a_{\star}^2}}$$

## Spectra of Emitted Particles

$$\frac{d^2 \mathcal{N}_i}{dE dt} = \sum_{\mu} \frac{\Gamma_{s_i}^{\mu}(M_{\text{BH}}, a_{\star}, E)/2\pi}{\exp[(E - \mu\Omega)/T_{\text{BH}}] - (-1)^{2s_i}},$$

with  $\Gamma_{s_i}^{\mu}(M_{\text{BH}}, a_{\star}, E) = \sum_l \sigma_{s_i}^{l\mu}(M_{\text{BH}}, a_{\star}, E)(E^2 - m_i^2)/\pi$ .

# Hawking Radiation

The evaporation process leads to a reduction in the BH's mass and spin:

$$\frac{dM_{\text{BH}}}{dt} = -\varepsilon(M_{\text{BH}}, a_{\star}) \frac{M_{\text{pl}}^4}{M_{\text{BH}}^2},$$

$$\frac{da_{\star}}{dt} = -a_{\star} [\gamma(M_{\text{BH}}, a_{\star}) - 2\varepsilon(M_{\text{BH}}, a_{\star})] \frac{M_{\text{pl}}^4}{M_{\text{BH}}^3},$$

where

$$\varepsilon(M_{\text{BH}}, a_{\star}) = \sum_i \varepsilon_i(M_{\text{BH}}, a_{\star}) = \sum_i \frac{1}{2\pi} \int_{\zeta_i}^{\infty} \sum_{\mu} \frac{\xi \Gamma_{s_i}^{\mu}(M_{\text{BH}}, a_{\star}, \xi)}{e^{\xi'} - (-1)^{2s_i}} d\xi,$$

$$\gamma(M_{\text{BH}}, a_{\star}) = \sum_i \gamma_i(M_{\text{BH}}, a_{\star}) = \sum_i \frac{4}{a_{\star}} \int_{\zeta_i}^{\infty} \sum_{\mu} \frac{\mu \Gamma_{s_i}^{\mu}(M_{\text{BH}}, a_{\star}, \xi)}{e^{\xi'} - (-1)^{2s_i}} d\xi,$$

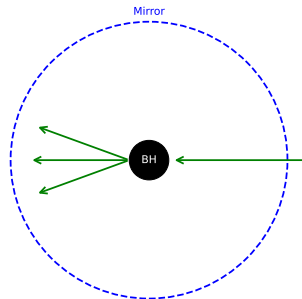
$$\xi' = \frac{\xi - \mu \Omega(a_{\star}) M_{\text{BH}} / M_{\text{pl}}^2}{2} \left( 1 + \frac{1}{\sqrt{1 - a_{\star}^2}} \right).$$

**Superradiance** is a mechanism of radiation amplification.

Superradiance occurs if the following condition is satisfied:

$$\omega < \mu\Omega .$$

In this case, the radiation is repeatedly amplified, leading to a condition of **instability**.



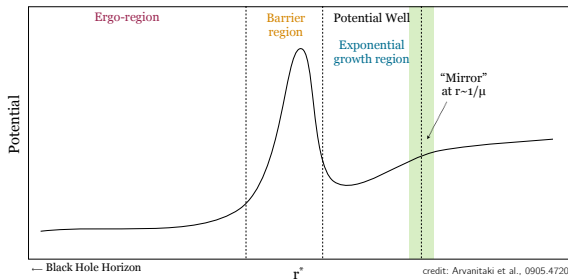
# Superradiance

The system composed of a black hole and a massive bosonic field is called a **gravitational atom**. The boson is trapped in hydrogen-like bound states:

$$E_n = \omega_n \simeq m_S - \frac{\alpha^2 m_S}{2n^2},$$

with

$$\alpha = \frac{r_s}{2\lambda_c} = GM_{\text{BH}}m_S \simeq 0.38 \left( \frac{M_{\text{BH}}}{10^7 g} \right) \left( \frac{m_S}{10^7 \text{GeV}} \right).$$



Growth rate for the dominant unstable mode ( $n = 2, l = \mu = 1$ ):

$$\Gamma_{sr}(M_{\text{BH}}, a_{\star}) = \frac{m_S}{24} \left( \frac{m_S M_{\text{BH}}}{8\pi M_{\text{pl}}^2} \right)^8 (a_{\star} - 2m_S r_+),$$

and the equations, considering only superradiance, become:

$$\begin{aligned} \frac{d\mathcal{N}_S}{dt} &= \Gamma_{sr}(M_{\text{BH}}, a_{\star}) \mathcal{N}_S, \\ \frac{dM_{\text{BH}}}{dt} &= -m_S \frac{d\mathcal{N}_S}{dt}, \\ \frac{da_{\star}}{dt} &= -\frac{1}{GM_{\text{BH}}^2} \left( \sqrt{2} - 2\alpha a_{\star} \right) \frac{d\mathcal{N}_S}{dt}, \end{aligned}$$

in which  $\mathcal{N}_S$  is the number of scalar particles gravitationally bounded to a PBH.

- ▶ Numerical simulations indicate that for  $a_\star \simeq 1$ , the instability is **largest** when:

$$\alpha \sim 0.42$$

- ▶ Generally, it's **very efficient** when:

$$\alpha \sim \mathcal{O}(0.1)$$

- ▶ In the mass range considered:

$$10 \text{ g} \lesssim M_{\text{BH}} \lesssim 10^9 \text{ g}$$

- ▶ The scalar particle mass range should be:

$$10^5 \text{ GeV} \lesssim m_S \lesssim 10^{13} \text{ GeV}$$

## Axion like particles (ALPs)

- ▶ ALPs are hypothetical, light particles predicted by various extensions of the Standard Model.
- ▶ Their properties determine whether they behave as dark matter or radiation.
- ▶ Here ALPs are assumed to be light enough to remain relativistic → **dark radiation**

## Moduli

- ▶ Scalar fields ( $m_\Phi \gtrsim 30\text{TeV}$ ) predicted by *string theory*, arising from the complex Calabi-Yau geometry.  
The *decay rate* of moduli  $\Phi$  is:

$$\Gamma_\Phi = \tau_\Phi^{-1} = \frac{1}{4\pi} \frac{m_\Phi^3}{(M_{pl}/k)^2}$$

ALPs are produced by processes  $\Phi \rightarrow aa$ , with a rate:

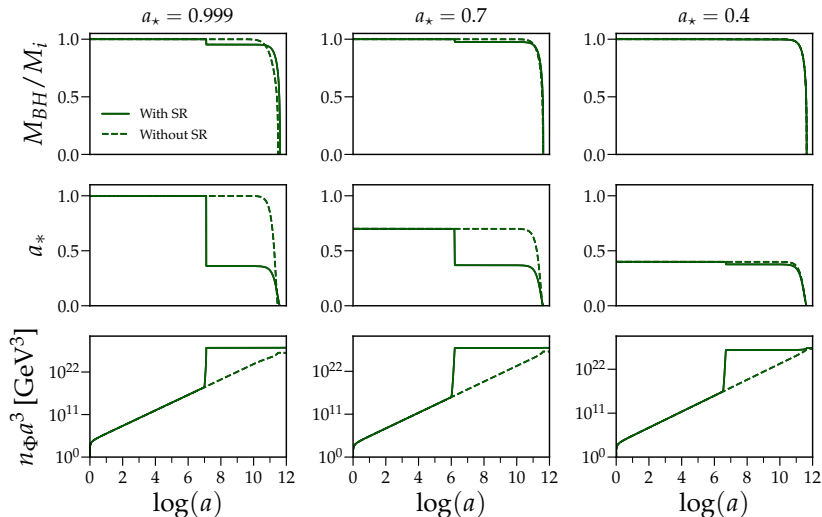
$$\Gamma_{\Phi \rightarrow aa} = B_a \Gamma_{\Phi} .$$

- ▶ The equation for the conservation of the total number of moduli is:

$$\dot{n}_{\Phi} + 3Hn_{\Phi} = -\Gamma_{\Phi}n_{\Phi} .$$

- ▶ The equations that describe the energy density evolution of ALPs and SM particles are:

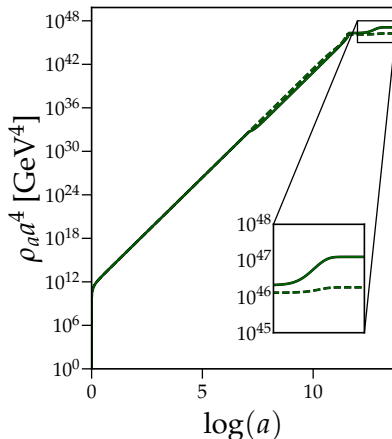
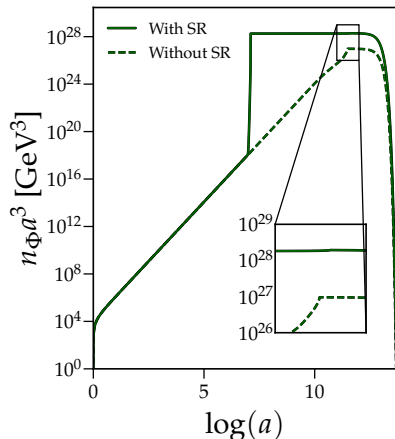
$$\begin{aligned}\dot{\rho}_a + 4H\rho_a &= \Gamma_{\Phi}B_a m_{\Phi}n_{\Phi}(t) , \\ \dot{\rho}_{SM} + 4H\rho_{SM} &= \Gamma_{\Phi}(1 - B_a)m_{\Phi}n_{\Phi}(t) .\end{aligned}$$



Values are:  $M = 2.6 \times 10^6 g$ ,  $m_\Phi = 10^7 GeV$ ,  $\Omega_{PBH,i} = 10^{-15}$ .

# Moduli decay into ALPs

Moduli decay in ALPs, contributing to the **Cosmological Axion Background (CAB)**.



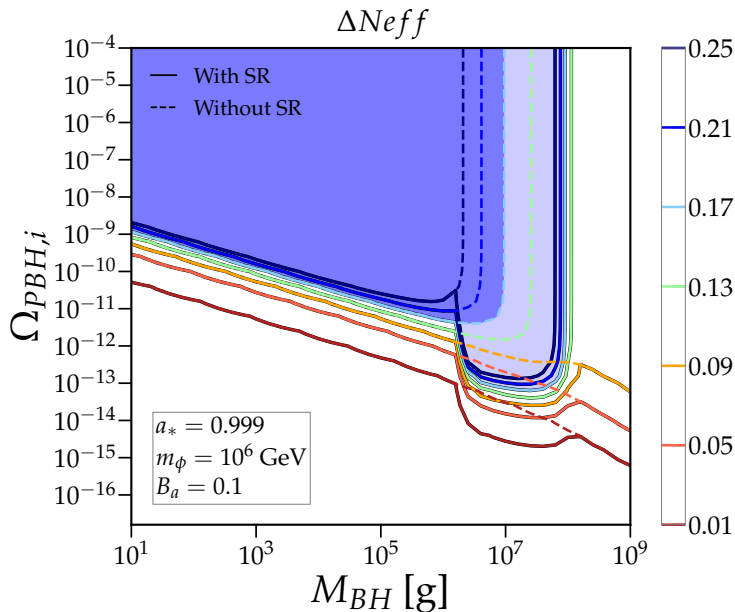
Values are:  $M = 2.6 \times 10^6 g$ ,  $m_\Phi = 10^7 GeV$ ,  $\Omega_{PBH,i} = 10^{-15}$ ,  $B_a = 0.1$ .

# Contribution of ALPs to $\Delta N_{\text{eff}}$

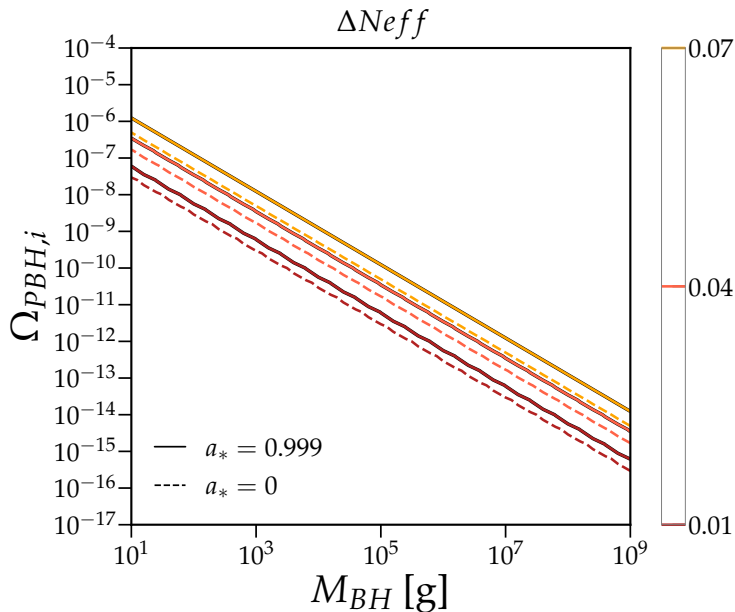
- ▶  $N_{\text{eff}}$  quantifies the total **relativistic energy density** in the early universe beyond photons.
- ▶ In the Standard Model,  $N_{\text{eff}}^{\text{SM}} = 3.043$  due to the presence of three neutrino species.
- ▶ Planck results:  $N_{\text{eff}} = 2.99 \pm 0.17$
- ▶ Any **additional radiation** — such as a population of relativistic **axion-like particles (ALPs)** — contributes to  $\Delta N_{\text{eff}} = N_{\text{eff}} - N_{\text{eff}}^{\text{SM}}$ .
- ▶ This contribution can be quantified after redshifting the ALP energy density to the decay epoch:

$$\Delta N_{\text{eff}} = 7.446 \times \frac{g_{\rho}(T_d)}{g_{\rho}(T_0)} \left( \frac{g_S(T_0)}{g_S(T_d)} \right)^{4/3} \frac{\rho_a(T_d)}{\rho_{\text{SM}}(T_d)}.$$

# $\Delta N_{\text{eff}}$ with moduli, $m_{\Phi} = 10^6 \text{ GeV}$



# $\Delta N_{\text{eff}}$ without moduli



We summarize the findings on ALPs production from LPBHs:

- ▶ PBHs are crucial in cosmology as they can significantly **influence** the particle and radiation content of the early universe.
- ▶ In the presence of **heavy particles** such as moduli, the interplay of Hawking radiation and Superradiance in LPBHs is crucial.
- ▶ The decay of moduli leads to the production of **ALPs**, which can be quantified through  $\Delta N_{\text{eff}}$ .

# Thank you for your attention!

Questions?

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# Backup Slides

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Constraints on the masses of PBHs come mainly from:

▶ **Primordial Nucleosynthesis (BBN)**

$$M_{\text{PBH}} < 10^9 \text{ g} .$$

▶ **Inflation**

$$M_{\text{PBH}} > 10 \text{ g} .$$

Furthermore, there are constraints on the initial abundance

$$\Omega_{\text{PBH},i} = \rho_{\text{PBH},i} / \rho_r :$$

▶ **Influence of Gravitational Waves on BBN**

$$\Omega_{\text{PBH},i}^{\text{max}} \simeq 1.1 \times 10^{-6} \left( \frac{M_{\text{PBH}}}{10^4 \text{ g}} \right)^{-\frac{17}{24}} .$$

Taking into account all the previous equations:

$$\dot{\mathcal{N}}_{\Phi} = \Gamma_{sr} \mathcal{N}_{\Phi} ,$$

$$\dot{M} = -\varepsilon M_{pl}^4 / M^2 - m_{\varphi} \dot{\mathcal{N}}_{\Phi} ,$$

$$\dot{a}_* = -a_*(\gamma - 2\varepsilon) M_{pl}^4 / M^3 - \frac{1}{GM^2} (\sqrt{2} - 2\alpha a_*) \dot{\mathcal{N}}_{\Phi} ,$$

$$\dot{\rho}_{\Phi} + 3H\rho_{\Phi} = \Gamma_{sr}\rho_{\Phi} + \varepsilon_{\Phi} \frac{M_{pl}^4}{M_{BH}^2} n_{BH} - \Gamma_{\Phi}\rho_{\Phi} ,$$

$$\dot{\rho}_{BH} + 3H\rho_{BH} = \frac{1}{M} \dot{M}_{BH} \rho_{BH} ,$$

$$\dot{\rho}_{SM} + 4H\rho_{SM} = \varepsilon_{SM} \frac{M_{pl}^4}{M^2} n_{BH} + (1 - B_a) \Gamma_{\Phi} \rho_{\Phi} ,$$

$$\dot{\rho}_a + 4H\rho_a = \varepsilon_a \frac{M_{pl}^4}{M^2} n_{BH} + B_a \Gamma_{\Phi} \rho_{\Phi} ,$$

$$H^2(t) = \left( \frac{\dot{a}}{a} \right)^2 = \frac{8\pi G}{3} [\rho_{SM} + \rho_a + \rho_{\Phi} + \rho_{BH}] .$$

In standard cosmology, when the photon temperature drops below  $T_\gamma \sim 1$  MeV, neutrinos decouple from the rest of the radiation, forming a **cosmic neutrino background (CNUB)**. The energy density today can be written as:

$$\rho_{\text{r, std}} = \rho_\gamma \left[ 1 + N_{\text{eff}} \frac{7}{8} \left( \frac{4}{11} \right)^{\frac{4}{3}} \right]$$

where  $N_{\text{eff}}$  is the effective number of neutrinos and is equal to 3.043, mainly because neutrinos do not decouple instantaneously.

**Planck satellite measurements indicate  $N_{\text{eff}} = 2.99 \pm 0.17$ .**

- ▶ Data allow for the existence of an extra radiation component.
- ▶ In our model, this dark radiation component is identified with ALPs.

The extra contribution  $\Delta N_{\text{eff}}$  can be expressed as:

$$\Delta N_{\text{eff}} = \frac{\rho_a(T_0)}{\rho_r(T_0)} \left[ N_{\text{eff}} + \frac{8}{7} \left( \frac{11}{4} \right)^{4/3} \right]$$

Next step: *Redshift this expression to the decay time  $t_d$  (temperature  $T_d$ ).*

## SM radiation:

$$\frac{\rho_r(T_0)}{\rho_{\text{SM}}(T_d)} = \frac{g_\rho(T_0)}{g_\rho(T_d)} \left(\frac{T_0}{T_d}\right)^4 = \frac{g_\rho(T_0)}{g_\rho(T_d)} \left(\frac{g_S(T_d)}{g_S(T_0)}\right)^{4/3} \left(\frac{a(T_d)}{a(T_0)}\right)^4 .$$

## Dark radiation:

$$\frac{\rho_a(T_0)}{\rho_a(T_d)} = \left(\frac{a(T_d)}{a(T_0)}\right)^4 .$$

## Extra effective number:

$$\Delta N_{\text{eff}} = 7.446 \times \frac{g_\rho(T_d)}{g_\rho(T_0)} \left(\frac{g_S(T_0)}{g_S(T_d)}\right)^{4/3} \frac{\rho_a(T_d)}{\rho_{\text{SM}}(T_d)} .$$