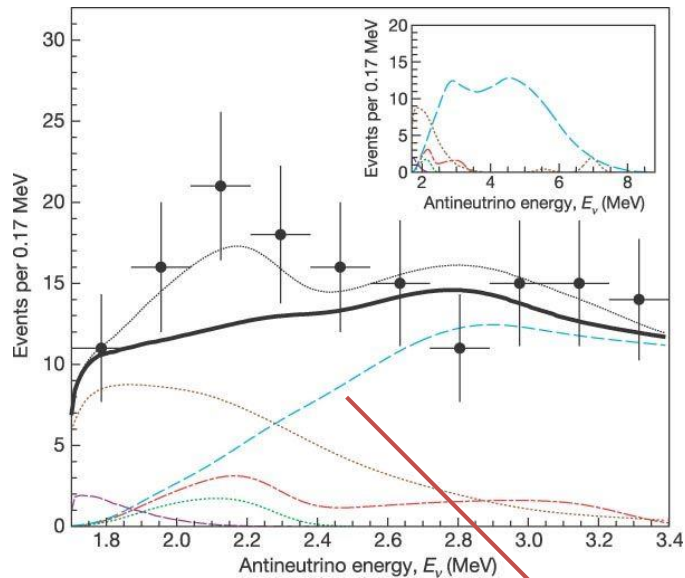


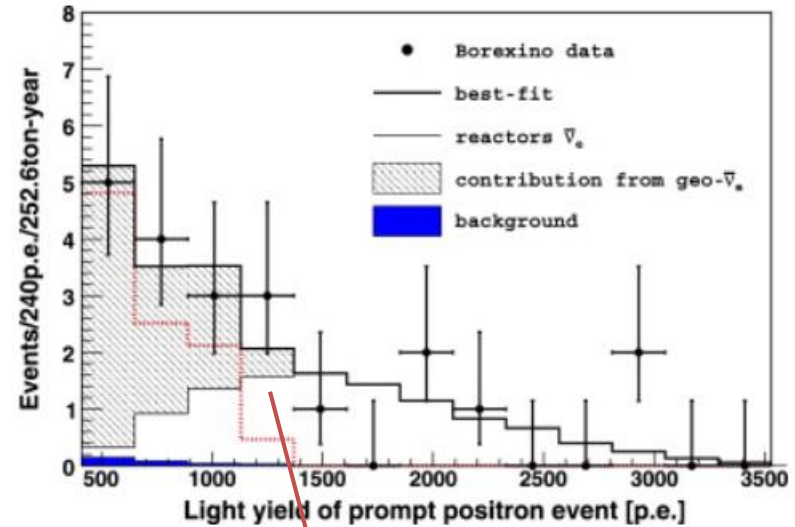
# Reactor Neutrino Flux and Spectrum

Chao Zhang  
Brookhaven National Laboratory

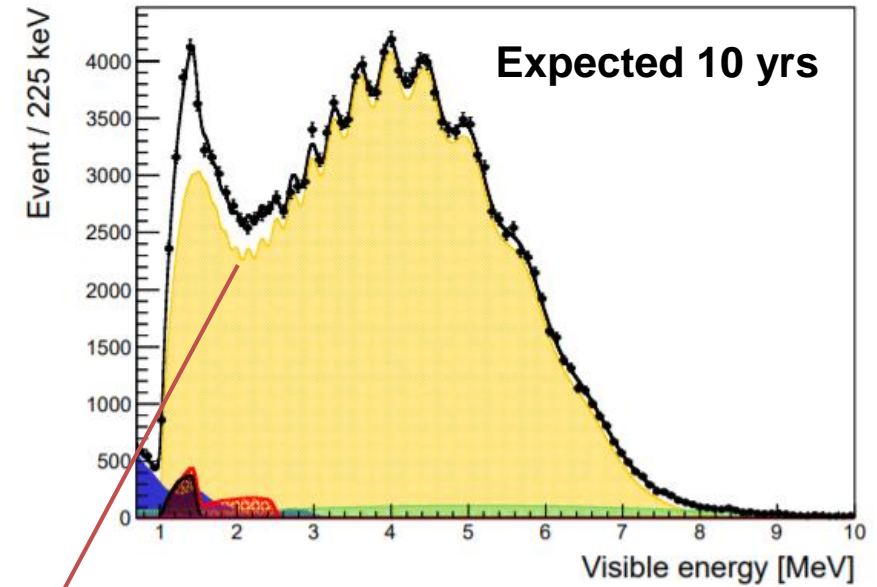
# Reactor Neutrino: Largest Background to Geoneutrino



KamLAND, *Nature* **436**, 499 (2005)



Borexino, *Phys Lett B* **687**, 299 (2010)



JUNO, *J. Phys. G* **43**, 030401 (2016)

## Reactor Neutrinos!

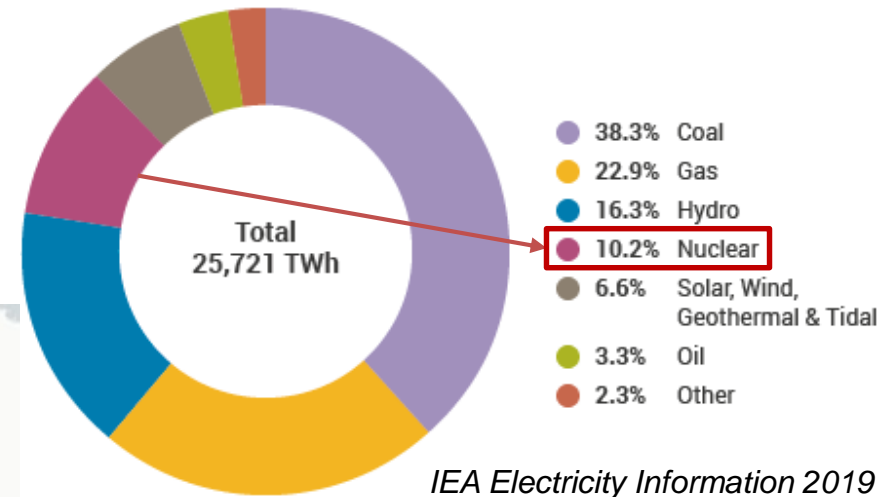
Calculate yourself: how much reactor neutrino background does my geoneutrino experiment have?

<https://geoneutrinos.org/reactors/>

Barna, A.M. and Dye, S.T., "Web Application for Modeling Global Antineutrinos," arXiv:1510.05633 (2015).

# Global Nuclear Reactor Distribution

<https://www.carbonbrief.org/mapped-the-worlds-nuclear-power-plants>



- ❑ **>450** operating nuclear reactors with **>50** under construction in **>30** countries
- ❑ **~10%** of global electricity

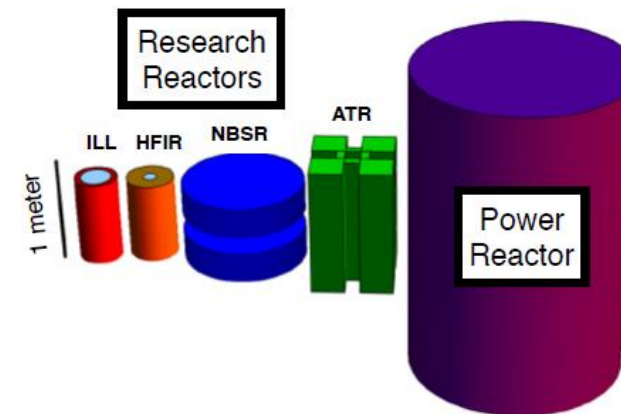
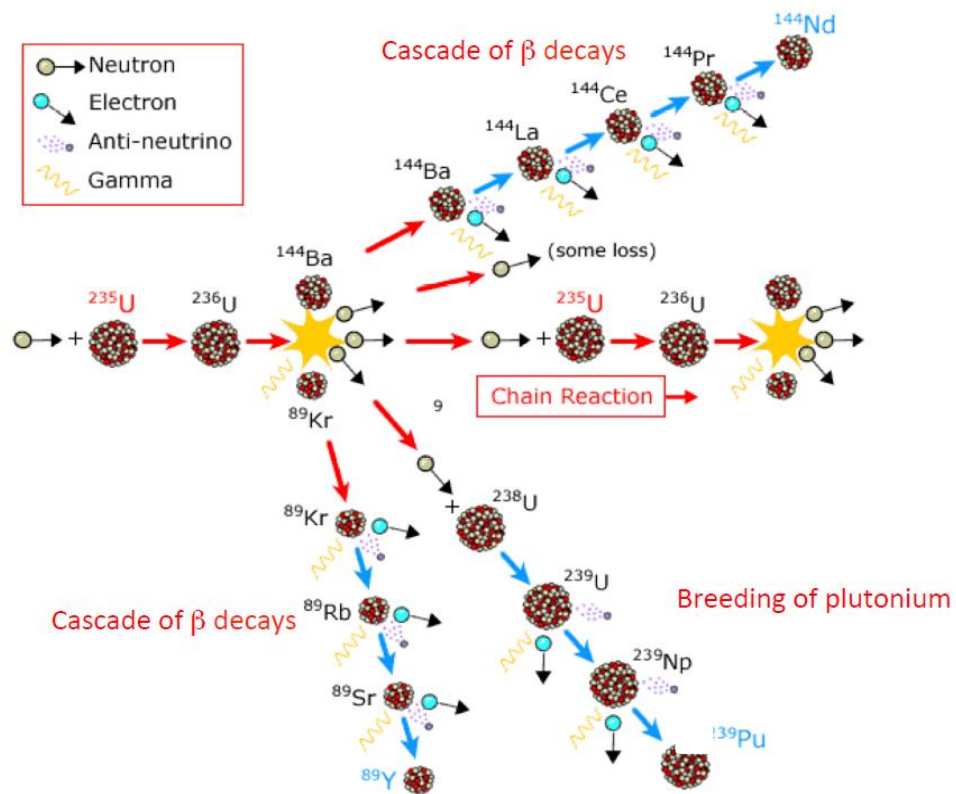
OPERATING

OFFLINE

SHUTDOWN

UNDER  
CONSTRUCTION

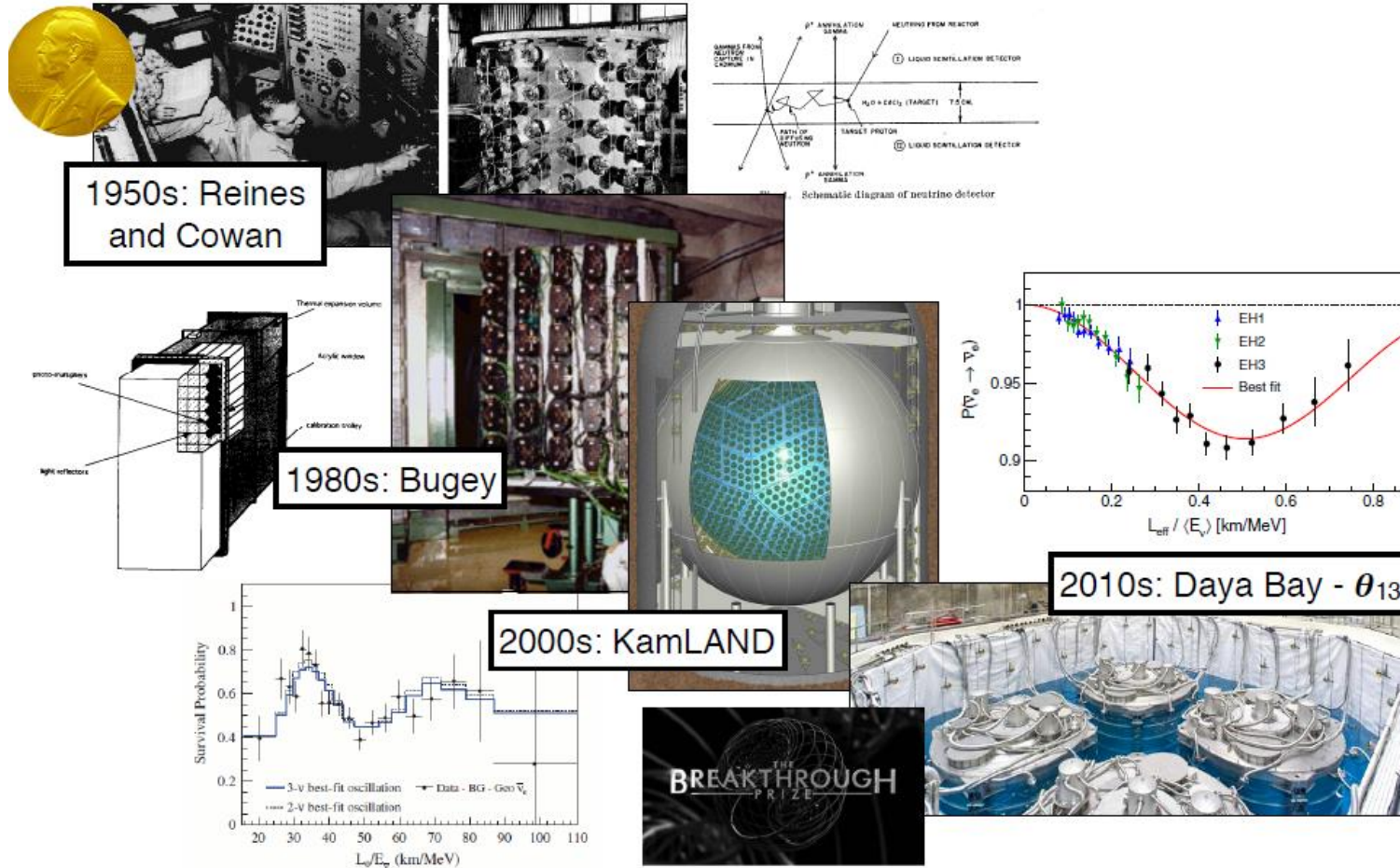
# Nuclear Reactor as Antineutrino Source



- ❑ Nuclear reactors produce pure  $\bar{\nu}_e$  from beta decays of fission daughters
  - Low energy:  $< 10 \text{ MeV}$
- ❑  $\sim 6 \bar{\nu}_e / \text{fission}$
- ❑  $2 \times 10^{20} \bar{\nu}_e / \text{sec per GW}_{\text{th}}$  (free for physicists)

- ❑ **Commercial reactors** in Nuclear Power Plants have low-enriched uranium (LEU) cores
  - Mixture of fissions:  $^{235}\text{U}$  ( $\sim 55\%$ ),  $^{239}\text{Pu}$  ( $\sim 30\%$ ),  $^{238}\text{U}$  ( $\sim 10\%$ ),  $^{241}\text{Pu}$  ( $\sim 5\%$ )
  - Large power:  $\sim 3 \text{ GW}_{\text{th}}$
- ❑ **Research reactors** have highly-enriched uranium (HEU) cores
  - $^{235}\text{U}$  fission fraction  $\sim 99\%$
  - Lower power, few tens of  $\text{MW}_{\text{th}}$
  - compact size

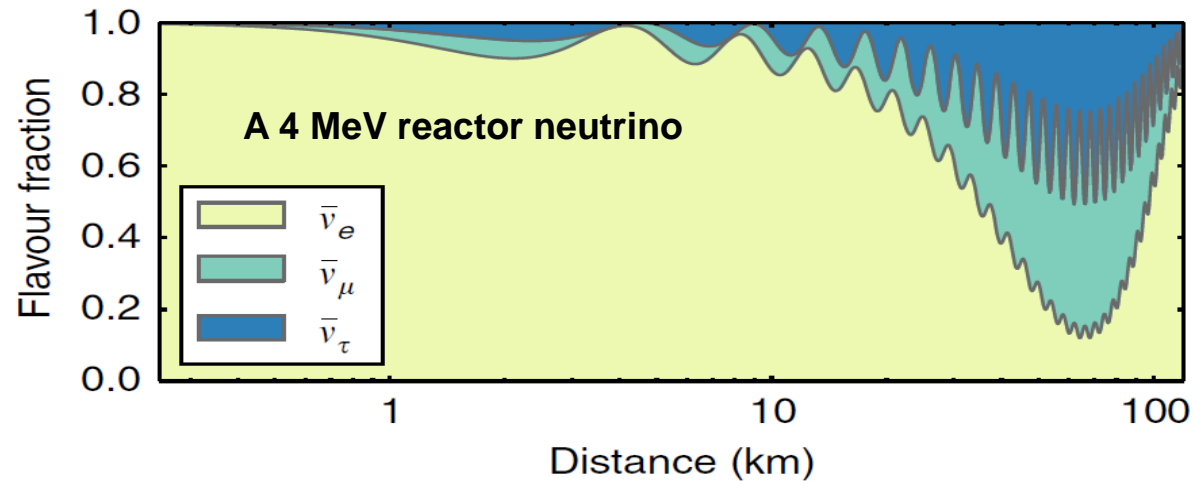
# History of Reactor Neutrino Experiments



- ❑ Discovery of  $\nu$
- ❑ Solving solar  $\nu$  problem on Earth
- ❑ Discovery of smallest oscillation angle  $\theta_{13}$
- ❑ Currently hold the best precision of
  - $\Delta m_{21}^2$  (KamLAND)
  - $\theta_{13}$  (Daya Bay)
- ❑ Comparable precision to accelerator-based experiments
  - $|\Delta m_{32}^2|$  (Daya Bay)

# Neutrino Oscillations

$$P(\bar{\nu}_e \rightarrow \bar{\nu}_e) = 1 - 4s_{13}^2 c_{13}^2 (c_{12}^2 \sin^2 \Delta_{31} + s_{12}^2 \sin^2 \Delta_{32}) - 4c_{13}^4 s_{12}^2 c_{12}^2 \sin^2 \Delta_{21}$$

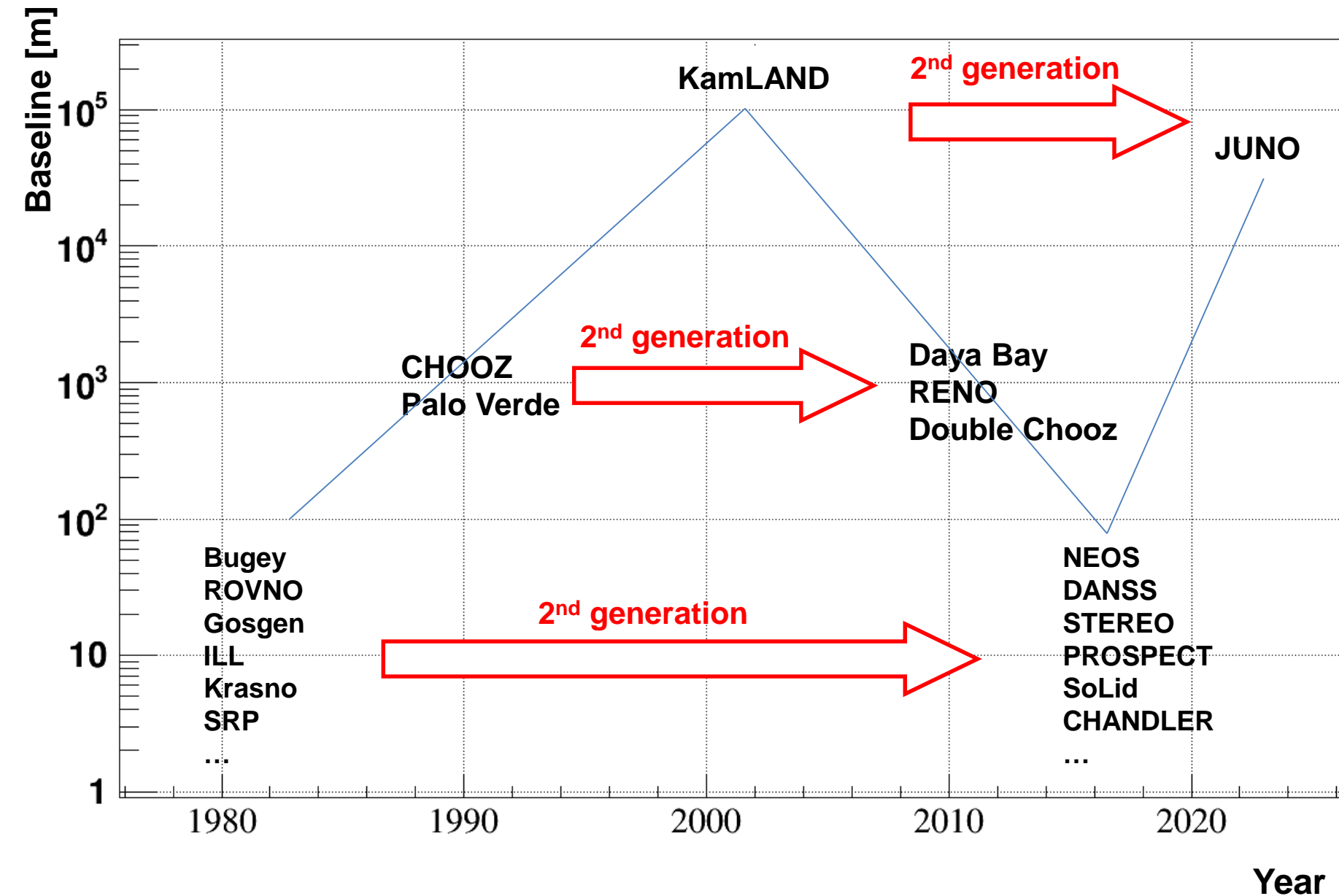


$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = U_{\text{PMNS}} \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix}$$

↓

$\begin{pmatrix} 1 & 0 & 0 \\ 0 & \cos \theta_{23} & \sin \theta_{23} \\ 0 & -\sin \theta_{23} & \cos \theta_{23} \end{pmatrix}$	$\begin{pmatrix} \cos \theta_{13} & 0 & \sin \theta_{13} e^{-i\delta} \\ 0 & 1 & 0 \\ -\sin \theta_{13} e^{i\delta} & 0 & \cos \theta_{13} \end{pmatrix}$	$\begin{pmatrix} \cos \theta_{12} & \sin \theta_{12} & 0 \\ -\sin \theta_{12} & \cos \theta_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix}$	$\begin{pmatrix} 1 & 0 & 0 \\ 0 & e^{-i\alpha_1/2} & 0 \\ 0 & 0 & e^{-i\alpha_2/2} \end{pmatrix}$
Atmospheric / Long baseline accelerator	Short baseline reactor / Long baseline accelerator	Solar / Long baseline reactor	Neutrinoless double beta decay

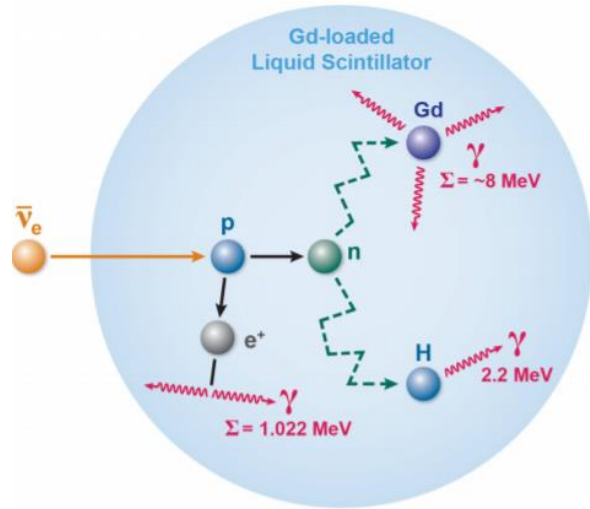
- Fundamental properties of neutrinos due to quantum mixture of mass eigenstates
- For geoneutrino: important to correctly predict reactor neutrino flux at distances



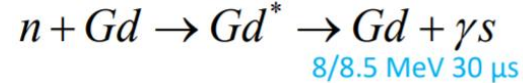
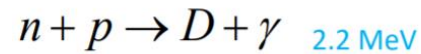
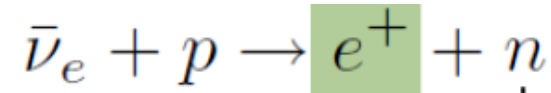
## New generation of reactor neutrino experiments

- Precision measurement of neutrino oscillation parameters
- Search for new oscillation from sterile neutrinos
- Precision measurement of reactor neutrino flux and spectrum

# Measure Reactor Antineutrino Spectrum



## Inverse Beta Decay (IBD)

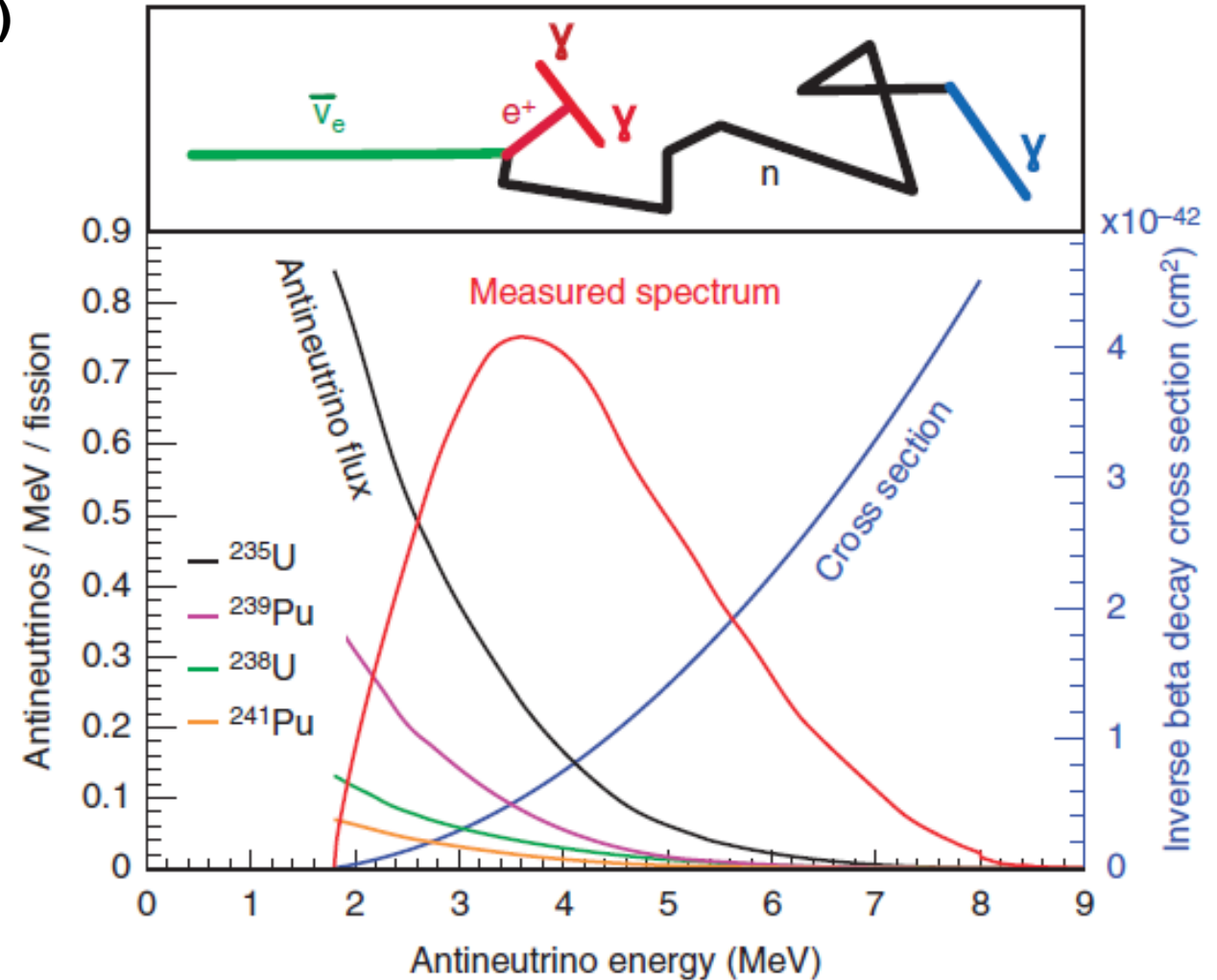


$$S(E_\nu) = c \cdot \sum_i f_i \cdot s_i(E_\nu) \cdot \sigma(E_\nu)$$



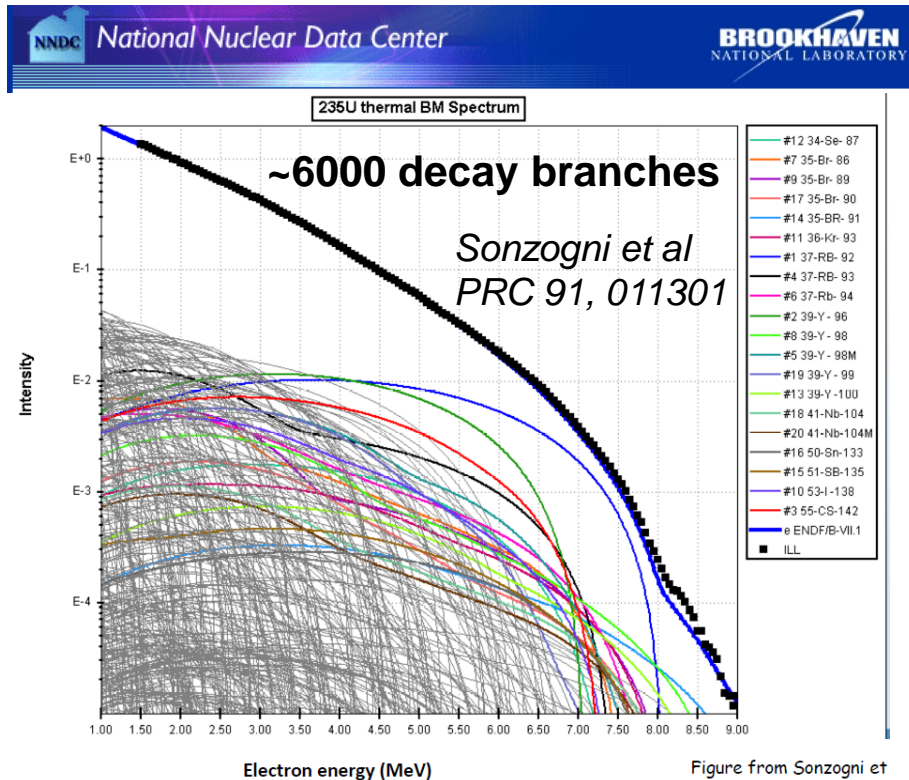
reactor thermal power, energy released per fission, baseline, target protons, detection efficiency, oscillation, etc.

Nature Communications 6, 6935 (2015)





# Reactor Models



## □ Summation (*ab initio*) method

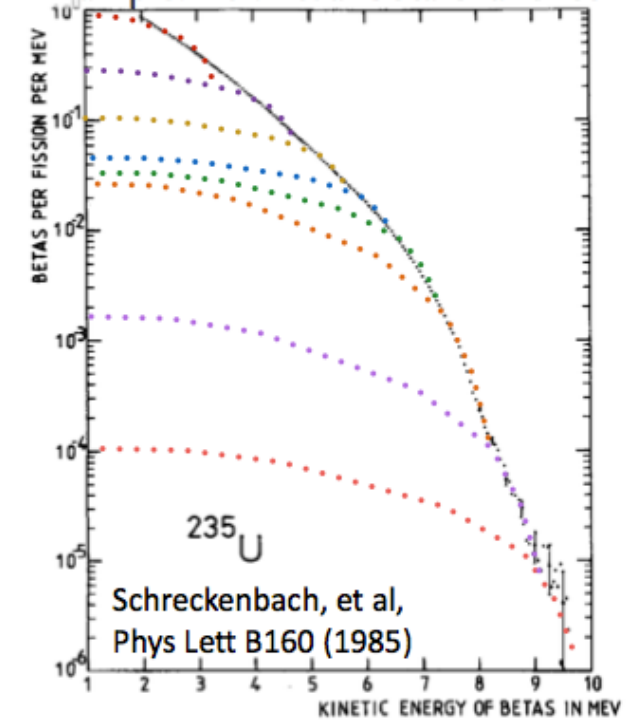
- Calculate the spectrum of each beta-decay branch using **nuclear databases: fission yields, decay schemes**
- ~10%** uncertainty

## □ Conversion Method

- Measure total outgoing beta-decay electron energy spectra.  
(Experiments done for  $^{235}\text{U}$ ,  $^{239}\text{Pu}$ ,  $^{241}\text{Pu}$  at ILL in the 1980s)
- Predict corresponding anti-neutrino spectra with >30 virtual branches
- Default model by most reactor neutrino experiments

- Considered to be more precise:  
**~2.5%** uncertainty

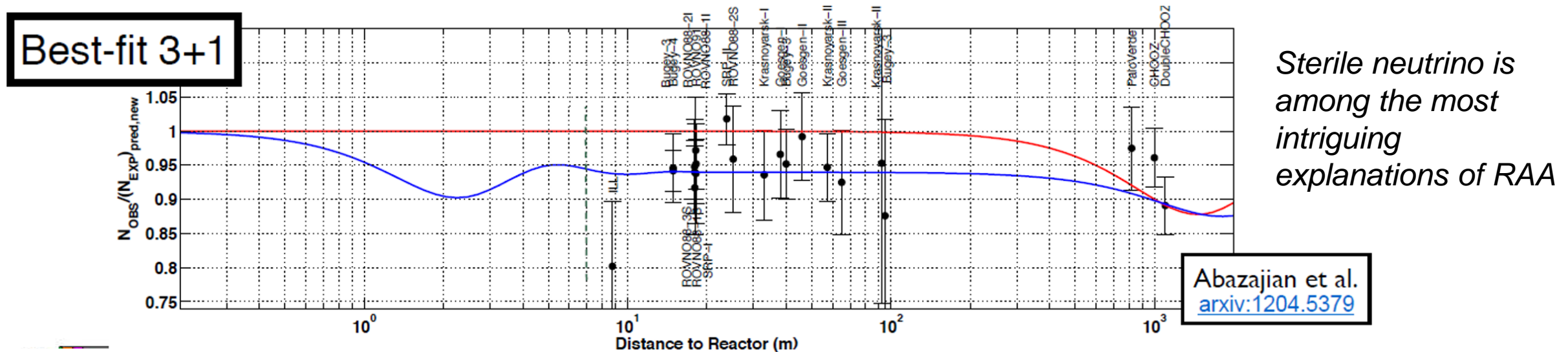
## Example: Fit virtual beta branches



## □ Recent re-analyses in 2011 increased prediction by ~5%

- Conversion +3%
- Neutron lifetime +1%
- Non-equilibrium isotopes +1%

# Model vs. Measurements: Disagreement



- Recent reactor neutrino experiments have revealed at least **three disagreements** with model predictions
  - **Integrated flux deficit:** often called “Reactor Antineutrino Anomaly (RAA)”
  - **Spectral shape difference:** often called “5 MeV bump”
  - **Individual isotope spectra:** through the study of “fuel evolution”
- **Daya Bay** is leading these flux and spectrum measurements due to its **largest statistics** and **best control of systematic uncertainties**
  - also measured by RENO, Double Chooz, and other short-baseline (SBL) experiments

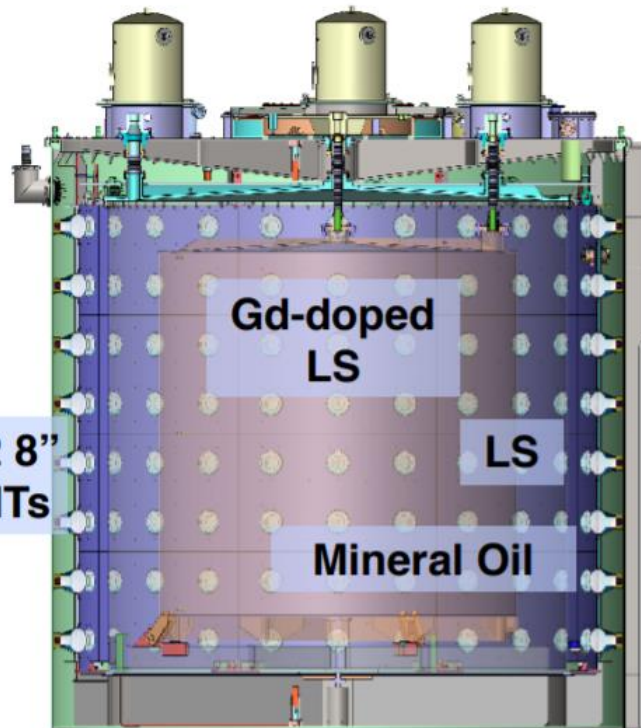
# The Daya Bay Neutrino Experiment



## Timeline

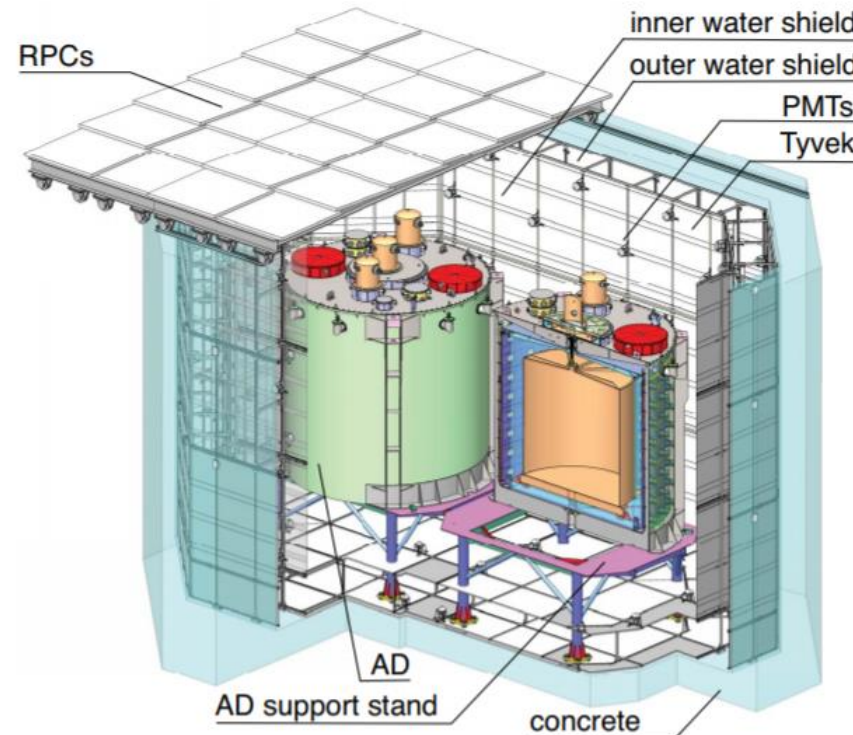
- 2003: Experiment proposed
- 2006: Collaboration Established
- 2011.8: First near hall data taking
- 2011.12: 3-hall data taking
- 2012.3: Discovery of non-zero  $\theta_{13}$
- Latest data set:  
2011.12 – 2017.9  
(1958 days)

# Daya Bay Detectors



Energy resolution:  
 $\sigma_E/E \approx 8.5\%/ \sqrt{E} (\text{MeV})$

*NIM A811, 133 (2016)*



Double purpose: shield the ADs  
 and veto cosmic ray muons

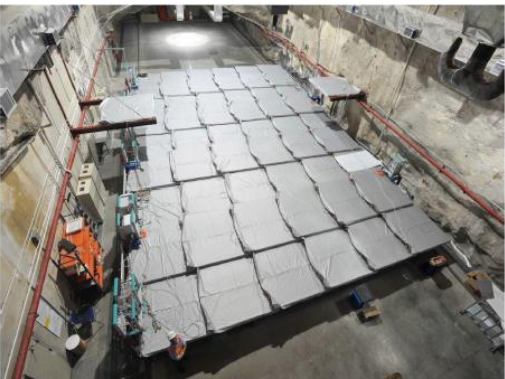
*NIM A773, 8 (2015)*

## Antineutrino Detector (AD)

- Inner: 20-ton GdLS (target volume)
- Mid: 20-ton LS (gamma catcher)
- Outer: 40-ton mineral oil (buffer)

## Muon Veto System

- Water Cherenkov: optically separated inner/outer region
- RPC: independent tagging



# A Low Background Experiment

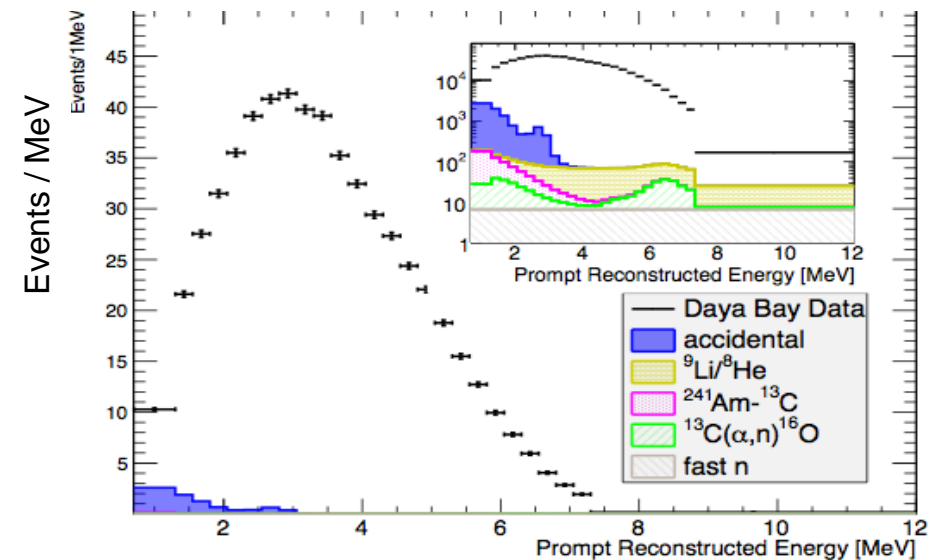
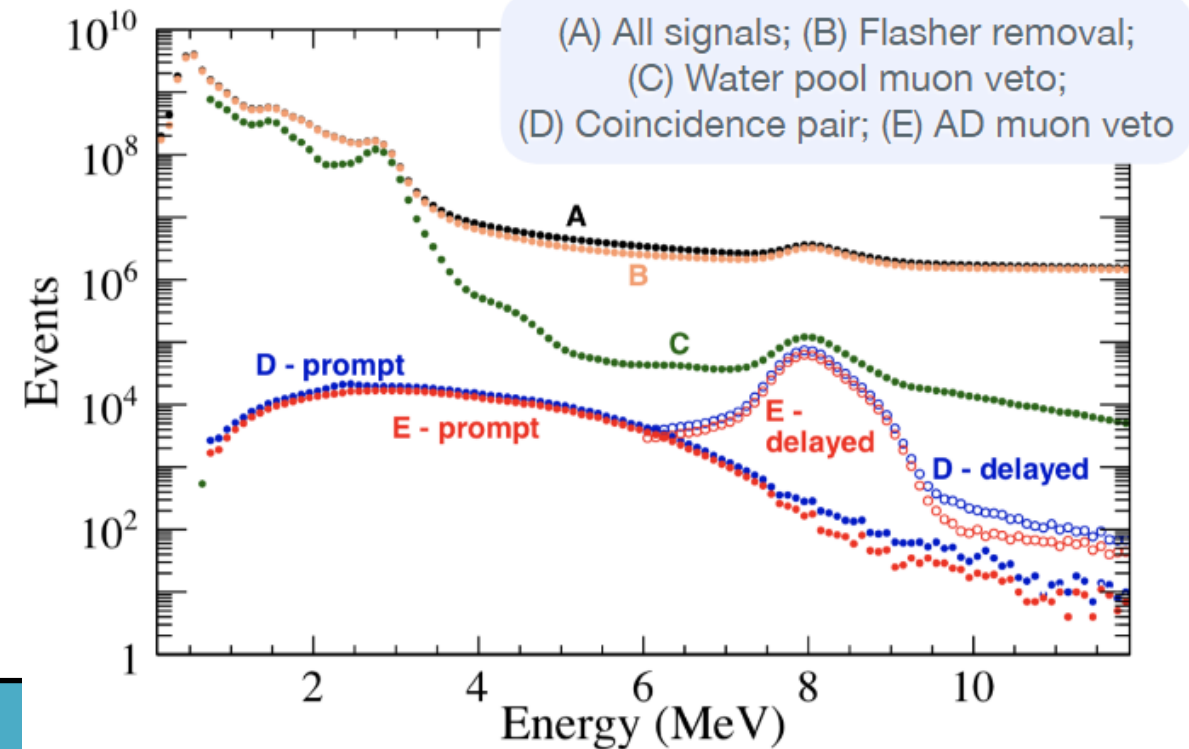
## IBD Events

- Near site: ~600 /day
- Far site: ~75 /day

Accidental background to signal: ~2%

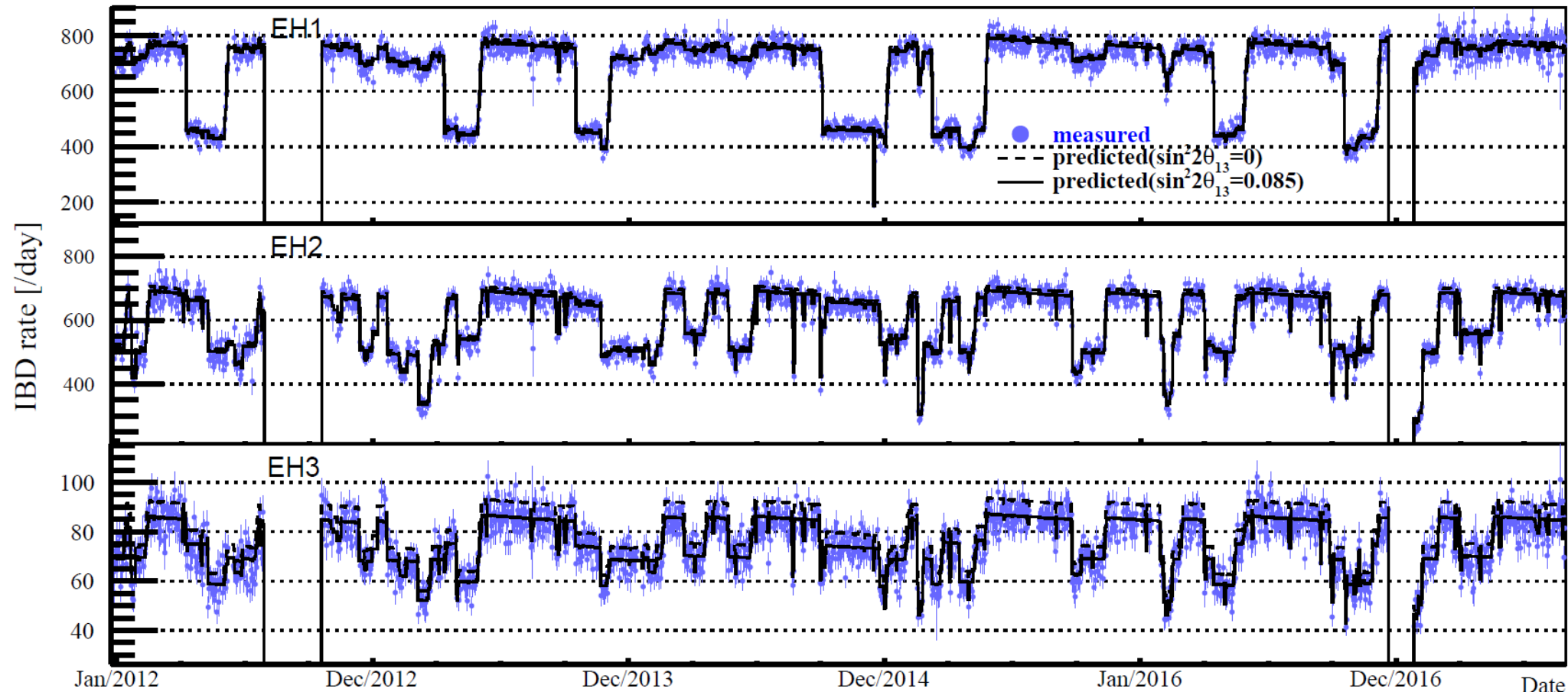
Correlated background to signal: ~0.5%

Background	Near	Far	Uncertainty	Method
Accidentals	1.4%	2.3%	negligible	statistically calculated from uncorrelated singles
AmC source	0.03%	0.2%	~50%	MC benchmarked with single gamma and strong AmC source
Li-9 / He-8	0.4%	0.4%	~30%	measured with after-muon events
Fast neutron	0.1%	0.1%	~30%	measured from AD/water/RPC tagged muon events
Alpha-n	0.01%	0.1%	~50%	calculated from measured radioactivity



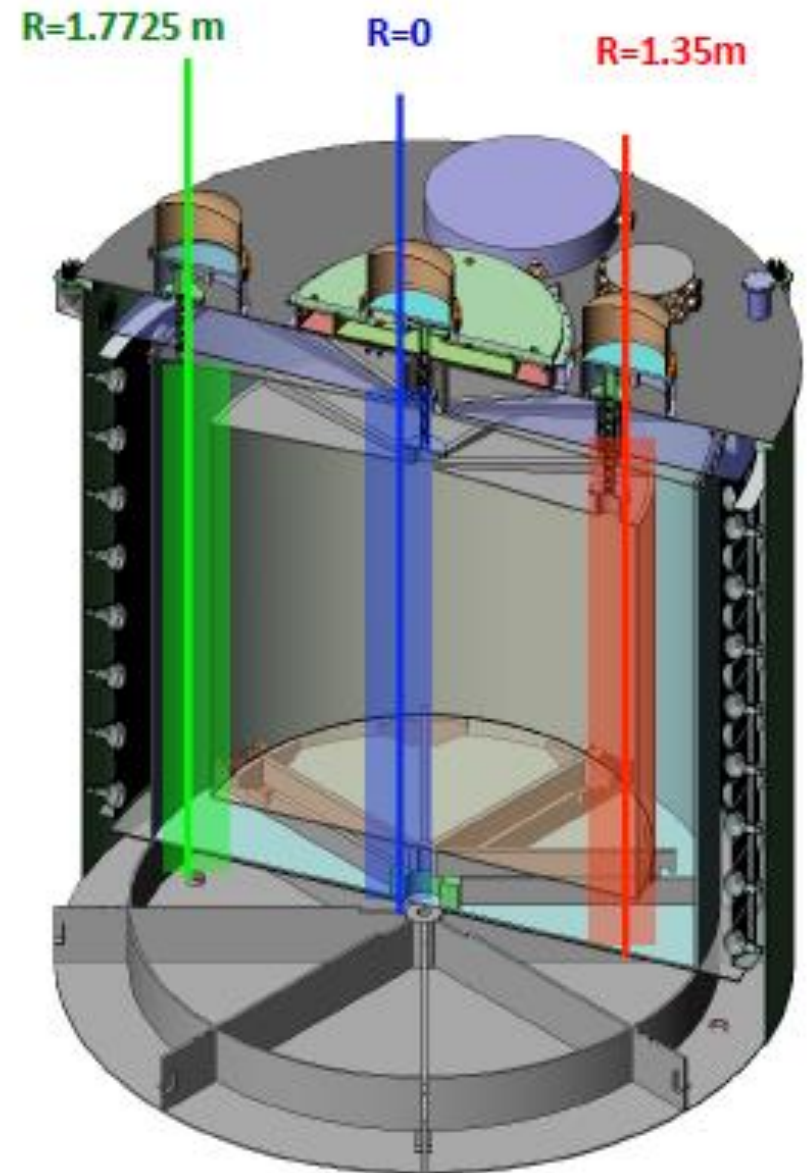
# Unprecedented Reactor Antineutrino Sample

- 3.9 million inverse beta decay (IBD) interactions (0.5M at far site)
- Observed rate highly correlated with reactor operations



# Calibration System

- ❑ Three fully Automated Calibration Units (ACUs) per detector, weekly calibration
  - 3 sources for each z axis on a turn-table
    - $^{68}\text{Ge}$  (2 x 0.511 MeV  $\gamma$ )
    - $^{60}\text{Co}$  (1.173 + 1.332 MeV  $\gamma$ ) +  $^{241}\text{Am}^{13}\text{C}$  (neutron)
    - LED for PMT gain and timing
- ❑ Special calibration campaign with temporary sources for energy and efficiency study
  - $^{137}\text{Cs}$ ,  $^{54}\text{Mn}$ ,  $^{40}\text{K}$ ,  $^{241}\text{Am}^9\text{Be}$ ,  $^{239}\text{Pu}^{13}\text{C}$
- ❑ One-time 4- $\pi$  manual calibration system in one AD for position dependence study
- ❑ In-situ calibration
  - PMT gain: dark noise
  - Energy scale: spallation neutrons





# Antineutrino Detection efficiency

## Previous IBD efficiency values

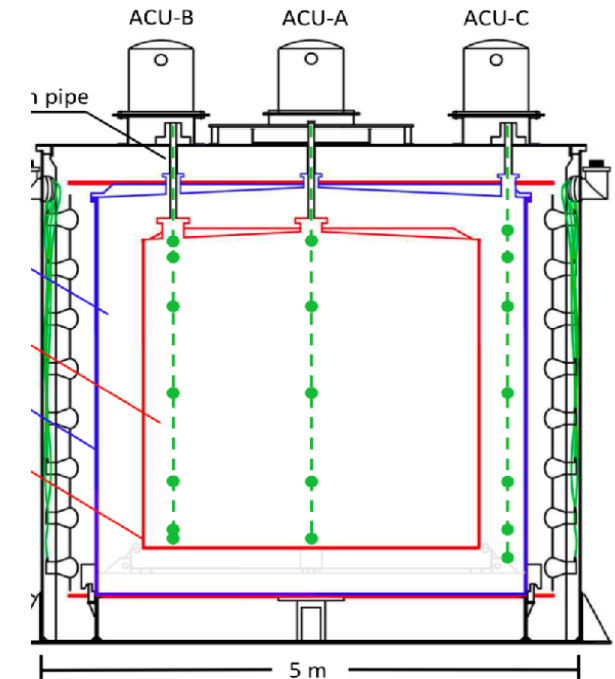
Source	$\epsilon$	$\delta\epsilon/\epsilon$
Target protons	-	<b>0.92%</b>
Flasher cut	99.98%	0.01%
Capture time cut	98.70%	0.12%
Prompt energy cut	99.81%	0.10%
<b>Gd capture fraction</b>	84.17%	<b>0.95%</b>
<b>nGd detection efficiency</b>	92.7%	<b>0.97%</b>
<b>Spill-in correction</b>	104.9%	<b>1.00%</b>
Combined	80.6%	1.93%

Uncertainty  
improved x2

$$\epsilon_n = 81.48 (1 \pm 0.74)\%$$

$$\epsilon_{\text{total}} = 80.24 (1 \pm 1.50)\%$$

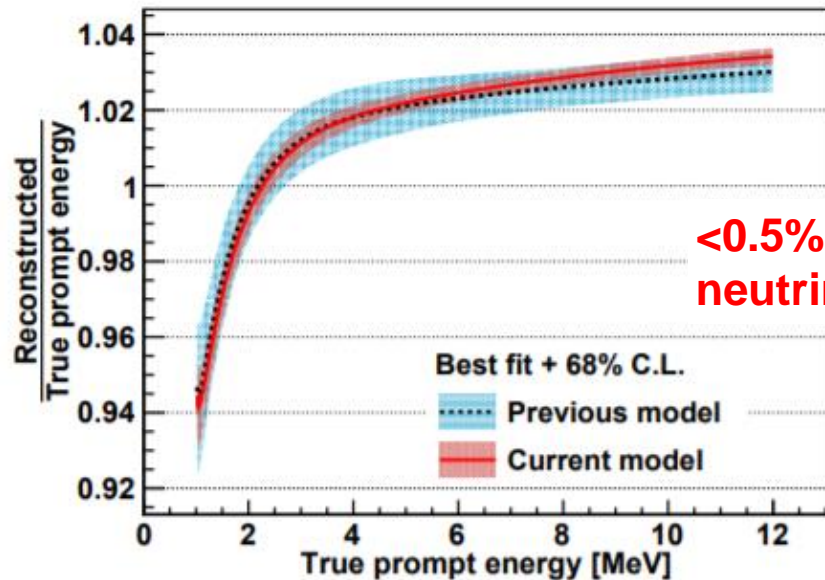
*Phys. Rev. D 100, 052004 (2019)*



- ❑ **Gd capture fraction:** neutron capture on other target (e.g. hydrogen) or outside GdLS region (spill out)
- ❑ **nGd detection efficiency:** low energy tail from capture gammas escaping GdLS+LS
- ❑ **Spill in:** IBD interaction outside of GdLS but neutron capture inside GdLS

- ❑ Special calibration in 2016 with neutron sources ( $^{241}\text{Am}$ - $^{13}\text{C}$  and  $^{241}\text{Am}$ - $^9\text{Be}$ )
- ❑ Systematic Monte-Carlo study with different models to constrain neutron detection efficiency

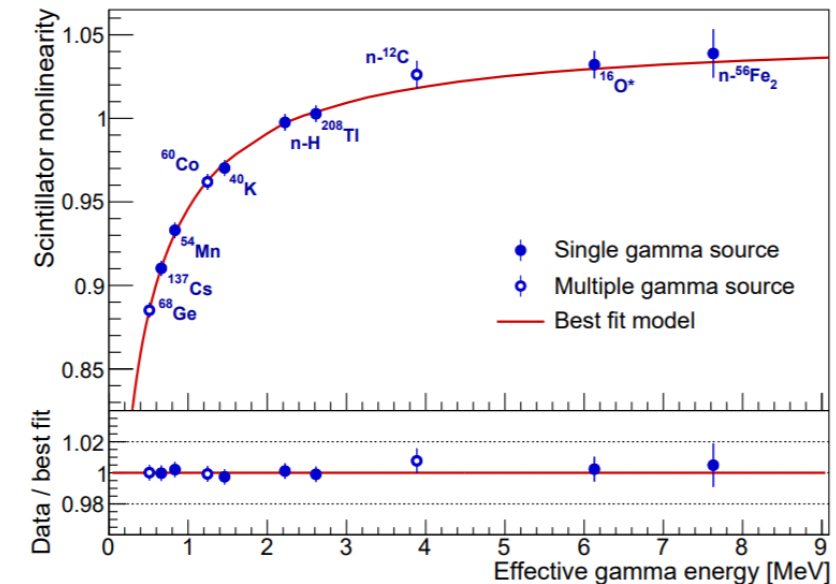
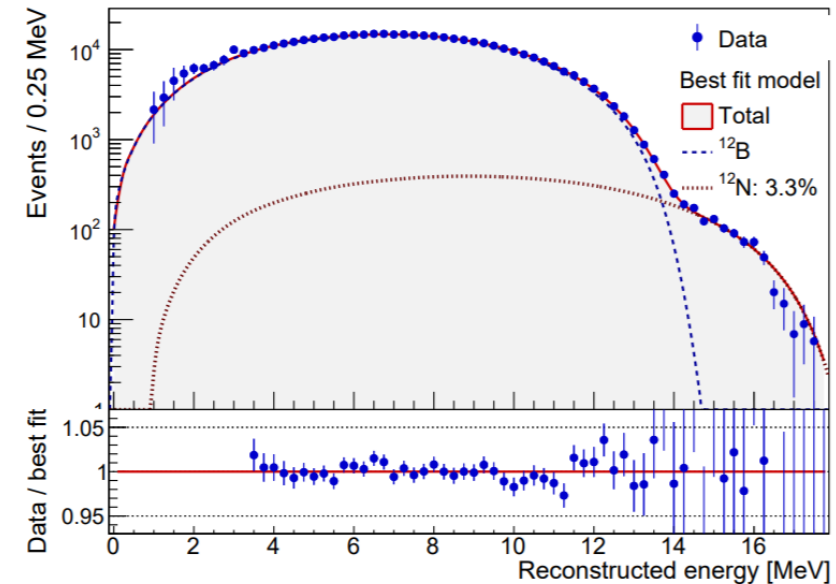
# Energy Nonlinearity Calibration



**<0.5% uncertainty in the reactor neutrino energy range**

*NIMA 940, 230 (2019)*

- **Scintillator response:** modeled by Birks formula and Cherenkov contribution
- **Electronics response:** measured by dedicated FADC system in one AD
- **Nominal model** determined by combined fit to mono-energetic gamma peaks and  $^{12}\text{B}$  beta-decay spectrum
  - Cross-validated by:  $^{212}\text{Bi}$ ,  $^{214}\text{Bi}$ ,  $^{208}\text{Tl}$  beta-decay spectrum, Michel electron spectrum, and standalone bench-top Compton scattering measurement.



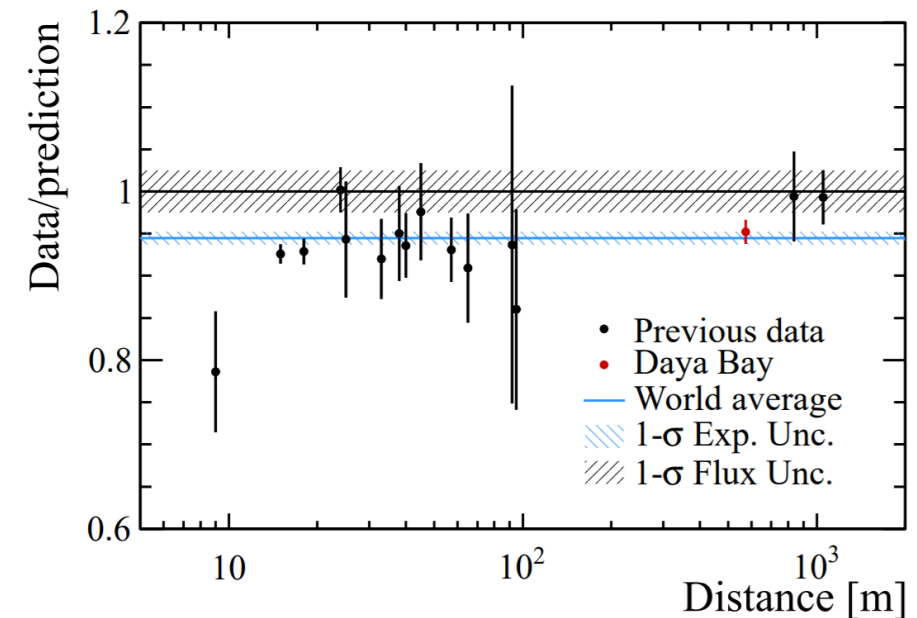
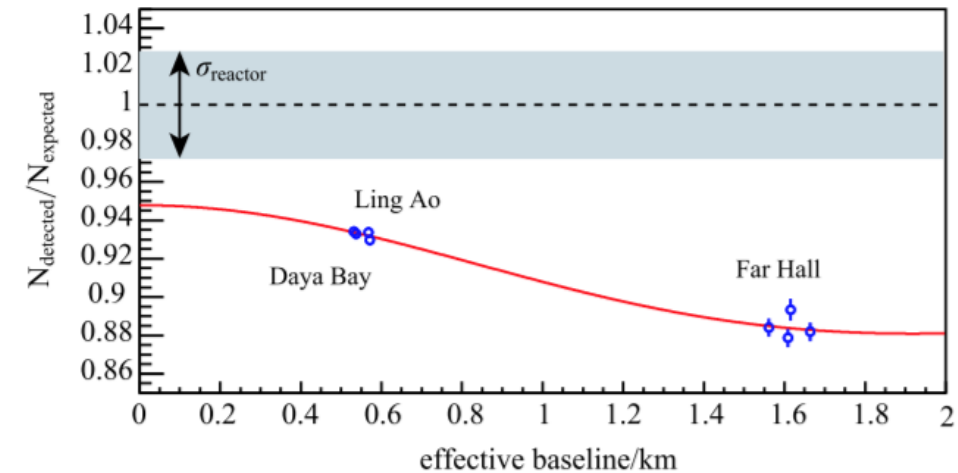
# Reactor Antineutrino Flux

- Daya Bay's measured absolute flux  
 $\sigma_f = (5.91 \pm 0.09) \times 10^{-43} \text{ cm}^2 / \text{fission}$
- **4.8% deficit** compared with the most recent **Huber** ( $^{235}\text{U}$ ,  $^{239}\text{Pu}$ ,  $^{241}\text{Pu}$ ) plus **Muller** ( $^{238}\text{U}$ ) model

$$\frac{\text{Data}}{\text{Model (Huber + Muller)}} = 0.952 \pm 0.014 (\text{exp.}) \pm 0.023 (\text{model.})$$

- Consistent with previous short baseline reactor experiments in the 80-90s
  - $\sim 2\sigma$  deficit could come from issues in the reactor model and/or eV-scale sterile neutrino oscillation

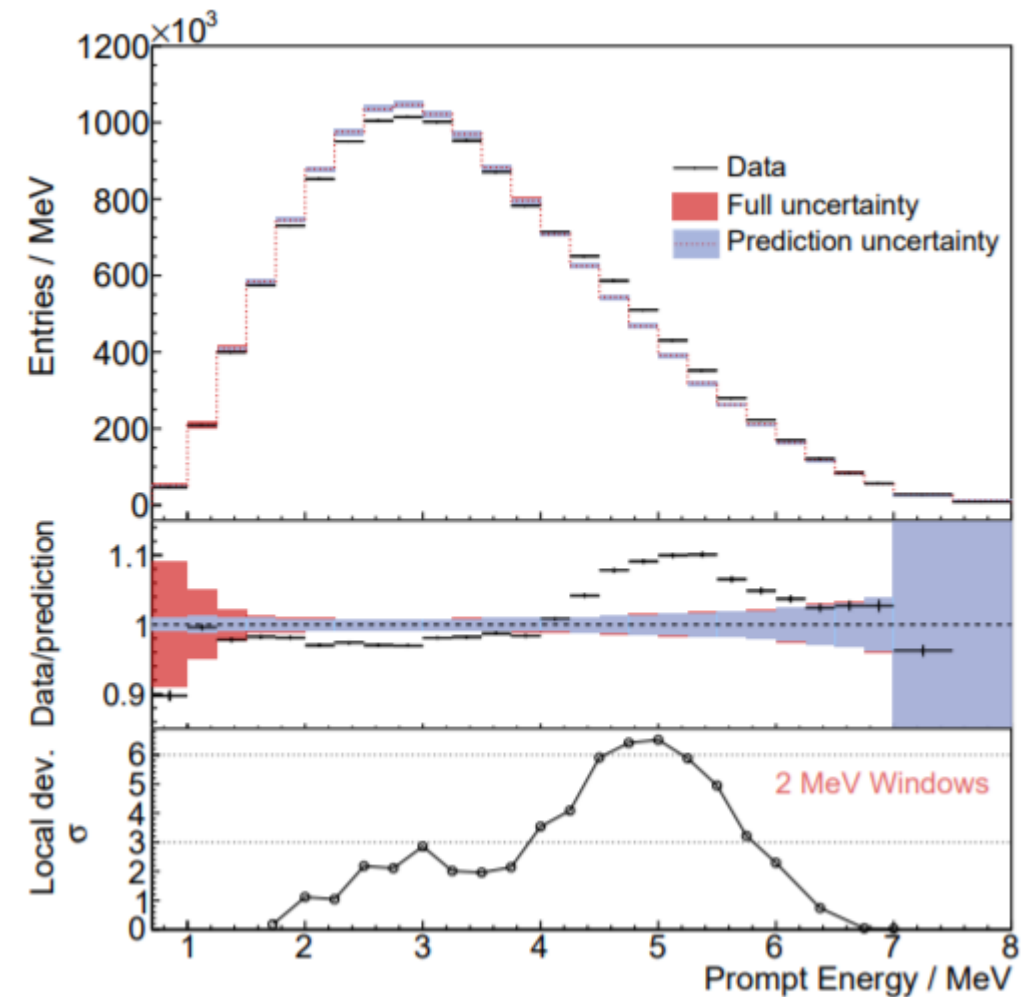
*Phys. Rev. D 100, 052004 (2019)*



# Reactor Antineutrino Spectral Shape

*Phys. Rev. Lett. 123, 111801 (2019)*

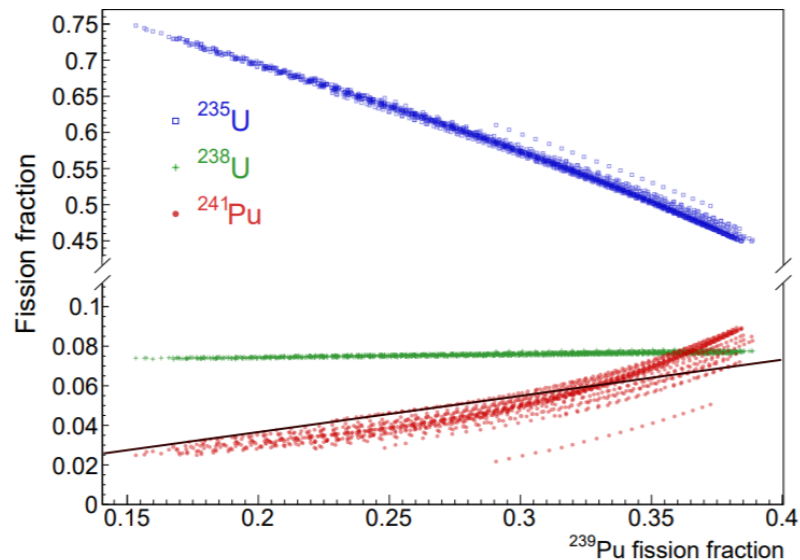
- ❑ **5.3 $\sigma$**  discrepancy with **Huber-Muller model** overall (0.7 – 8 MeV)
- ❑ **6.3 $\sigma$**  discrepancy in the 4 – 6 MeV region (often referred to as the “bump”)
  - Bump events have all the IBD characteristics and are correlated with reactor power
  - Bump does not appear in  $^{12}\text{B}$  or other beta-decay spectra (cannot be explained by detector effects)
- ❑ This shape anomaly cannot be explained by sterile neutrino mixing



# Evolution with Fuel Composition

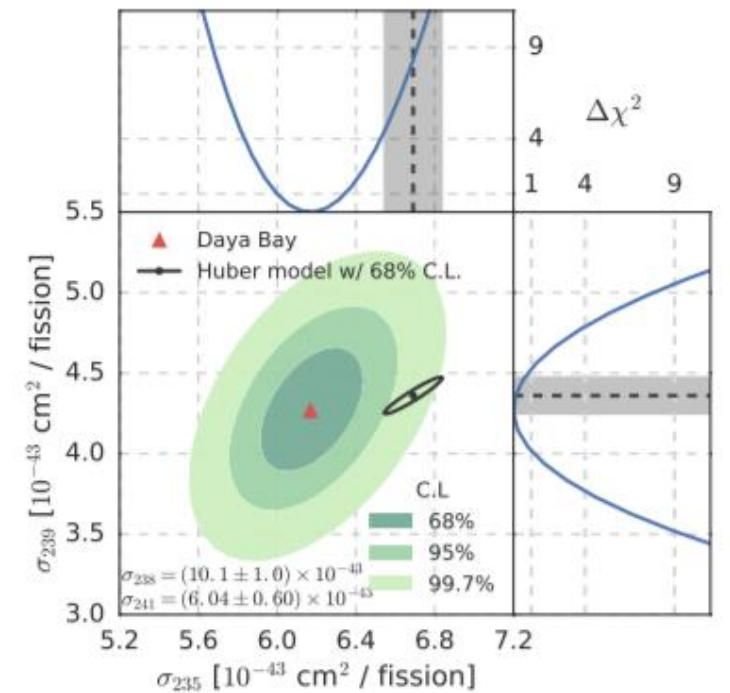
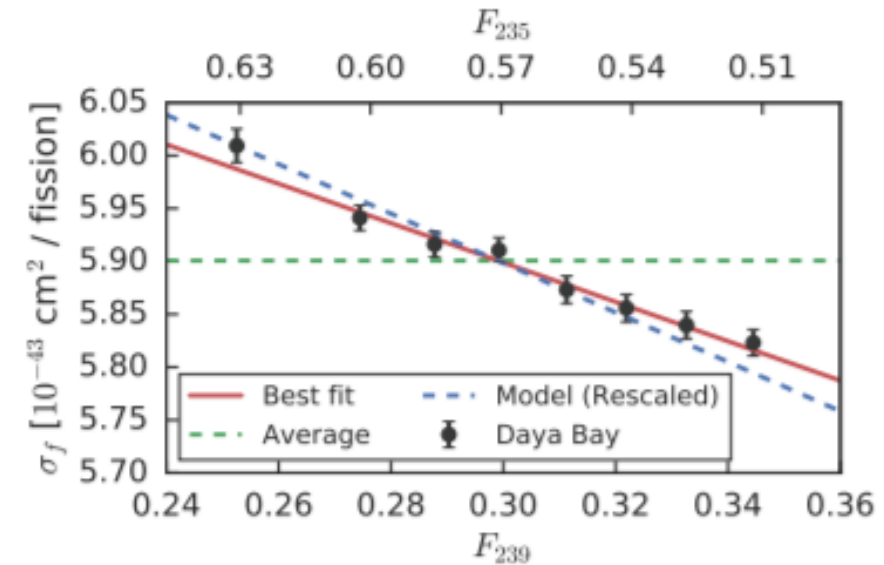
□ Question: can these anomalies be traced to a particular isotope?

- Study of how the total flux changes with fuel composition allowed to extract the individual antineutrino yield of  $^{235}\text{U}$  and  $^{239}\text{Pu}$



*PRL 118, 251801 (2017)*

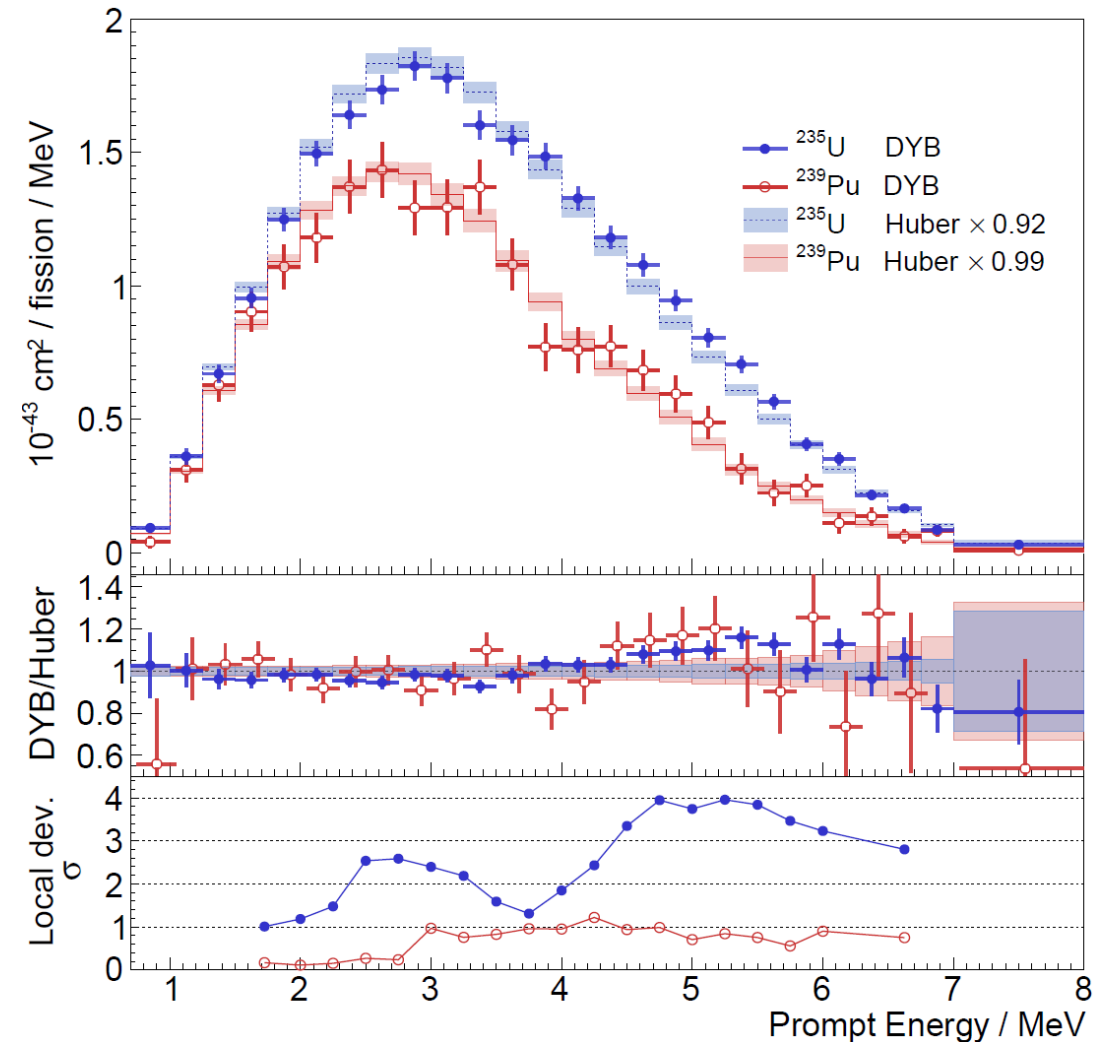
Daya Bay data suggest  $^{235}\text{U}$  is the primary contributor to the flux anomaly →



# Extraction of Individual $^{235}\text{U}$ and $^{239}\text{Pu}$ Spectra

- Similarly, the individual  $^{235}\text{U}$  and  $^{239}\text{Pu}$  spectra can be simultaneously extracted from the evolution of the spectrum with fuel composition
- Similar bump excess seen with both  $^{235}\text{U}$  and  $^{239}\text{Pu}$ . Local deviations:
  - $4\sigma$  for  $^{235}\text{U}$
  - $1.2\sigma$  for  $^{239}\text{Pu}$  (larger uncertainty)
- $\sim 4\%$  uncertainty for  $^{235}\text{U}$  and  $9\%$  for  $^{239}\text{Pu}$  at 3 MeV

This is the first measurement of the individual  $^{235}\text{U}$  and  $^{239}\text{Pu}$  spectra with commercial reactors

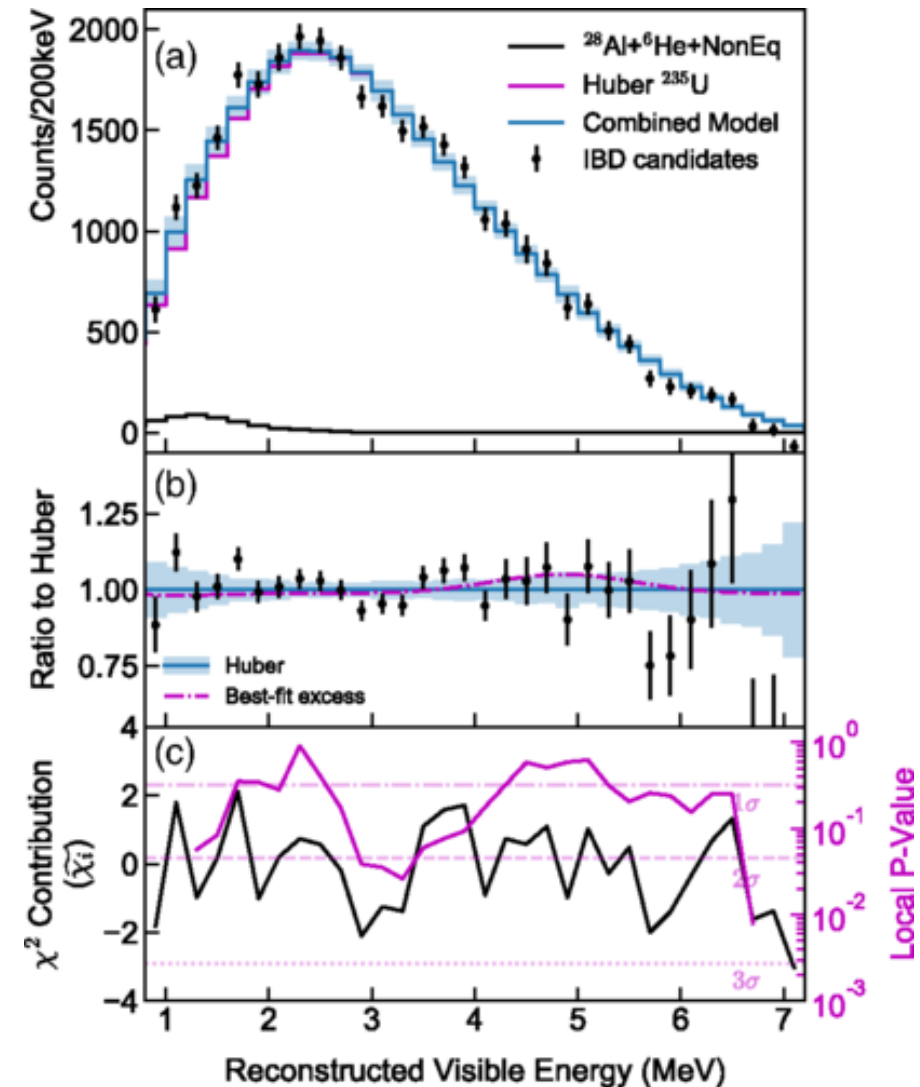


# Future Improvement

- Daya Bay will continue taking data until end of 2020
  - Larger statistics will help the extraction of individual isotope spectrum
- $^{235}\text{U}$ -only spectrum measurement from short baseline experiments with HEU research reactors
  - PROSPECT, STEREO, SoLid, etc.
  - Combined analysis with Daya Bay and other commercial reactor experiments will help the extraction of  $^{239}\text{Pu}$  spectrum
- Future high-resolution experiments
  - JUNO, JUNO-TAO, etc.

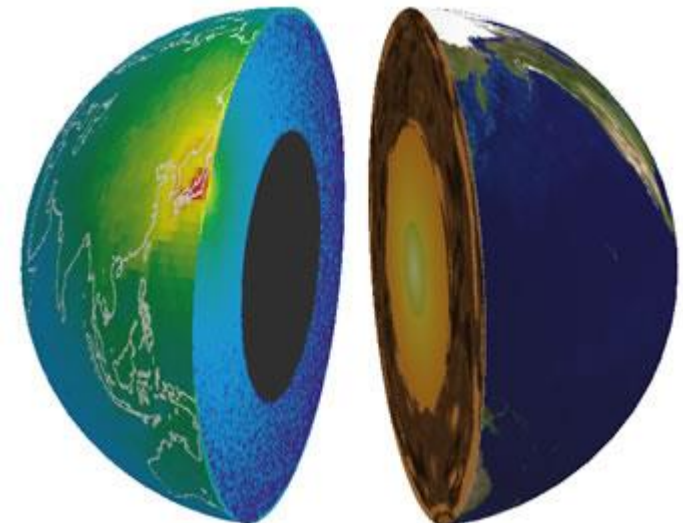


*Phys. Rev. Lett.* 122, 251801 (2019)



# Summary

- ❑ Reactor neutrino is one of the largest backgrounds for geoneutrino detection
- ❑ At present, reactor neutrino models still have relatively large uncertainties
- ❑ However, reactor neutrino flux and spectrum have been precisely measured by recent experiments, in particular by Daya Bay to  $\sim 1.5\%$  for flux and  $0.5\text{-}4\%$  for spectral shape
  - Individual spectra from  $^{235}\text{U}$  and  $^{239}\text{Pu}$  were also extracted
- ❑ These precision measurements are important inputs to future geoneutrino experiments





# Backup Slides

# Low Energy (<1.8 MeV) Spectrum

## □ Inverse Beta Decay (IBD) $\bar{\nu}_e + p \rightarrow e^+ + n$

- Relatively large cross section
- Distinctive coincidence signature
- 2/3 of reactor flux are below the 1.8 MeV threshold

## □ Neutrino-electron Elastic Scattering (ES) $\bar{\nu}_e + e \rightarrow \bar{\nu}_e + e$

- No threshold
- Reasonable event rate: smaller cross section but larger flux and more targets
- Background challenge: a single electron recoil event

