

A SYSTEMATIC APPROACH TO NEUTRINO MASSES AND THEIR PHENOMENOLOGY

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based on work in collaboration with
Juan Herrero-Garcia 1903.10552 [Eur.Phys.J. C79 (2019) no.11, 938]



UNSW
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NEUTRINO MASSES

- The Standard Model is very successful...
- ...but incomplete
In particular neutrinos are massive
- Hint: lowest dimensional effective operator $O_1 = LLHH$ ($d = 5$, Weinberg) violates lepton number by 2 units
- After EWSB, naturally light Majorana neutrino masses
- What is the underlying theory of neutrino masses?

OVERVIEW

1 Mechanisms for neutrino masses

2 Upper limits

3 Lower limits

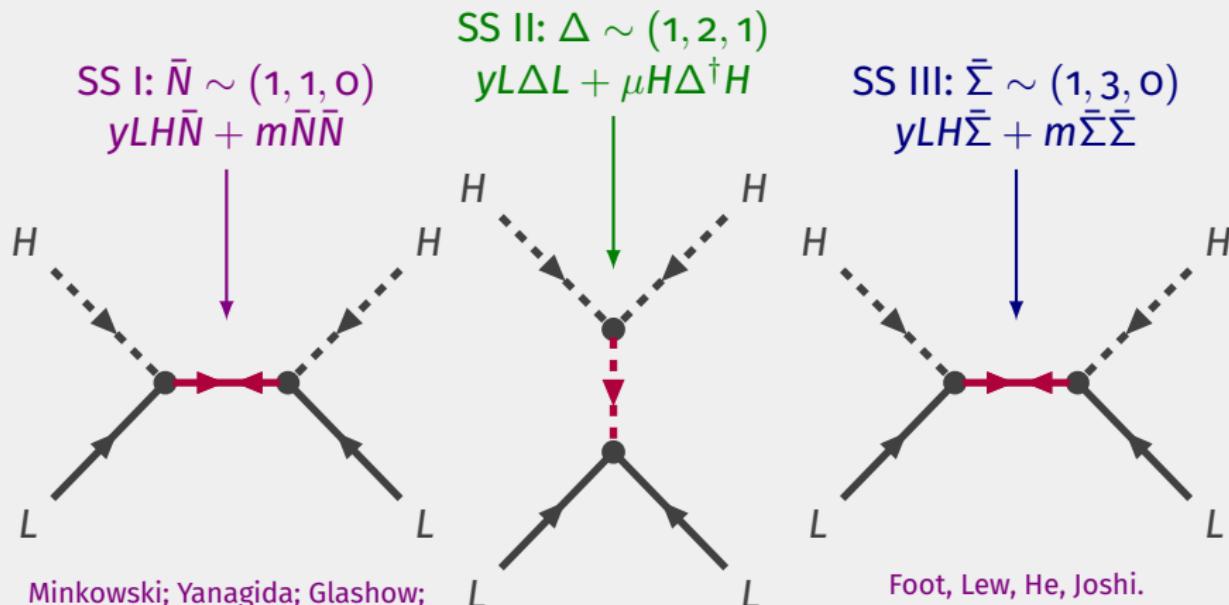
4 Summary and conclusions

MECHANISMS FOR NEUTRINO MASSES

MAJORANA NEUTRINO MASSES

- **Tree-level.** Only a few: seesaws I/II/III simple, GUT connection, leptogenesis, but huge scales
→ very hard to test and hierarchy problem
- **Radiative.** In principle more testable, but hundreds of them.
Classified by
 1. **Topologies** at a given loop order (up to 3 loops)
 2. $\Delta L = 2$ **EFT operators** beyond Weinberg operator

TREE LEVEL: SEESAWS



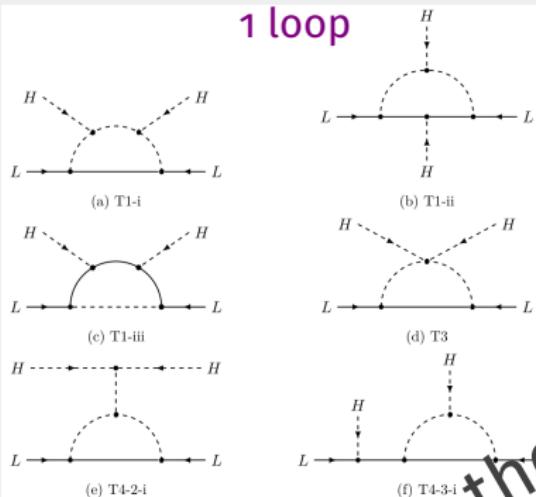
Minkowski; Yanagida; Glashow;
 Gell-Mann, Ramond, Slansky;
 Mohapatra, Senjanovic.

Mohapatra, Senjanovic;
 Magg, Wetterich;
 Lazarides, Shafi, Wetterich;
 Schechter, Valle.

Foot, Lew, He, Joshi.

LOOP LEVEL MODELS

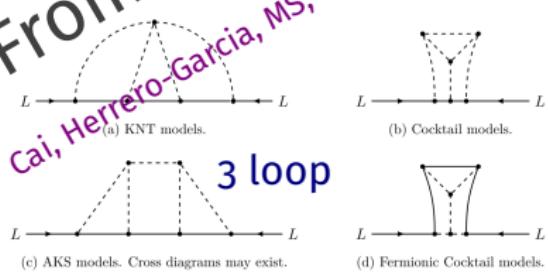
1 loop



2 loop



3 loop



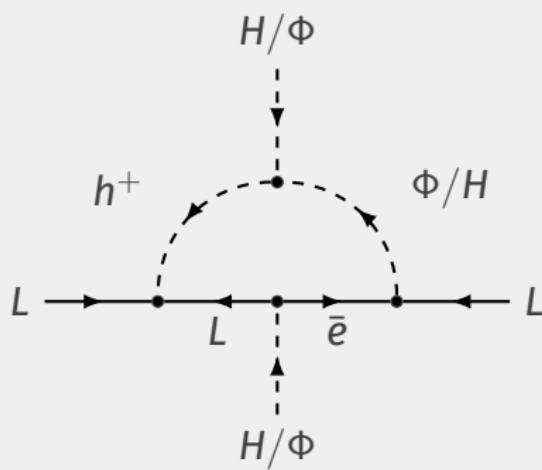
EXAMPLES OF LOOP MODELS

[ZEE, CHENG, LI, BABU]

Singly-charged scalar: $fLLh^+$

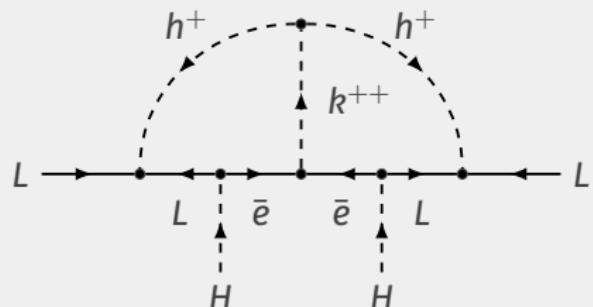
Zee model

$$y\bar{e}\phi^\dagger L + \mu h^- H\phi$$



Zee-Babu model

$$g\bar{e}\bar{e}k^{--} + \mu h^+ h^+ k^{--}$$



$\Delta L = 2$ EFT OPERATORS

[BABU, LEUNG, DE GOUVEA, JENKINS]

Zee model Zee-Babu model



$$O_2 = L^i L^j L^k \bar{e} H^l \epsilon_{ij} \epsilon_{kl}$$

$$O_{3a} = L^i L^j Q^k \bar{d} H^l \epsilon_{ij} \epsilon_{kl} \quad O_{3a} = L^i L^j Q^k \bar{d} H^l \epsilon_{ik} \epsilon_{jl}$$

$$O_9 = L^i L^j L^k \bar{e} L^l \bar{e} \epsilon_{ij} \epsilon_{kl}$$

$$O_{10} = L^i L^j L^k \bar{e} Q^l \bar{d} \epsilon_{ij} \epsilon_{kl}$$

$$O_{11a} = L^i L^j Q^k \bar{d} Q^l \bar{d} \epsilon_{ij} \epsilon_{kl}$$

$$O_{11b} = L^i L^j Q^k \bar{d} Q^l \bar{d} \epsilon_{ik} \epsilon_{jl}$$

$$O_{12a} = L^i L^j Q_i^\dagger \bar{u}^\dagger Q_j^\dagger \bar{u}^\dagger$$

$$O_{12b} = L^i L^j Q_k^\dagger \bar{u}^\dagger Q_l^\dagger \bar{u}^\dagger \epsilon_{ij} \epsilon^{kl}$$

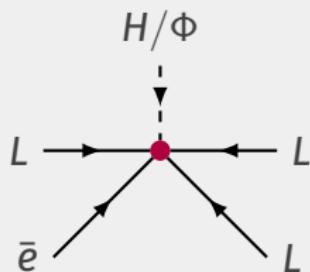
...

$$O_{59} = L^i Q^j \bar{d} \bar{d} \bar{e}^\dagger \bar{u}^\dagger H^k H_i^\dagger \epsilon_{jk}$$

$$O_{60} = L^i \bar{d} Q_j^\dagger \bar{u}^\dagger \bar{e}^\dagger \bar{u}^\dagger H^j H_i^\dagger$$

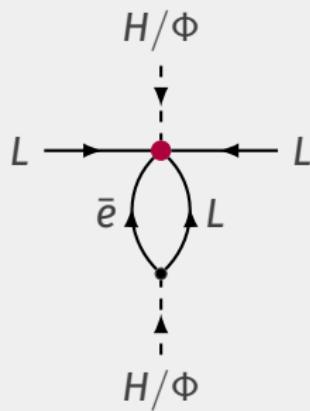
operators up to dimension 11 classified

EFT ESTIMATE



Operator

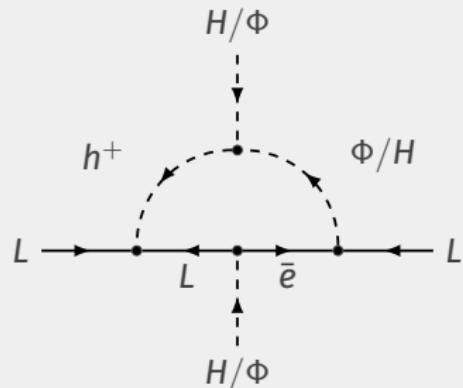
$$O_2 = LLL\bar{e}H$$



Estimate

$$m_\nu \simeq \frac{1}{16\pi^2} \frac{\text{chirality flip } y_\tau}{y_\tau} \frac{c_2 v^2}{\Lambda}$$

loop factor $\frac{1}{16\pi^2}$



UV model: Zee

$$m_\nu \simeq \frac{fm_\tau^2 \mu}{16\pi^2 m_{h^+}^2}$$

NEUTRINO MASSES

Classification in terms of effective $\Delta L = 2$ operators

Babu, Leung hep-ph/0106054; deGouvea, Jenkins 0708.1344 Bonnet, Hernandez, Ota, Winter 0907.3143

$$m_\nu \simeq \frac{c_R v^2}{(16\pi^2)^l \Lambda} , \text{ with } c_R \simeq \prod_i g_i \times \epsilon \times \left(\frac{v^2}{\Lambda^2}\right)^n$$

Loop factor μ/Λ $LLHH(H^\dagger H)^n$

$$m_\nu \gtrsim 0.05 \text{ eV} \Rightarrow \begin{cases} l = 1 \rightarrow \Lambda < 10^{12} \text{ GeV} \\ l = 2 \rightarrow \Lambda < 10^{10} \text{ GeV} \\ l = 3 \rightarrow \Lambda < 10^8 \text{ GeV} \end{cases}$$

→ no information on $\Delta L = 0$ processes

Systematic construction of models

Angel, Rudd, Volkas 1212.5862; Cai, Clarke, MS, Volkas 1308.0463; Gargalionis, Volkas (in prep)

Bonnet, Hirsch, Ota, Winter 1204.5862; Aristizabal Sierra, Degee, Dorame, Hirsch 1411.7038; Cepedello, Fonseca, Hirsch 1807.00629

Volkas (NuFact 2019): "exploding $\Delta L = 2$ operators" ... "1000s of models"

→ too many models!

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Loop factor

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Loop factor

$LLHH(H^\dagger H)^n$

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CAN WE DO BETTER? → HYBRID APPROACH



CAN WE DO BETTER? → HYBRID APPROACH

QUESTIONS

1. How can we **classify** the plethora of models?
2. What are the **most testable** ones, with the **lightest particles**?
3. Is any class of models already **ruled-out**?
4. Can we study the **phenomenology** without going to a particular model?

UPPER LIMITS

MAIN IDEA

1. m_ν requires at least one new particle X (mass M) coupled to SM lepton(s), carrying L (and maybe B).
2. QFT: L is violated (by two units) via new operators at scale Λ which encode the (model-dependent) UV physics.
3. Majorana neutrino masses, $m_\nu \propto 1/\Lambda$, are generated.
4. $m_\nu > 0.05\text{eV}$ & $M \leq \Lambda \Rightarrow$ conservative upper bound on M.
5. L-conserving pheno mostly determined by renormalizable $\Delta L = 0$ operator

Bounds apply to all models where X is the lightest particle.

EXAMPLE AT TREE LEVEL

SM bilinear LH (seesaw type I):

1. **New particle:** fermion singlet N with $Y = 0$ and $L = -1$.
2. L is violated (by two units) via $MNN (+yLHN)$
3. Neutrino masses, $m_\nu = y^2 v^2 / M$, are generated.
4. $m_\nu > 0.05\text{eV}$ & $y \leq 1 \Rightarrow$ conservative upper bound
$$M \leq 10^{15}\text{GeV}$$

POSSIBLE NEW PARTICLES

$LH \rightarrow N(\text{SSI}), \Sigma(\text{SSIII})$

$LL \rightarrow \Delta(\text{SSII}), h(\text{Zee})$

$\bar{e}\bar{e} \rightarrow k(\text{Zee-Babu})$

$LH^\dagger \rightarrow \dots$

$\bar{e}H^\dagger \rightarrow \dots$

$\bar{e}\sigma_\mu L^\dagger \rightarrow \dots$

\dots

PARTICLES GENERATING TREE LEVEL NEUTRINO MASSES

$$X \sim (SU(3)_c, SU(2)_L, U(1)_Y)_{S/F/V}^{L,3B}$$

$\Delta L = 2$ operators

Seesaw type

Particle	$\Delta \mathcal{L} = 0$	$ \Delta \mathcal{L} = 2$	BL	ℓ	m_ν	Upper bound
$\bar{N} \sim (1, 1, 0)_F^{-1,0}$	$y \bar{N} H L$	$M \bar{N} \bar{N}$	I	\mathcal{O}_1	0	$\frac{y^2 v^2}{M}$
$\Delta \sim (1, 3, 1)_S^{-2,0}$	$y L \Delta L$	$\mu H \Delta^\dagger H$	II	\mathcal{O}_1	0	$\frac{y_\mu v^2}{M^2}$
$\bar{\Sigma}_0 \sim (1, 3, 0)_F^{-1,0}$	$y \bar{\Sigma}_0 L H$	$M \bar{\Sigma}_0 \bar{\Sigma}_0$	III	\mathcal{O}_1	0	$\frac{y^2 v^2}{M}$
$L_1 \sim (1, 2, -1/2)_F^{1,0}$	$m \bar{L}_1 L$	$\frac{c}{\Lambda} L_1 H L H$		\mathcal{O}_1	0	$\frac{c m}{M} \frac{v^2}{\Lambda} c$
Seesaws						

PARTICLES GENERATING LOOP LEVEL NEUTRINO MASSES

Zee-Babu

$$X \sim (SU(3)_c, SU(2)_L, U(1)_Y)_{S/F/V}^{L,3B}$$

Zee

Loop order

Seesaws

Particle	$\Delta \mathcal{L} = 0$	$ \Delta \mathcal{L} = 2$	BL	ℓ	m_ν	Upper bound
$\bar{N} \sim (1, 1, 0)_F^{-1,0}$	$y \bar{N} H L$	$M \bar{N} \bar{N}$	\mathcal{O}_1	0	$\frac{y^2 v^2}{M}$	$M \lesssim 10^{15} \text{ GeV}$
$\Delta \sim (1, 3, 1)_S^{-2,0}$	$y L \Delta L$	$\mu H A^\dagger H$	\mathcal{O}_1	0	$\frac{y_\mu v^2}{M^2}$	$M \lesssim 10^{15} \text{ GeV}$
$\bar{\Sigma}_0 \sim (1, 3, 0)_F^{-1,0}$	$y \bar{\Sigma}_0 L H$	$M \bar{\Sigma}_0 \bar{\Sigma}_0$	\mathcal{O}_1	0	$\frac{y^2 v^2}{M}$	$M \lesssim 10^{15} \text{ GeV}$
$L_1 \sim (1, 2, -1/2)_F^{1,0}$	$m \bar{L}_1 L$ $y H^\dagger \bar{e} L_1$	$\frac{c}{\Lambda^2} L_1 H L H$ $\frac{c}{\Lambda^2} \bar{L}_1 \bar{u} d^\dagger L^\dagger$	\mathcal{O}_1 \mathcal{O}_8^\dagger	0 2	$\frac{c m}{\Lambda} \frac{v^2}{(4\pi)^4} c$ $\frac{c y y_u y_d y_l}{(4\pi)^4} \frac{v^2}{\Lambda}$	$M \lesssim 10^{15} \text{ GeV}$ $M \lesssim 10^7 \text{ GeV}$

Radiative

$h \sim (1, 1, 1)_S^{-2,0}$	$y L L h$	$\frac{c}{\Lambda} h^\dagger \bar{e} L H$	\mathcal{O}_2	1	$\frac{c y y_l}{(4\pi)^2} \frac{v^2}{\Lambda}$	$M \lesssim 10^{10} \text{ GeV}$
$k \sim (1, 1, 2)_S^{-2,0}$	$y \bar{e}^\dagger \bar{e}^\dagger k$	$\frac{c}{\Lambda^3} k^\dagger L^\dagger L^\dagger L^\dagger L^\dagger$	\mathcal{O}_9^\dagger	2	$\frac{c y y_l^2}{(4\pi)^4} \frac{v^2}{\Lambda}$	$M \lesssim 10^6 \text{ GeV}$
$\bar{E} \sim (1, 1, 1)_F^{-1,0}$	$y \bar{E} L H^\dagger$ $m \bar{e} E$	$\frac{c}{\Lambda^4} L E H Q^\dagger \bar{u}^\dagger H$ $\frac{c}{\Lambda^3} \bar{E} L L L H$	\mathcal{O}_6 \mathcal{O}_2	2 1	$\frac{c y y_u v^2}{(4\pi)^4} \frac{v^2}{\Lambda}$ $\frac{c m}{M} \frac{y_l}{(4\pi)^2} \frac{v^2}{\Lambda}$	$M \lesssim 10^{10} \text{ GeV}$ $M \lesssim 10^{10} \text{ GeV}$
$\bar{\Sigma}_1 \sim (1, 3, 1)_F^{-1,0}$	$y H^\dagger \bar{\Sigma}_1 L$	$\frac{c}{\Lambda^2} L H H \Sigma_1 H$	$\mathcal{O}_1'^1$	1	$\frac{c y}{(4\pi)^2} \frac{v^2}{\Lambda}$	$M \lesssim 10^{12} \text{ GeV}$
$L_2 \sim (1, 2, -3/2)_F^{1,0}$	$y H \bar{e} L_2$	$\frac{c}{\Lambda^2} \bar{L}_2 L L L$	\mathcal{O}_2	1	$\frac{c y y_l}{(4\pi)^2} \frac{v^2}{\Lambda}$	$M \lesssim 10^{11} \text{ GeV}$
$X_2 \sim (1, 2, 3/2)_V^{-2,0}$	$y \bar{e}^\dagger \bar{\sigma}^\mu L X_{2\mu}$	$\frac{c}{\Lambda} \bar{u}^\dagger \bar{\sigma}^\mu \bar{d} X_{2\mu}^\dagger H$	\mathcal{O}_8	2	$\frac{c y y_u y_d y_e}{(4\pi)^4} \frac{v^2}{\Lambda}$	$M \lesssim 10^7 \text{ GeV}$

PARTICLES WITH B (LEPTOQUARKS)

$X \sim (SU(3)_c, SU(2)_L, U(1)_Y)_{S/F/V}^{L,3B}$ $\Delta L = 2$ operators Loop order

Particle	$\Delta \mathcal{L} = 0$	$ \Delta \mathcal{L} = 2$	BL	ℓ	m_ν	Upper bound
$\tilde{R}_2 \sim (3, 2, 1/6)_S^{-1,1}$	$y \bar{d} L \tilde{R}_2$	$\frac{c}{\Lambda} \tilde{R}_2^\dagger Q L H$	\mathcal{O}_{3b}	1	$\frac{c y y_d}{(4\pi)^2} \frac{v^2}{\Lambda}$	$M \lesssim 10^{11} \text{ GeV}$
$R_2 \sim (3, 2, 7/6)_S^{-1,1}$	$y \bar{e}^\dagger Q^\dagger R_2$	$\frac{c}{\Lambda^3} R_2^\dagger L^\dagger L^\dagger L^\dagger \bar{d}^\dagger$	\mathcal{O}_{10}^\dagger	2	$\frac{c y y_d y_l}{(4\pi)^4} \frac{v^2}{\Lambda}$	$M \lesssim 10^7 \text{ GeV}$
	$y \bar{u} L R_2$	$\frac{c}{\Lambda^3} R_2^\dagger L^\dagger L^\dagger L^\dagger \bar{d}^\dagger$	\mathcal{O}_{15}^\dagger	3	$\frac{c y y_d y_u g^2}{2(4\pi)^6} \frac{v^2}{\Lambda}$	$M \lesssim 10^6 \text{ GeV}$
$S_1 \sim (\bar{3}, 1, 1/3)_S^{-1,-1}$	$y L Q S_1$	$\frac{c}{\Lambda} S_1^\dagger L H \bar{d}$	\mathcal{O}_{3b}	1	$\frac{c y y_d}{(4\pi)^2} \frac{v^2}{\Lambda}$	$M \lesssim 10^{11} \text{ GeV}$
	$y \bar{u}^\dagger \bar{e}^\dagger S_1$	$\frac{c}{\Lambda} S_1^\dagger L H \bar{d}$	\mathcal{O}_8	2	$\frac{c y y_l y_u y_d}{(4\pi)^4} \frac{v^2}{\Lambda}$	$M \lesssim 10^7 \text{ GeV}$
$S_3 \sim (\bar{3}, 3, 1/3)_S^{-1,-1}$	$y L S_3 Q$	$\frac{c}{\Lambda} \bar{d} L S_3^\dagger H$	\mathcal{O}_{3b}	1	$\frac{c y y_d}{(4\pi)^2} \frac{v^2}{\Lambda}$	$M \lesssim 10^{11} \text{ GeV}$
$\tilde{S}_1 \sim (\bar{3}, 1, 4/3)_S^{-1,-1}$	$y \bar{e}^\dagger \bar{d}^\dagger \tilde{S}_1$	$\frac{c}{\Lambda^3} \tilde{S}_1^\dagger L^\dagger L^\dagger L^\dagger Q^\dagger$	\mathcal{O}_{10}^\dagger	2	$\frac{c y y_d y_l}{(4\pi)^4} \frac{v^2}{\Lambda}$	$M \lesssim 10^7 \text{ GeV}$
$V_2 \sim (\bar{3}, 2, 5/6)_V^{-1,-1}$	$y \bar{d}^\dagger \bar{\sigma}^\mu V_{2\mu} L$	$\frac{c}{\Lambda^5} Q^\dagger \bar{\sigma}^\mu L V_{2\mu}^\dagger \bar{H} e L H$	\mathcal{O}_{23}	3	$\frac{c y y_d y_l}{(4\pi)^6} \frac{v^2}{\Lambda}$	$M \lesssim 10^4 \text{ GeV}$
	$y Q \sigma^\mu V_{2\mu} \bar{e}^\dagger$	$\frac{c}{\Lambda^5} Q^\dagger \bar{\sigma}^\mu L V_{2\mu}^\dagger \bar{H} e L H$	$\mathcal{O}_{44a,b,d}$	3	$\frac{c y g^2}{2(4\pi)^6} \frac{v^2}{\Lambda}$	$M \lesssim 10^7 \text{ GeV}$
$\tilde{V}_2 \sim (\bar{3}, 2, -1/6)_V^{-1,-1}$	$y \bar{u}^\dagger \bar{\sigma}^\mu \tilde{V}_{2\mu} L$	$\frac{c}{\Lambda} Q^\dagger \bar{\sigma}^\mu L H \tilde{V}_{2\mu}^\dagger$	\mathcal{O}_{4a}	1	$\frac{c y y_u}{(4\pi)^2} \frac{v^2}{\Lambda}$	$M \lesssim 10^{12} \text{ GeV}$
$U_1 \sim (3, 1, 2/3)_V^{-1,1}$	$y Q^\dagger \bar{\sigma}^\mu U_{1\mu} L$	$\frac{c}{\Lambda} \bar{u}^\dagger \bar{\sigma}^\mu L H U_{1\mu}^\dagger$	\mathcal{O}_{4a}	1	$\frac{c y y_u}{(4\pi)^2} \frac{v^2}{\Lambda}$	$M \lesssim 10^{12} \text{ GeV}$
	$y \bar{d} \sigma^\mu U_{1\mu} \bar{e}^\dagger$	$\frac{c}{\Lambda} \bar{u}^\dagger \bar{\sigma}^\mu L H U_{1\mu}^\dagger$	\mathcal{O}_8	2	$\frac{c y y_u y_d y_l}{(4\pi)^4} \frac{v^2}{\Lambda}$	$M \lesssim 10^7 \text{ GeV}$
$U_3 \sim (3, 3, 2/3)_V^{-1,1}$	$y Q^\dagger \bar{\sigma}^\mu U_{3\mu} L$	$\frac{c}{\Lambda} \bar{u}^\dagger \bar{\sigma}^\mu L U_{3\mu}^\dagger H$	\mathcal{O}_{4a}	1	$\frac{c y y_u}{(4\pi)^2} \frac{v^2}{\Lambda}$	$M \lesssim 10^{12} \text{ GeV}$
$\tilde{U}_1 \sim (3, 1, 5/3)_V^{-1,1}$	$y \bar{u} \sigma^\mu \bar{e}^\dagger \tilde{U}_{1\mu}$	$\frac{c}{\Lambda^5} \bar{u}^\dagger \bar{\sigma}^\mu L H \tilde{U}_{1\mu}^\dagger \bar{e} L H$	\mathcal{O}_{46}	3	$\frac{c y g^2}{2(4\pi)^6} \frac{v^2}{\Lambda}$	$M \lesssim 10^7 \text{ GeV}$

Radiative

HIGGS NATURALNESS

See also: SSI: Vissani hep-ph/9709409; SSII(III) 1303.7244

$$\Rightarrow M \lesssim \frac{16\pi^2 |\delta m_H^2|_{\max}^{1/2}}{\sqrt{6N_c(3Dg^4 + N_w Y^2 g'^4)}}$$
$$\Rightarrow M \lesssim \frac{2\pi |\delta m_H^2|_{\max}^{1/2}}{|y|\sqrt{2N_c}}$$
$$\Rightarrow M \lesssim \frac{4\pi^2 |\delta m_H^2|_{\max}^{1/2}}{\sqrt{N_c(3Dg^4 + N_w Y^2 g'^4)}}$$

Naturalness limits much stronger, but less robust

LOWER LIMITS

PHENOMENOLOGY

- Driven by renormalizable interaction:

1. Violation of lepton flavor, universality, PMNS unitarity.
2. Direct searches at colliders

- Driven by non-renormalizable part:

1. $\Delta L = 2$ processes, like neutrino-less double beta decay.
2. B violation, like nucleon decays
3. Washout of BAU

B VIOLATION (LQ)

[WEINBERG, WELDON, NATH, BARR, BABU, ARNOLD, DORSNER,...]

Di-quark couplings generate tree-level nucleon decays:

$$S_1 = (\bar{3}, \mathbf{1}, 1/3) : y_1 S_1^\dagger \bar{u} \bar{e} + y_2 S_1 \bar{u} \bar{d}$$

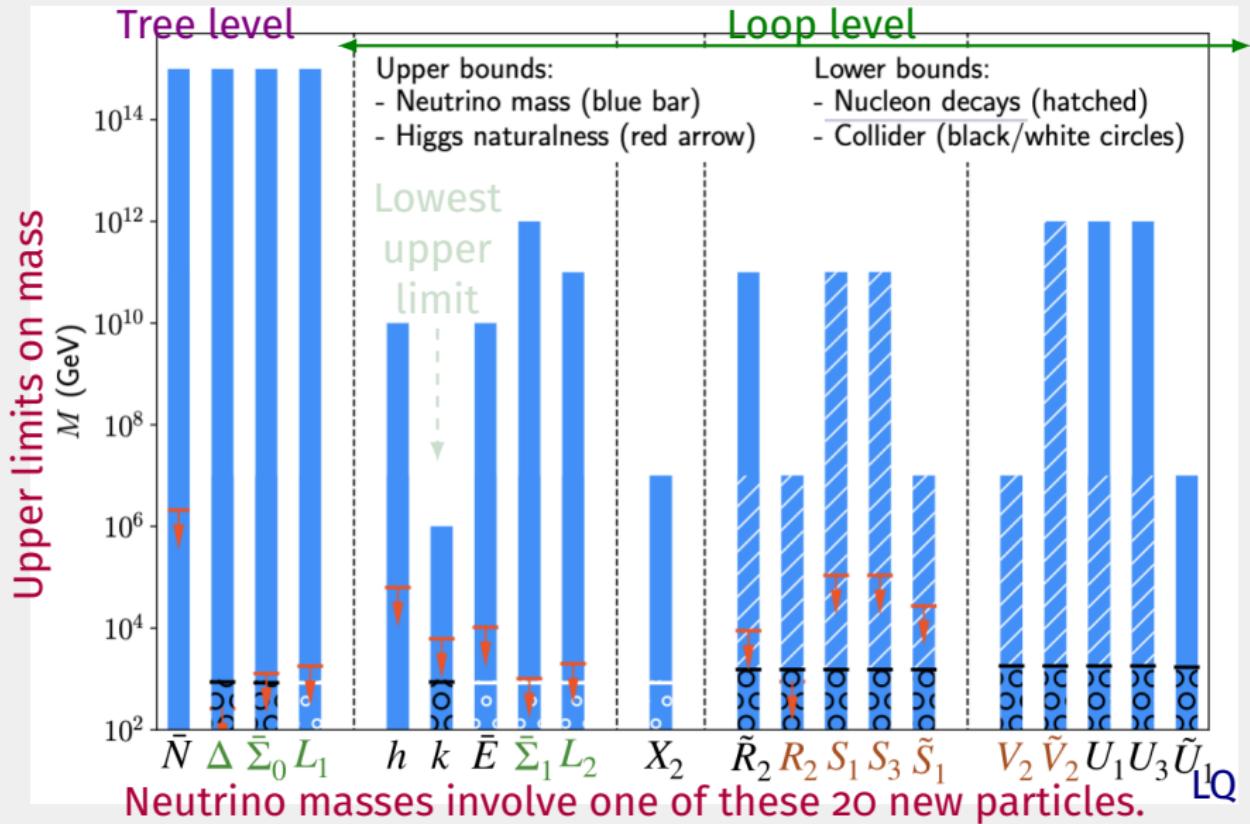
$$\Gamma(p \rightarrow \pi^0 e^+) \simeq \frac{|y_1|^2 |y_2|^2}{8\pi} \frac{m_p^5}{M_{S_1}^4} < \frac{1}{10^{33} \text{y}}$$

$$\Rightarrow M_{S_1} \gtrsim 10^{16} \text{GeV}$$

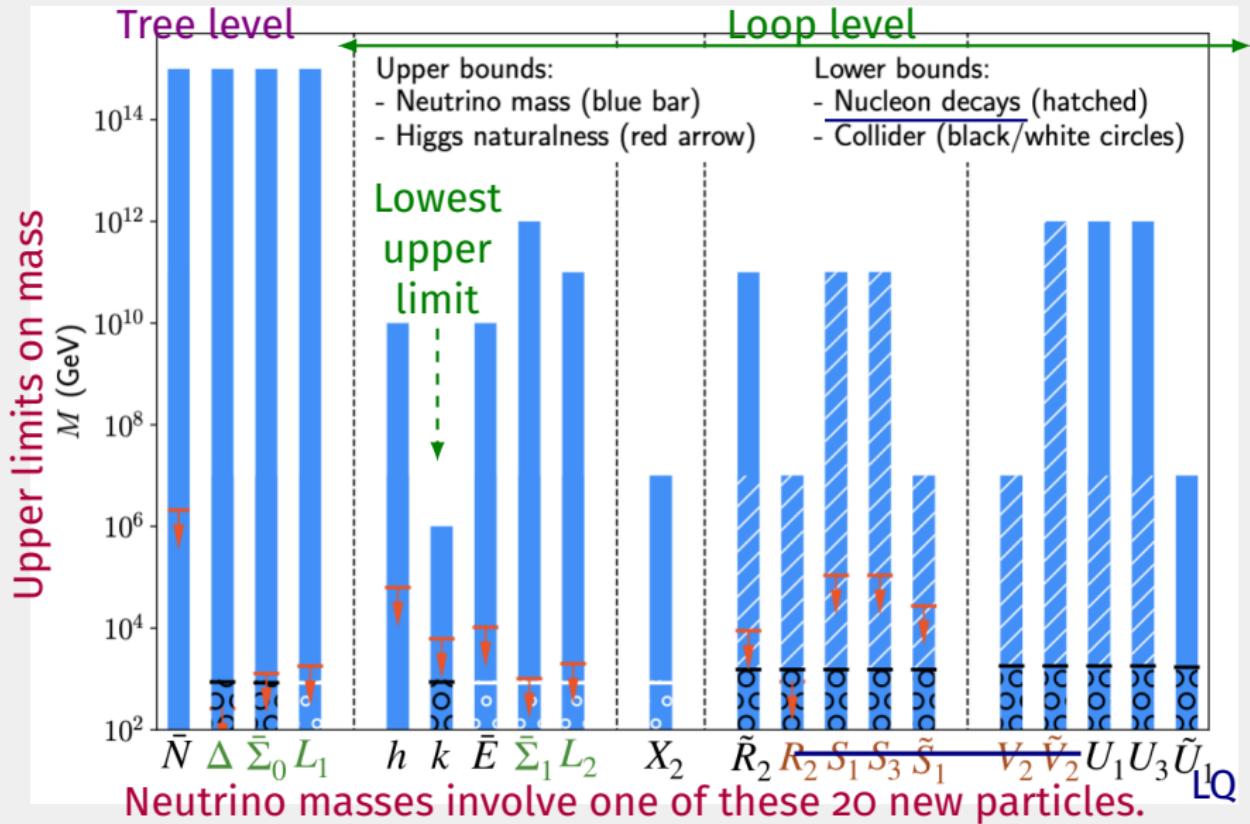
Therefore, S_1 cannot generate neutrino masses without imposing B conservation by hand.

SUMMARY AND CONCLUSIONS

SUMMARY PLOT



SUMMARY PLOT



CONCLUSIONS

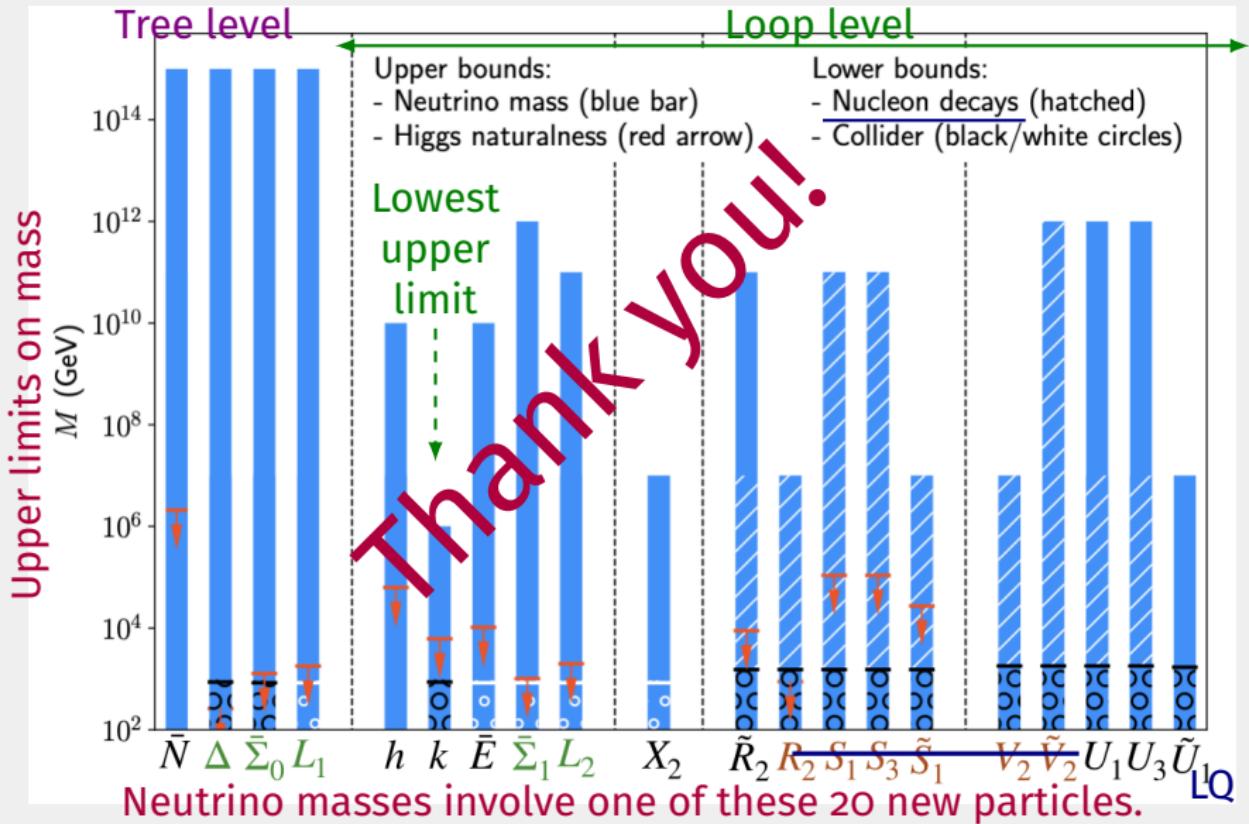
- Simple way of **organizing** the plethora of neutrino models in a **small number** of categories
- Robust limits on all possible new particles involved in m_ν
- Useful framework to study phenomenology
- Nucleon decays rule out some scenarios.

POSTDOC POSITION IN FLAVOUR PHYSICS AVAILABLE AT UNSW

– QUARK, NEUTRINO, CHARGED-LEPTON FLAVOUR –

CONTACT ME
IF YOU ARE INTERESTED

SUMMARY PLOT



BACKUP SLIDES

NEUTRINOLESS DOUBLE β DECAY [IBARRA, DE GOUVEA, BLENNOW, RODEJOHANN, BONNET, ...]

New contributions may be significant for:

1. SSI/III, if new fermion singlets $M_R \sim \mathcal{O}(\text{GeV})$
2. New $D = 7$ operators, if $\Lambda \lesssim \mathcal{O}(100\text{TeV})$
Like $O_8 = \bar{u}^\dagger \bar{e}^\dagger L \bar{d} H$, generated by L_1, X_2, S_1, U_1