

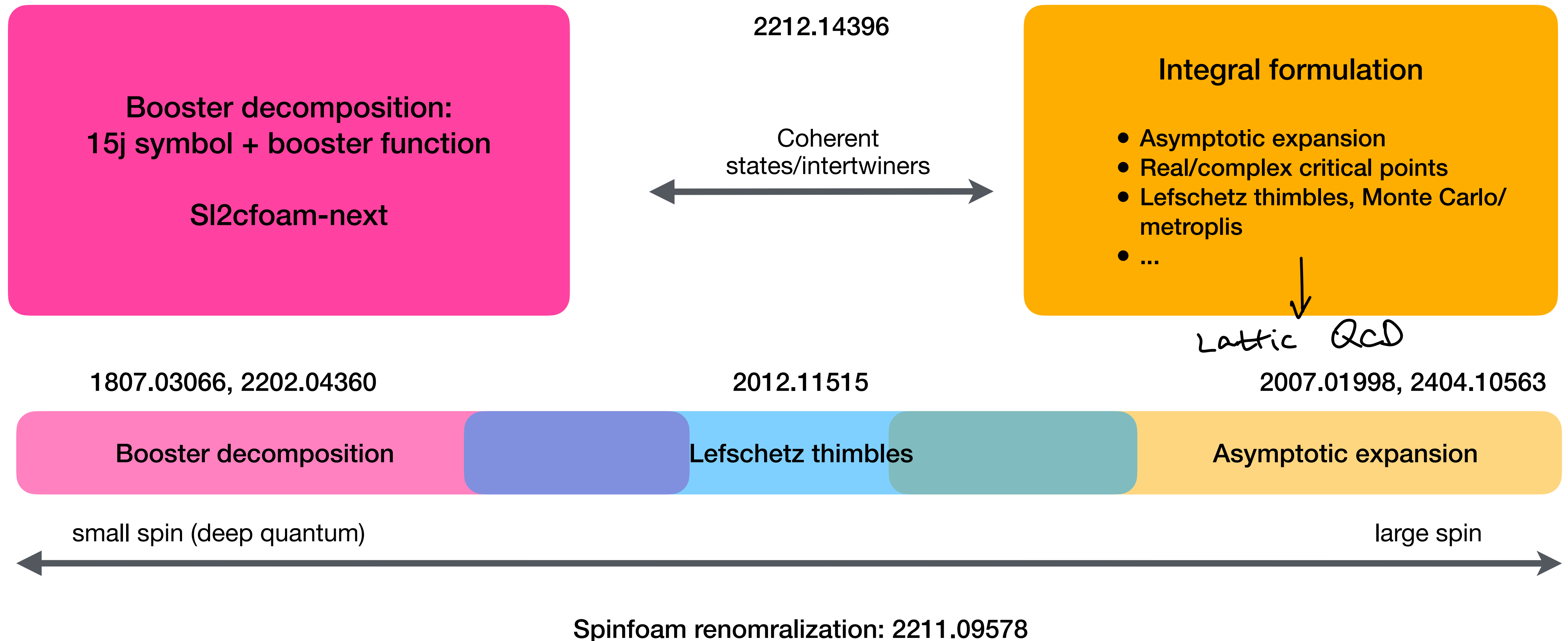
# Spinfoam numerics

How to calculate the amplitude and observables

Hongguang Liu

# Overview of the spinfoam numerics

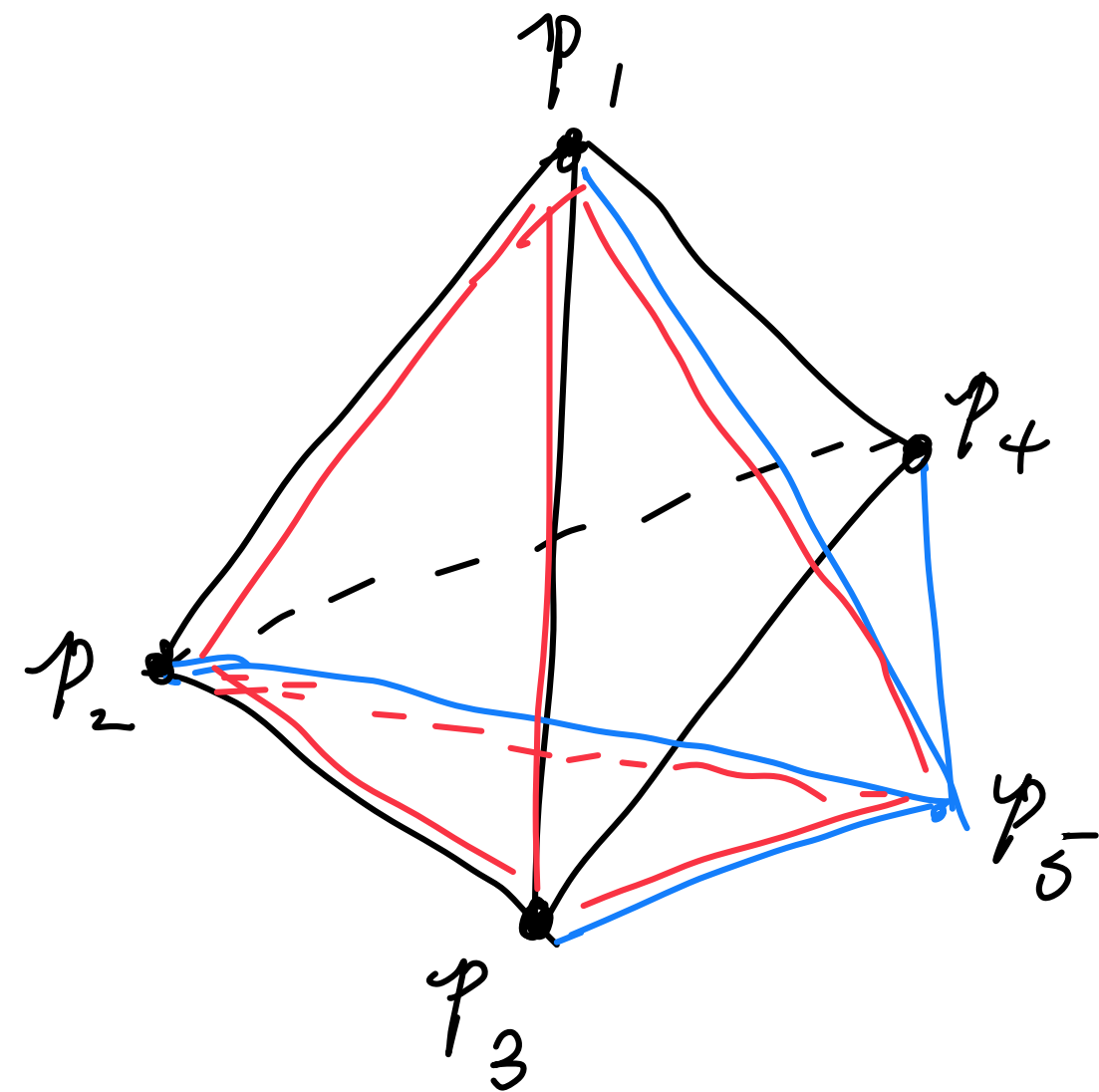
Spinfoam based on different formulations



# Structure

1. Introduction to EPRL and its extension
  - 1.1 Triangulation
  - 1.2 EPRL transition amplitude
  - 1.3 Booster function decomposition
  - 1.4 Integral representation
2. Introduction to (complex) saddle points and Lefschetz thimble methods
3. Numerical examples

# Triangulation



4-simplex: triangulation of 4d manifold    generalization of triangles/tetrahedra

4d polytope as convex hull of 5 points

Each set of 4 points gives a tetrahedron

e.g.  $[p_1, p_2, p_3, p_4] \dots$     5 tetrahedra  $e$

Each set of 3 points gives a triangle

e.g.  $[p_1, p_2, p_3] \dots$     10 triangles  $f$

Each set of 2 points gives a segments

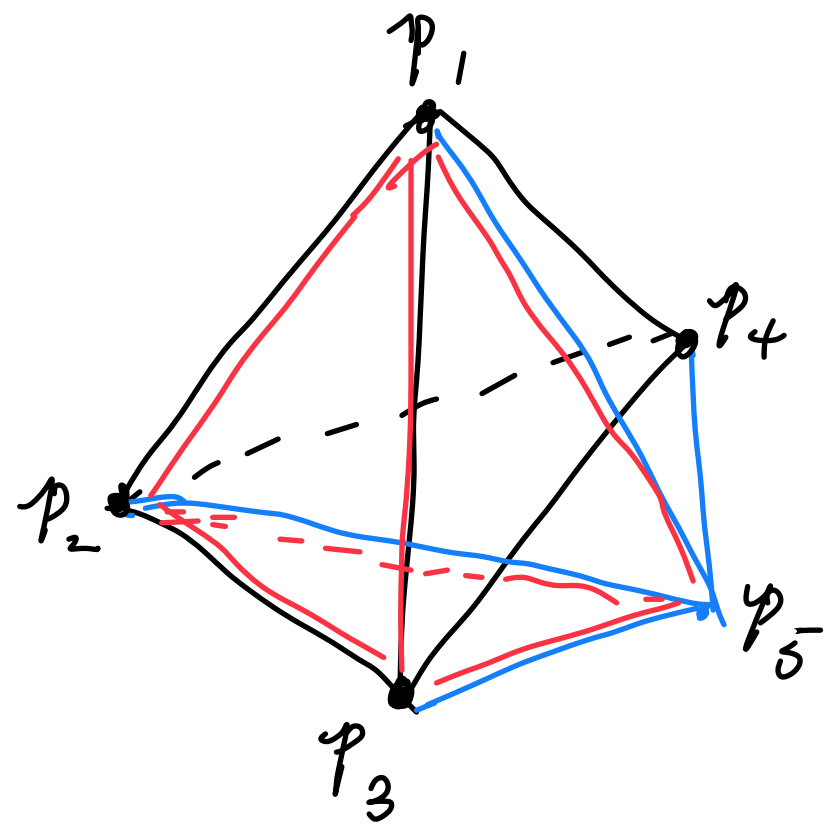
e.g.  $[p_1, p_2]$     10 segments

Can be described by 5 normals  $\sum_i N_i V_i = 0 \iff V_i$  volume of tetrahedra.

Can be described by 10 bivectors or 10 lengths.

$B_f = A_{ij} \frac{N_j \wedge N_i}{|N_j \wedge N_i|}$ ,  $A_{ij}$  area, describe the triangles.

# Triangulation

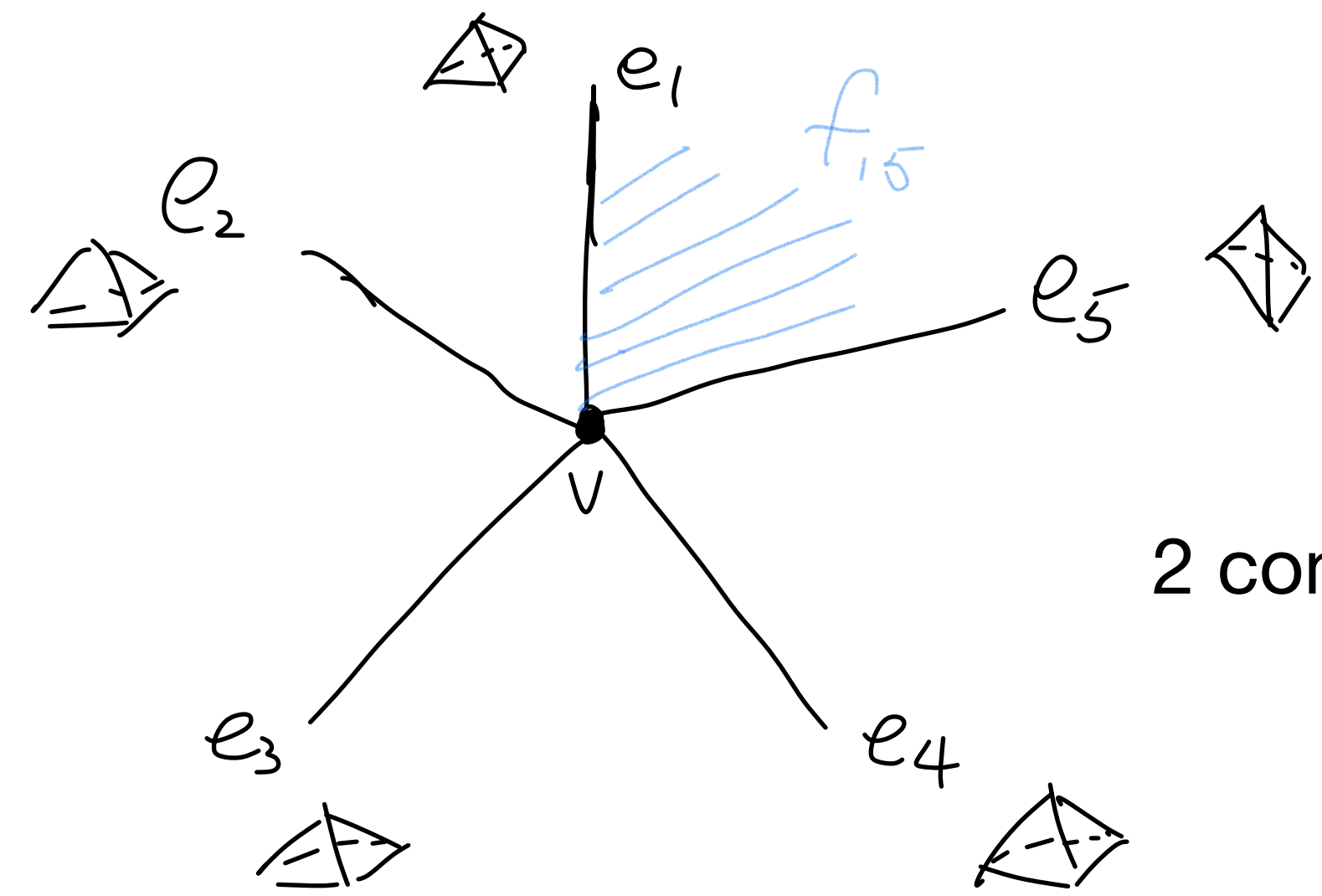


1 4-simplex

5 tetrahedra

10 triangles

dual graph



2 complex

1 vertex  $v$

5 edges  $e_1, e_2, e_3, e_4, e_5$

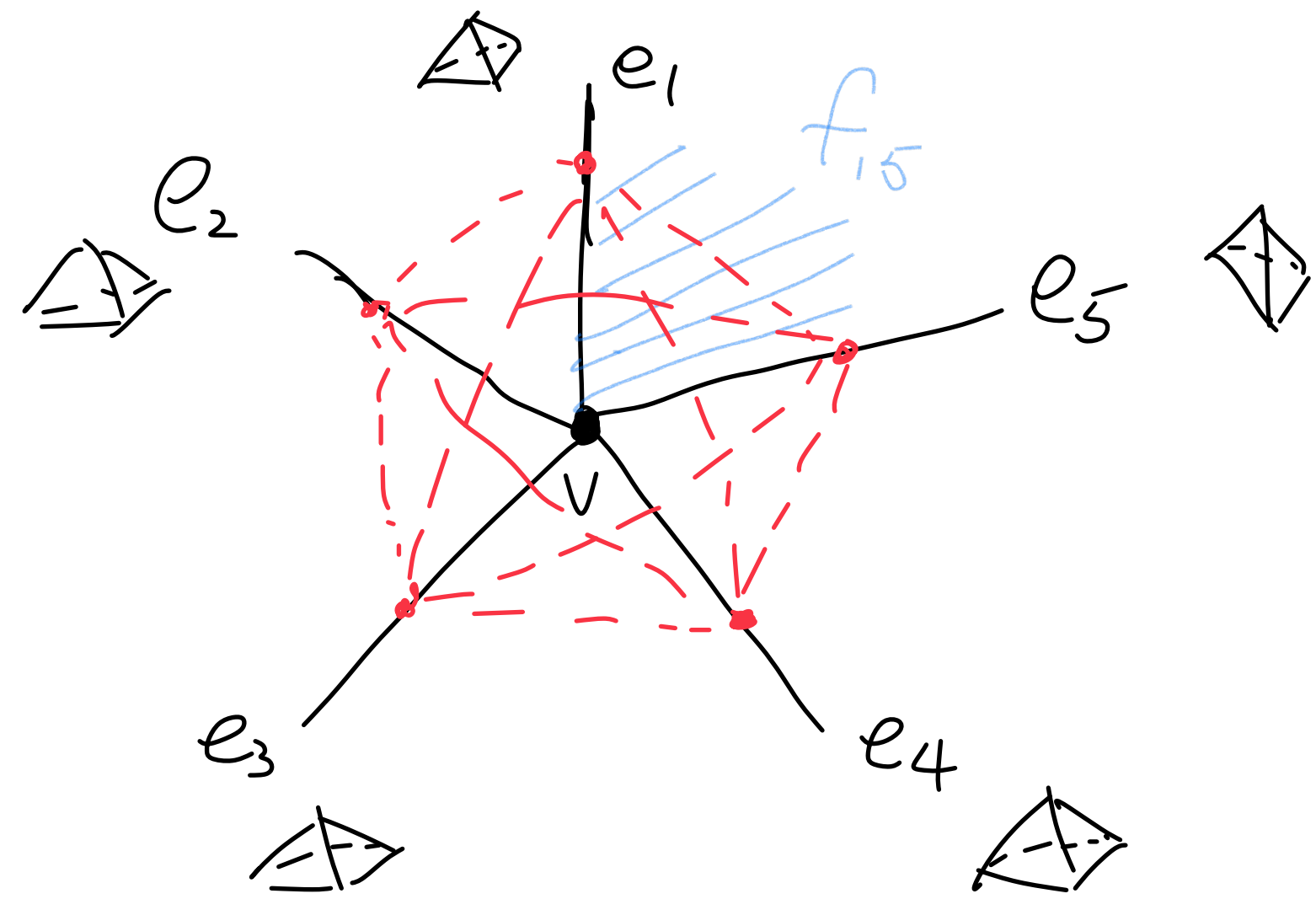
10 faces  $f_{15}, \dots$

group elements

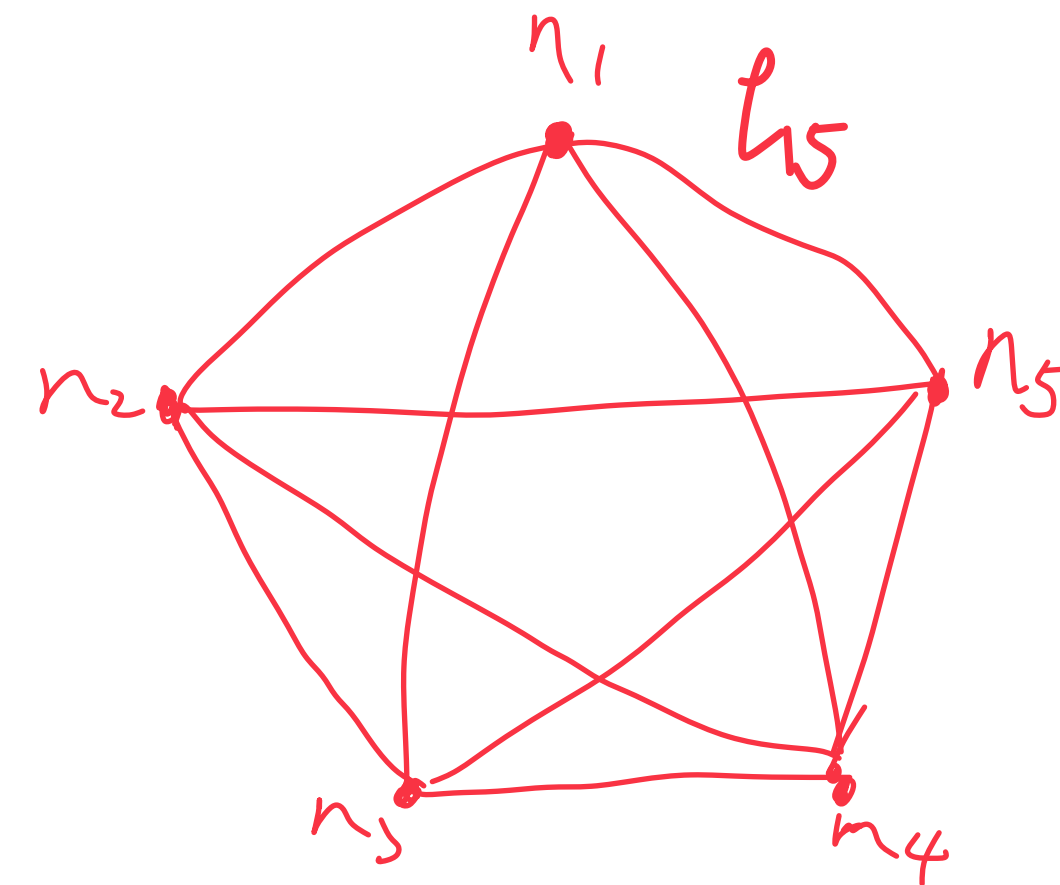


reps labels. e.g. spin  $j$   
in  $SU(2)$

# Triangulation



boundary graph

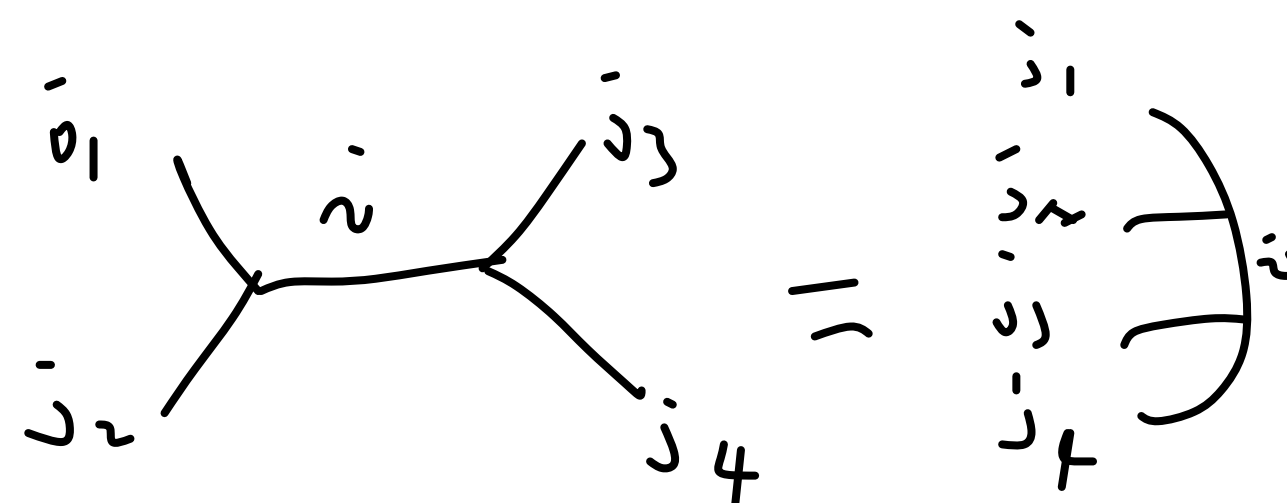


boundary spin-network states

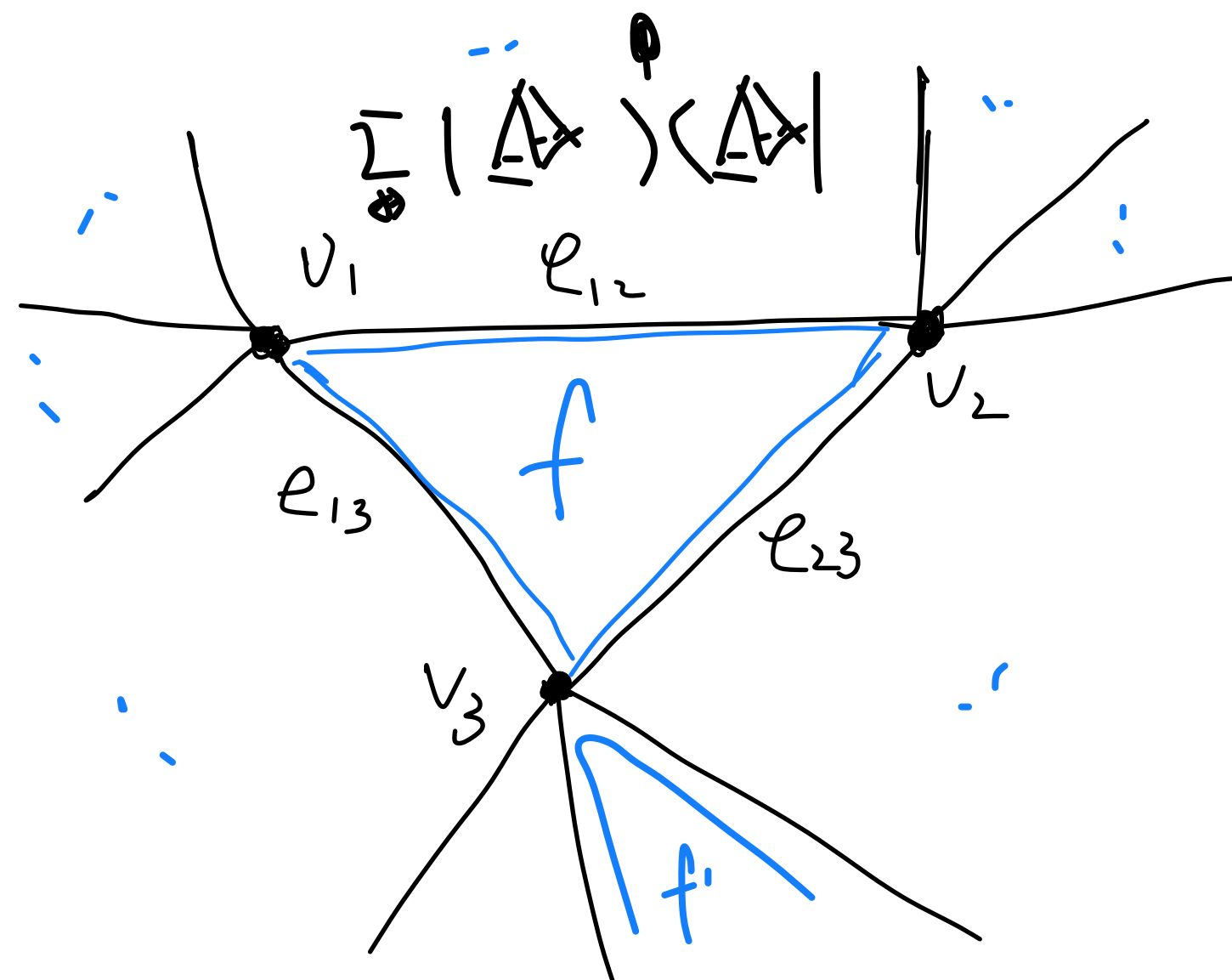
tetrahedra  $e$   
faces  $f$

boundary nodes  $n$   
boundary links  $l$

4 valent nodes: intertwiners



# Triangulation



Full cellular decomposition:

Gluing single vertices via edges

Identifying and integrating states on the glued edge

Internal triangles: summing over reps labels

Spinfoam amplitude:

$$Z = \prod_f A_f \prod_e A_e \prod_v A_v$$

↑ face amp.
 edge amp
vertex amp

# EPRL model

SL(2,C) unitary irreps: principle series

SL(2,C) group  $g = \begin{pmatrix} a & b \\ c & d \end{pmatrix}$ ,  $a, b, c, d \in \mathbb{C}$ ,  $ad - bc = 1$ .

generators  $J^i = \frac{\sigma^i}{2}$ ,  $K^i = \frac{i\sigma^i}{2}$  Casmirs  $C_1 = 2(K^2 - J^2) = \frac{1}{2}(n^2 - f^2 - 4)$   
 $C_2 = 4 \vec{J} \cdot \vec{K} = n f$ ,  $f \in \mathbb{R}$ ,  $n \in \mathbb{Z}_+$

irreps  $\mathcal{H}^{(p, n)}$

SU(2) decomposition  $\mathcal{H}^{(p, n)} \cong \bigoplus_{j \geq \frac{n}{2}}^{\infty} D^j$ .

Naimark's canonical basis  $J^2 |j m\rangle = j(j+1) |j m\rangle$ ,  $J^3 |j m\rangle = m |j m\rangle$ ,

matrix element:  $D_{j_1 m_1, j_2 m_2}^{(p, n)}$ ,  $|p, n; j m\rangle : \mathcal{I}^{(p, n)} = \sum_{j = \frac{n}{2}}^{\infty} \sum_{m = -j}^j | \mathcal{Y}_{j m} \rangle \langle \mathcal{Y}_{j m} |$



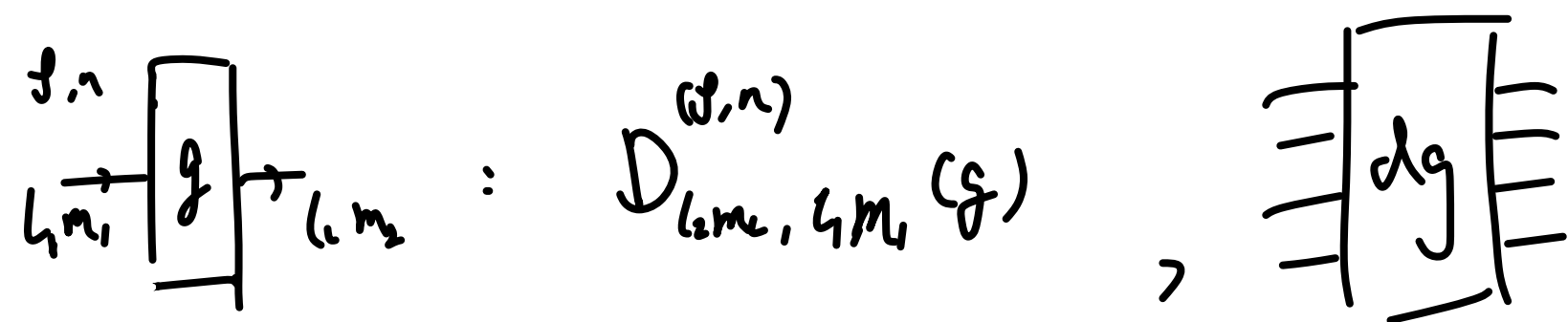
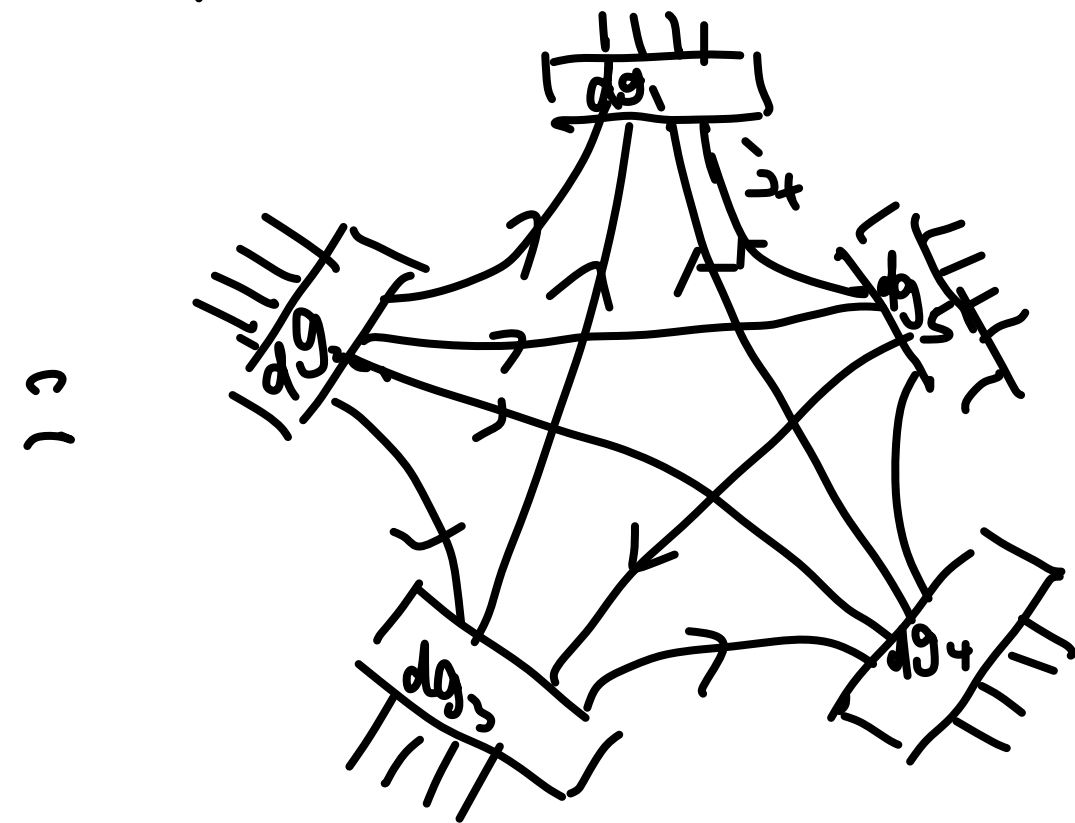
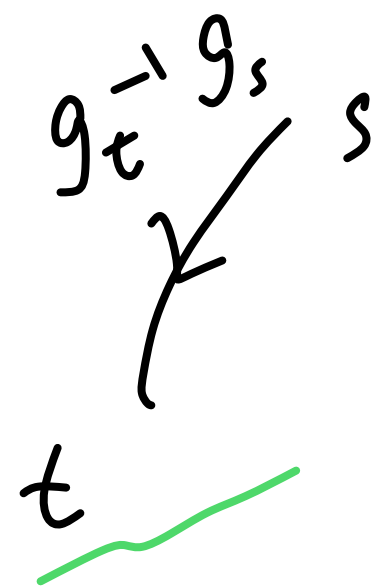
# EPRL model

SL(2,C) BF theory + simplicity (weakly imposed)

ArXiv: 1205.2019, 2310.20147

$$Z_{\mathcal{V}}(\Delta) = \int \prod_e dg_e \prod_f \delta(\underbrace{\prod_{e \in \partial f} \hat{g}_e}_{\text{Loop holonomies}})$$

$$= \sum_{\{\tau_f\}} \int \prod_e dg_e \prod_f d\tau_f \text{Tr}[\tau_f \underbrace{(\prod_{e \in \partial f} \hat{g}_e)}]$$



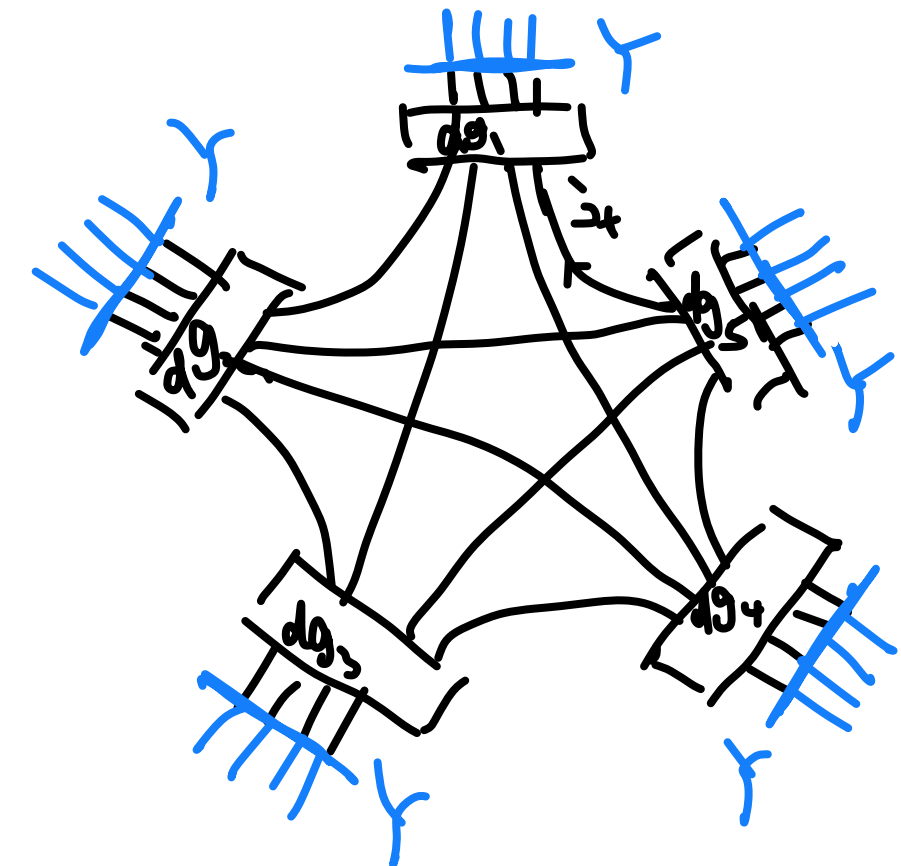
Proj into Inv. space.

Y-map:

$$D^j \rightarrow \mathcal{H}^{(2j, 2j)} \simeq \bigoplus_{\ell \geq j} D^\ell$$

matrix element:  $D_{j m_1, j m_2}^{(2j, 2j)}$

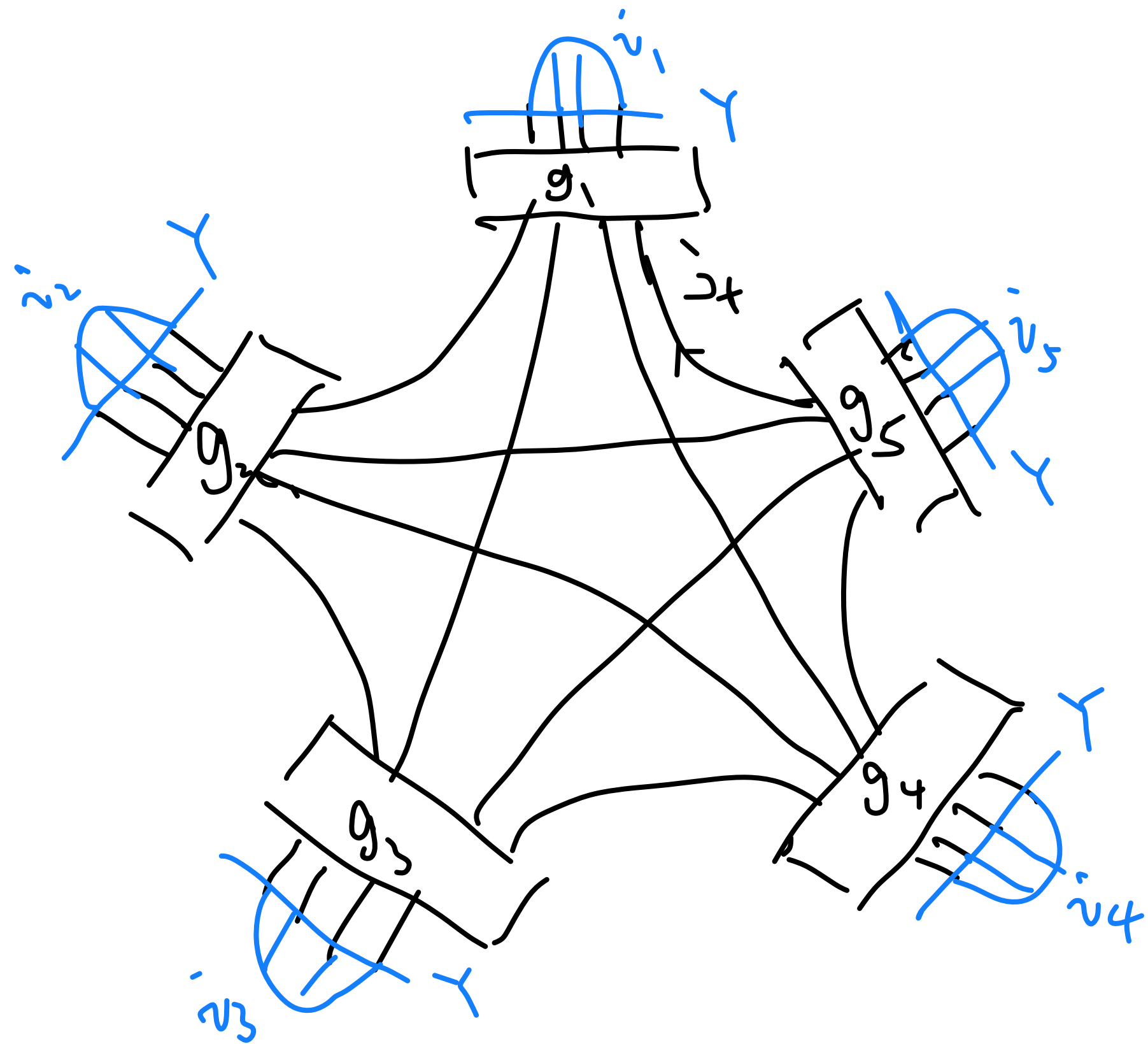
$Z_{\mathcal{V}}^{\text{EPRL}} =$



Y map:

$$D_{j m_2, j m_1}^{(2j, 2j)}(g)$$

# EPRL model



$$A_v(j_f, i_e)$$

$$j, n \quad \begin{array}{|c|} \hline g \\ \hline \end{array} \quad \begin{array}{l} \rightarrow l, m_1 \\ \rightarrow l, m_2 \end{array} \quad D_{(j, n)}^{(l, m_2, l, m_1)}(g) \quad \begin{array}{|c|} \hline g \\ \hline \end{array} \quad \begin{array}{l} \rightarrow m_1 \\ \rightarrow m_2 \end{array} \quad D_{(j, n)}^{(2j, j)}(g)$$

$$D_{(j_f, m_f, j_e, m_e)}^{(2j_f, 2j_e)}(g_f^{-1} g_e) : \quad \begin{array}{|c|} \hline g_f^{-1} g_e \\ \hline \end{array} = \begin{array}{|c|} \hline g_f^{-1} \\ \hline \end{array} \begin{array}{|c|} \hline g_e \\ \hline \end{array}$$

Y map only on bdy.

resolution of Identity in  $\mathcal{L}(P, n)$   $(l, j)$

$$\begin{array}{|c|} \hline 2e \\ \hline \end{array} = \binom{j_i}{m_i}^{(i)} = \sum_m (-1)^{i-m} \binom{j_1 \ j_2 \ j}{m_1 \ m_2 \ m} \binom{j \ j_3 \ j_4}{-m \ m_3 \ m_4} \leftarrow \text{intertwiner}$$

3j symbol.

$$\begin{array}{|c|} \hline i_e \\ \hline \end{array} \in \begin{array}{|c|} \hline u \\ \hline \end{array} \quad u \in \text{SU}(2)$$

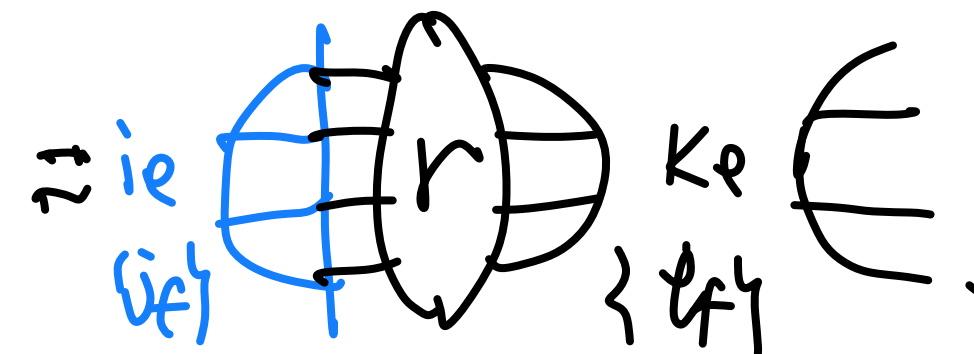
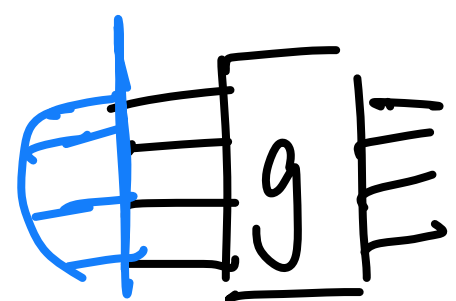
$\hat{\sim}$  SU(2) invariants

# Booster decomposition

Decomposition of  $SL(2, \mathbb{C})$

$$g = u e^{\frac{r}{2} \sigma_3} v^{-1}, \quad u, v \in SU(2)$$

(carton) ie

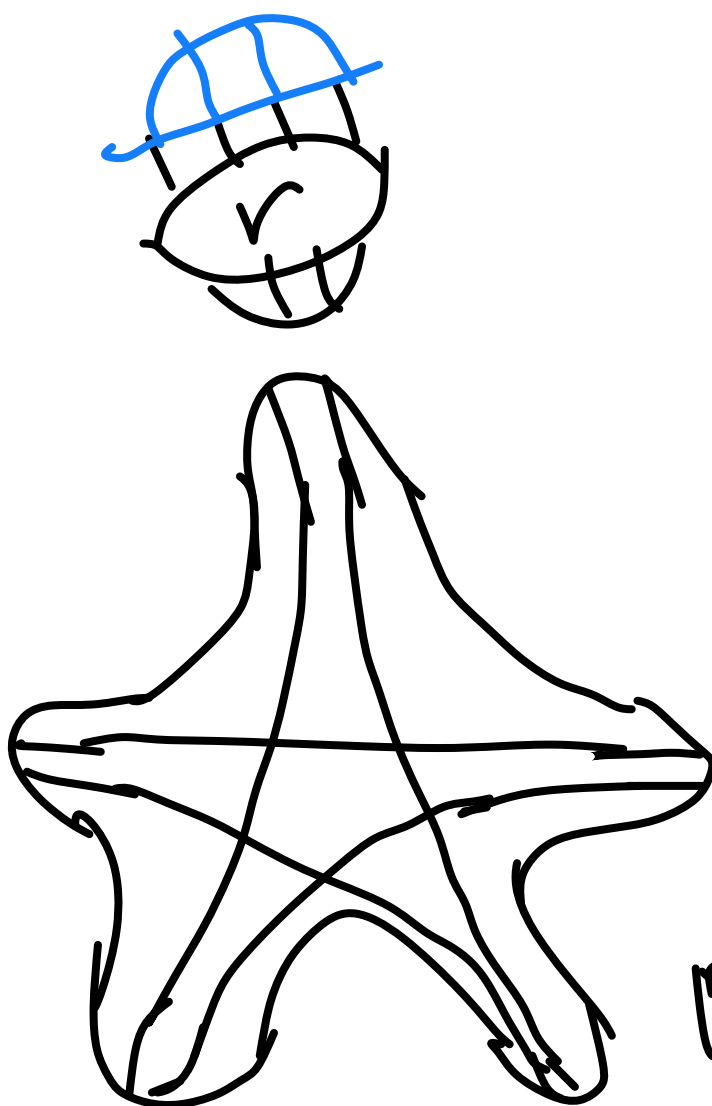
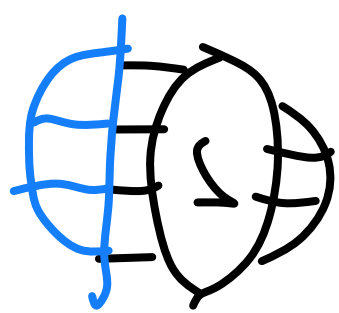
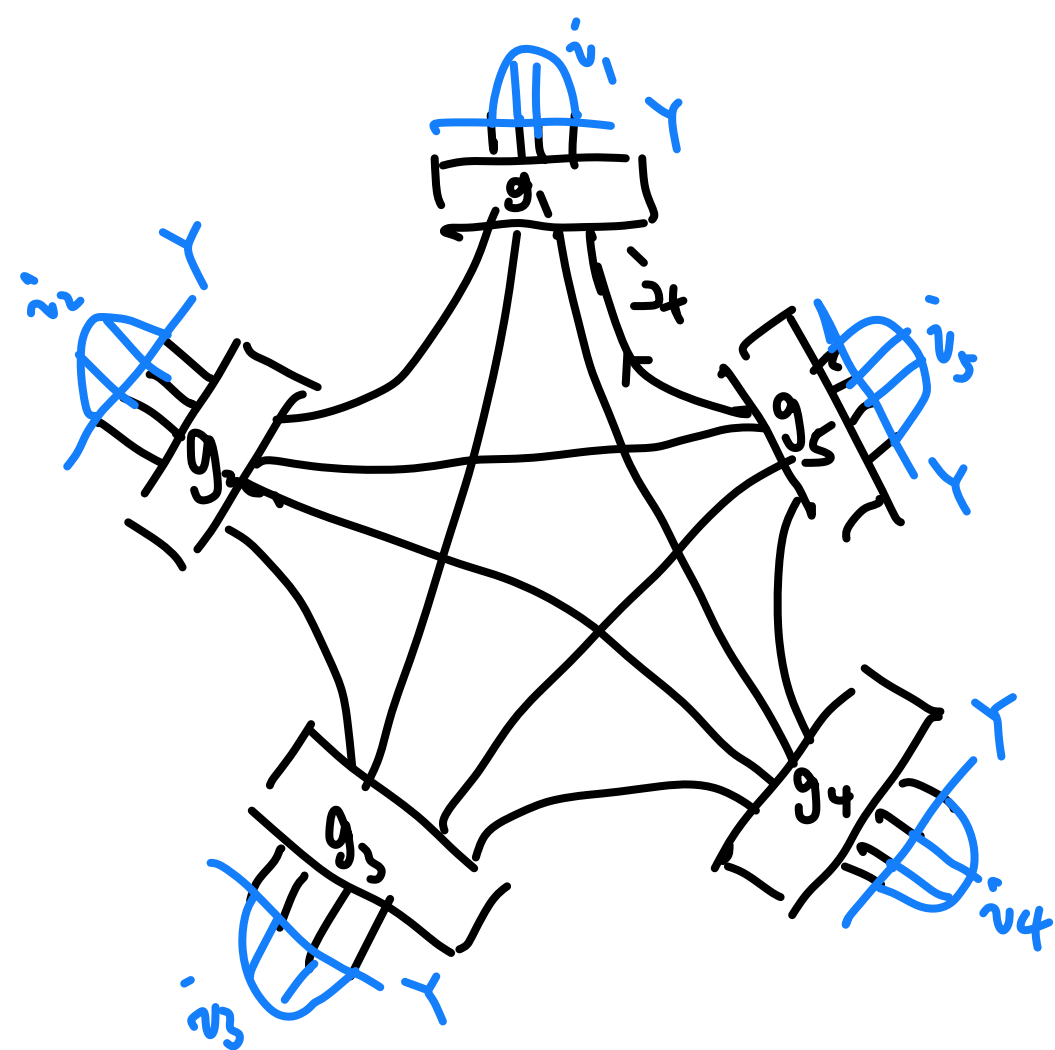


ArXiv: 1609.01632

$$\mathcal{B}^r(\hat{j}_\ell, \ell_\ell; \hat{i}_e, k_e)$$

→ 1d integral of  $dr$ .

$$d_{4\hat{j}_\ell n}(r)$$



$$A_\nu = \sum_{\{\ell_\ell, k_e\}} \{15_j\} (\ell_\ell, k_e) \times \mathcal{B}^r(\hat{i}_e, \ell_\ell, \hat{i}_e, k_e)$$

$\{15_j\}$  symbol.  $(SU(2) \text{ BR})$

# Integral representation

## Again $SL(2, \mathbb{C})$ representation theory

$$\mathcal{H}^{(p, n)} : \Psi(z) \quad z = \begin{pmatrix} z_+ \\ z_- \end{pmatrix} \in \mathbb{C}^2$$

- $\Psi(z)$  homogeneous functions :  $\Psi : \mathbb{C}^2 \setminus \{0\} \rightarrow \mathbb{C}$   
 $\forall \lambda \in \mathbb{C}, \quad \Psi(\lambda z) = \lambda^{i p/2 + n/2 - 1} (\lambda^*)^{i p/2 - n/2 - 1} \Psi(z)$
- Action of  $g \in SL(2, \mathbb{C})$  :

$$g \triangleright \Psi(z) = \Psi(g^T z)$$

- Scalar product :

$$\langle \Psi_1, \Psi_2 \rangle = \int_{\mathbb{CP}_1} \overline{\Psi_1(z)} \Psi_2(z) \omega_z,$$

invariant under  
 $z \rightarrow \lambda z$

$$\omega_z = \frac{i}{2} (z_+ dz_- - z_- dz_+) \wedge (\bar{z}_+ d\bar{z}_- - \bar{z}_- d\bar{z}_+)$$

$$\mathcal{H}^{(p, n)} = \{ \Psi : \langle \Psi, \Psi \rangle < \infty \}$$

# Integral representation

$$u(z) = \frac{1}{\sqrt{\langle z, z \rangle}} \begin{pmatrix} z_+ & z_- \\ -\bar{z}_- & \bar{z}_+ \end{pmatrix}$$

## SU(2) coherent states

$$\langle z, z' \rangle = \bar{z}_+ z'_+ + \bar{z}_- z'_-$$

$$\bullet \quad \psi(z) = \frac{1}{\sqrt{\pi}} \langle z, z \rangle^{j-1} \tilde{\psi}_{j,m}(z), \quad \tilde{\psi}_{j,m}(z) = \sqrt{2j+1} D_{\frac{1}{2},m}^j(u(z)).$$

$$\bullet \quad \Upsilon \text{ maps: } \mathbb{D}_j \mapsto \mathcal{H}(2j, 2j) \simeq \bigoplus_{k \geq j} \mathbb{D}_k, \quad f = 2j, \quad n = 2j$$

$$\bullet \quad \text{SU(2) coherent states: } \psi_{j,\varphi}(z) = U(\varphi) \triangleright \psi_{j,j}(z), \quad U \in \text{SU(2)}.$$

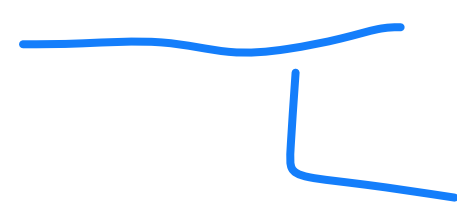
↳ highest weight state

• For highest weight states, we know

$$D_{j,j}^j(u(z)) = \left( \langle \frac{1}{2}, \frac{1}{2} | u(z) | \frac{1}{2}, \frac{1}{2} \rangle \right)^j = \langle z, z \rangle^{-j} \langle n_+, z \rangle^{2j} \quad n_+ = \begin{pmatrix} 1 \\ 0 \end{pmatrix}$$

→  $e_3$  axis geometrically

$$\Rightarrow \tilde{\psi}_{j,\varphi}(z) = \tilde{\psi}_{j,j}(v(\varphi)^T z) = \sqrt{2j+1} \langle z, z \rangle^{-j} \langle \varphi, z \rangle^{2j}, \quad \varphi = \underbrace{(v(\varphi)^T)^{-1} n_+}_{\text{rotation of } e_3 \text{-axis}}$$



3d normal vector of the triangle in each tetrahedron

# Integral representation

action and measures

*gauge fixing*

$$\langle \psi_{j_f} \psi_{e'f} \cdot g_{e'}^{-1} g_e \psi_{j_f} \psi_{e'f} \rangle$$

we ignore  $2j_f + 1$   
↓  
face amp

$$A_\nu = \int \frac{\pi}{e} dg_{ve} \delta(g_{ve}) \frac{\pi}{f} \int_{\mathbb{CP}^1} \omega_z \underbrace{g_{e'} \triangleright \psi_{j_f} \psi_{e'f}(z_{vef})}_{||} \left( g_e \triangleright \psi_{j_f} \psi_{e'f}(z_{vef}) \right)$$

$$= \int \frac{\pi}{e} dg_e \frac{\pi}{f} \int_{\mathbb{CP}^1} \omega_z \underbrace{\langle g_{e'}^T z_{vef}, g_{e'}^T z_{vef} \rangle^{i\nu_{j_f}-1-j_f} \langle \psi_{e'f}, g_{e'}^T z_{vef} \rangle^{2j_f}}_{\times \langle g_e^T z_{vef}, g_e^T z_{vef} \rangle^{i\nu_{j_f}-1-j_f} \langle \psi_{ef}, g_e^T z_{vef} \rangle}$$

$$= \int \frac{\pi}{e} dg_{ve} \frac{\pi}{f} \frac{\omega_z}{\langle z_{vef}, z_{vef} \rangle \langle z_{ve'f}, z_{ve'f} \rangle} \left( \frac{\langle z_{vet}, z_{ve'f} \rangle^{i\nu_{j_f}}}{\langle z_{ref}, z_{ve'f} \rangle} \right) \cdot z_{ve'f} = g_e^T z_{vef}$$

$$[dx] \times \left( \frac{\langle z_{ve'f}, \psi_{e'f} \rangle \langle \psi_{ef}, z_{vef} \rangle}{\langle z_{ve'f}, z_{ve'f} \rangle \langle z_{vet}, z_{vet} \rangle} \right)^{2j_f}$$

$$= \int [dx] e^{S_\nu[X; \dot{j}_s, \dot{j}_t]} \quad S_\nu = \sum_{(e, e')} (S_{ve'f} + S_{ve'f}), \quad X \subset [g, z]$$

$k=1$  for  $s$ .  
 $k=-1$  for  $t$ .

$$S_{ve'f} = j_f \left( \ln \left[ \langle \psi_{ef}, z_{vet} \rangle^{\frac{k_{vet}+1}{2}} \langle z_{vet}, \psi_{ef} \rangle^{\frac{1-k_{vet}}{2}} \right] + (i\nu_{k_{vet}} - 1) \ln \langle z_{vet}, z_{vet} \rangle \right)$$

# Integral representation

$$A_v = \int [dx] e^{S_v[x; \mathfrak{z}, \mathfrak{g}]} \quad S_v = \sum_{(e, e')} (S_{vef} + S_{ve'f}), \quad \chi \in [g, \mathfrak{z}]$$

$$S_{vef} = i_f \left( \ln \left[ \langle \mathfrak{g}_{ef}, z_{vet} \rangle^{\frac{k_{vet} + 1}{2}} \langle z_{vet}, \mathfrak{g}_{ef} \rangle^{\frac{1 - k_{vet}}{2}} \right] + (i_f k_{vet} - 1) \ln \langle z_{vet}, z_{vet} \rangle \right)$$

$k = \pm 1.$

Beyond single vertex:

$$\sum_{j=0}^{j_{max}} f(j) \approx \sum_{k \in \mathbb{Z}} \int_{\mathcal{C}_k} d_j f(j) e^{2\pi i k n}$$

$$A = \prod_f A_f \prod_{i_f} \prod_{(e, f)} \prod_v A_v$$

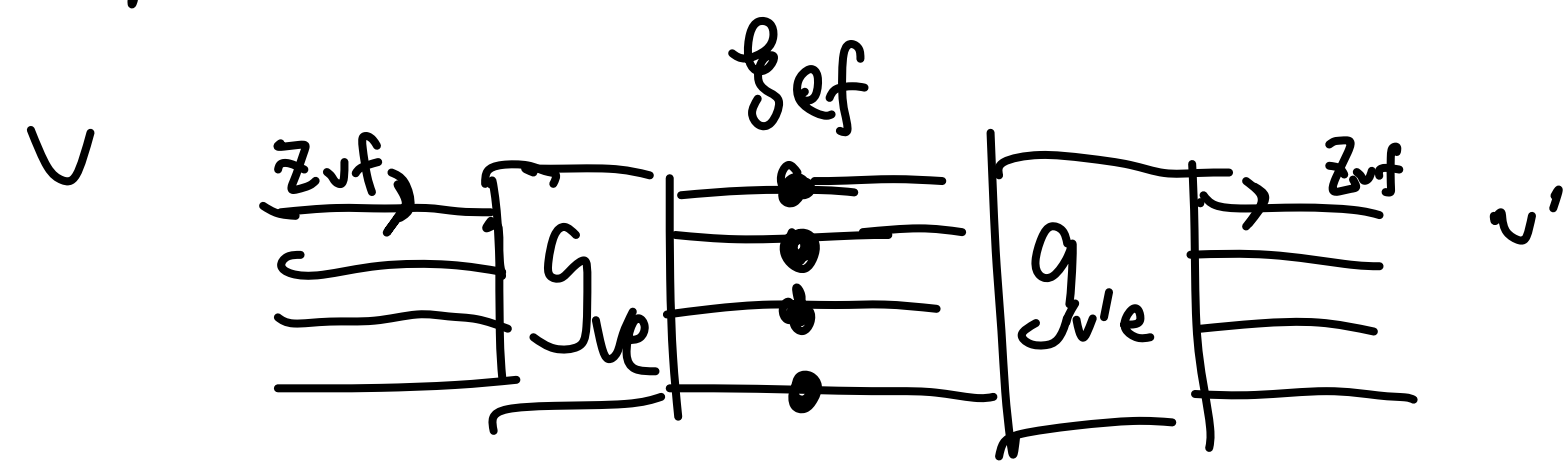
↓  
polynomial of  $i$

$$[dx] = \prod_{v, e} dg_{ve} \prod_{e, h} \prod_{(e, e')} \prod_{(e, e')} \Omega \quad \leftarrow dz_{vf}$$

$$\chi = [g_{ve}, z_{vf}, \mathfrak{g}_{eh}]$$

$$-k_{ve'f} = k_{vef} = -k_{v'e'f}$$

→  $k_{vef}$



# Gauge transformations

$$S = \sum_v \sum_{(e, e')} (S_{vef} + S_{ve'f}), \quad S_{vef} = i_f \left( \ln \left[ \langle \mathcal{G}_{ef}, Z_{vet} \rangle^{\frac{k_{vet}+1}{2}} \langle Z_{vet}, \mathcal{G}_{ef} \rangle^{\frac{1-k_{vet}}{2}} \right] + (i_f k_{vet} - 1) \ln \langle Z_{vet}, Z_{vet} \rangle \right)$$

$k = \pm 1$

Gauge trans. (b)  $g_{ve} \rightarrow \pm g_{ve}$  discrete trans.

Continuous :  
at each  $v$  : (1)  $g_{ve} \rightarrow \tilde{g}_v g_{ve}$ ,  $\tilde{g}_v \in SL(2, \mathbb{C})$

For each  $z_{vf}$  (2)  $z_{vf} \rightarrow \lambda_{vf} z_{vf}$   $\leftarrow z$  in  $\mathbb{CP}_1$

For internal  $e$  : (3)  $\mathcal{G}_{ef} \rightarrow e^{i\gamma_{ef}} \mathcal{G}_{ef}$ ,  $\gamma_{ef} \in \mathbb{R}$

(4)  $g_{v'e} \rightarrow g_{v'e} h_e^T$ ,  $g_{ve} \rightarrow g_{ve} h_e^T$ ,  $\mathcal{G}_{ef} \rightarrow h_e \mathcal{G}_{ef}$

gauge fixing

2404.10563



Integral  $A = \int_{\mathbb{R}^n} d^n x f(x) e^{-S(x)}$  with complex action  $S(x)$

Sign-problem:

Not positive semi-definite  
~~probability distribution~~

Saddle point approximation!

Perturbative (asymptotic) expansion

# Saddle points

$$S_v = \int_{(e, e')} (S_{vef} + S_{ve'f}), \quad S_{vef} = \int_f \left( \ln \left[ \langle \varphi_{ef}, z_{vet} \rangle^{\frac{k_{vet}+1}{2}} \langle z_{vet}, \varphi_{ef} \rangle^{\frac{1-k_{vet}}{2}} \right] + (i/k_{vet} - 1) \ln \langle z_{vet}, z_{vet} \rangle \right)$$

$$k = \pm 1.$$

saddle point  $\Leftrightarrow$  solutions of EoM.

Parallel transport, eq.

Single vertex :

$$z_{vef} := g_{ve}^T z_{vf}. \Rightarrow g_{ve} z_{vef} = g_{ve'}^{-1} z_{ve'f}$$

$$\delta_{z_{vf}} S \Rightarrow \tilde{z}_{vef} g_{ve}^T = \tilde{z}_{ve'f} g_{ve'}^T \Rightarrow \boxed{g_{ve}^T B_{vef} g_{ve}^T = g_{ve'}^{-1} B_{vef} g_{ve'}}$$

$$\delta_{g_{ve}} S \Rightarrow \boxed{\forall_e \sum_f \int_f B_{vef} = 0} \quad \text{closure.} \quad B := (z \otimes \tilde{z}) - \frac{1}{2} \mathbb{1} = \frac{1}{2} (z \otimes \tilde{z} + (\tilde{z} \otimes z)^\dagger)$$

is a bivector,  $B \in \mathfrak{sl}(2, \mathbb{C})$ .

This hold for both real and complex saddle points.

For real critical points :  $B_{vef} = \varphi_{ef} \otimes \varphi_{ef}^\dagger - \frac{1}{2} \mathbb{1}$ , we have in addition  $\forall_e$ ,

# Saddle points

EoM: ①  $g_{ve}^T B_{vef} g_{ve}^T = g_{ve'}^T B_{ve'f} g_{ve'}$

②  $\forall_e \sum_f \dot{v}_f B_{vef} = 0$

For real critical points:  $B_{vef} = \xi_{ef} \otimes \xi_{ef}^T - \frac{1}{2} \mathbb{1} \equiv B_{ef} \leftarrow$  Bivector from boundary tetrahedron  
 $V \in SU(2) \quad V(\xi) \frac{\sigma_3}{2} V(\xi)^T = B_{ef} = N_0 \wedge \vec{n}_{ef}$ .  $\vec{n}_{ef}$  3d normal of triangles.  
 $N_0 = (1, 0, 0, 0)^T$

① + ② describe exactly a 4-simplex.

With  $B_f(v)_i = (g_{ve}^T)^{-1} B_{ef} g_{ve}^T = (g_{ve'}^T)^{-1} B_{e'f} g_{ve'}$

$\uparrow$  10 bivectors of 4-simplex triangle.

$N_e(\omega) := (g_{ve}^T)^{-1} N_0 \rightarrow$  5 normals of 4-simplex.

Fix the 4-simplex by rescaling.

4 Simplex geometry  $\iff$  (non-degenerate) real saddle points.

# Saddle points

$(g_{ve}^T)^{-1} B_{ef} g_{ve}^T = (g_{ve'}^T)^{-1} B_{e'f} g_{ve'}^T$  also satisfied.

$$B_{ef} = B_{ef}^T$$

$$\Rightarrow (g_{ve}^T)^T B_{ef} (g_{ve}^T)^{-T} = (g_{ve'}^T)^T B_{ef} (g_{ve'}^T)^{-T}$$

pairs of solutions  $\{g_{ve}\}, \{g_{ve'}^T\}$ .

It turns out they correspond to different orientations

Amp. at critical points:

$$A_\nu \sim N_+ e^{i \sum_f \nu_f \Theta_f(\nu)} + N_- e^{-i \sum_f \nu_f \Theta_f(\nu)}$$

Regge action

Gsine prob.

$Q_f := \operatorname{arccosh}(N_e \cdot N_{e'}) \Leftarrow$  dihedral angle.

# Saddle points

$$A_v \sim N_+ e^{i \sum_f j_f \theta_f} + N_- e^{-i \sum_f j_f \theta_f}$$

For internal faces:

$$\delta_{j_f} S \Rightarrow \theta_f \equiv \sum_v \theta_f^{(v)} = 0 \Leftarrow \text{"Flatness problem"}$$

Real saddles  $\Leftrightarrow$  Flat geometries

This can be resolved by complex critical points • Techniquelly difficult

EoM: ①  $g_{ve}^T B_{ref} g_{ve}^T = g_{ve'}^T B_{ref} g_{ve'}$  ②  $\forall_e \sum_f j_f B_{ref} = 0$  ③  $\delta_j S = 0$

No geometric notion as we do not have  $\forall_e \underbrace{N_e \cdot B_{ref}} = 0$

When we close to real critical points,

We can use Newton's method.

part of the simplicity.

# Lefschetz Thimble

Goal: computing the integral  $A = \int_{\mathbb{R}^n} d^n x f(x) e^{-S(x)}$  non-perturbatively with complex action  $S(x)$

Sign-problem: Not positive semi-definite  
~~probability distribution~~

How we solve this in 1D?

# Lefschetz Thimble

Goal: computing the integral  $A = \int_{\mathbb{R}^n} d^n x f(x) e^{-S(x)}$  with complex action  $S(x)$

Sign-problem: ~~Not positive semi-definite probability distribution~~

Complexify the action:  $S(x) \rightarrow S(z)$

Critical points:  $\frac{\partial S(\vec{z})}{\partial z_i} \Big|_{\vec{z}=\vec{z}_\sigma} = 0$

$\sigma$  all possible critical points in  $\mathbb{C}^n$

Deformation of the integral curve  
 $\xrightarrow{\text{Picard-Lefschetz theory}}$

Lefschetz thimble

$$\mathcal{C} = \sum_{\sigma} n_{\sigma} \mathcal{J}_{\sigma}$$

$$\int_{\mathbb{R}^n} d^n z f(\vec{z}) e^{-S(\vec{z})} = \sum_{\sigma} n_{\sigma} \int_{\mathcal{J}_{\sigma}} d^n z f(\vec{z}) e^{-S(z)}$$

$n_{\sigma}$  weight functions, usually hard to determine

# Lefschetz Thimble

Lefschetz thimble:

Union of steepest-decent paths falling to critical points

$$\frac{dz^a}{dt} = -\frac{\partial \overline{S(\vec{z})}}{\partial \overline{z^a}} \quad \Leftrightarrow \quad \begin{aligned} \frac{dz_i^R}{d\tau} &= -\frac{\partial S_R}{\partial z_i^R} = \frac{\partial S_I}{\partial z_i^I}, \\ \frac{dz_i^I}{d\tau} &= -\frac{\partial S_R}{\partial z_i^I} = -\frac{\partial S_I}{\partial z_i^R}, \end{aligned}$$

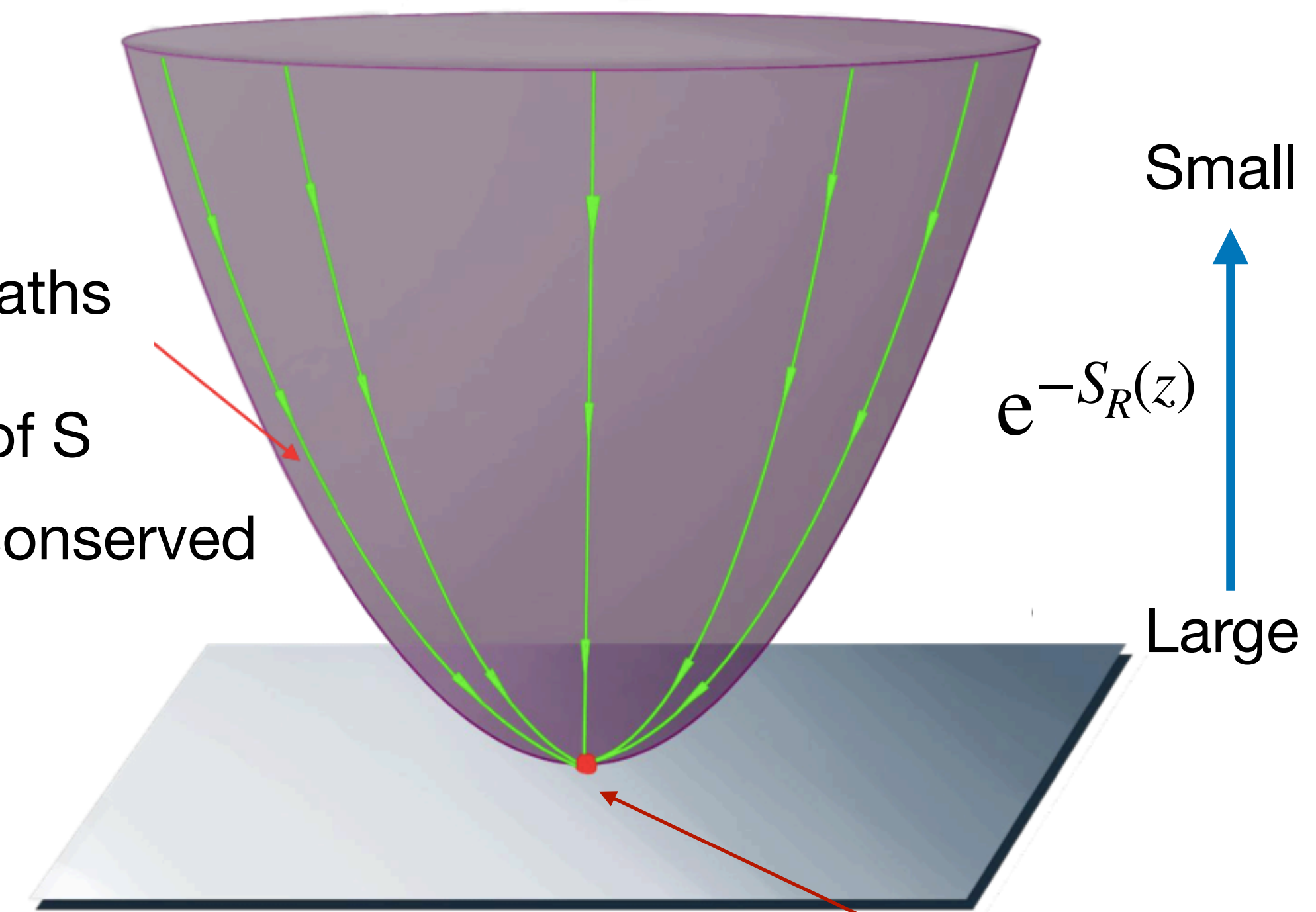
Gradient flow of real part, Hamiltonian flow of imaginary part of S

— Phase is conserved

$$\int_{\mathcal{J}_\sigma} d^n z \hat{f}(\vec{z}) e^{-S(\vec{z})} = e^{-i S_I(z_\sigma)} \int_{\mathcal{J}_\sigma} d^n z f(\vec{z}) e^{-S_R(\vec{z})}$$

Flow equation is first order:

Given asymptotic boundary conditions, any point on a thimble T lies on one and only one curve



Critical points:  $\partial_z \hat{S}(z) = 0$



# Lefschetz Thimble

Picard-Lefschetz theory

$$\int_{\mathcal{C}} d^n z \hat{f}(\vec{z}) e^{-S(\vec{z})} = \sum_{\sigma} n_{\sigma} \int_{\mathcal{J}_{\sigma}} d^n z \hat{f}(\vec{z}) e^{-S(z)} \quad \mathcal{C} = \sum_{\sigma} n_{\sigma} \mathcal{J}_{\sigma}$$

Suppose global minimum of  $S_R(z)$  in  $\mathcal{C}$  is given by  $s_{\min} = \min_{z \in \mathcal{C}} S_R(z)$

Only  $\sigma$  s.t.  $S_R(z_{\sigma}) \geq s_{\min}$  contribute:  $n_{\sigma} = 0$  if  $S_R(z_{\sigma}) < s_{\min}$

Contributions suppressed exponentially  $e^{s_{\min} - S_R(z_{\sigma})}$

Suppose there is only one global minimum and is given by  $z_{\sigma_{\min}}$

Only the thimble attached to global minimum dominate

$$\int_{\mathcal{C}} d^n z \hat{f}(\vec{z}) e^{-S(\vec{z})} \approx e^{-i S_I(z_{\sigma_{\min}})} \int_{\mathcal{J}_{\sigma_{\min}}} d^n z f(\vec{z}) e^{-S_R(\vec{z})} \longrightarrow \text{positive semi-definite}$$

exclude: there are multiple thimbles close to the global minimum

# How to obtain the Thimble?

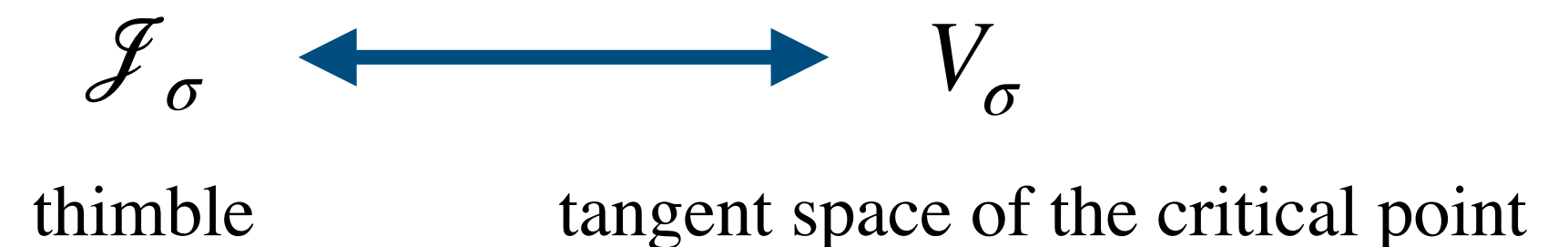
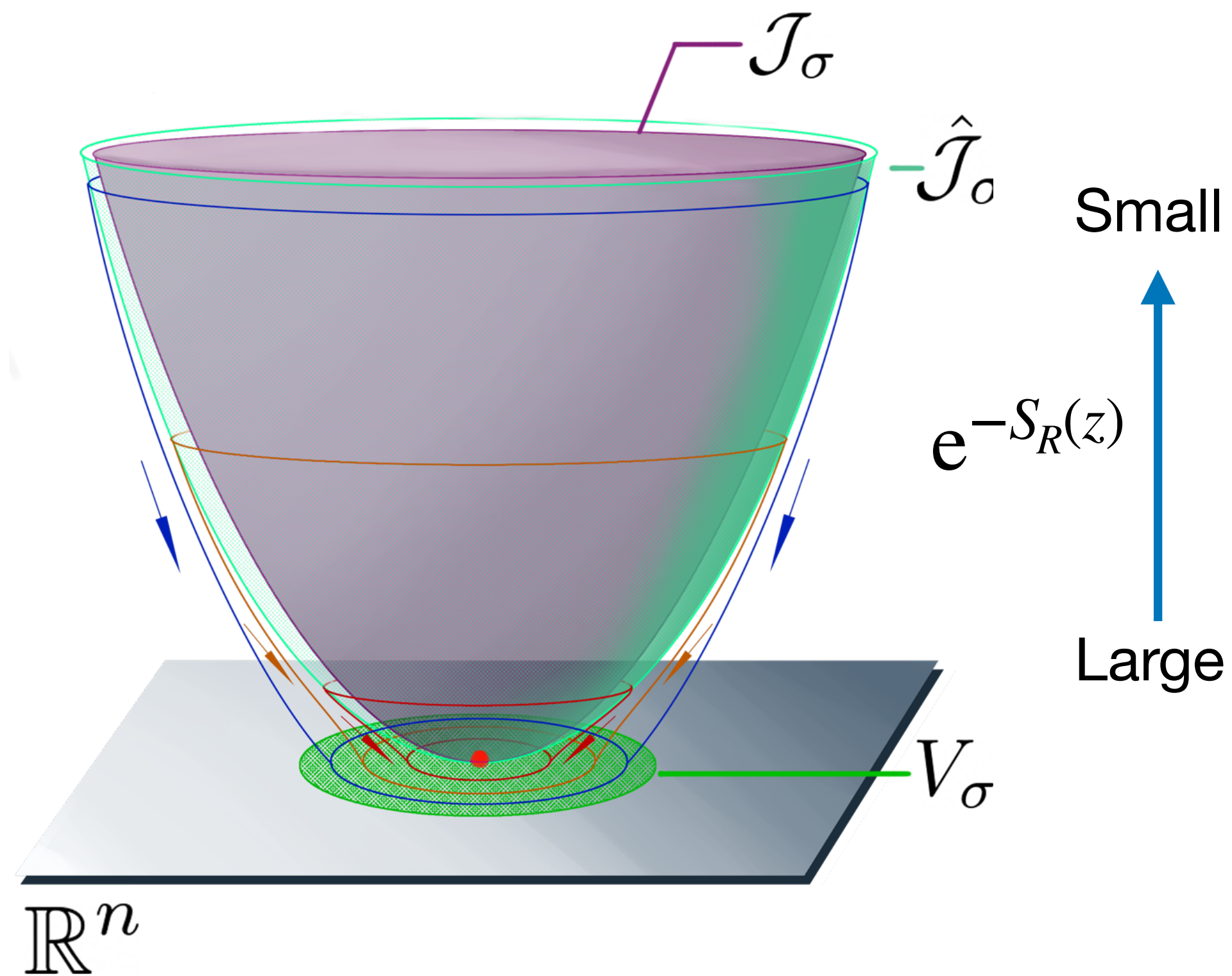
Flow to  $z_\sigma$  need infinite time  $t \rightarrow \infty$ , practically we need approximation

Action decay exponentially

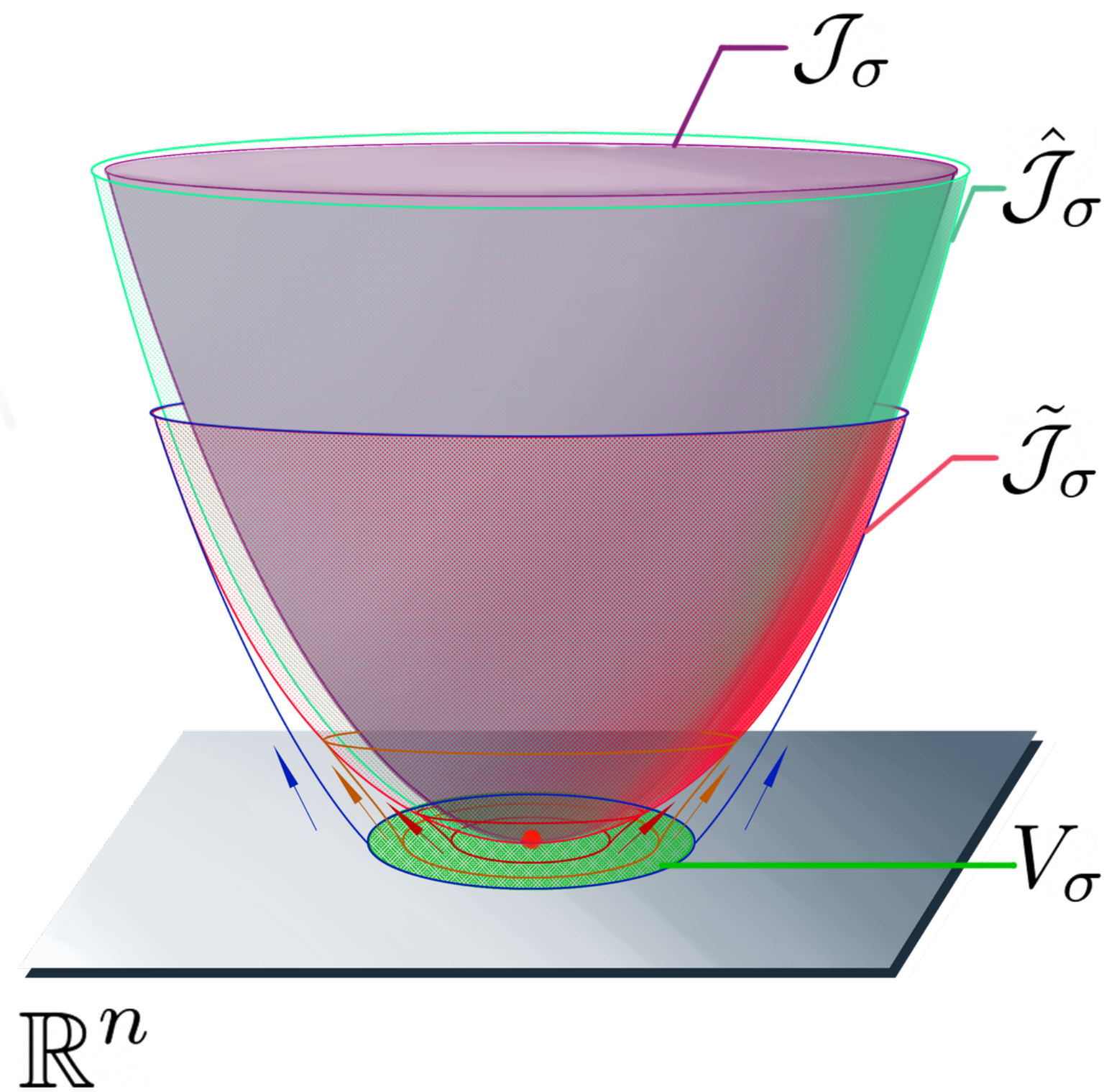
Only a subsets  $\hat{\mathcal{J}}_\sigma$  on the thimble is relevant to the calculation

Under SD flow, points on  $\hat{\mathcal{J}}_\sigma$  will arrive arbitrarily close to the critical point  $z_\sigma$  at some  $\tau$

near  $z_\sigma$  the thimble is well approximated by its tangent space



# How to obtain the Thimble?

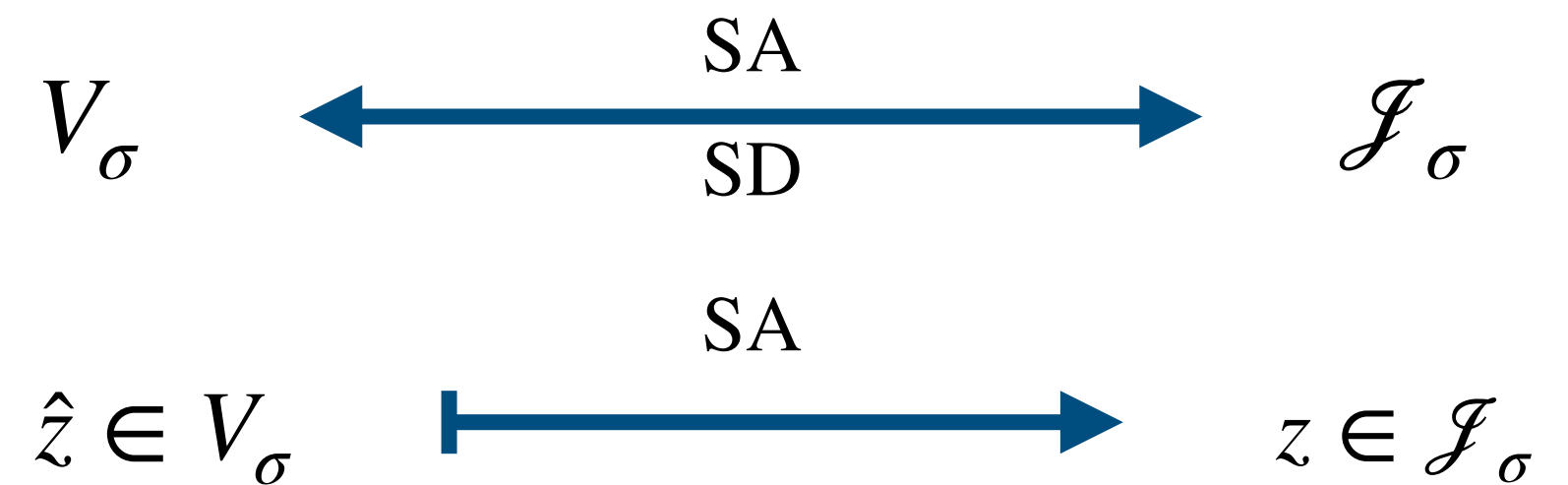


In  $V_\sigma$ , phases are close to phases at  $z_\sigma$

Small  
 $\uparrow$   
 $e^{-S_R(z)}$   
 Large

tangent space of the critical point

thimble

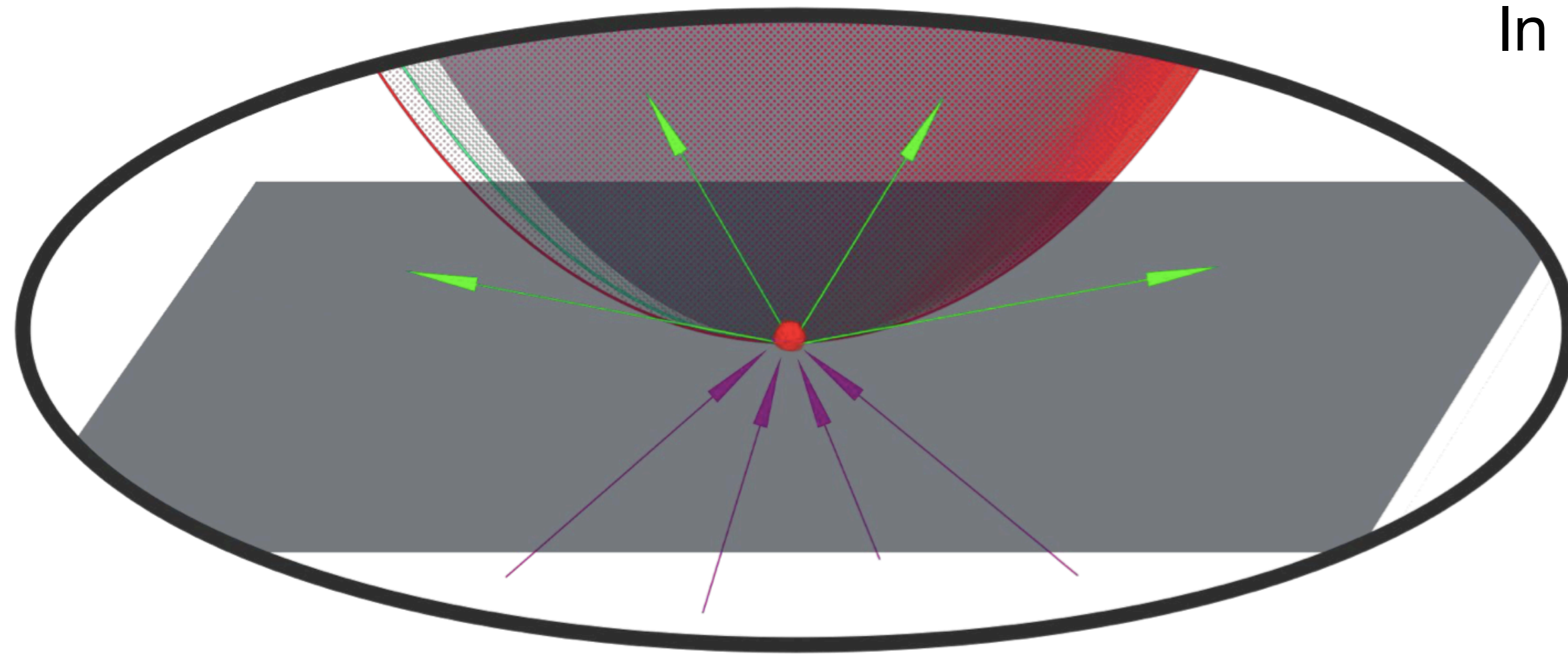


SA flow  $\frac{dz^a}{dt} = + \frac{\partial \overline{S(\vec{z})}}{\partial \overline{z^a}}$

$$\mathcal{J}_\sigma \xrightarrow{\text{Fix } V_\sigma} \hat{\mathcal{J}}_\sigma \xrightarrow{\text{Fix } T} \tilde{\mathcal{J}}_\sigma$$

Approximation is better when  $V_\sigma$  is small and  $T$  is large

# How to obtain the Thimble?



In  $V_\sigma$ , Action is well approximated by

$$S(z) = S(z_c) + \frac{1}{2} \omega_i \mathbf{H}_{ij} \omega_j$$

$$\mathbf{H} = H_{kl}(z_\sigma) = \frac{\partial^2 S}{\partial z_k \partial z_l} \Big|_{z=z_\sigma}$$

Linearized SA equation

$$\frac{d\omega}{dt} = \overline{\mathbf{H}} \omega$$

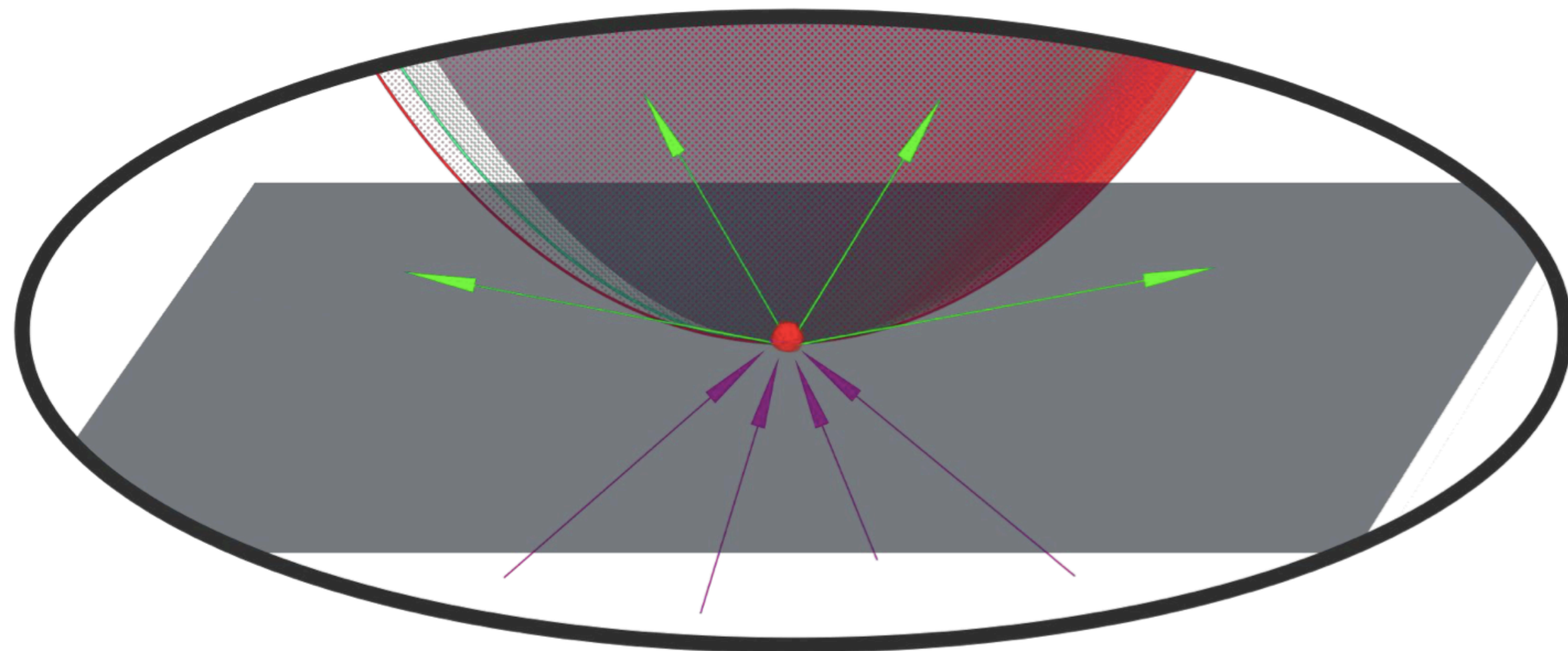
Real and imaginary part  $\omega = \omega_{\mathbb{R}} + i\omega_{\mathbb{I}}$

$$\lambda > 0 \text{ repulsive direction, } \lambda < 0 \text{ attractive direction} \quad \begin{array}{l} \frac{d}{dt} \omega_{\mathbb{R}} = \mathbf{H}_{\mathbb{R}} \omega_{\mathbb{R}} - \mathbf{H}_{\mathbb{I}} \omega_{\mathbb{I}} \\ \frac{d}{dt} \omega_{\mathbb{I}} = -\mathbf{H}_{\mathbb{I}} \omega_{\mathbb{R}} + \mathbf{H}_{\mathbb{R}} \omega_{\mathbb{I}} \end{array} = \mathbb{H} \begin{pmatrix} \omega_{\mathbb{R}} \\ \omega_{\mathbb{I}} \end{pmatrix}$$

$\mathbb{H}$ , real, symmetric matrix

Real eigenvalues appears pairs  $(\lambda, -\lambda)$

# How to obtain the Thimble?



the directions tangent to the thimble correspond to the eigenvectors with  $\lambda > 0$

Complex eigen equation with eigenvector  $\rho$

$$\overline{\mathbf{H}}\rho = \lambda\rho \quad \rho = \rho_{\mathbb{R}} + i\rho_{\mathbb{I}}$$

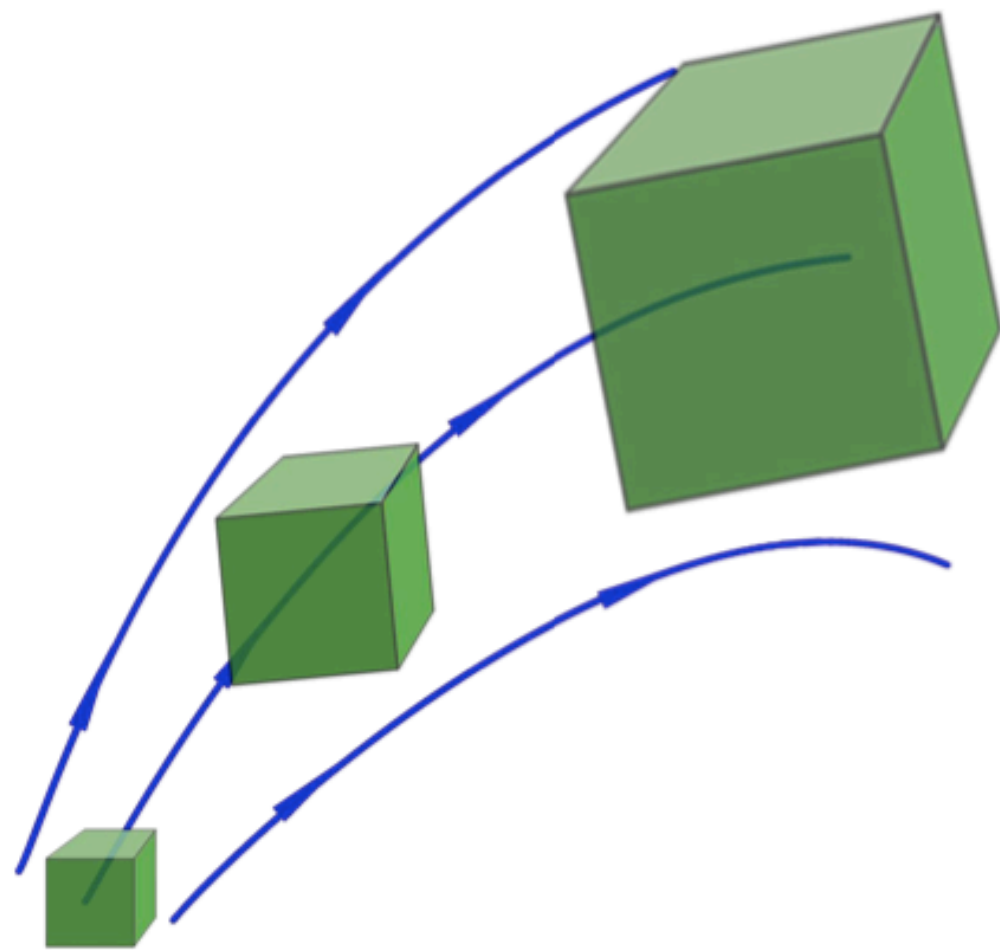
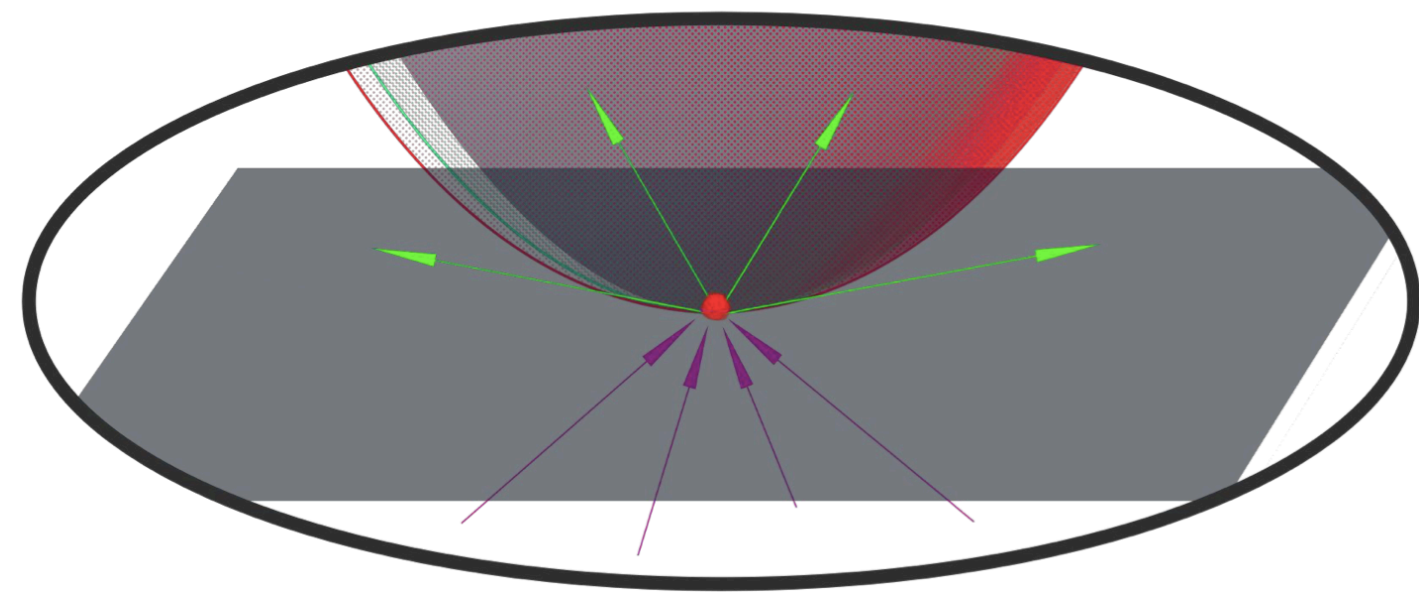
$$\begin{array}{cc} \mathbf{H}_{\mathbb{R}} & -\mathbf{H}_{\mathbb{I}} \rho_{\mathbb{R}} \\ -\mathbf{H}_{\mathbb{I}} & -\mathbf{H}_{\mathbb{R}} \rho_{\mathbb{I}} \end{array} = \lambda \begin{array}{c} \rho_{\mathbb{R}} \\ \rho_{\mathbb{I}} \end{array}$$

$\hat{V}_{\sigma}$  linear combinations of  $\hat{\rho}$ , eigenvector with  $\lambda > 0$ ,

$$\hat{V}_{\sigma} = \{ \tilde{z} \mid \tilde{z} = \sum_{i=1}^N \hat{\rho}_i x^i + z_{\sigma}, \text{ each } x^i \in \mathbb{R} \text{ is small} \}$$

Now we have  $\int_{\tilde{\mathcal{F}}_{\sigma}} d^n z \psi(z) = \int_{\hat{V}_{\sigma}} d^n x \det\left(\frac{\partial z}{\partial x}(x)\right) \psi(z(x))$

# Flow of the Jacobian



Linearized SA equation again:  $\frac{d\delta z}{dt} = \overline{H\delta z}$

$$\frac{dJ(x)}{dt} = \overline{H(z(x))J(x)}, \quad J(0) = \frac{\partial \tilde{z}}{\partial x} = \vec{\rho}$$

Again first order ODE

can be solved numerically after we have the solution of SA equation

When  $\rho$  are real, we have the solution  $J(t) = P \exp\left(\int dt \overline{H(t)}\right)$

And approximating solution in the Gaussian region  $J(t) = P \exp\left(\int dt \rho^\dagger \overline{H(t)} \rho\right)$

# The answer to our question

Goal: computing the integral  $A = \int_{\mathbb{R}^n} d^n x f(x) e^{-S(x)}$  with complex action  $S(x)$

Answer: 
$$\int_{\mathcal{C}} d^n z \hat{f}(\vec{z}) e^{-S(\vec{z})} \approx e^{-i S_I(z_{\sigma \min})} \int_{V_\sigma} d^n x \det(J(x)) f(\vec{z}(x)) e^{-S_R(\vec{z}(x))} = \int_{\hat{V}_\sigma} d^n x \hat{f} e^{i\theta_{res}} e^{-S_{eff}}$$

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Monte Carlo method?

Still hard to get the normalisation factor for our probability distribution  $e^{-S_{eff}}$ .

High - dimensional is not efficient (grow exponentially with dimension)



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$\text{Re}(\hat{S}) - \log(\det(J)) \equiv S_{eff} \quad \arg(\det(J)) - \text{Im}(\hat{S}) \equiv \theta_{res}$

Monte Carlo method?

Still hard to get the normalisation factor for our probability distribution  $e^{-S_{eff}}$ .

High - dimensional is not efficient (grow exponentially with dimension)

But for observables, we can use MCMC without knowing the normalization factor !

$$\langle f \rangle \simeq \frac{\int_{\tilde{\mathcal{J}}_\sigma} d^n z \hat{f}(z) e^{-\hat{S}(z)}}{\int_{\tilde{\mathcal{J}}_\sigma} d^n z e^{-\hat{S}(z)}} = \frac{\int_{\hat{V}_\sigma} d^n x \hat{f} e^{i\theta_{res}} e^{-S_{eff}}}{\int_{\hat{V}_\sigma} d^n x e^{-S_{eff}}} \times \frac{\int_{\hat{V}_\sigma} d^n x e^{-S_{eff}}}{\int_{\hat{V}_\sigma} d^n x e^{i\theta_{res}} e^{-S_{eff}}} = \frac{\langle e^{i\theta_{res}} \hat{f} \rangle_{eff}}{\langle e^{i\theta_{res}} \rangle_{eff}}$$

# MCMC methods

Markov Chain: Markov property  $P(X^{t+1} | X^t, \dots, X^1) = P(X^{t+1} | X^t)$

transition Matrix  $P(i, j) = P_{ij}^t = P(X_t = j | X_{t-1} = i)$

Nice property: non-periodic Markov Chain  $\lim_{t \rightarrow \infty} P_{ij}^t = \pi(j) = \sum_i \pi(i)P(i, j)$

target distribution  $\pi(j)$  stationary distribution (equilibrium probabilities of being in states  $j$ )

$\pi$  is the only non-negative solution of  $\pi P = \pi$

If we know  $\pi$ , how to get  $P$  such that we can finally sampling  $\pi$  using MCMC

# Metropolis Hastings

Detailed balance condition (reversible Markov Chains)

$$\pi(i)P(i, j) = \pi(j)P(j, i)$$

A sufficient but not necessary condition for  $\pi$  to be stationary distribution

P satisfying above relation is still unknown

We can introduce an extra acceptance rate  $\alpha(i, j)$  s.t.  $P(i, j) = \alpha(i, j)Q(i, j)$

$$\pi(i)Q(i, j)\alpha(i, j) = \pi(j)Q(j, i)\alpha(j, i) \longrightarrow \alpha(i, j) = \pi(j)Q(j, i), \quad \alpha(j, i) = \pi(i)Q(i, j)$$

Acceptance rate may really small

Scale  $\alpha(i, j)$  to increase acceptance rate :

$$\alpha(i, j) = \min \frac{\pi(j)Q(j, i)}{\pi(i)Q(i, j)}, 1$$

We can take Q to be symmetric  $\alpha(i, j) = \min \frac{\pi(j)}{\pi(i)}, 1$

# Metropolis Hastings

## Algorithm

- 1: initial  $x^{(0)}$
- 2: **for** iteration  $i = 1, 2, \dots, N$  **do**
- 3: Propose candidate  $x^{cand}$  from  $p(x|x^{(i-1)})$
- 4: Acceptance rate  $\alpha \leftarrow \min \left\{ 1, \frac{\pi(x^{cand})}{\pi(x^{(i-1)})} \right\}$
- 5:  $u \sim \text{Uniform}(u; 0, 1)$
- 6: **if**  $u < \alpha$  **then**
- 7:  $x^{(i)} \leftarrow x^{cand}$
- 8: **else**
- 9:  $x^{(i)} \leftarrow x^{(i-1)}$
- 10: **end if**
- 11: **end for**

High dimensional: Gibbs sampling:

$$(x_1^{(1)}, x_2^{(1)}) \rightarrow (x_1^{(1)}, x_2^{(2)}) \rightarrow (x_1^{(2)}, x_2^{(2)}) \rightarrow \dots \rightarrow (x_1^{(n_1+n_2-1)}, x_2^{(n_1+n_2-1)})$$

# MCMC methods

In Lefschetz thimble spinfoam:

- high-dimensional:
  - single simplex  $2*10+4*6+10 = 54$
  - Multi-simplices:  $\sim 44*v - 3*t + f$
- Probability distribution  $e^{-S_{eff}}$  is complicated
- $\partial_x S_{eff}$  is hard to compute
- Need to solve ODE in each update step: time - costing

Used by us in spinfoam propagator  
Implemented with Mathematica  
Julia conversion is undergoing

Vrugt et.al, DOI:10.1515/IJNSNS.2009.10.3.273

In high dimensional problems, MH

- Calculation single step will cost a lot
- Acceptance rate may become low
- May take a very large number of updates to converge

Adaptive MH

Adjust proposal distribution s.t.  
acceptance rate stays around 0.3

Differential evolution Markov chain/  
Differential Evolution Adaptive Metropolis

Parallel multiple chains + jump  
between chains to sample  
complicated  $\pi$

# Summary of what we need to do

We can calculate:

$$\langle f \rangle \simeq \frac{\int_{\tilde{\mathcal{J}}_\sigma} d^n z \hat{f}(z) e^{-\hat{S}(z)}}{\int_{\tilde{\mathcal{J}}_\sigma} d^n z e^{-\hat{S}(z)}} = \frac{\int_{\hat{V}_\sigma} d^n x \hat{f} e^{i\theta_{res}} e^{-S_{eff}}}{\int_{\hat{V}_\sigma} d^n x e^{-S_{eff}}} \times \frac{\int_{\hat{V}_\sigma} d^n x e^{-S_{eff}}}{\int_{\hat{V}_\sigma} d^n x e^{i\theta_{res}} e^{-S_{eff}}} = \frac{\langle e^{i\theta_{res}} \hat{f} \rangle_{eff}}{\langle e^{i\theta_{res}} \rangle_{eff}}$$

We need:

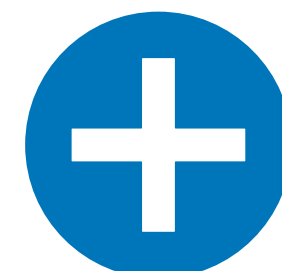
Tangent space  $\hat{V}_\sigma = \{ \tilde{z} \mid \tilde{z} = \sum_{i=1}^N \hat{\rho}_i x^i + z_\sigma, \text{ each } x^i \in \mathbb{R} \text{ is small} \}$

$$\begin{matrix} \mathbf{H}_\mathbb{R} & -\mathbf{H}_\mathbb{I} \rho_\mathbb{R} \\ -\mathbf{H}_\mathbb{I} & -\mathbf{H}_\mathbb{R} \rho_\mathbb{I} \end{matrix} = \lambda \begin{matrix} \rho_\mathbb{R} \\ \rho_\mathbb{I} \end{matrix}, \quad \lambda > 0$$

SA & Jacobian flow equation with fixed flow time T

$$\frac{dz^a}{dt} = + \frac{\partial \overline{S(\vec{z})}}{\partial \overline{z^a}} \quad \frac{dJ(x)}{dt} = \overline{H(z(x))J(x)}, \quad J(0) = \frac{\partial \tilde{z}}{\partial x} = \hat{\rho}$$

Probability distribution  $e^{-S_{eff}}/Z_0$



MCMC

# Special optimisations

Choose initial points for MC s.t.  $0 < S_{eff} < 1$  is complicated

Do several test run's with different flow time  $T$ , chose the optimal one

Approximation is better when  $V_\sigma$  is small and  $T$  is large

But if  $T$  is too large, longer evaluation time + large errors from ODE  
(SA equations become stiff)

Burn-in optimization

```
1: initial  $t \leftarrow 1, L_m \leftarrow 0, p_m = 1/n_{cr}, m = 1, \dots, n_{cr}$ 
2: while burn-in steps  $t < K$  do
3: for chains  $i = 1, \dots, M$  do
4:  $m \sim \text{multinomial}(\cdot; p_1, \dots, p_m)$ 
5:  $CR \leftarrow m/n_{CR}$  and  $L_m = L_m + 1$ 
6: Create a candidate
7: Accept/Reject the candidate
8:  $\Delta_m \leftarrow \Delta_m + \sum_{j=1}^d ((x_i^{(t)})^j - (x_i^{(t-1)})^j)^2 / r_j^2$ , where  $r$  denotes the standard deviation current locations of the chains.
9: end for
10:  $p_m \leftarrow tN \cdot (\Delta_m / L_m) / \sum_{j=1}^{n_{CR}} \Delta_j$ 
11:  $t \leftarrow t + 1$ 
12: end while
```

# Next

Examples:

1. Real/complex critical points and Lefschetz thimble methods with Airy function
2. Real/complex critical points in EPRL vertex
3. Usage of `sl2cfoam-next`

Mainly Julia + Python (SymPy)