

Ab initio nuclear structure calculations for new physics searches in ytterbium isotope shifts

Door, Yeh, **MH**, et al., [arXiv:2403.07792](https://arxiv.org/abs/2403.07792)

Matthias Heinz

with **Takayuki Miyagi**, **Achim Schwenk**, **Noritaka Shimizu**,
*Menno Door, Klaus Blaum, Indy Yeh, Tanja Mehlstäubler, Fiona Kirk, Elina Fuchs,
Julian Berengut, Chunhai Lyu, Zoltán Harman, and others*

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Nuclear structure motivations

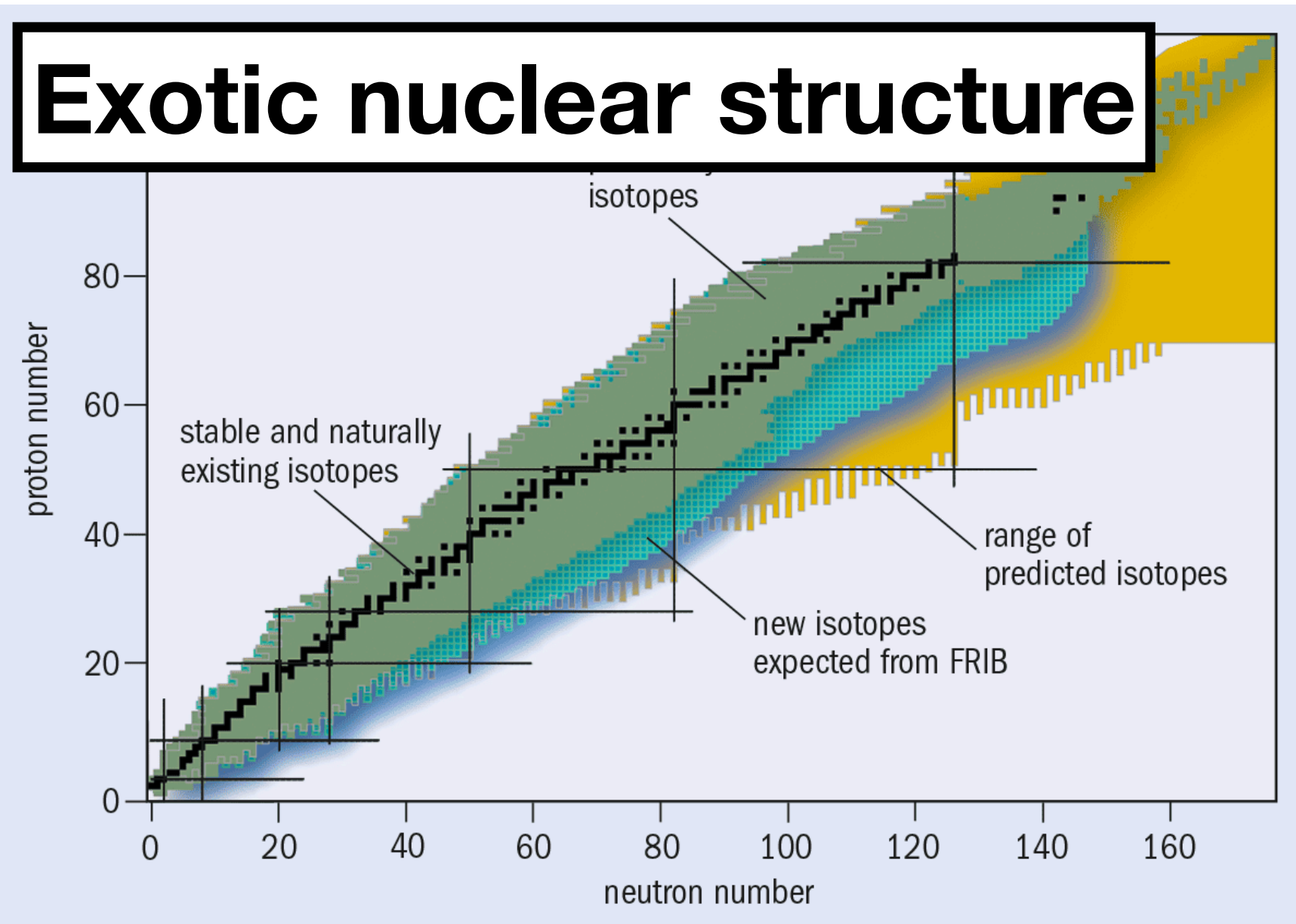
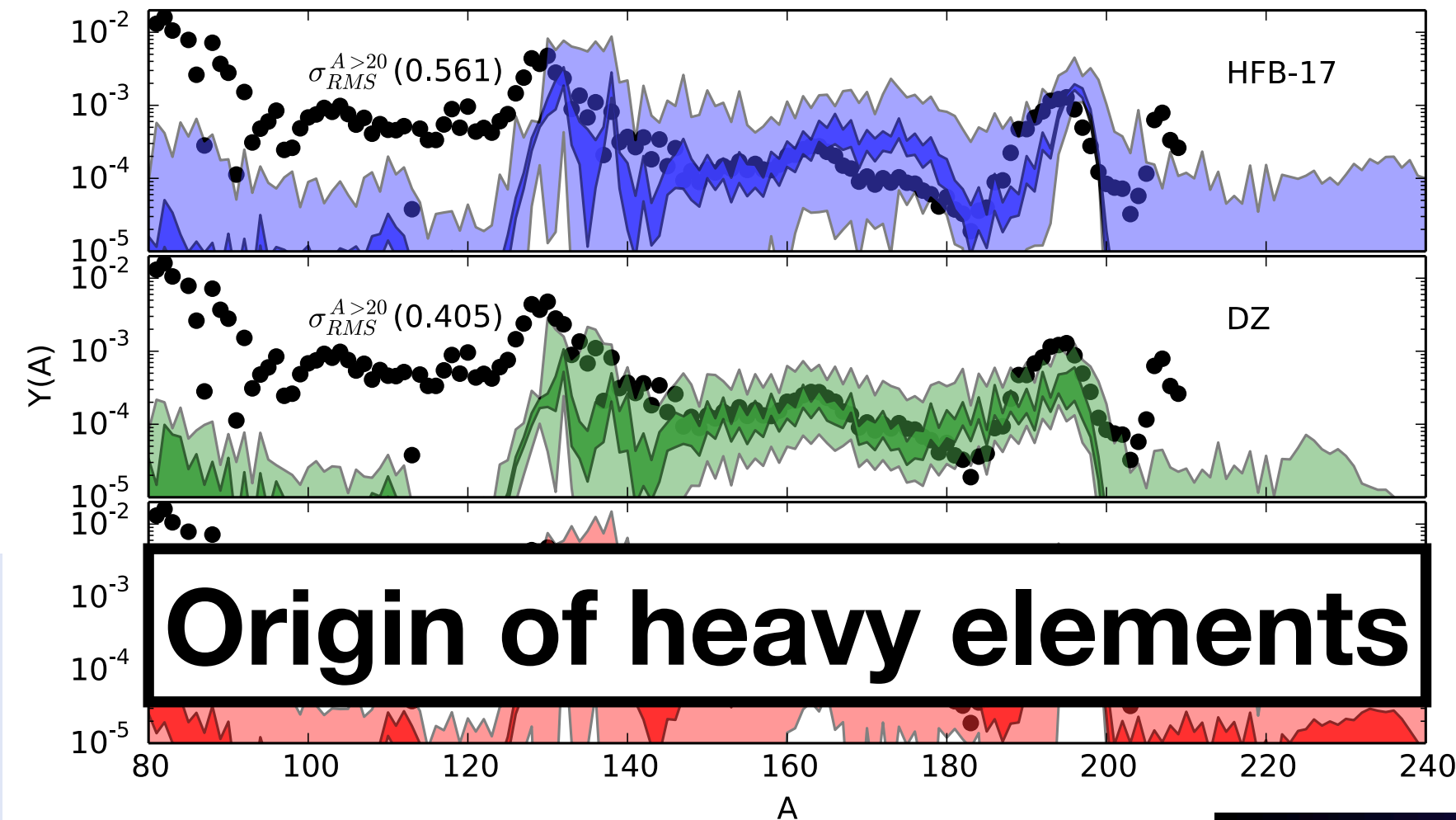


Figure: FRIB



Mumpower et al., EPJ WoC 93 (2015)

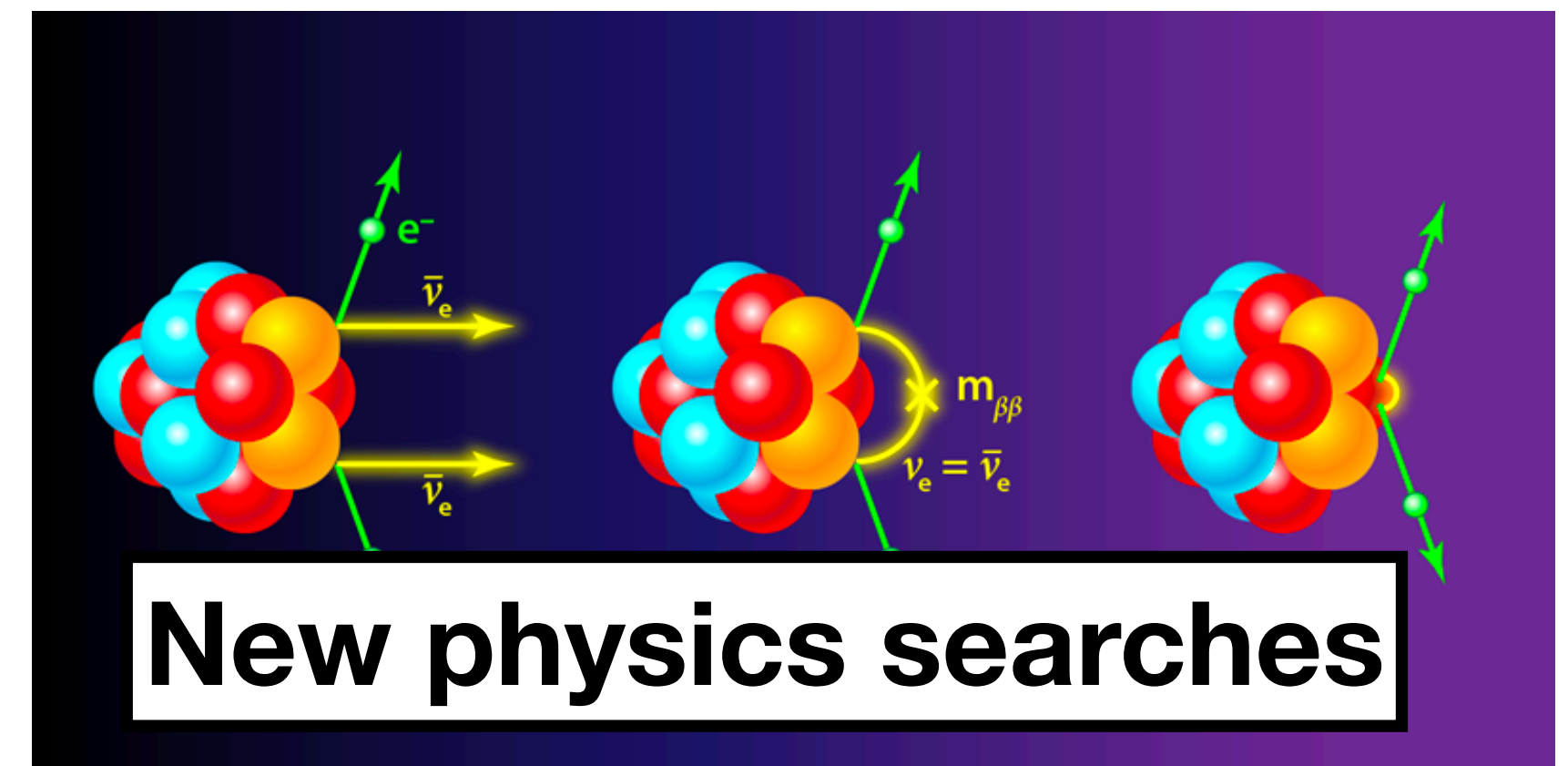


Figure: LEGEND Collaboration

Theory predictions with quantified uncertainties essential!

Nonlinear King plot in ytterbium isotope shifts

Delaunay et al., PRD **96** (2017)

Counts et al., PRL **125** (2020)

Allehabi et al., PRA **103** (2021)

Hur et al., PRL **128** (2022)

Figuroa et al., PRL **128** (2022)

Ono et al., PRX **12** (2022)

and more

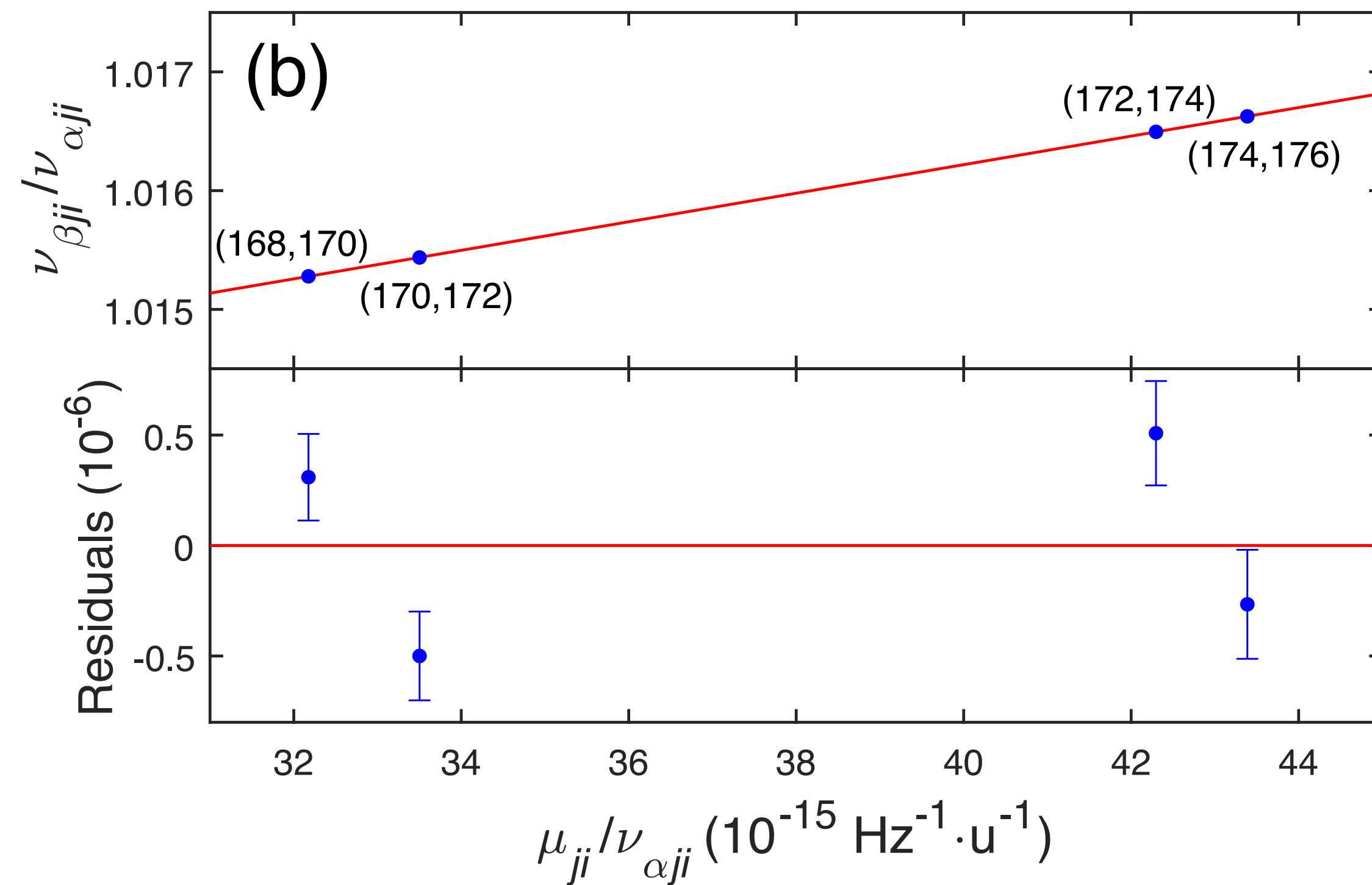
Nonlinear King plot in ytterbium

- **Isotope shift** in atomic transition frequencies

- Leading order:

$$\nu_{\tau}^{A,A'} = \nu_{\tau}^A - \nu_{\tau}^{A'} \approx \underbrace{K_{\tau} W^{A,A'}}_{\text{mass shift}} + \underbrace{F_{\tau} \delta\langle r^2 \rangle^{A,A'}}_{\text{field shift}}$$

- Leads to **linear King plot**



Counts et al., PRL **125** (2020)

Nonlinear King plot in ytterbium

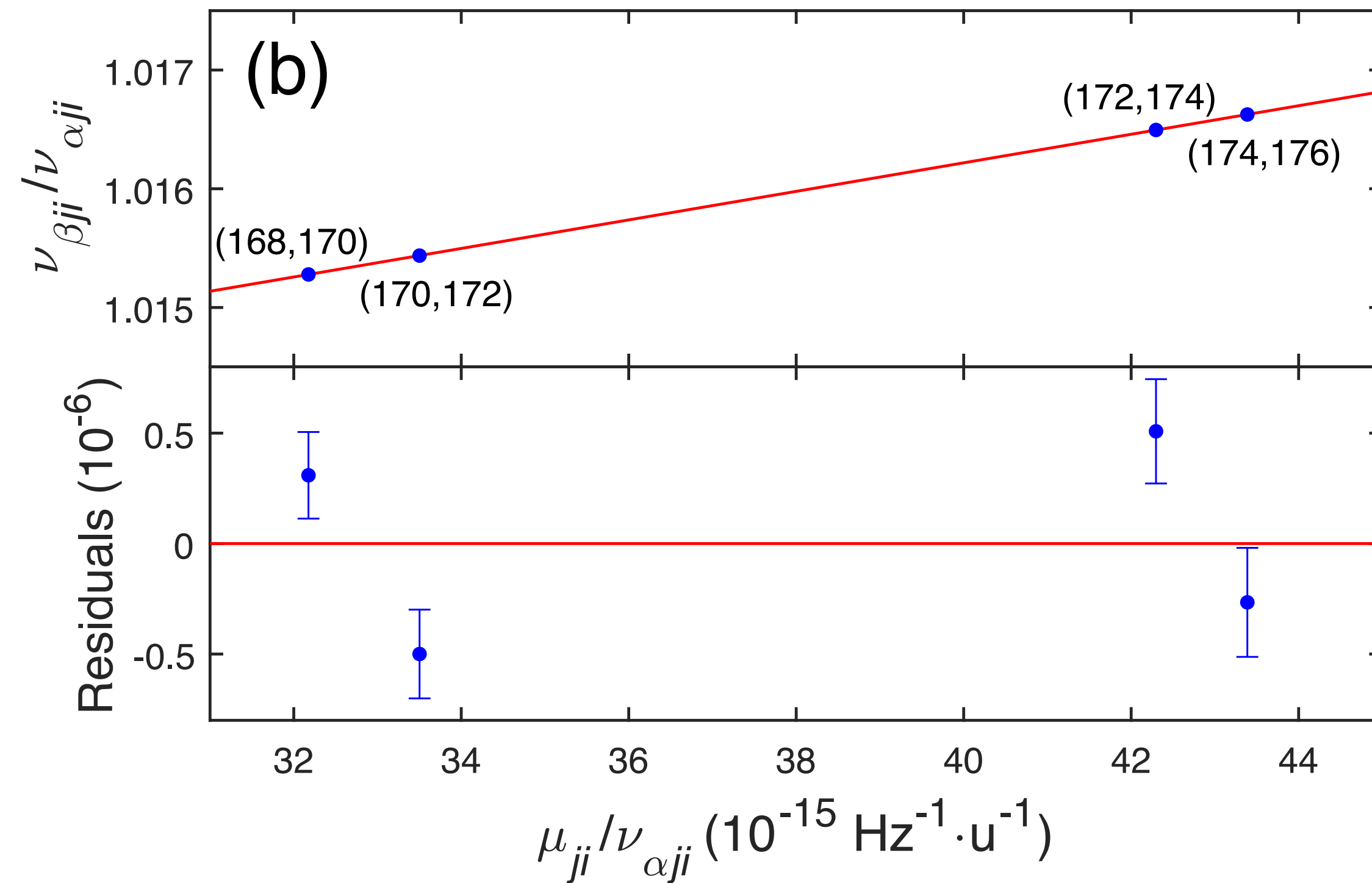
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mass shift
field shift

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mass shift
field shift

- Leads to **linear King plot**

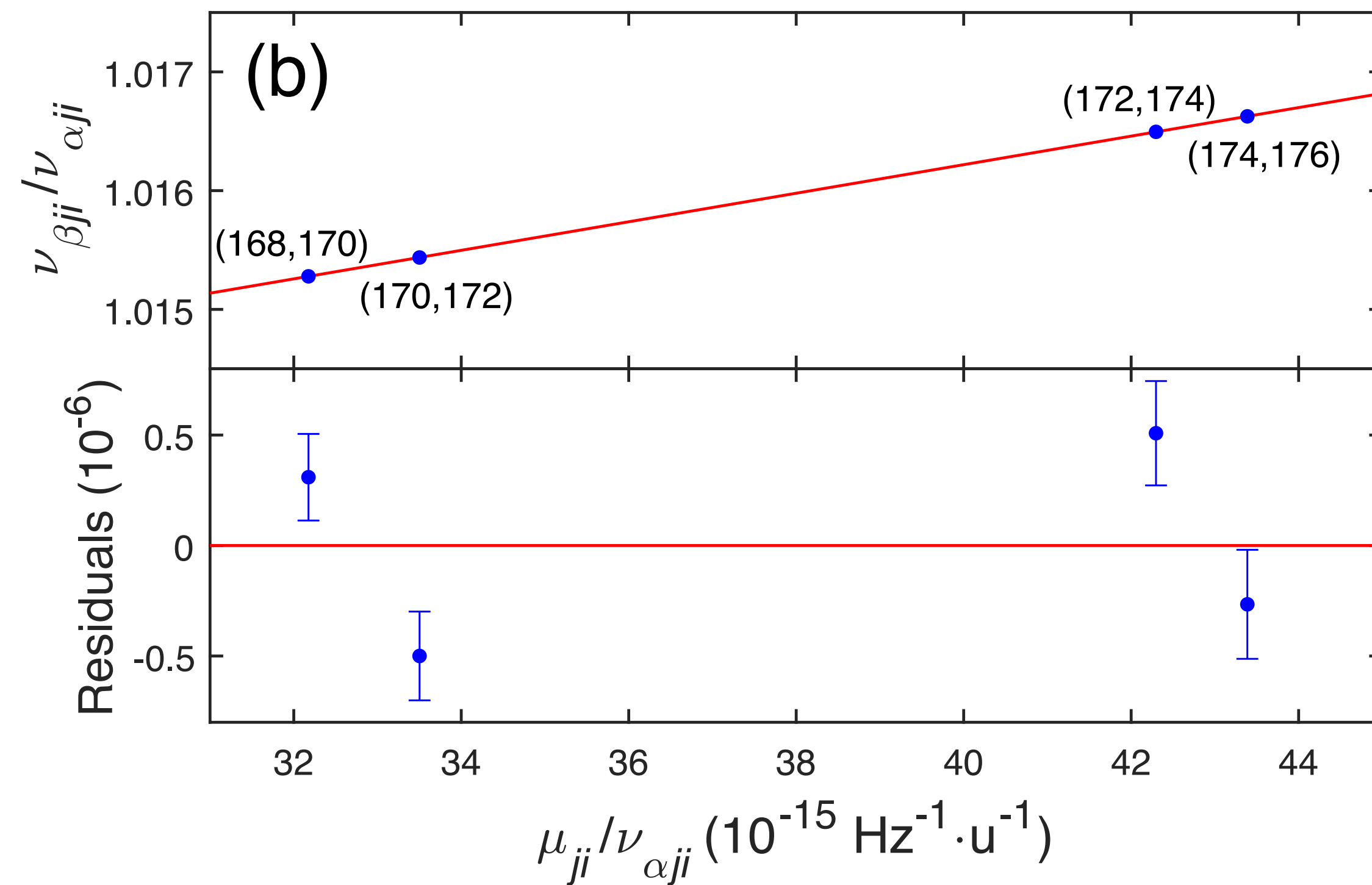
- Nonlinear behavior due to other effects:

$$\nu_{\tau, \text{nonlin.}}^{A,A'} = G_{\tau}^{(2)} (\delta\langle r^2 \rangle^2)^{A,A'} + G_{\tau}^{(4)} \delta\langle r^4 \rangle^{A,A'}$$

higher-order nuclear structure

$$+ \frac{\alpha_{\text{NP}}}{\alpha_{\text{EM}}} D_{\tau} h^{A,A'} + \dots$$

possible new boson



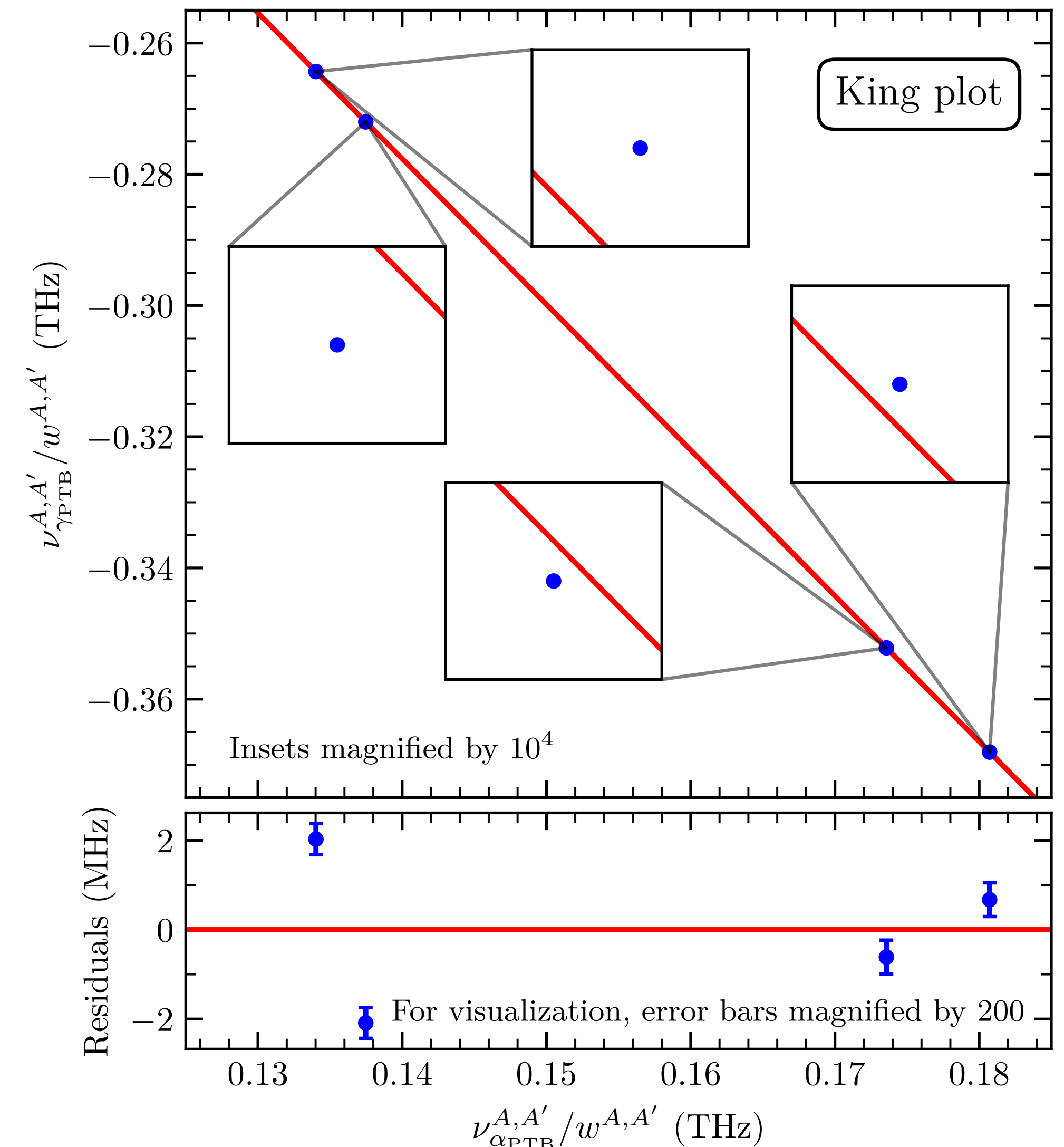
Counts et al., PRL **125** (2020)

Nonlinear King plot in ytterbium

New data:

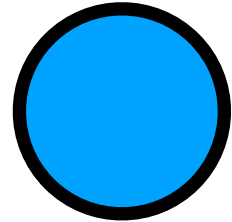
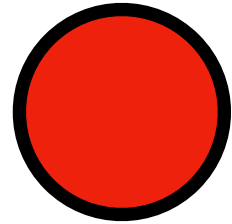
- $^{168,170,172,174,176}\text{Yb}$ (4 isotope pairs)
- Frequencies with 10^{-15} relative precision (Yeh, Mehlstäubler @PTB Braunschweig)
- Mass-ratios with 10^{-12} relative precision (Door, Blaum @MPIK Heidelberg)

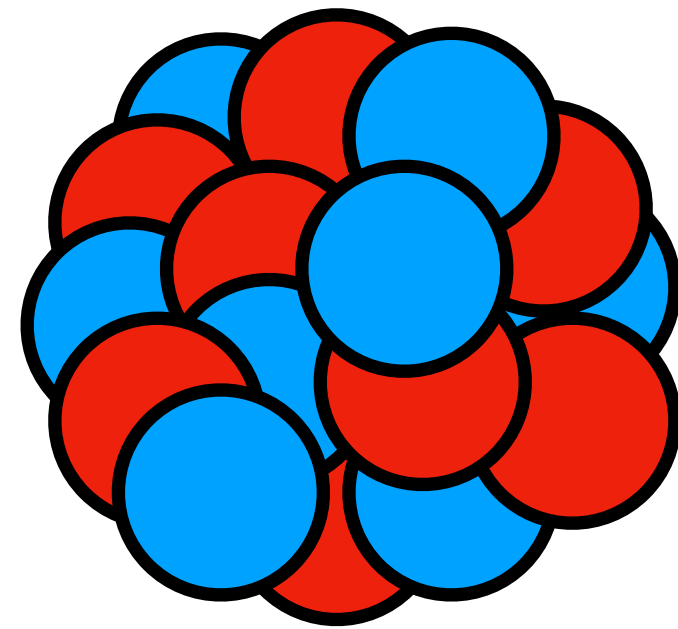
**Nonlinearity observed with high significance!
Is this new physics?**



Ab initio nuclear structure theory

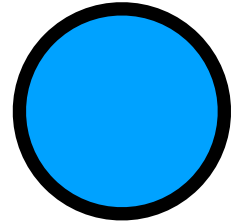
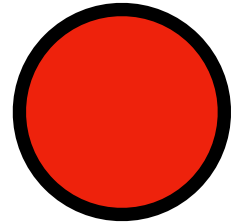
Ab initio nuclear structure

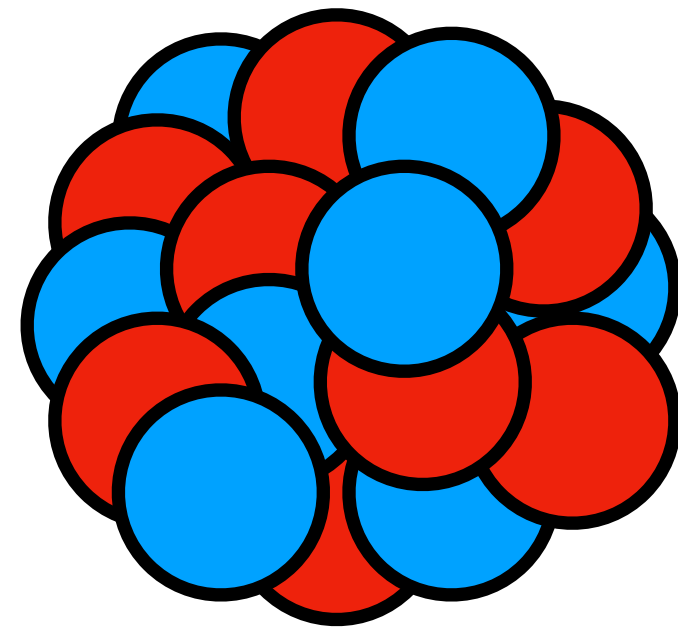
-  N neutrons
-  Z protons
- A nucleons



$$H |\Psi\rangle = E |\Psi\rangle$$

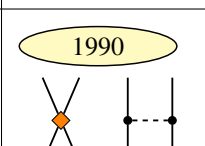
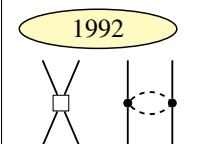
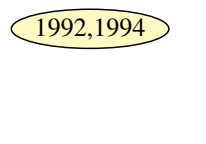
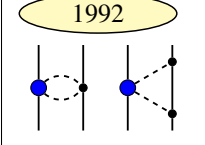
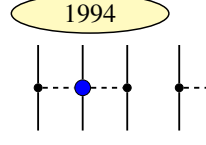
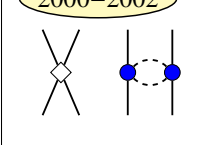
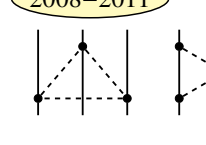
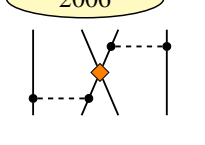
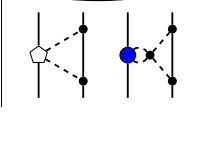
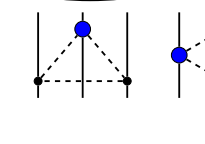
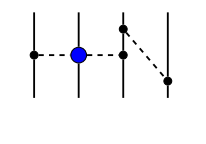
Ab initio nuclear structure

-  N neutrons
-  Z protons
- A nucleons



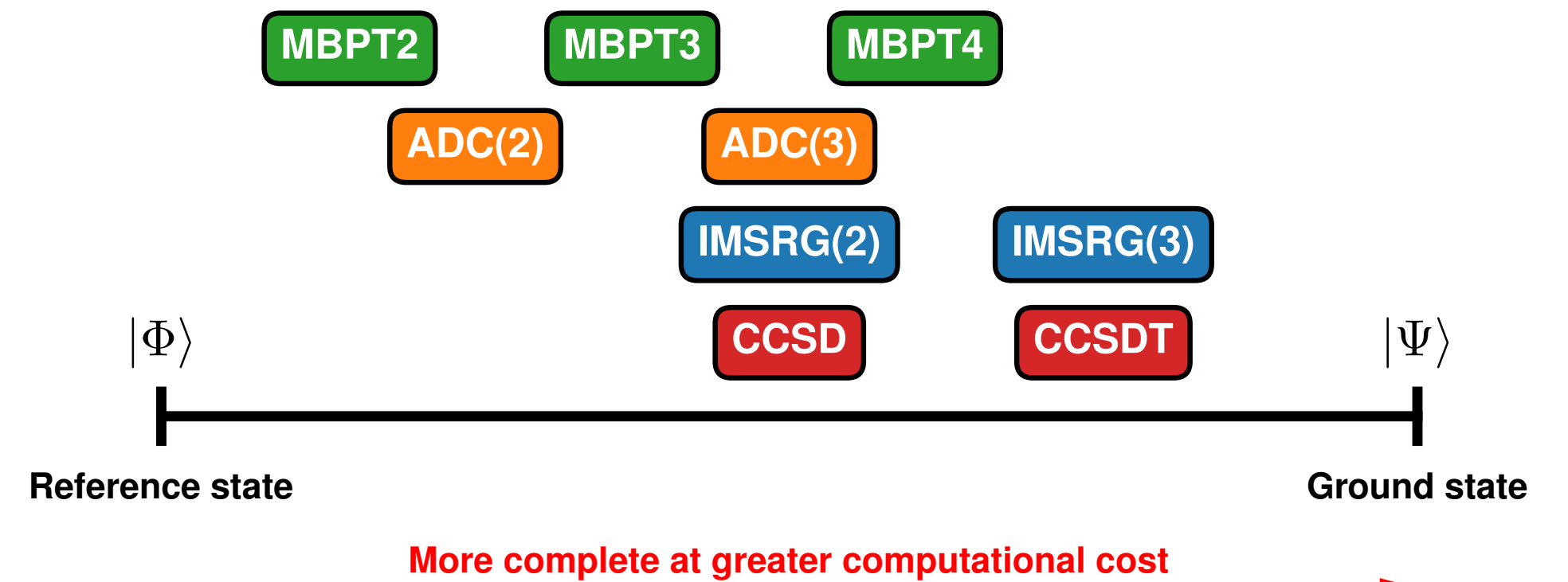
$$H |\Psi\rangle = E |\Psi\rangle$$

Nuclear forces

	NN	3N	4N
LO $\mathcal{O}(Q^0/\Lambda^0)$	 2	—	—
NLO $\mathcal{O}(Q^2/\Lambda^2)$	 7	 —	—
N ² LO $\mathcal{O}(Q^3/\Lambda^3)$	 0	 2	—
N ³ LO $\mathcal{O}(Q^4/\Lambda^4)$	 12	 0	 0
N ⁴ LO $\mathcal{O}(Q^5/\Lambda^5)$	 0	 ?	 ?

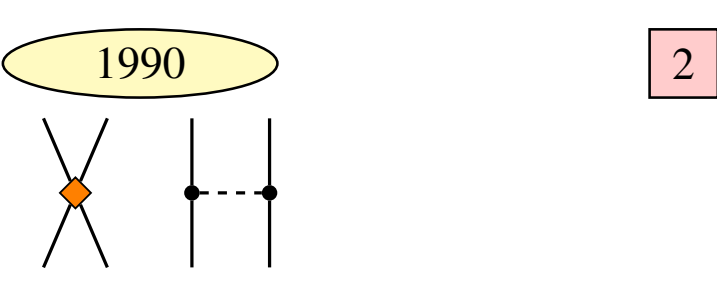
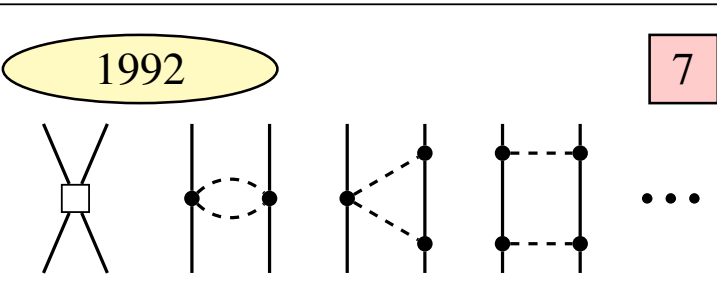
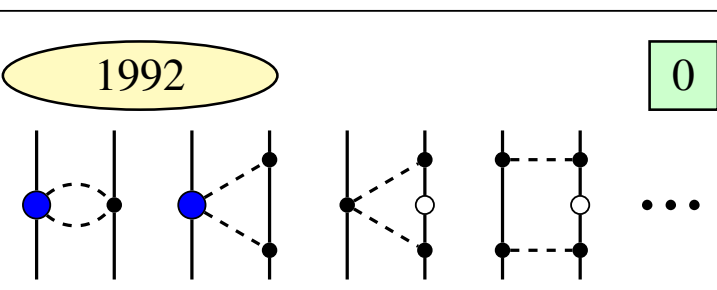
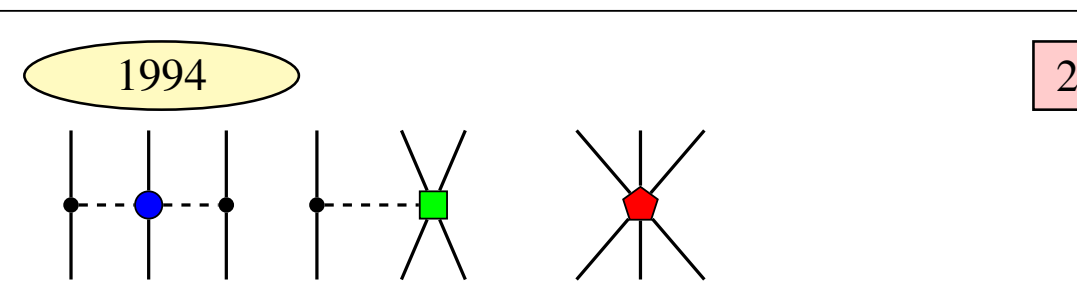
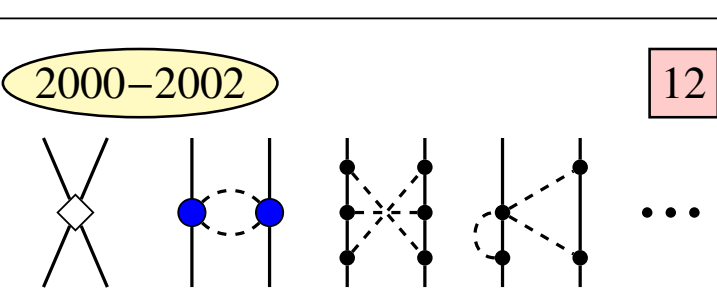
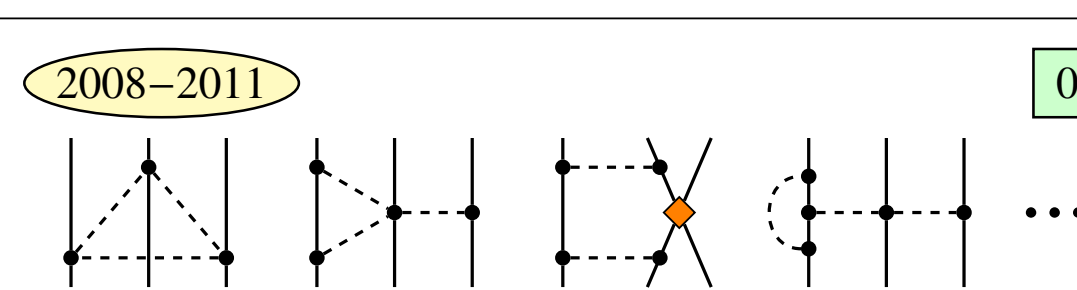
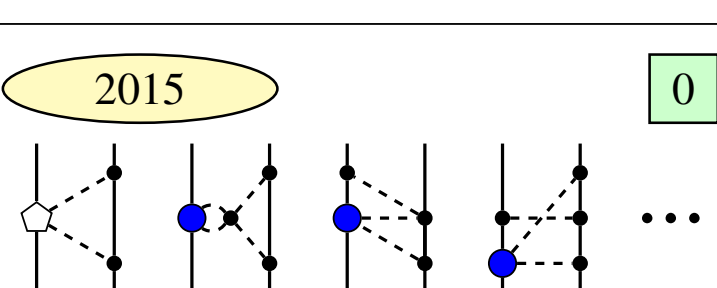
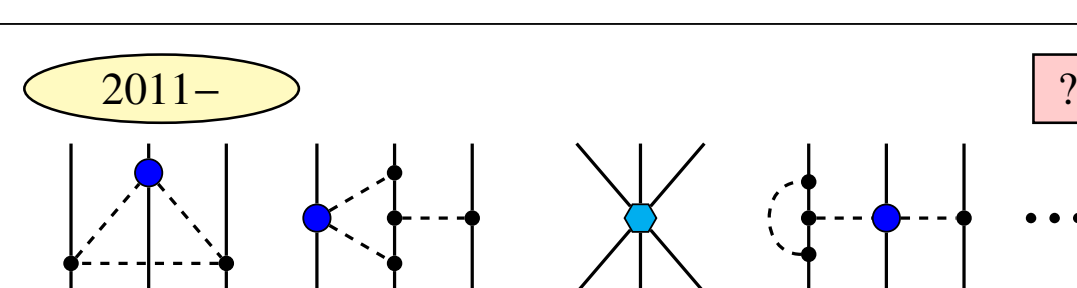
Hebeler, Phys. Rep. 890 (2021)

Many-body methods



Chiral EFT for nuclear forces

effective field theory

	NN	3N
LO $O(Q^0/\Lambda^0)$	1990  2	—
NLO $O(Q^2/\Lambda^2)$	1992  7	1992,1994 —
N ² LO $O(Q^3/\Lambda^3)$	1992  0	1994  2
N ³ LO $O(Q^4/\Lambda^4)$	2000–2002  12	2008–2011  0
N ⁴ LO $O(Q^5/\Lambda^5)$	2015  0	2011–  ?

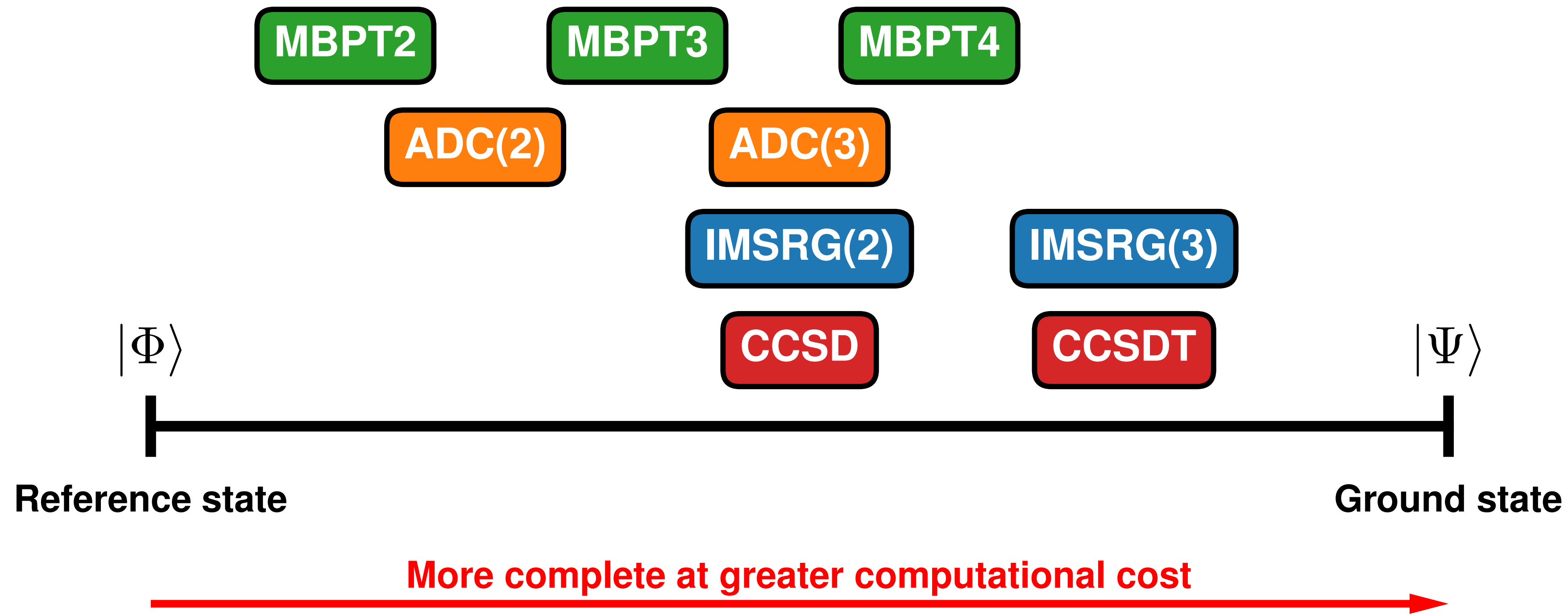
Hebeler, Phys. Rep. 890 (2021)

- Nuclear forces are uncertain
- Chiral EFT:
 - Low-energy expansion of QCD
 - Free couplings to fit to data
 - Systematically improvable
 - Uncertainty quantifiable

Many-body expansion methods



Many-body expansion methods

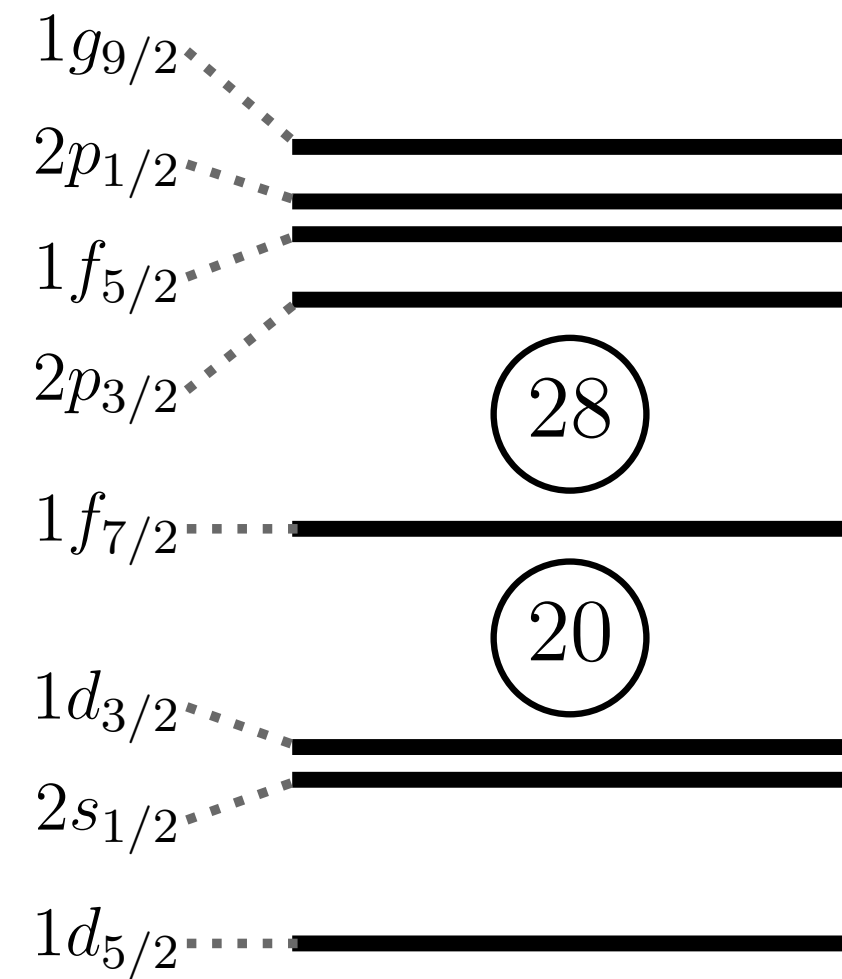


- **Systematically improvable expansion** around reference state $|\Phi\rangle$
- **Tractable computational cost** in larger nuclei
- Approximate many-body solution with **quantifiable uncertainty**

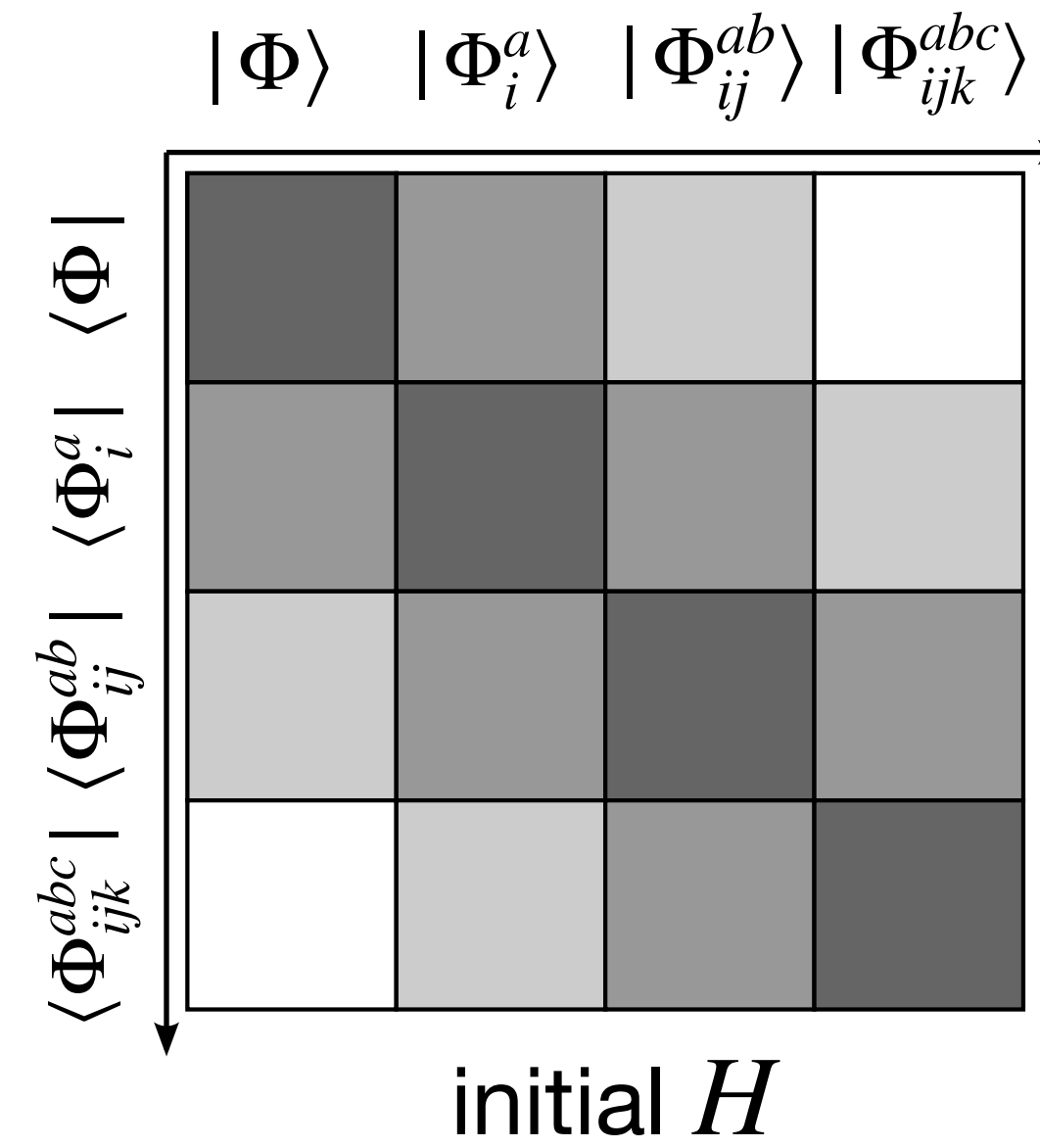
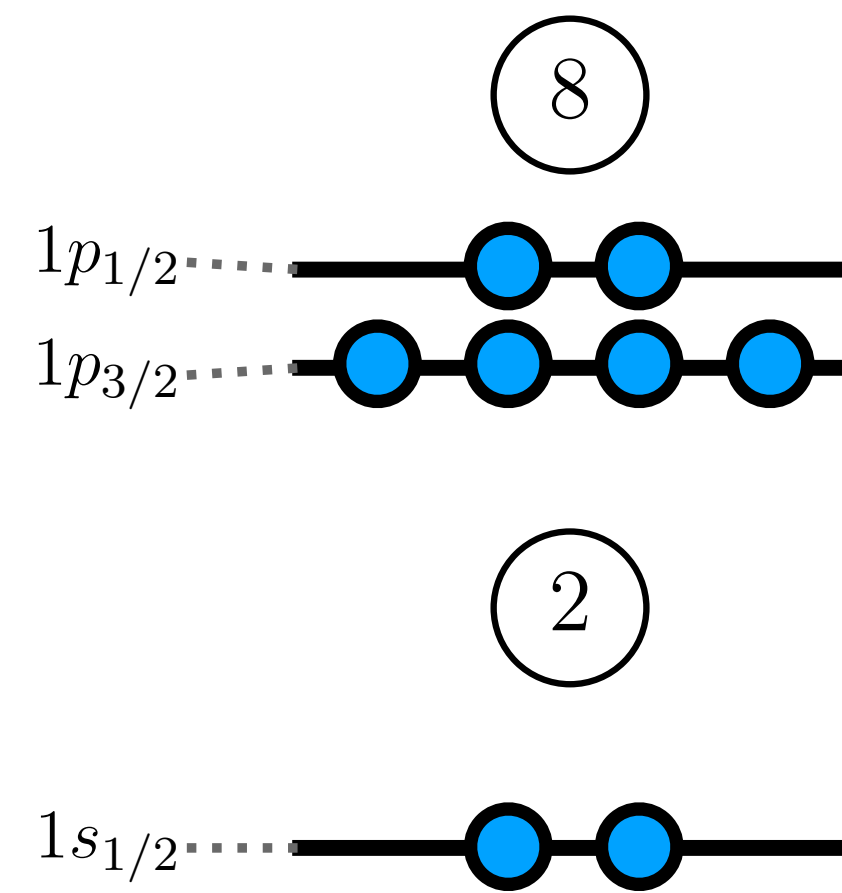
The IMSRG

in-medium similarity renormalization group

excitations



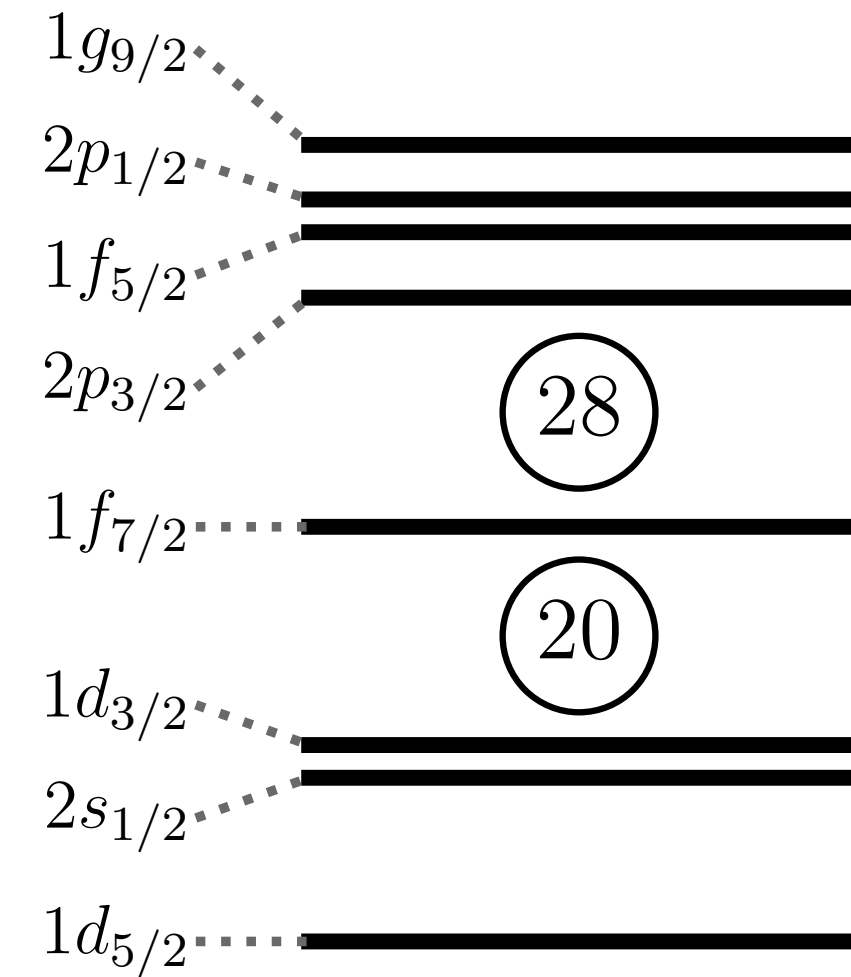
reference state



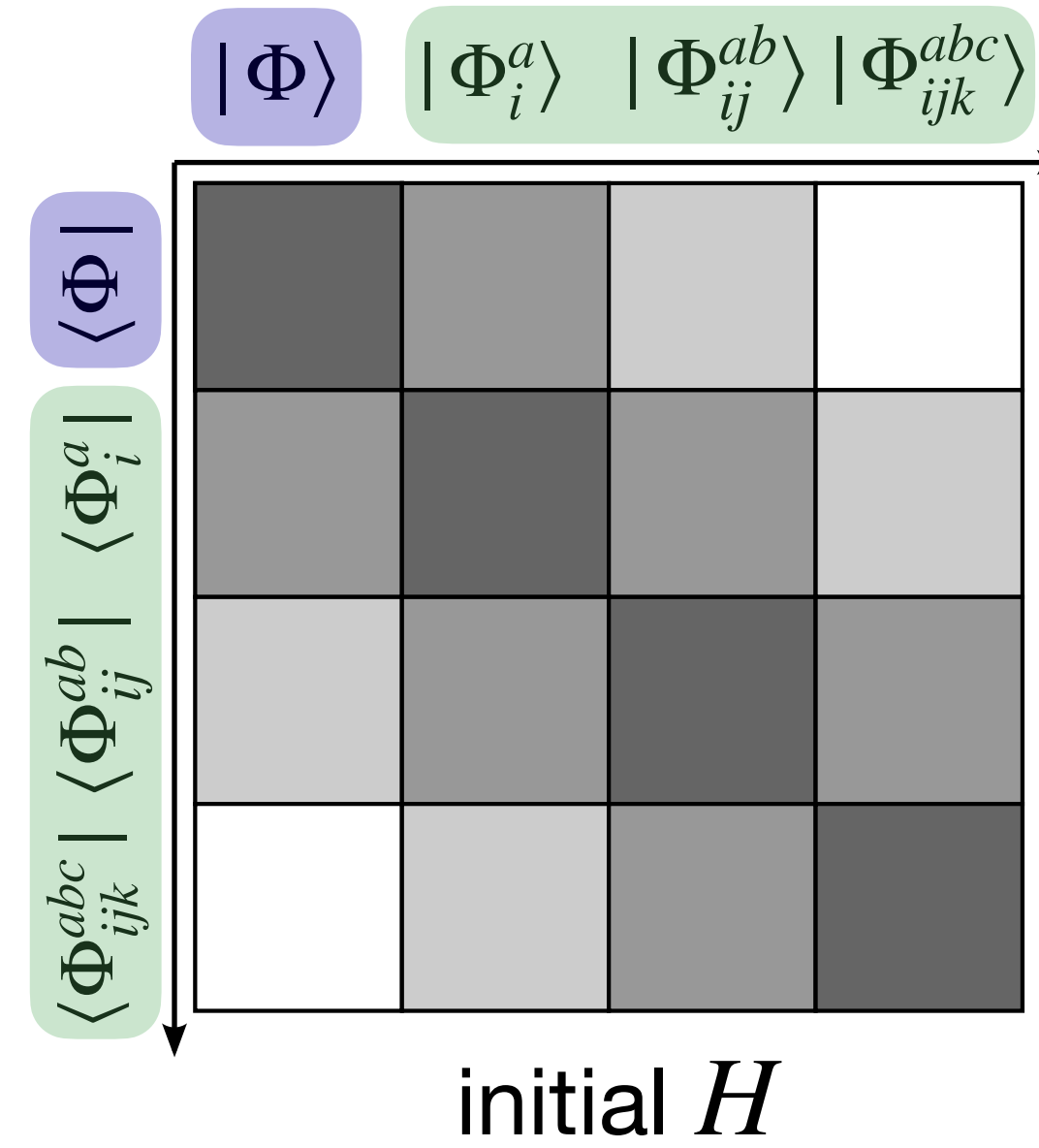
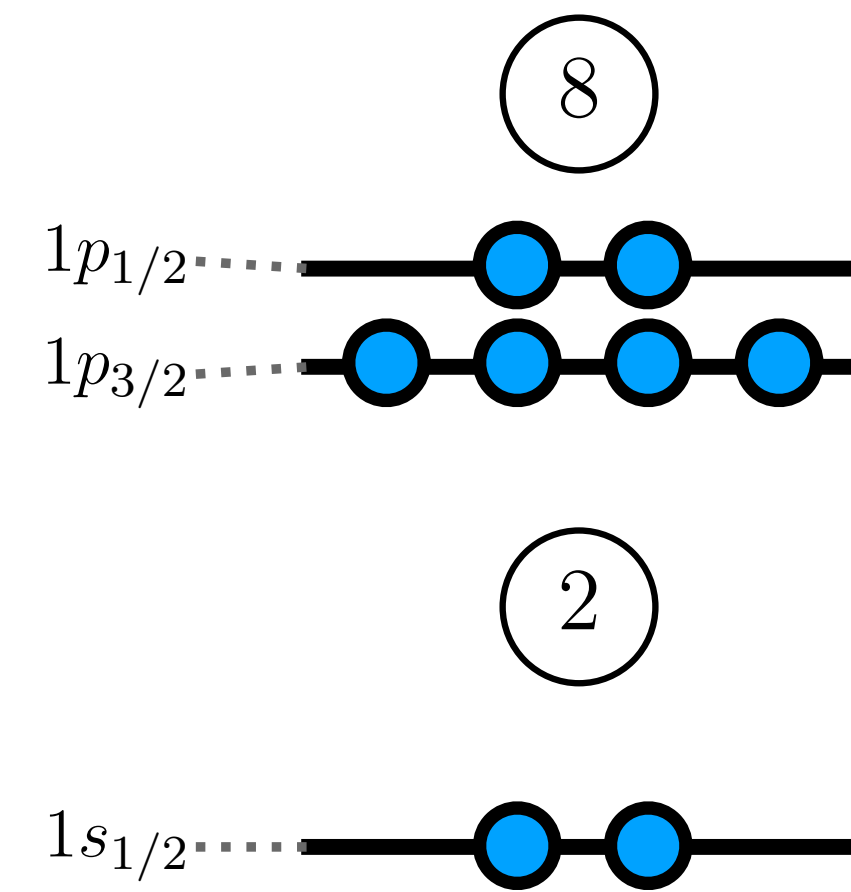
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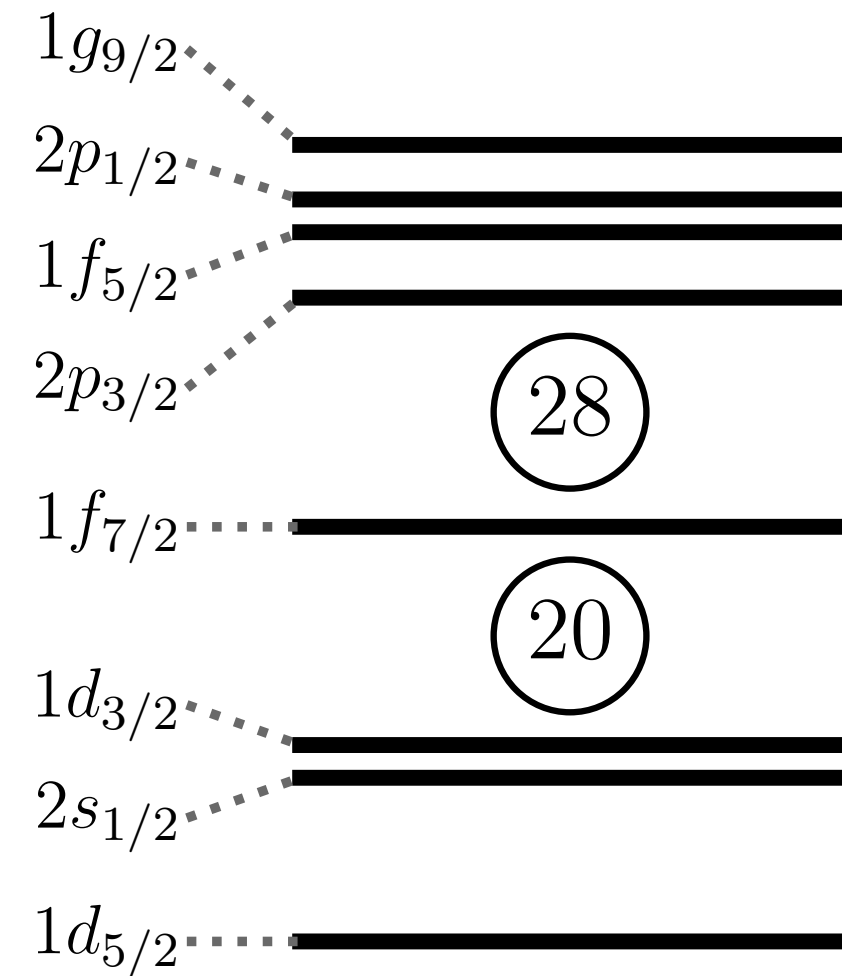
reference state



The IMSRG

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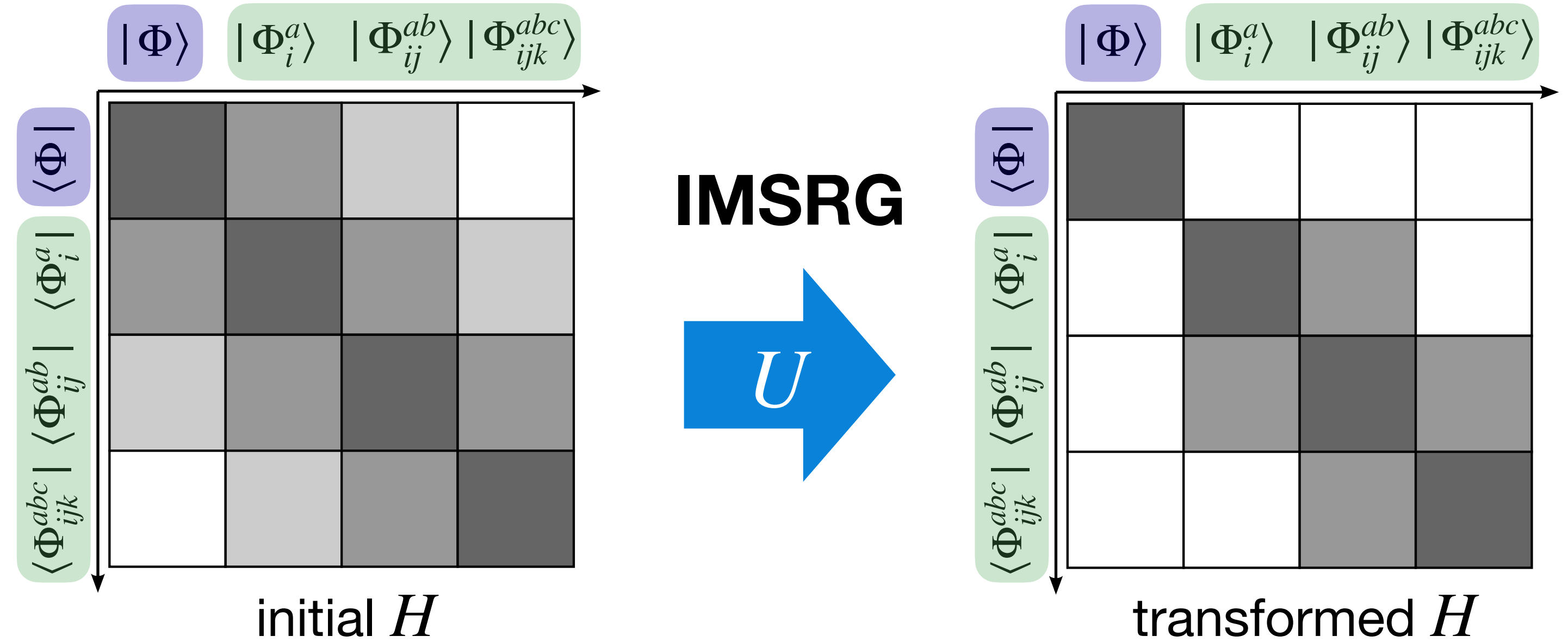
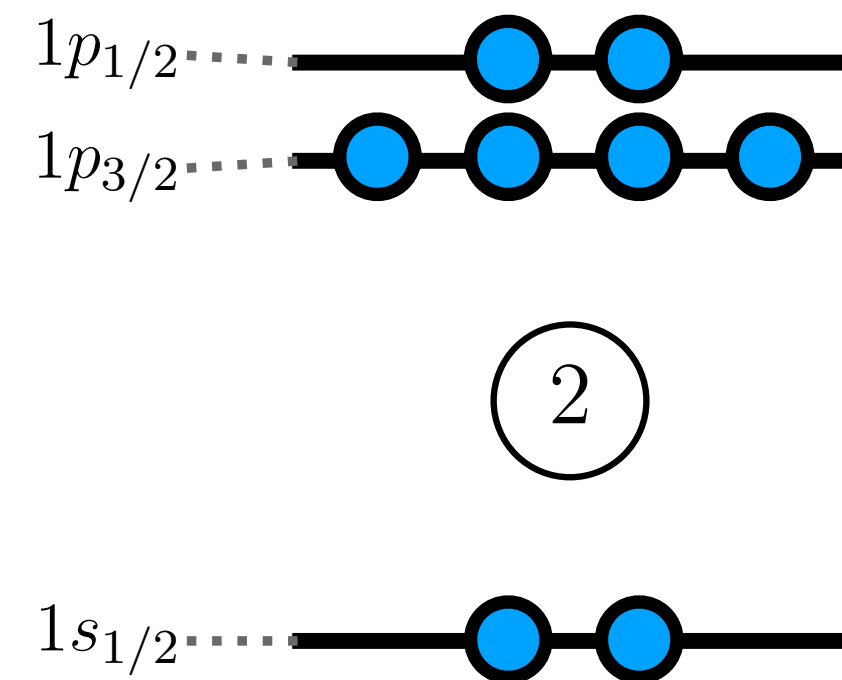
excitations



decouple

8

reference state



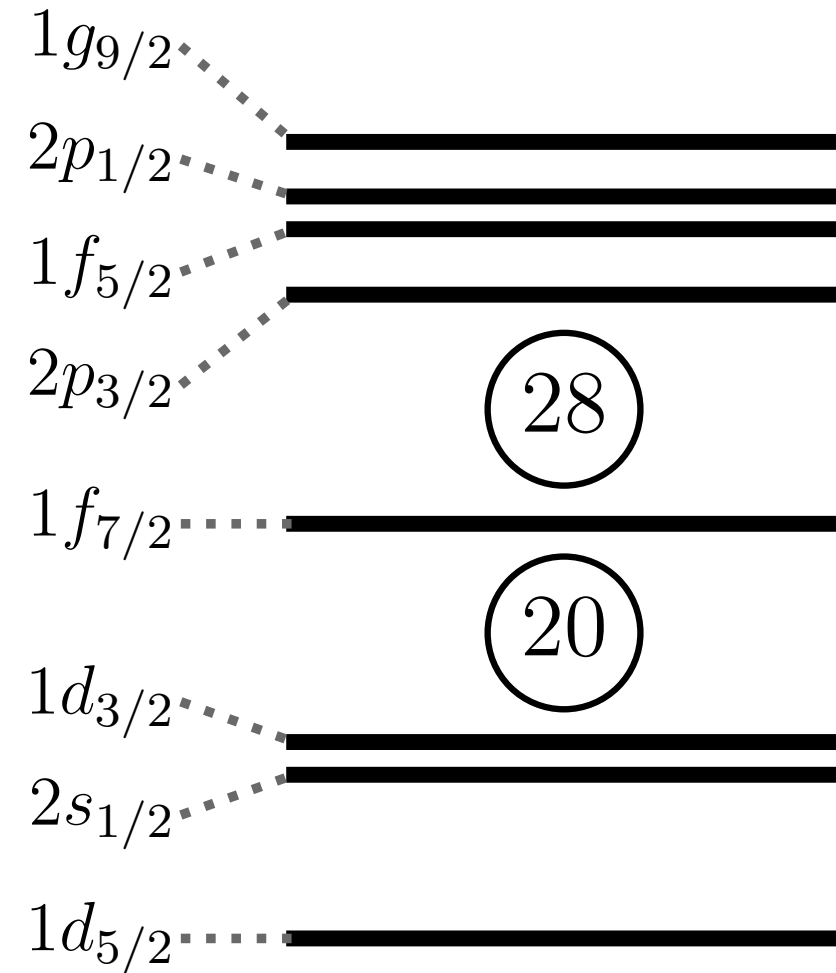
Hergert et al., Phys. Rep. **621** (2016)

- **IMSRG**: Unitary transformation $U = e^{\Omega}$ to decouple reference state from excitations

The IMSRG

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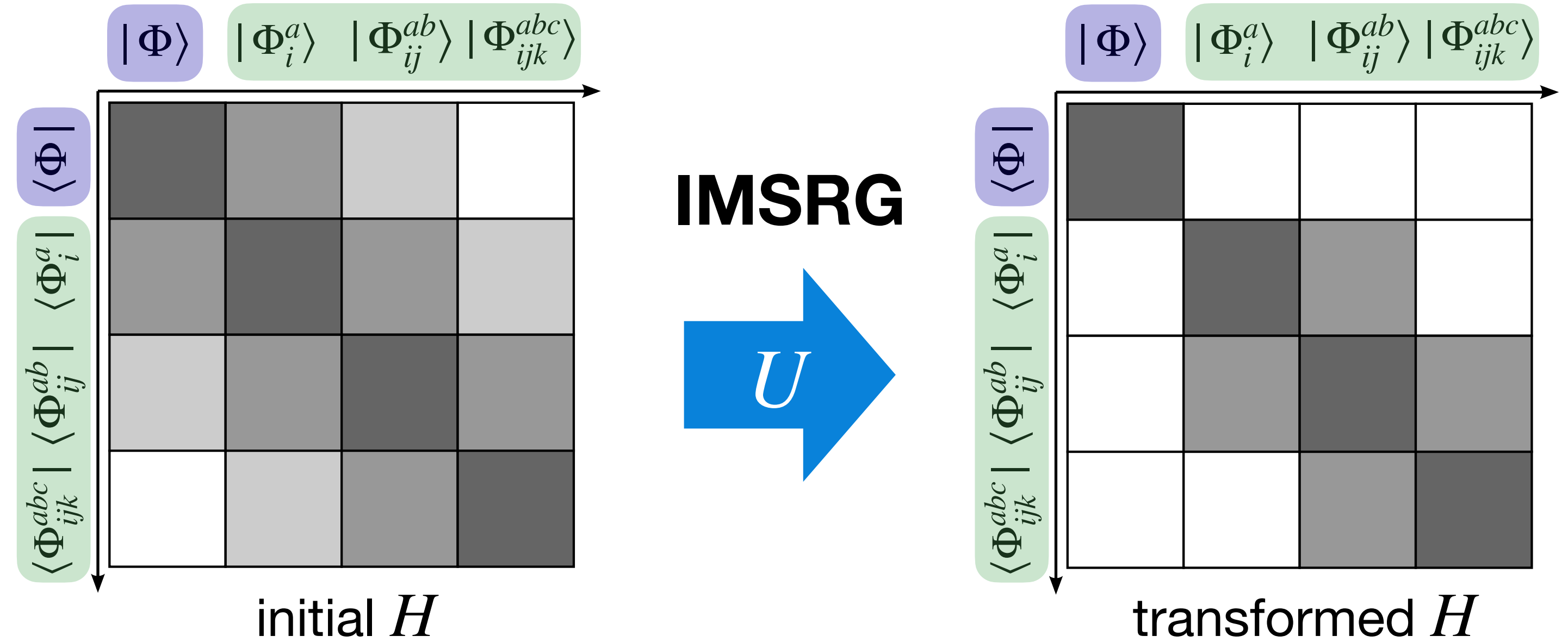
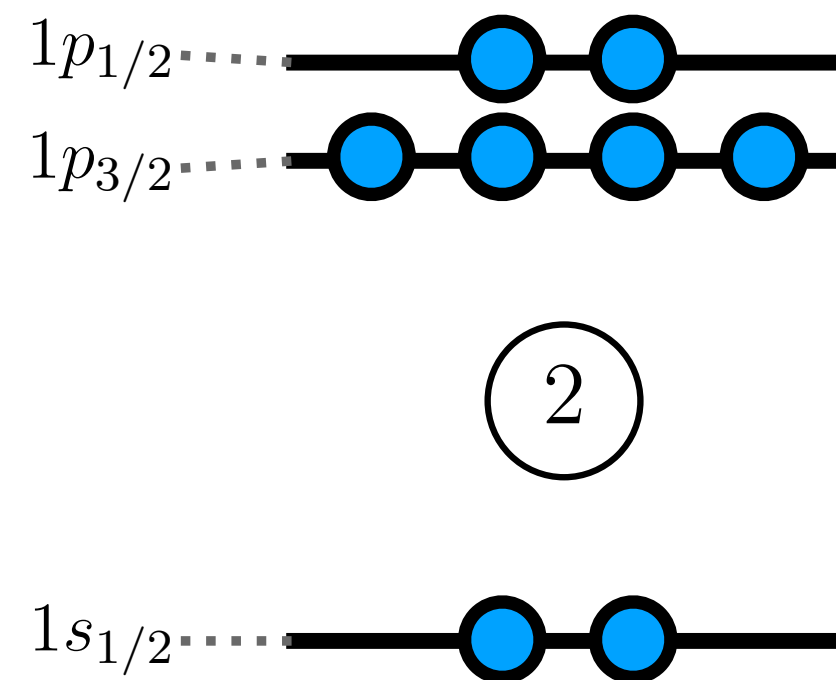
excitations



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8

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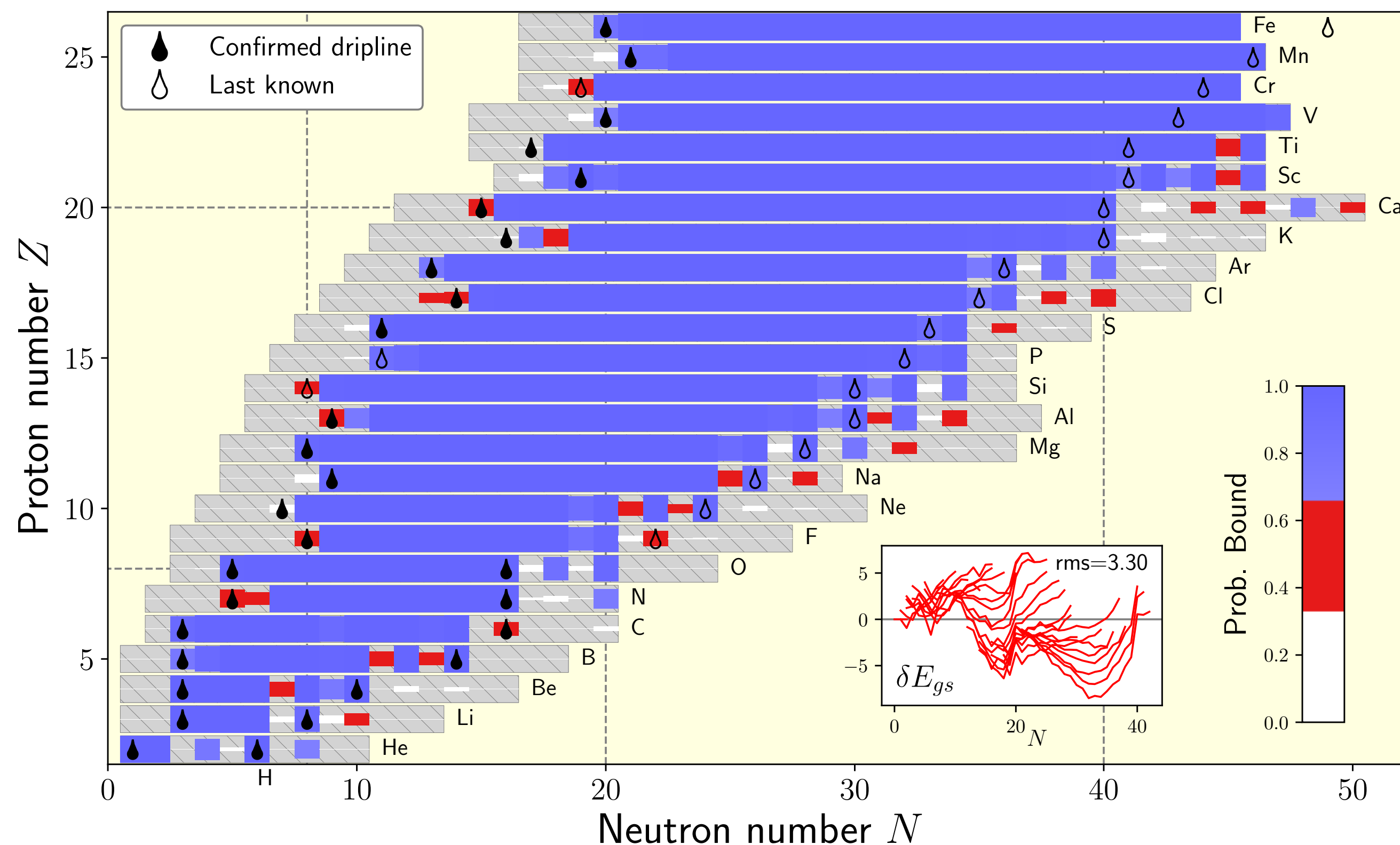
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- **IMSRG**: Unitary transformation $U = e^\Omega$ to decouple reference state from excitations
- Expansion and truncation in **many-body operators**

$$U = e^\Omega = e^{\Omega_1 + \Omega_2 + \Omega_3 + \dots} \quad \text{MH et al., PRC 103 (2021)}$$

- **IMSRG(3)** for precision and uncertainty quantification

Global description of lightest nuclei



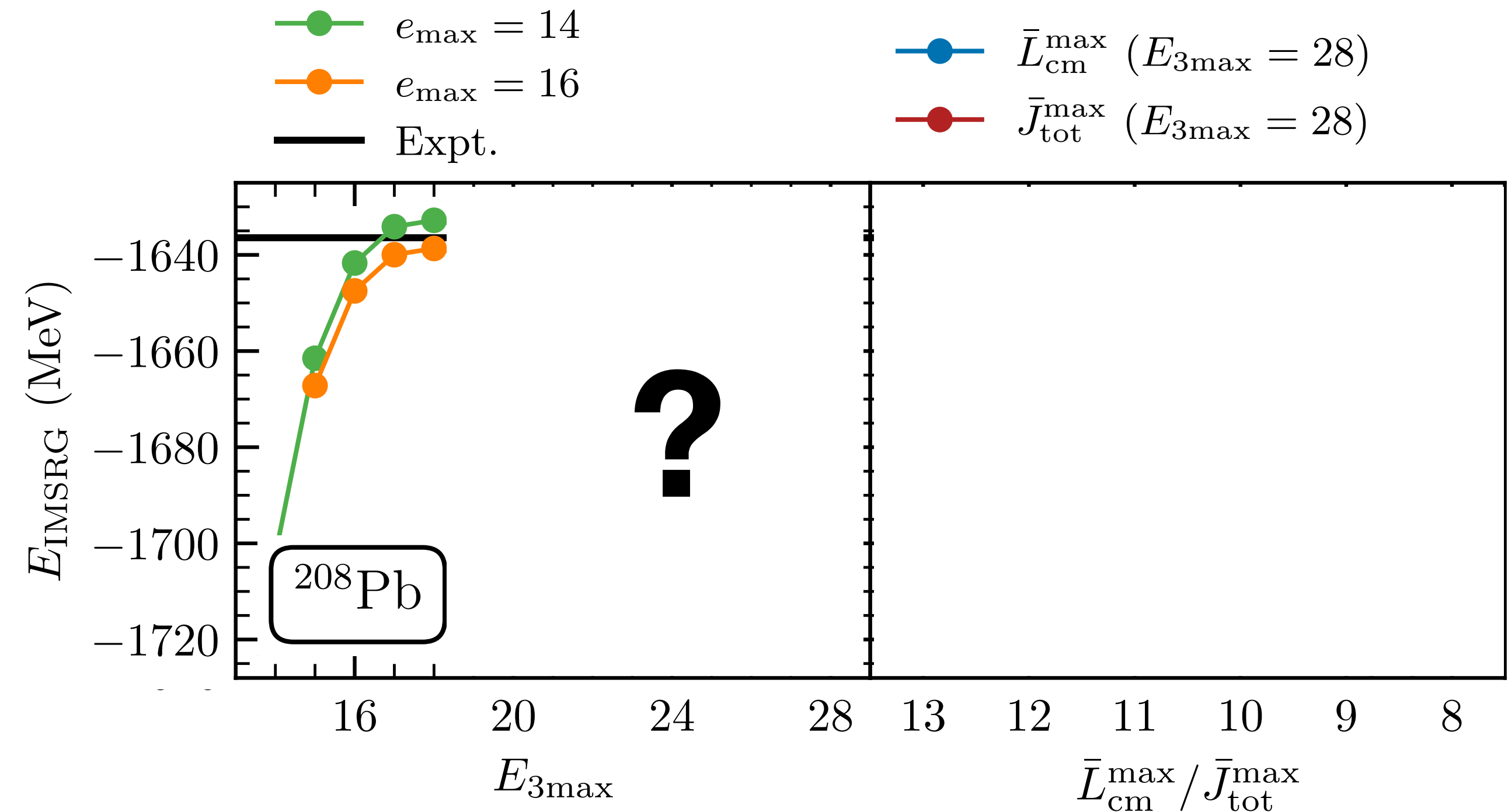
Stroberg et al., PRL **126** (2021)

Global description of nuclear structure of lightest 700 isotopes!

- Ab initio prediction of separation energies based on single Hamiltonian
- Study of systematic and statistical errors to **predict limits of stability**

Converged description of heavy nuclei

- Treatment of 3N interactions **limiting in heavy nuclei**
- **New developments** to relax necessary truncations
- **Converged ground-state results for lead-208**

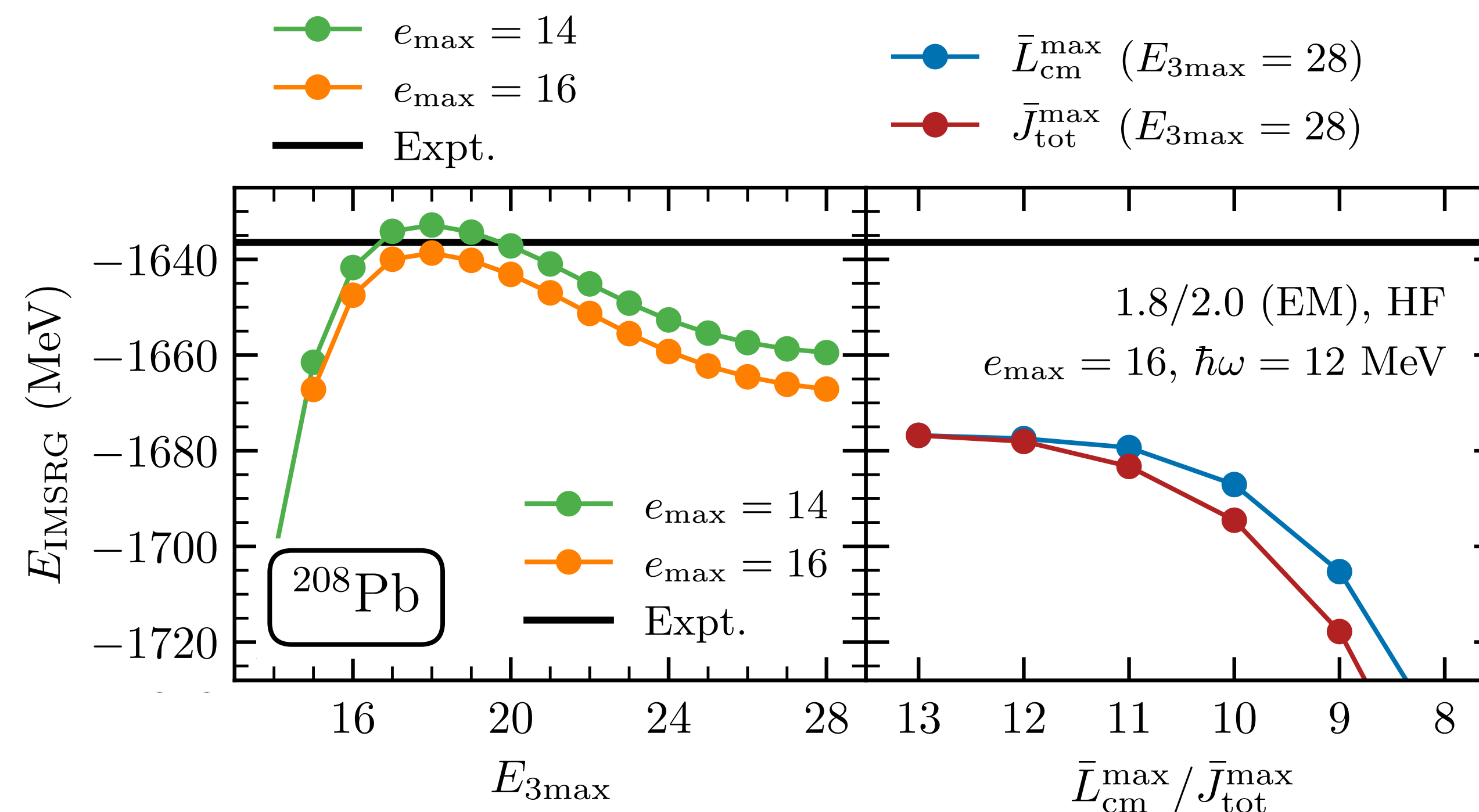


Hebeler, **MH**, et al., PRC 107 (2023)

New frontier of heavy nuclei unlocked!

Converged description of heavy nuclei

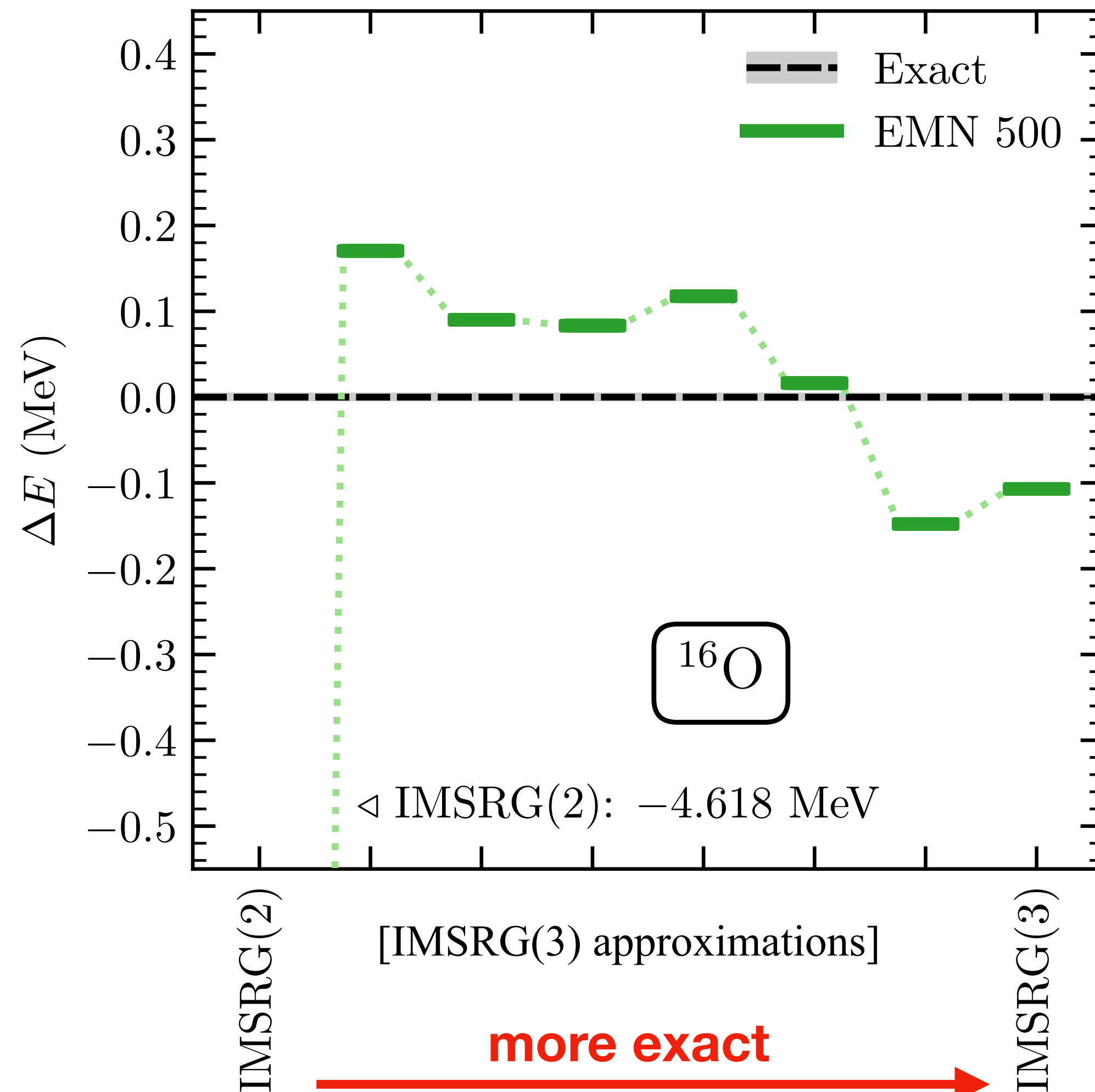
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Hebeler, MH, et al., PRC 107 (2023)

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Precision with IMSRG(3)

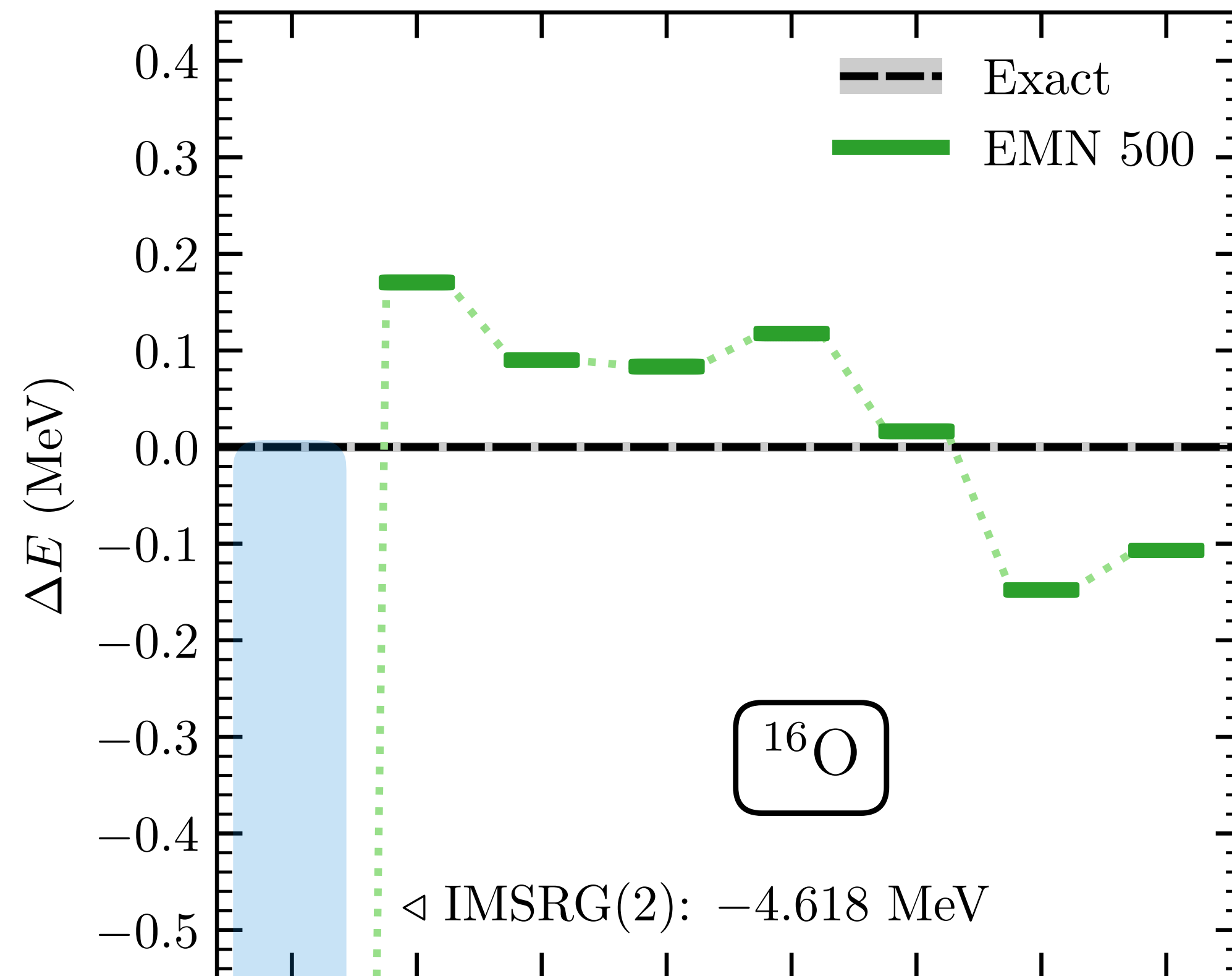


MH et al., PRC 103 (2021)

- IMSRG(2) \rightarrow IMSRG(3)
- **Systematic improvement** towards exact results
- Benefit greatest for very nonperturbative problems
- **Excellent precision (0.5-1%)** on ground-state energies

IMSRG(3) calculations now available for uncertainty quantification and precision!

Precision with IMSRG(3)



IMSIRG(2) error
4.6 MeV (20%)

IMSIRG(2)

[IMSIRG(3) approximations]

more exact

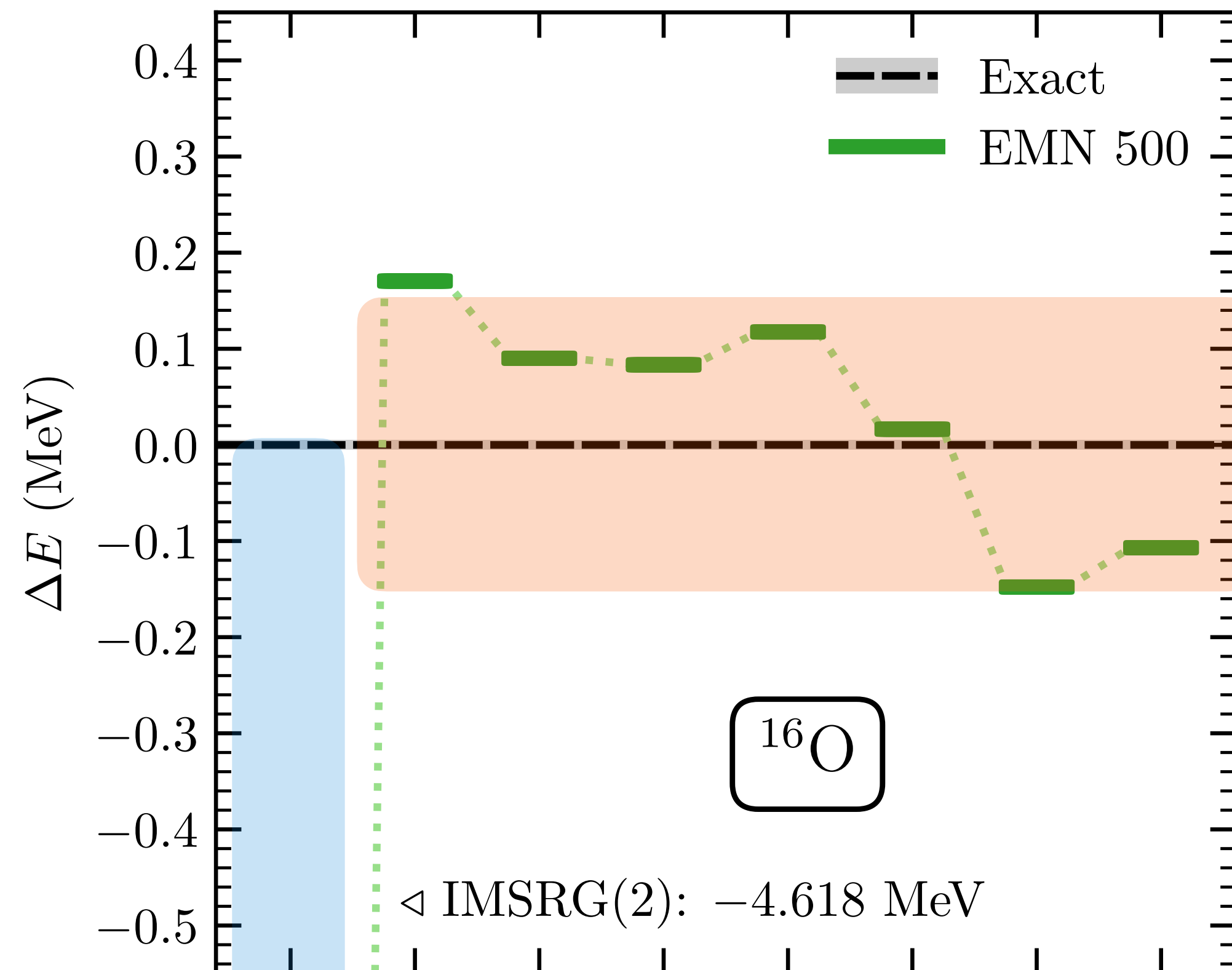
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MH et al., PRC 103 (2021)

Precision with IMSRG(3)



IMSRG(3) error
~150 keV (1%)

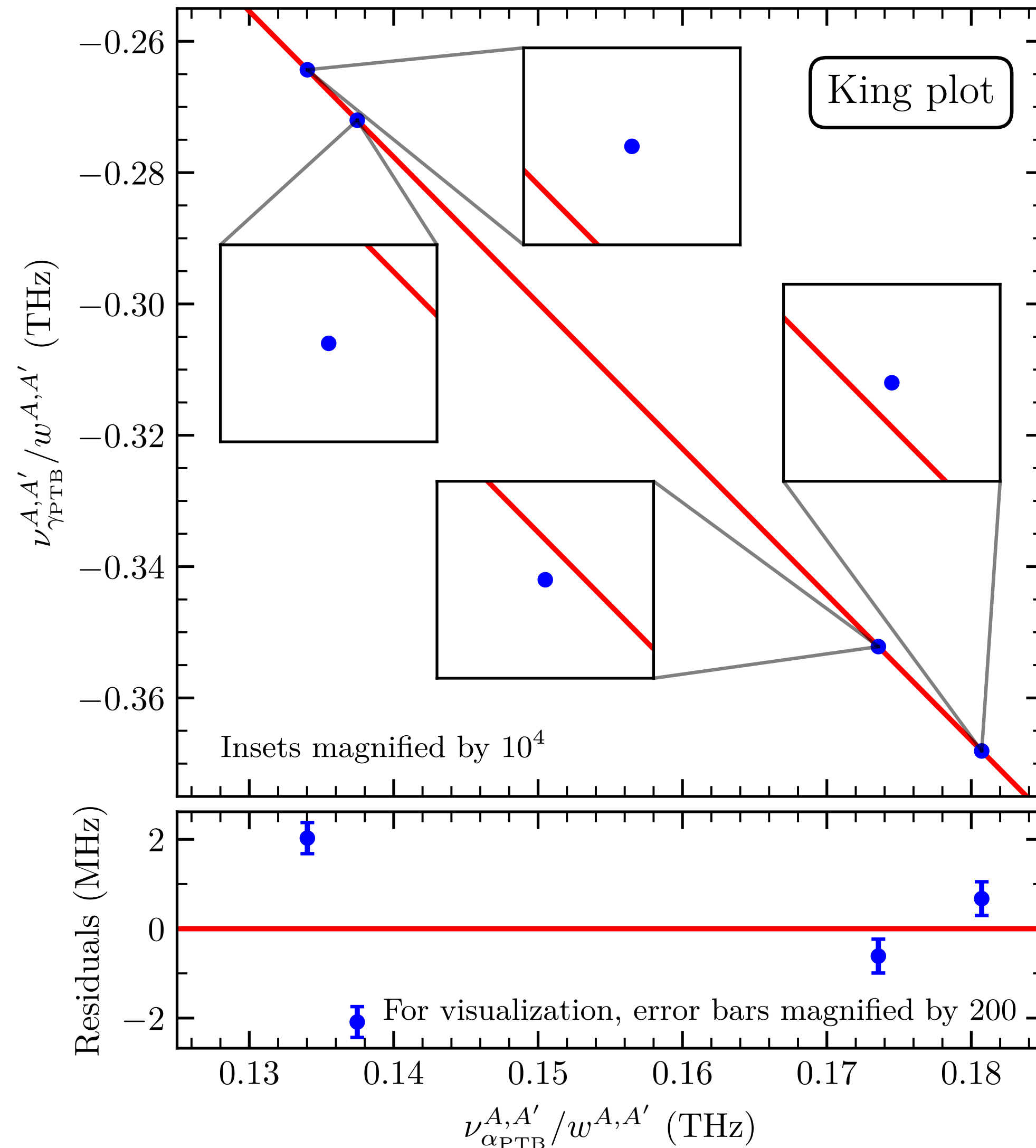
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MH et al., PRC 103 (2021)

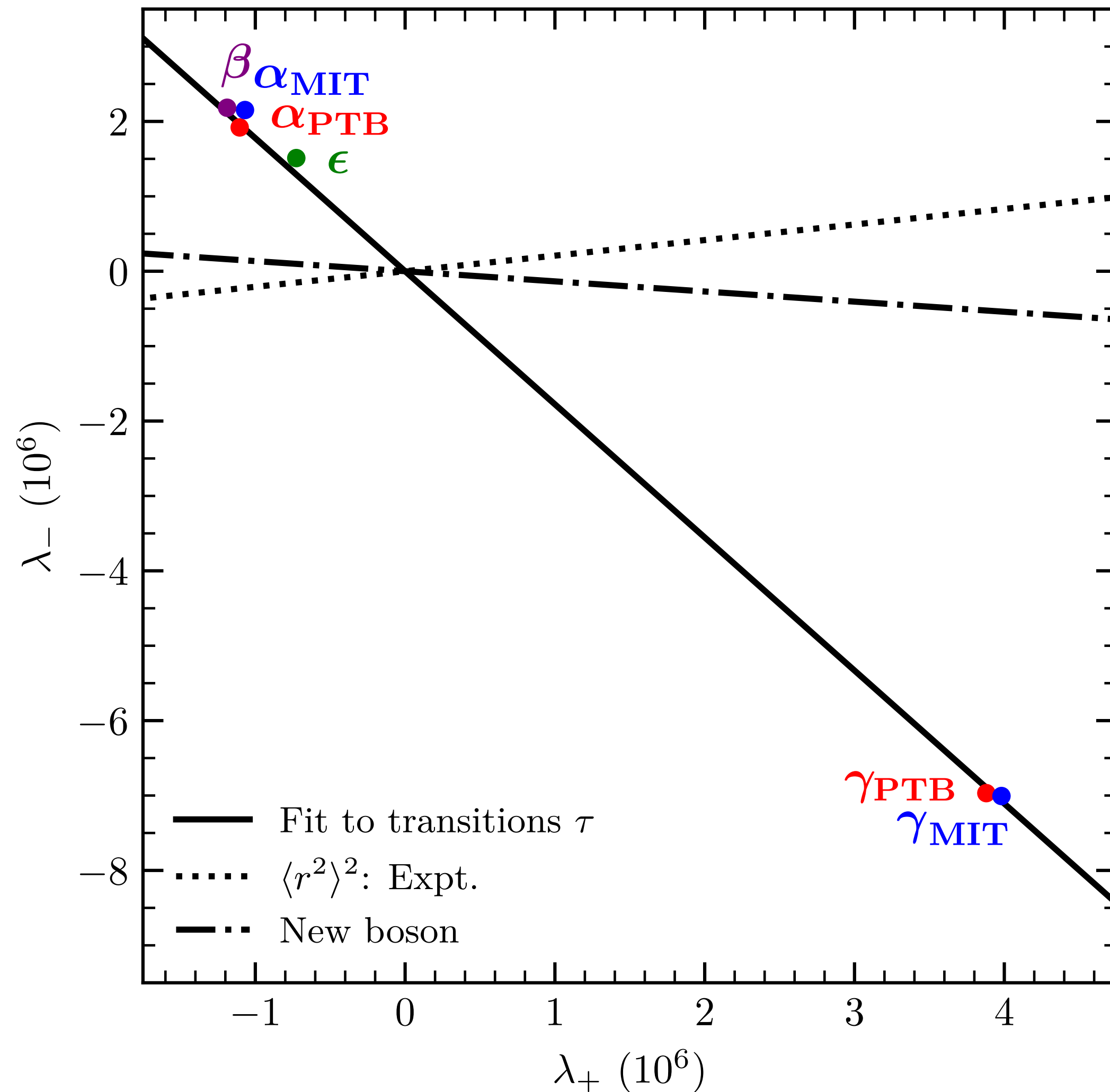
**Understanding the nonlinearity
with ab initio nuclear structure**

Analyzing the nonlinearity



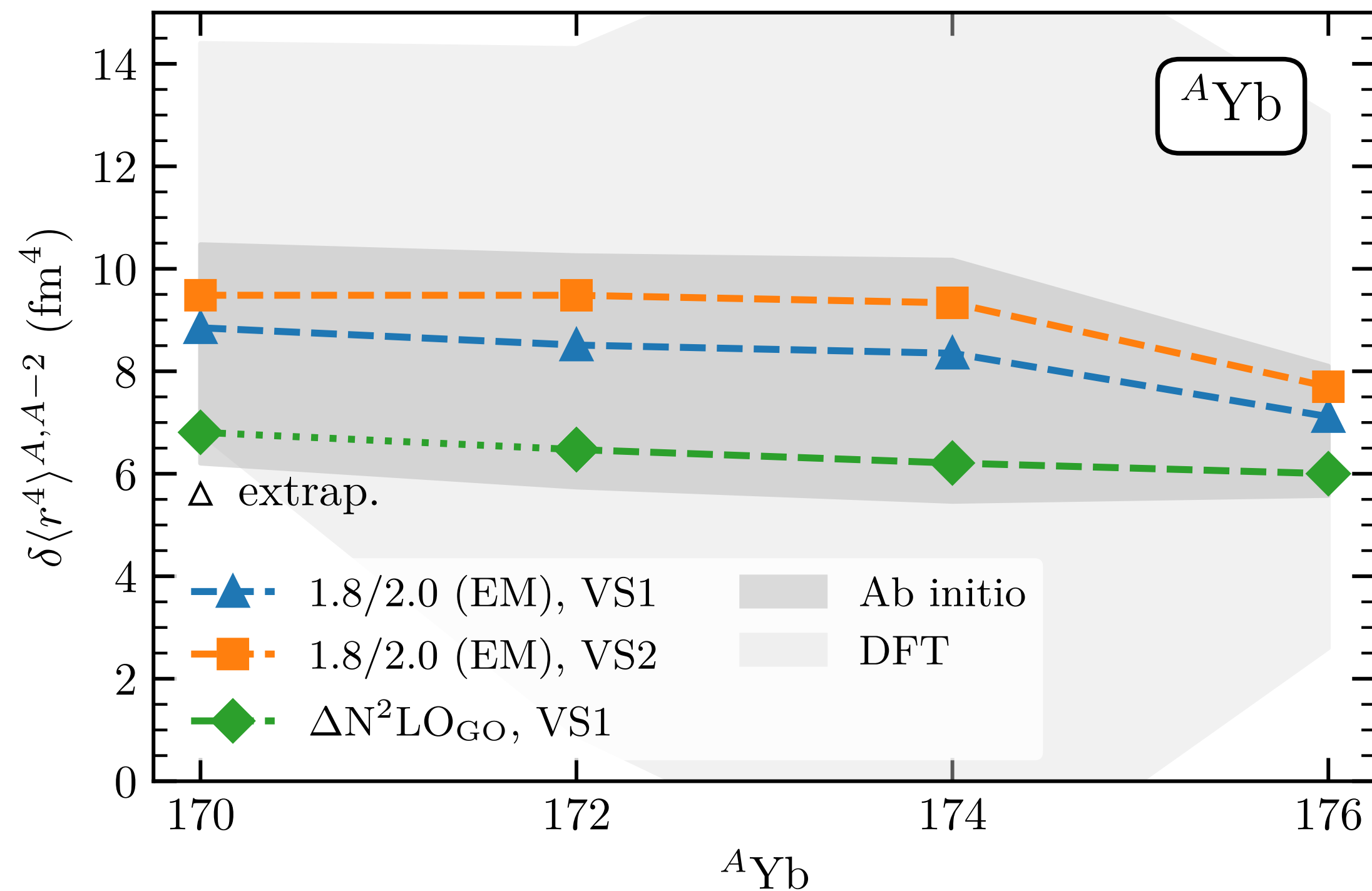
- Describe 4 data points as vector: $\tilde{\mathbf{x}}$
 - Decompose in basis of 4 vectors: $\mathbf{1}, \tilde{\mathbf{v}}_\tau, \Lambda_+, \Lambda_-$
- $$\tilde{\mathbf{x}} = \underbrace{K \mathbf{1} + F \tilde{\mathbf{v}}_\tau}_{\text{linear part}} + \underbrace{\lambda_+ \Lambda_+ + \lambda_- \Lambda_-}_{\text{nonlinear part}}$$
- Nonlinear contribution described by coefficients λ_+, λ_-
 - Assuming **1 dominant nonlinearity**, slope λ_-/λ_+ is same for all transitions
 → **same underlying nuclear-structure effect responsible for nonlinearity**

Impact of nuclear structure effects



- Nonlinearity analysis suggests **single dominant higher-order term**
- $\langle r^2 \rangle^2$ and new boson **incompatible** with observed nonlinearity
- **Theory predictions for $\langle r^4 \rangle$ required!**

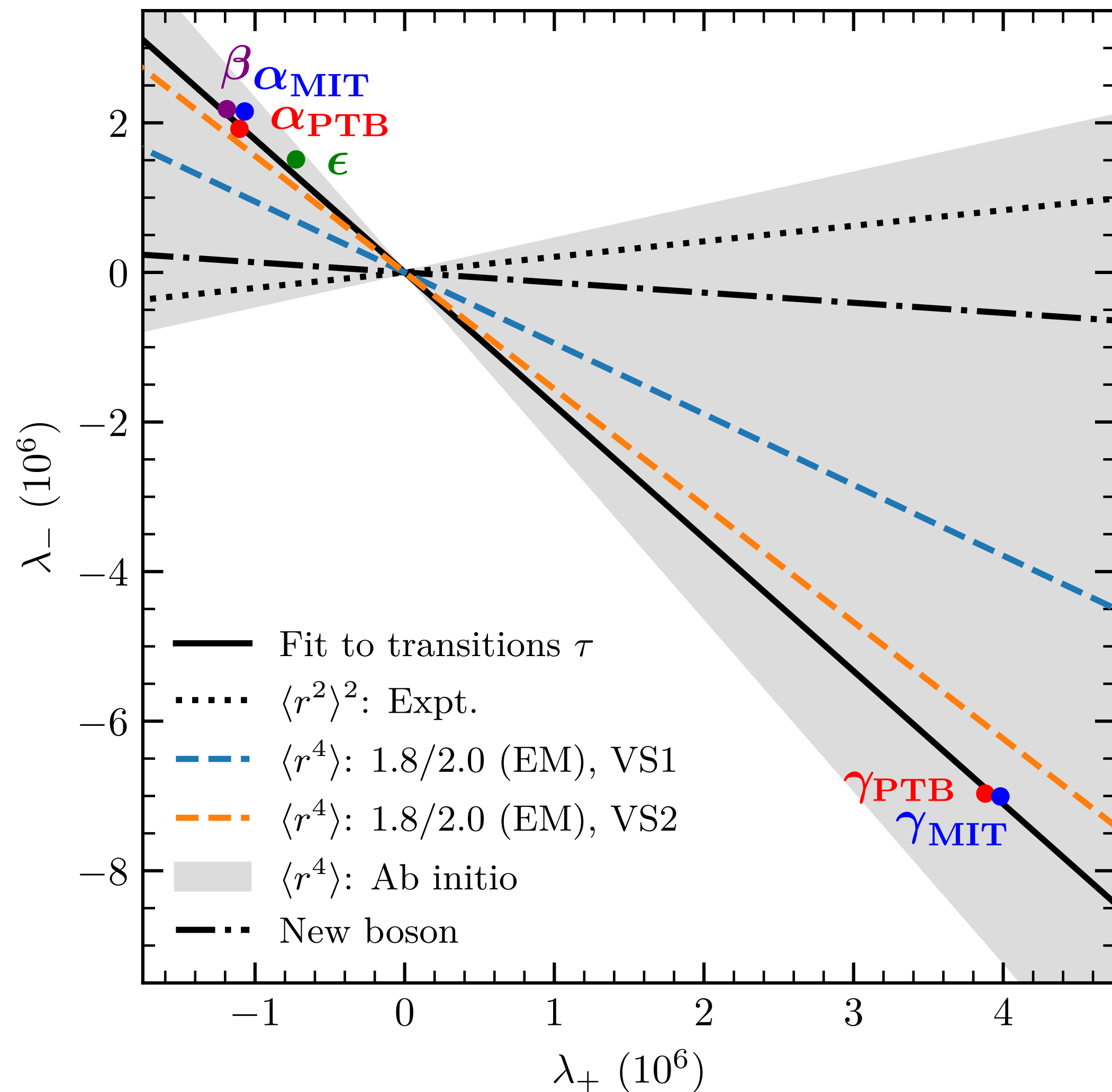
Impact of nuclear structure effects



Door, Yeh, MH, et al., arXiv:2403.07792

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- Two Hamiltonians, two valence spaces
- IMSRG(3) to probe many-body uncertainty

Impact of nuclear structure effects



Door, Yeh, MH, et al., arXiv:2403.07792

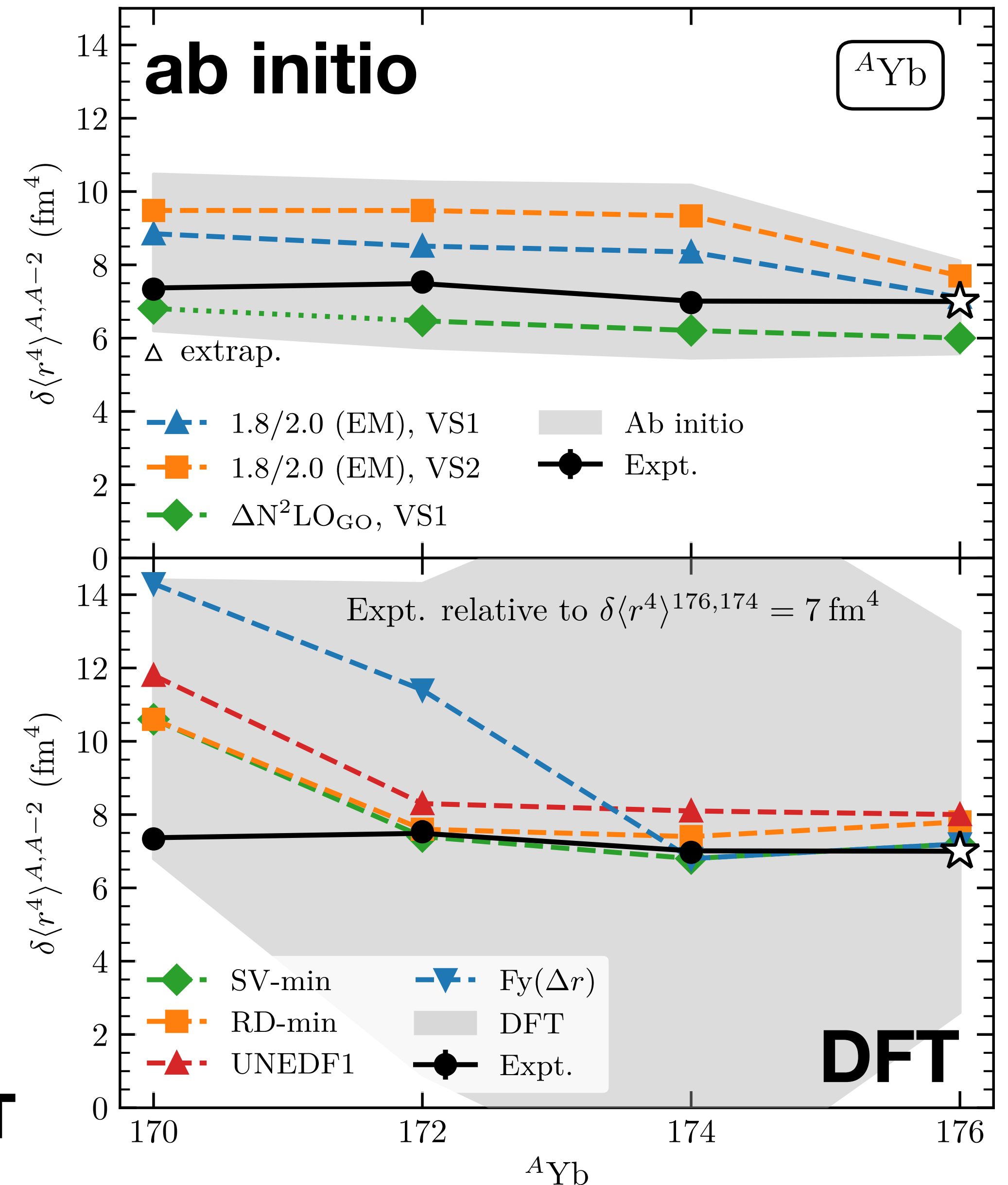
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Nuclear theory: $\langle r^4 \rangle$, not new boson, is leading source of nonlinearity!

New insights into nuclear structure

- Assume nonlinearity due to $\langle r^4 \rangle$
- Extract information on $\langle r^4 \rangle$ from experimental data
- Subtlety: Only sensitive to nonlinearity
→ Extraction only sensitive to relative changes in $\delta\langle r^4 \rangle^{A,A'}$
- **New observable related to deformation**

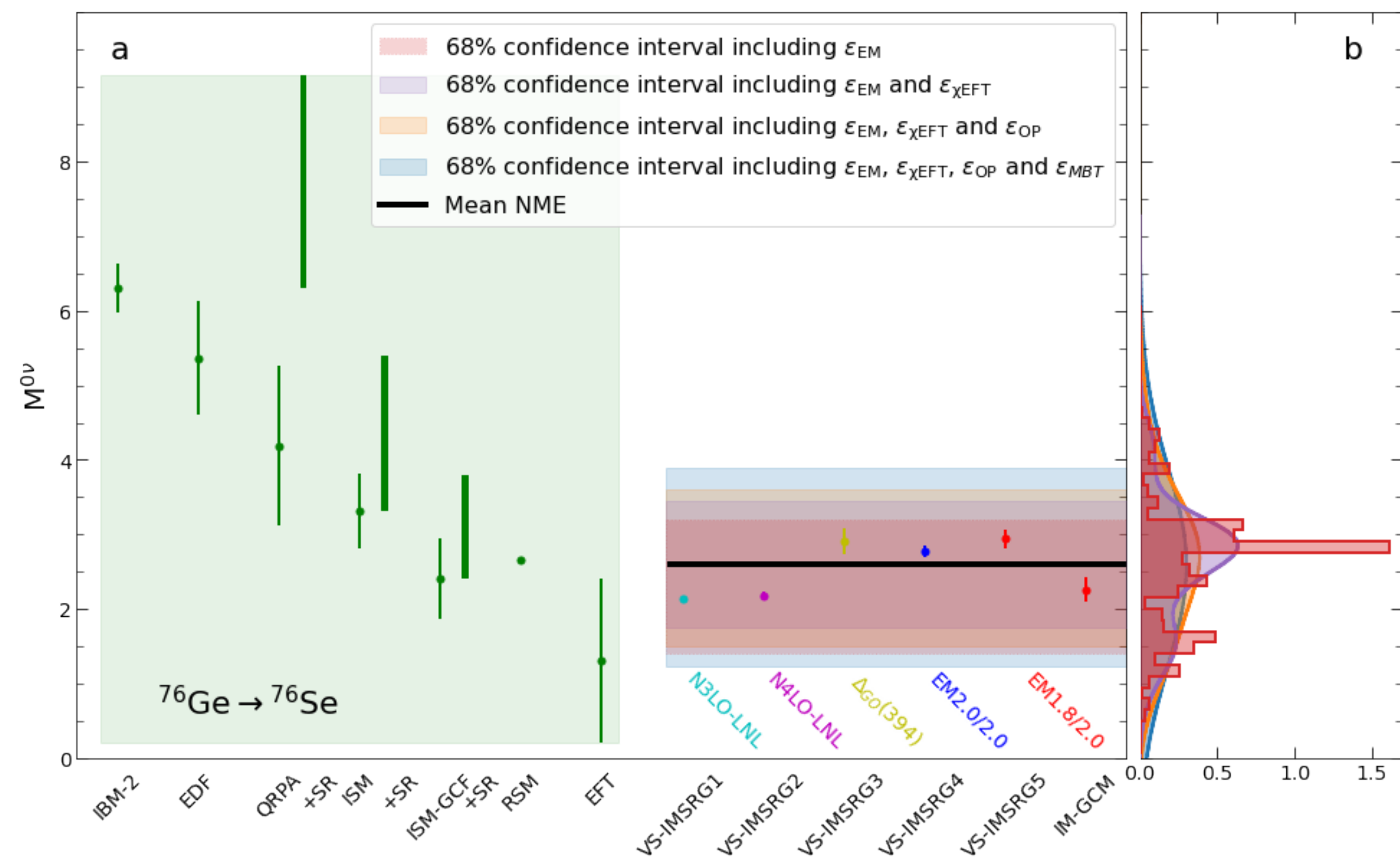
Trends more consistent with ab initio than DFT



Improved NMEs for $0\nu\beta\beta$

nuclear matrix elements

- **Fully uncertainty quantified NMEs**
- Largest uncertainty: **IMSRG(2)**
- Comparable uncertainty: chiral EFT at **NNLO**
- Need IMSRG(3) for **uncertainty quantification, precision**



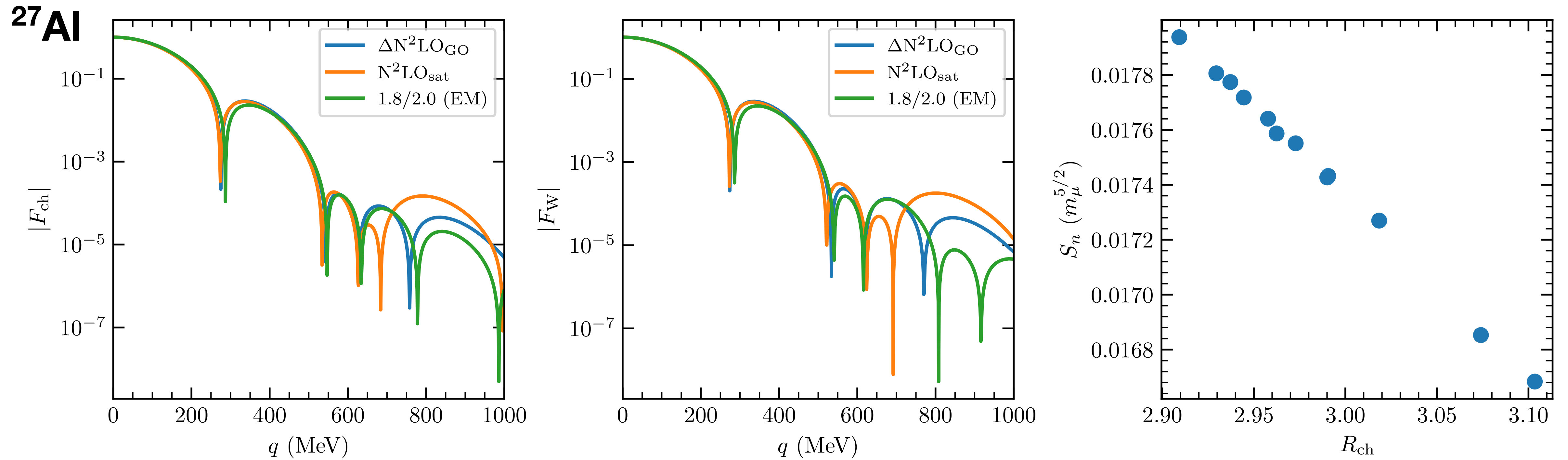
Belley, et al., PRL **132** (2024)

Improved NMEs require improved many-body calculations & Hamiltonians!

Neutron densities for new physics

See talk by Martin Hoferichter, Tuesday

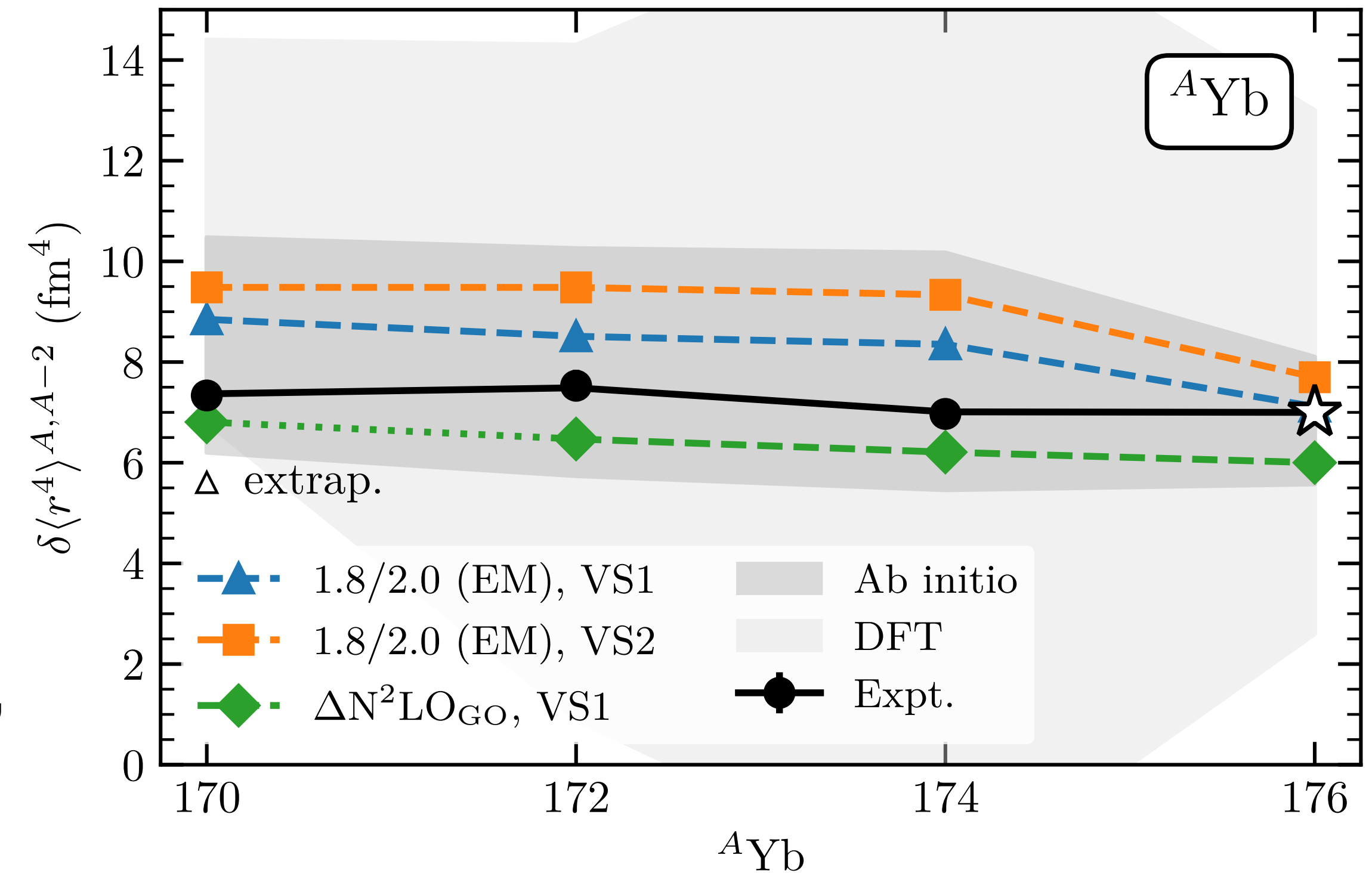
- Coupling to **neutron densities** important for searches for new interactions
- **Nuclear theory** can connect neutron density to measurable charge density



Weak scattering in nuclei strongly constrained by ab initio nuclear structure!

Conclusion and outlook

- **Significant progress on reach, precision, and applications** of ab initio nuclear structure calculations
- Nuclear structure input with **quantified uncertainties essential** to understand Yb King plot
- Leading signal due to **nuclear structure, not new physics**
- Remarkable reach to provide input for **new physics searches** in heavy nuclei



Door, Yeh, **MH**, et al., arXiv:2403.07792

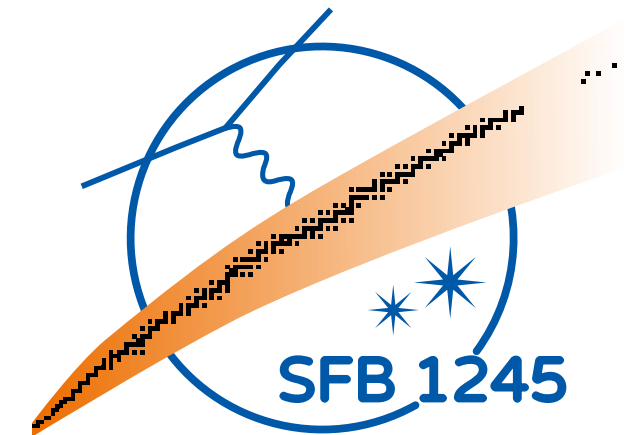
Acknowledgments

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Thank you for your attention!

$0\nu\beta\beta$ details

Belley, et al., PRL **132** (2024)

TABLE I. The recommended value for the total NME of $0\nu\beta\beta$ decay in ^{76}Ge , together with the uncertainties from different sources.

$M^{0\nu}$	ϵ_{LEC}	$\epsilon_{\chi\text{EFT}}$	ϵ_{MBT}	ϵ_{OP}	ϵ_{EM}
$2.60^{+1.28}_{-1.36}$	0.75	0.3	0.88	0.47	<0.06

