

Higher Order Perturbation Theory in General Relativity with Applications to Black Holes

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1. Motivation
2. The general formalism
3. Applications to Black Holes
4. Outlook

- Exactly solvable models within general relativity are rare
- These models are highly symmetric and characterised by their symmetry group:
 - Cosmology: Isotropy and Homogeneity
 - Non-Rotating Black Holes: Spherical Symmetry
 - Rotating Black Holes: axial symmetry
- Non-Symmetric degrees of freedom cannot be treated exactly → perturbation theory

The formalism should address the following issues:

1. **Backreaction:**

- Background is in general not fixed but dynamical
- Perturbations influence the background dynamics

2. **Gauge Invariance:**

- General Relativity is a gauge theory
- Distinguish between observable (true) and non-observable (gauge) degrees of freedom

The general formalism

The procedure works as follows

1. Fix symmetry group of class of exact solutions
 - Symmetric variables (background): zero modes under the action of the symmetry group
 - Non-symmetric variables (perturbations): non-zero modes
 - Split test functions: symmetric test functions f and non-symmetric test functions g
 - Split constraints: symmetric constraints C and non-symmetric constraints Z
2. Split symmetric and non-symmetric variables into observable (true) and non-observable (gauge) degrees of freedom. Notation:

	True	Gauge	
Symmetric	(Q, P)	(q, p)	“background”
Non-Symmetric	(X, Y)	(x, y)	“perturbations”

3. Apply reduced phase space formulation
 - Select gauge fixing conditions, $q = q_*$ and $x = x_*$
 - Solve symmetric constraints C for p and non-symmetric constraints Z for y
 - Determine f_*, g_* through stability condition of the gauge fixing
4. Boundary term analysis
 - Define decay properties of fields
 - Require counter boundary term $B(f, g)$
5. Physical Hamiltonian H : For any function $F(Q, P, X, Y)$ of the true degrees of freedom

$$\{H, F\} = \{C(f) + Z(g) + B(f, g), F\}_{p=p_*, q=q_*, f=f_*, y=y_*, x=x_*, g=g_*}$$

6. Study the physics of H

The general formalism

Classical Theory:

- Disentangle treatment of gauge invariance from perturbation theory
- No need to define notion of n -th order gauge invariance
- Hamiltonian H computable to any order in X, Y : $H = H_0 + H_1 + H_2 + \dots$

Quantum Theory:

- Non perturbative quantisation of Q, P
- Perturbative treatment of X, Y (c.f. hybrid quantum cosmology)

Remark:

- For Cosmology: Our approach equivalent to hybrid quantum cosmology if only partial reduction is performed [Agullo, Ashtekar, Gomar, Martín-Benito, Mena Marugán, Navascués, Singh]
- Here: Full reduction including symmetric constraints in principle to all orders
- For full reduction, no issues with closure of constraint algebra of remaining symmetric constraints

Application to Black Holes – Step 1

We apply the formalism to non-rotating black holes in vacuum:

Step 1 - The symmetry group:

- Schwarzschild black hole is spherically symmetric \rightarrow rotation group $SO(3)$
- Work in ADM formalism (induced metric $m_{\mu\nu}$ and conjugate momentum $W^{\mu\nu}$)
- Expand the variables in terms of spherical scalar, vector and tensor harmonics:

[Freeden, Gervens, Gutting, Schreiner]

$$\begin{aligned} m_{33} &= e^{2\mu} + \sum_{l \geq 1, m} x_{lm}^v L_{lm} & \frac{W^{33}}{\sqrt{\Omega}} &= \frac{e^{-2\mu}}{2} \pi_\mu + \sum_{l \geq 1, m} y_{lm}^v L_{lm} \\ m_{3A} &= \sum_{l \geq 1, m, I} x_{lm}^I [L_{I,lm}]_A & \frac{W^{3A}}{\sqrt{\Omega}} &= \frac{1}{2} \sum_{l \geq 1, m, I} y_{lm}^I L_{I,lm}^A \\ m_{AB} &= e^{2\lambda} \Omega_{AB} + \sum_{l \geq 1, m} x_{lm}^h [L_{h,lm}]_{AB} + \sum_{l \geq 2, m, I} X_{lm}^I [L_{I,lm}]_{AB} & \frac{W^{AB}}{\sqrt{\Omega}} &= \frac{e^{-2\lambda}}{4} \Omega^{AB} \pi_\lambda + \frac{1}{2} \sum_{l \geq 1, m} y_{lm}^h L_{h,lm}^{AB} + \sum_{l \geq 2, m, I} Y_{lm}^I L_{I,lm}^{AB} \end{aligned}$$

- Background degrees of freedom are spherically symmetric: (μ, π_μ) and (λ, π_λ)
- Perturbation degrees of freedom: (x, y) and (X, Y)
- Symmetric constraints: symmetric Hamiltonian constraint C_v and symmetric radial diffeomorphism constraint C_h
- Non-symmetric constraints: non-symmetric Hamiltonian constraint Z_v , non-symmetric radial diffeomorphism constraint Z_h and angular diffeomorphism constraints $Z_{e/o}$

Step 2 - Selection of gauge degrees of freedom:

	True	Gauge
Symmetric	M	$(\mu, \pi_\mu), (\lambda, \pi_\lambda)$
Non-Symmetric	(X, Y)	(x, y)

Step 3 - The reduced phase formulation:

- Choose Gullstrand Painlevé (GP) gauge

$$m_{33} = 1, \quad m_{3A} = 0, \quad \Omega^{AB} m_{AB} = 2r^2,$$

where $\Omega_{AB} = \text{diag}(1, \sin^2 \theta)$ is the metric on the sphere

- Advantage: GP coordinates non-singular at black hole horizon → Explore interior of black hole
- Can work with 2 asymptotic ends → black to white hole transition
- Symmetric constraints: Solve C_v and C_h for π_μ, π_λ
- Non-symmetric constraints: Solve $Z_v, Z_h, Z_{e/o}$ for $y_v, y_h, y_{e/o}$
- In this step: Iterative solution order by order in the form $\pi_\mu = \pi_\mu^{(0)} + \pi_\mu^{(1)} + \dots$ and similarly for the other variables

Application to Black Holes – Step 3

Solution of the constraints order by order for the non-rotating black hole:

Zeroth Order:

- Only symmetric constraints C_v and C_h
- The solution depends on an integration constant M :

$$\pi_\mu^{(0)} = 4\sqrt{2Mr}$$

$$\pi_\lambda^{(0)} = 2\sqrt{2Mr}$$

- This is precisely the Schwarzschild solution with mass M in GP coordinates

First Order:

- Only non-symmetric constraints non-vanishing
- Determine $y_v^{(1)}, y_h^{(1)}, y_{e/o}^{(1)}$ as linear functions of X, Y

Second Order:

- For physical Hamiltonian: Only need to consider the second order symmetric constraints
- We obtain a solution for $\pi_\mu^{(2)}, \pi_\lambda^{(2)}$ in terms of X, Y

Step 5 - The physical Hamiltonian (for one asymptotic end):

- Boundary term analysis yields physical Hamiltonian

$$H = \lim_{r \rightarrow \infty} \frac{\pi}{2\kappa r} \pi_\mu^2 = \lim_{r \rightarrow \infty} \frac{\pi}{2\kappa r} \left((\pi_\mu^{(0)})^2 + 2\pi_\mu^{(0)} \pi_\mu^{(2)} + O(3) \right),$$

where $\kappa = 16\pi$ is the gravitational coupling constant

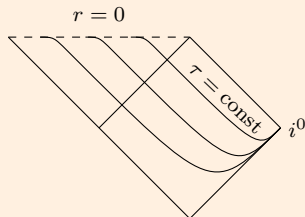
- Zeroth order: $H_0 = M$ (ADM mass)
- Second order:

$$H_2 = \frac{1}{\kappa} \sum_{l \geq 2, m, I} \int_{\mathbb{R}^+} dr N^3 \tilde{Y}_{lm}^I \partial_r \tilde{X}_{lm}^I + \frac{N}{2} \left((\tilde{Y}_{lm}^I)^2 + (\partial_r \tilde{X}_{lm}^I)^2 + V_I (\tilde{X}_{lm}^I)^2 \right),$$

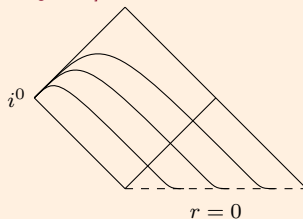
where $N^3 = \sqrt{\frac{2M}{r}}$, $N = 1$ and \tilde{X}, \tilde{Y} related to X, Y via canonical transformation

- Black Hole perturbation theory well established to second order both in Lagrangian [Regge, Wheeler, Zerilli,...] and Hamiltonian formulation [Moncrief, Brizuela, Martín-García,...]
- Agreement of $H_0 + H_2$ with these works after transforming from GP to Schwarzschild coordinates
- Virtue of this approach: Immediately extensible to higher orders

- Generalisation to Higher Order Perturbations: Interacting gravitational waves $X^2 Y, X^3, \dots$
- Extension to Standard Model matter, e.g. electromagnetic field [JN&TT] work in progress
- Fock quantisation with respect to Gullstrand Painlevé free-falling observer:
 - GP-time $\tau = \text{const}$ hypersurfaces foliate black hole spacetime
 - **But:** hypersurfaces are **not** Cauchy surfaces
 - Glue outgoing and ingoing GP spacetimes (black hole – white hole transition)
 - Mode system: eigenvalue equation similar to Schrödinger equation for singular potential
 - Possibly regularisation at $r = 0$ (singularity) needed:
- New orthonormal basis for singular Schrödinger operators [JN&TT]
- Methods of LQC type quantisation of Kantowski-Sachs
- Methods from dust collapse models [Wilson-Ewing, Hussain] [Ashtekar, Bodendorfer, Gambini, Haggard, Olmedo, Pullin, Rovelli, Singh, Vidotto]



Ingoing GP coordinates



Outgoing GP coordinates

- Perturbative Expansion and Fock quantisation of the area of apparent horizon
- Signs of Black hole Evaporation? Decrease of the area?

Thank You!