



Brookhaven  
National Laboratory

Neutrinos and  
Astrophysics:  
An  
Experimental  
Overview  
Mary Bishai

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Atmospheric  $\nu$

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Solar Neutrinos  
SN  $\nu$

UHE  $\nu$  probes  
CMB

Summary

# Neutrinos and Astrophysics: An Experimental Overview

Workshop on Astro-particles and Gravity, 20-22 Sept  
2022, Cairo University, Egypt

Mary Bishai



Brookhaven  
National Laboratory

Sep 22<sup>nd</sup>, 2022



# Introduction to Astro-physical Neutrinos



# The Particle Zoo

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## Quarks

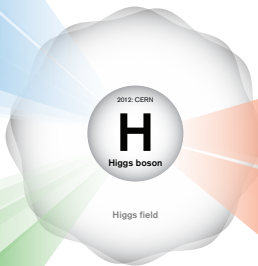
1968: SLAC <b>u</b> up quark	1974: Brookhaven & SLAC <b>c</b> charm quark	1995: Fermilab <b>t</b> top quark
1968: SLAC <b>d</b> down quark	1947: Manchester University <b>s</b> strange quark	1977: Fermilab <b>b</b> bottom quark

## Leptons

1996: Savannah River Plant <b><math>\nu_e</math></b> electron neutrino	1962: Brookhaven <b><math>\nu_\mu</math></b> muon neutrino	2000: Fermilab <b><math>\nu_\tau</math></b> tau neutrino
1897: Cavendish Laboratory <b>e</b> electron	1937: Catech and Harvard <b><math>\mu</math></b> muon	1976: SLAC <b><math>\tau</math></b> tau

## Forces

1976: DESY <b>g</b> gluon
1923: Washington University <b><math>\gamma</math></b> photon
1983: CERN <b>W</b> W boson
1983: CERN <b>Z</b> Z boson





# Neutrinos and Today's Universe

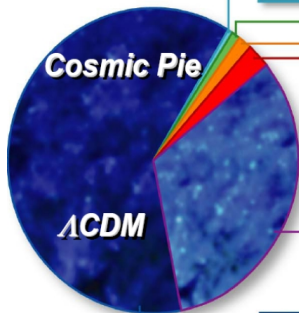
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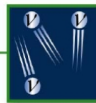
Neutrino mass  $< 1$  eV (beta-decay limits)

$$\Omega_i \equiv \rho_i / \rho_{\text{CRITICAL}}$$

$$\Omega_{\text{TOTAL}} = 1$$



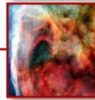
**Heavy Elements:**  
 $\Omega=0.0003$



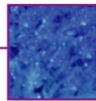
**Neutrinos ( $\nu$ ):**  
 $\Omega=0.0047$



**Stars:**  
 $\Omega=0.005$



**Free H  
& He:**  
 $\Omega=0.04$



**Cold Dark Matter:**  
 $\Omega=0.25$



**Dark Energy ( $\Lambda$ ):**  
 $\Omega=0.70$



# Spectrum of Neutrinos on Earth

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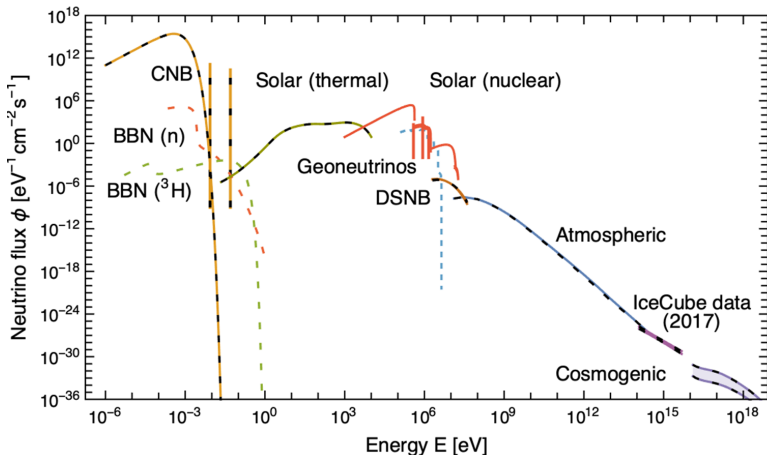
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Rev. Mod. Phys. 92, 45006 (2020)



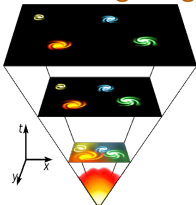
# Introduction to Astro-physical Neutrinos and Experimental Survey



# The Current Neutrino Experimental Landscape

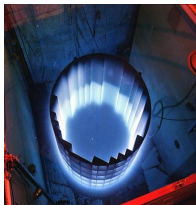
## Sources of Neutrinos

Big Bang



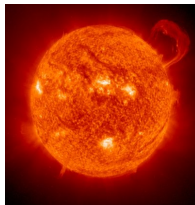
$10^{-4}$  eV  
 $300/\text{cm}^3$

Reactors



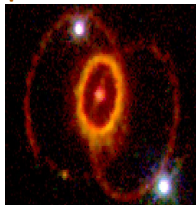
few MeV  
 $10^{21}/\text{GW}_{\text{th}}/\text{s}$

Sun



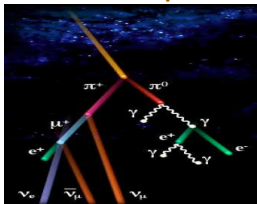
0.1-14 MeV  
 $10^{10}/\text{cm}^2/\text{s}$

SuperNova



$\sim 10$  MeV  
 $10^9/\text{cm}^2/\text{s}$

Atmosphere



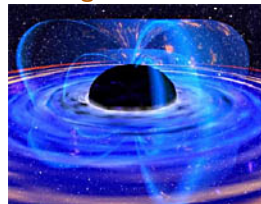
$\sim 1$  GeV  
 $\text{few}/\text{cm}^2/\text{s}$

Accelerators



1-20 GeV  
 $10^6/\text{cm}^2/\text{s}/\text{MW}$  (at 1km)

Extragalactic



TeV-PeV  
varies



# The Current Neutrino Experimental Landscape

## Examples of Neutrino Experiments ( **current**, **future** )

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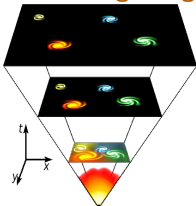
- Solar
- Atmospheric
- Supernova
- UHE
- Supernova

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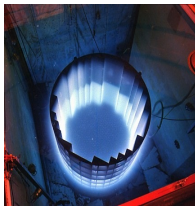
- Solar Neutrinos  
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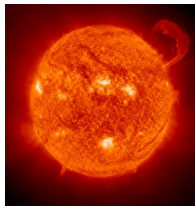
**Big Bang**



**Reactors**



**Sun**

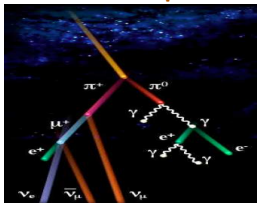


**SuperNova**



**PTOLEMY**

**Atmosphere**



**SuperK/IC-DeepCore**

**HyperK/KM3NeT/ORCA**

**Daya Bay**  
**JUNO**

**Accelerators**



**BOREXINO**  
**SNO+ / JUNO**

**T2HK / DUNE / ESS  $\nu$  SB**

**SuperK-GD**  
**DUNE / HK / JUNO**

**Extragalactic**



**IceCUBE**

**IceCUBE-Gen2**





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# Solar Neutrinos



# Solar Neutrinos

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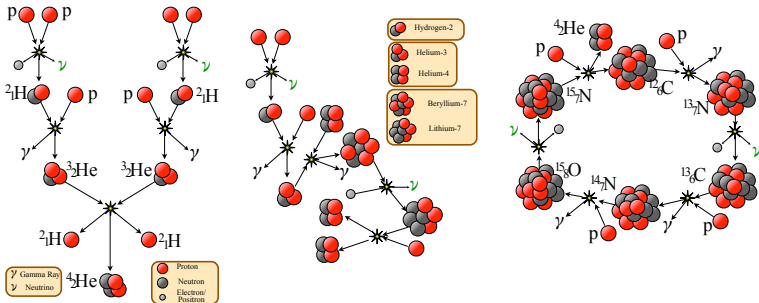
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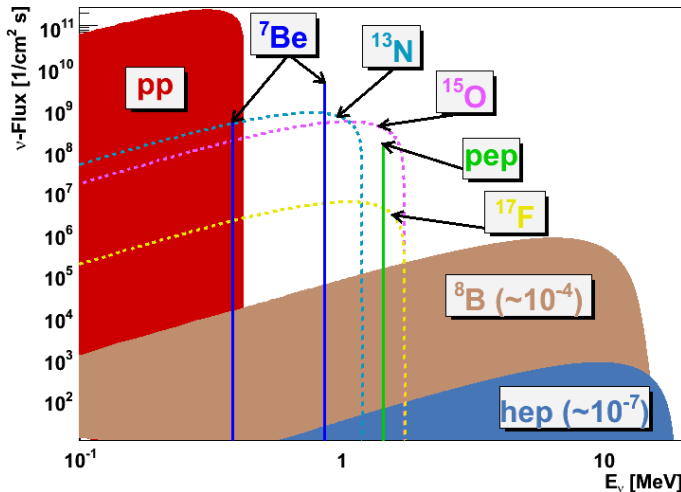
## Fusion of nuclei in the Sun produces solar energy and neutrinos





# Solar Neutrinos

Fusion of nuclei in the Sun produces solar energy and neutrinos





# The Homestake Experiment

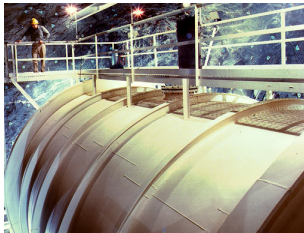
**1967:** **Ray Davis** from BNL installs a large detector, containing **615 tons of tetrachloroethylene (cleaning fluid)**, **1.6km underground in Homestake mine, SD.**

**1**  $\nu_e^{\text{sun}} + {}^{37}\text{Cl} \rightarrow e^- + {}^{37}\text{Ar}$ ,  $\tau({}^{37}\text{Ar}) = 35$  days.

**2** Number of Ar atoms  $\approx$  number of  $\nu_e^{\text{sun}}$  interactions.



Ray Davis



**Results: 1969 - 1993 Measured  $2.5 \pm 0.2$  SNU** (1 SNU = 1 neutrino interaction per second for  $10^{36}$  target atoms) while theory predicts 8 SNU. This is a

**$\nu_e^{\text{sun}}$  deficit of 69%.**

**Where did the suns  $\nu_e$ 's go?**

**RAY DAVIS SHARES 2002 NOBEL PRIZE**



# SNO Experiment: Solar $\nu$ Measurements

1  $\leftrightarrow$  2 mixing

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**2001-02: Sudbury Neutrino Observatory.** Water Čerenkov detector with 1 kT heavy water (**0.5 B\$ worth on loan from Atomic Energy of Canada Ltd.**) located 2Km below ground in INCO's Creighton nickel mine near Sudbury, Ontario. Can detect the following  $\nu^{\text{sun}}$  interactions:

- 1)  $\nu_e + d \rightarrow e^- + p + p$  (CC).
- 2)  $\nu_{e,x} + e^- \rightarrow e^- + \nu_x$ ,  $\nu_e : \nu_x = 6 : 1$  (ES)
- 3)  $\nu_x + d \rightarrow p + n + \nu_x$ ,  $x = e, \mu, \tau$  (NC).

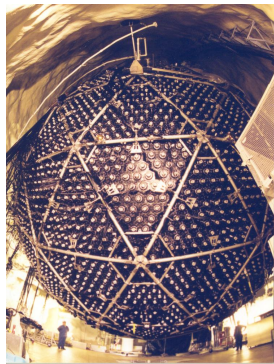
**SNO measured:**

$$\phi_{\text{SNO}}^{\text{CC}}(\nu_e) = 1.75 \pm 0.07(\text{stat})_{-0.11}^{+0.12}(\text{sys.}) \pm 0.05(\text{theor}) \times 10^6 \text{cm}^{-2} \text{s}^{-1}$$

$$\phi_{\text{SNO}}^{\text{ES}}(\nu_x) = 2.39 \pm 0.34(\text{stat})_{-0.14}^{+0.16}(\text{sys.}) \pm \times 10^6 \text{cm}^{-2} \text{s}^{-1}$$

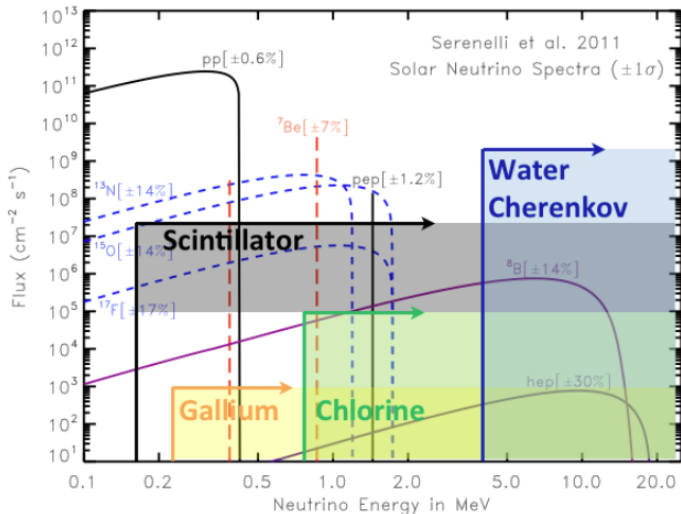
$$\phi_{\text{SNO}}^{\text{NC}}(\nu_x) = 5.09 \pm 0.44(\text{stat})_{-0.43}^{+0.46}(\text{sys.}) \pm \times 10^6 \text{cm}^{-2} \text{s}^{-1}$$

**All the solar  $\nu$ 's are there but  $\nu_e$  appears as  $\nu_x$ !**





# Solar Neutrino Spectrum

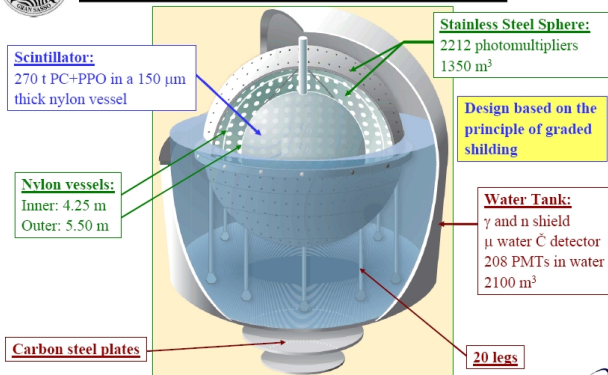




**GOAL:** Direct determination of the low energy neutrino fluxes:  ${}^7\text{Be}$  (**monoenergetic**), CNO ( $< 1\%$  in our sun), pep, pp  
**TECHNIQUE:**  $\nu_x + e \rightarrow \nu_x + e$  elastic scattering in **high radio-purity scintillator**.



## Detector design and layout



# Borexino solar data - 2021

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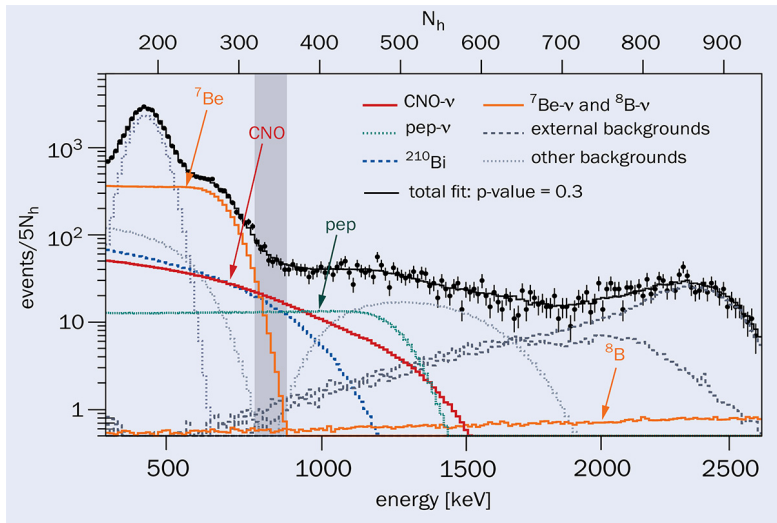
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# Atmospheric Neutrinos



# Proposal to find Atmospheric Neutrinos

## Slide to find atmospheric neutrinos by Fred Reines (Case Western Institute):

-22-

ATMOSPHERIC  $\nu$ 's

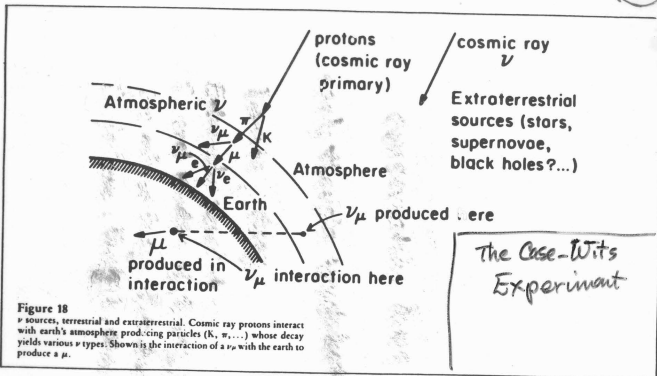


Figure 18  
neutrino sources, terrestrial and extraterrestrial. Cosmic ray protons interact with earth's atmosphere producing particles (K,  $\pi$ , ...) whose decay yields various neutrino types. Shown is the interaction of a  $\nu_\mu$  with the earth to produce a  $\mu$ .

$\nu$  SOURCES TERRESTRIAL  
& EXTRA-TERRESTRIAL



# The CWI-SAND Experiment

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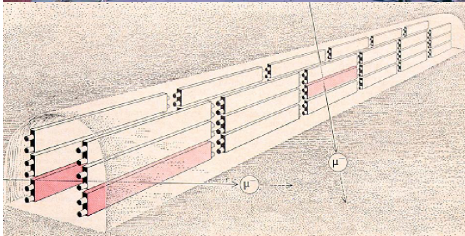
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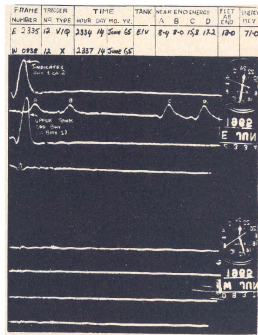
Summary

## 1964: The Case Western Institute-South Africa Neutrino Detector (CWI-SAND) and a search for atmospheric $\nu_\mu$ at the East Rand gold mine in South Africa at 3585m depth

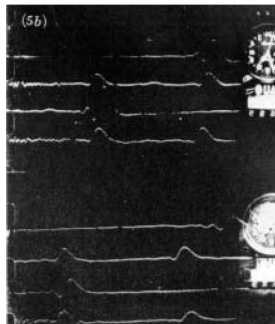


# The CWI-SAND Experiment

## 1964: The Case Western Institute-South Africa Neutrino Detector (CWI-SAND) and a search for atmospheric $\nu_\mu$ at the East Rand gold mine in South Africa at 3585m depth



Downward-going Muon  
(background)



Horizontal Muon  
(neutrino signal)

**Detection of the first neutrino in nature!**



# Neutrinos from our Atmosphere: $\nu_\mu, \nu_e, \bar{\nu}$

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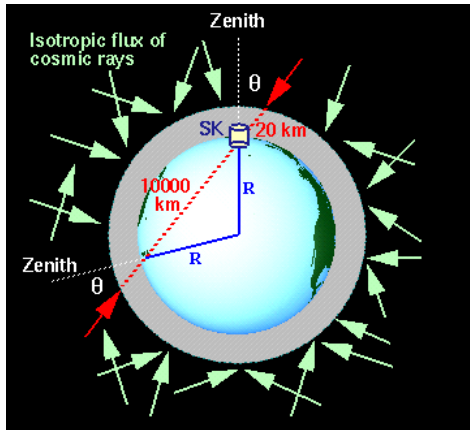
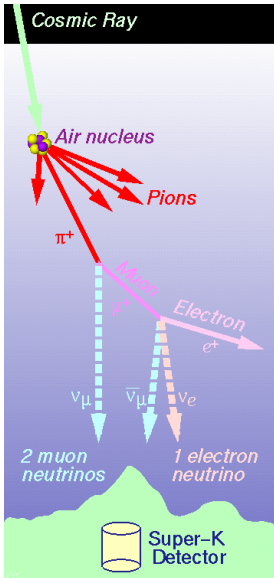
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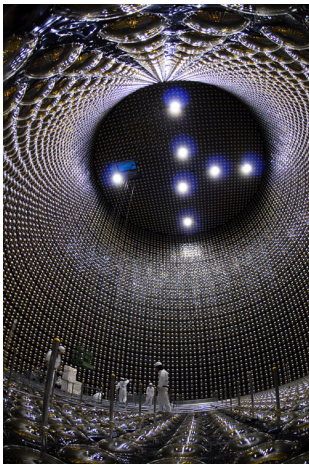
**L = 0 to 13,000 km**



# The Super-Kamiokande Experiment. Kamioka Mine, Japan

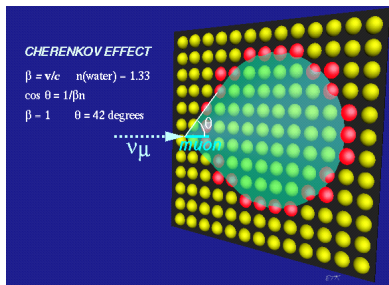
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**50kT double layered tank of ultra pure water** surrounded by 11,146 20" diameter photomultiplier tubes.

Neutrinos are identified by using CC interaction  $\nu_{\mu,e} \rightarrow e^{\pm}, \mu^{\pm}\chi$ . The lepton produces Cherenkov light as it goes through the detector:





# The Super-Kamiokande Experiment. Kamioka Mine, Japan

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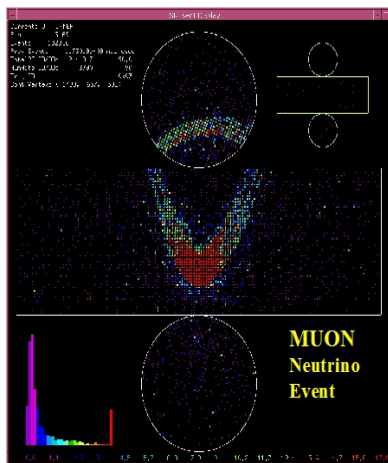
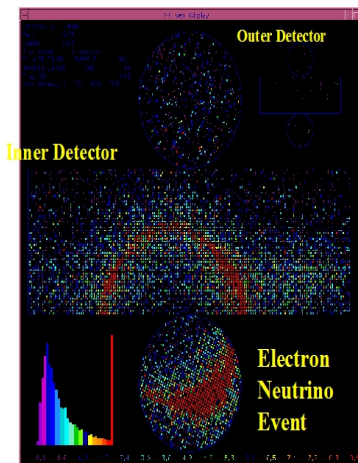
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# More Disappearing Neutrinos!!

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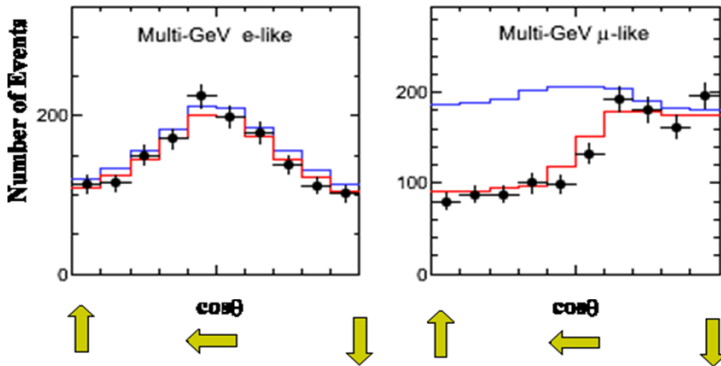
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All the  $\nu_e$  are there! But what happened to the  $\nu_\mu$  ??





# Neutrino Mixing

# Neutrino Mixing $\Rightarrow$ Oscillations

$$\begin{pmatrix} \nu_a \\ \nu_b \end{pmatrix} = \begin{pmatrix} \cos(\theta) & \sin(\theta) \\ -\sin(\theta) & \cos(\theta) \end{pmatrix} \begin{pmatrix} \nu_1 \\ \nu_2 \end{pmatrix}$$

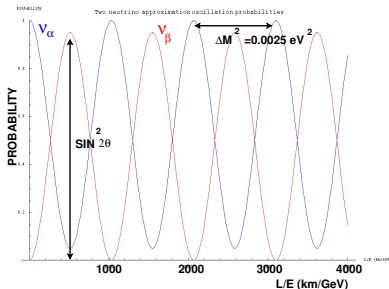
$$\nu_a(t) = \cos(\theta)\nu_1(t) + \sin(\theta)\nu_2(t)$$

$$\begin{aligned} P(\nu_a \rightarrow \nu_b) &= |\langle \nu_b | \nu_a(t) \rangle|^2 \\ &= \sin^2(\theta) \cos^2(\theta) |e^{-iE_2 t} - e^{-iE_1 t}|^2 \end{aligned}$$

$$P(\nu_a \rightarrow \nu_b) = \sin^2 2\theta \sin^2 \frac{1.27 \Delta m_{21}^2 L}{E}$$

where  $\Delta m_{21}^2 = (m_2^2 - m_1^2)$  in  $\text{eV}^2$ ,  $L$  (km) and  $E$  (GeV).

**Observation of oscillations  
implies non-zero mass eigenstates**





# Neutrino Oscillations: Atmospheric and Solar

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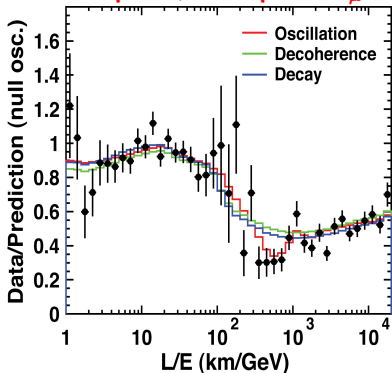
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Super-K, atmospheric  $\nu_\mu$



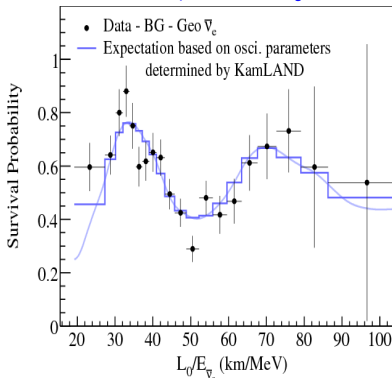
Global fit 2013:

$$\Delta m_{\text{atm}}^2 = 2.43_{-0.10}^{+0.06} \times 10^{-3} \text{ eV}^2$$

$$\sin^2 \theta_{\text{atm}} = 0.386_{-0.21}^{+0.24}$$

Atmospheric L/E  $\sim$  500 km/GeV

KamLAND, reactor  $\bar{\nu}_e$



Global fit 2013:

$$\Delta m_{\text{solar}}^2 = 7.54_{-0.22}^{+0.26} \times 10^{-5} \text{ eV}^2$$

$$\sin^2 \theta_{\text{solar}} = 0.307_{-0.16}^{+0.18}$$

Solar L/E  $\sim$  15,000 km/GeV



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# Supernova Neutrinos



# Supernova Neutrinos

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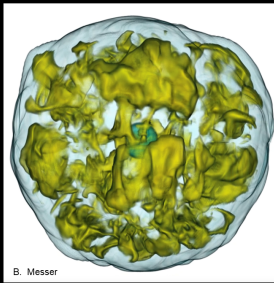
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UHE  $\nu$  probes  
CNB  
  
Summary

## Neutrinos from core-collapse supernovae

When a star's core collapses,  $\sim 99\%$  of the gravitational binding energy of the proto-nstar goes into  $\nu$ 's of **all flavors** with  $\sim$ tens-of-MeV energies

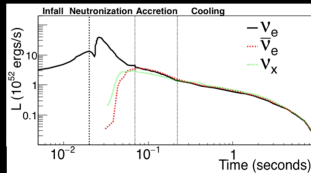
(Energy *can* escape via  $\nu$ 's)

Mostly  $\nu$ - $\bar{\nu}$  pairs from proto-nstar cooling



B. Messer

Timescale: *prompt*  
after core collapse,  
overall  $\Delta t \sim 10$ 's  
of seconds



# The Irvine-Michigan-Brookhaven (IMB) Detector

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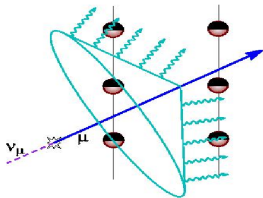
SN

UHE  probes

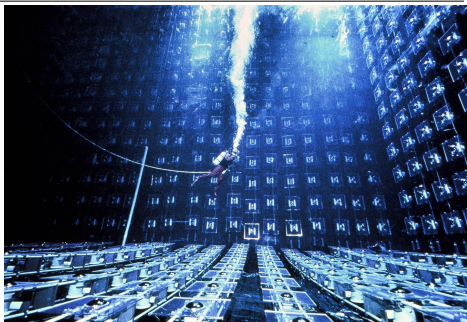
CNB

Summary

**A relativistic charged particle going through water, produces a ring of light**



## The Irvine-Michigan-Brookhaven Detector



**IMB consisted of a roughly cubical tank about  $17 \times 17.5 \times 23$  meters, filled with 2.5 million gallons of ultrapure water in Morton Salt Fariport Mine, Ohio. Tank surrounded by 2,048 photomultiplier tubes. IMB detected fast moving particles produced by proton decay or neutrino interactions**

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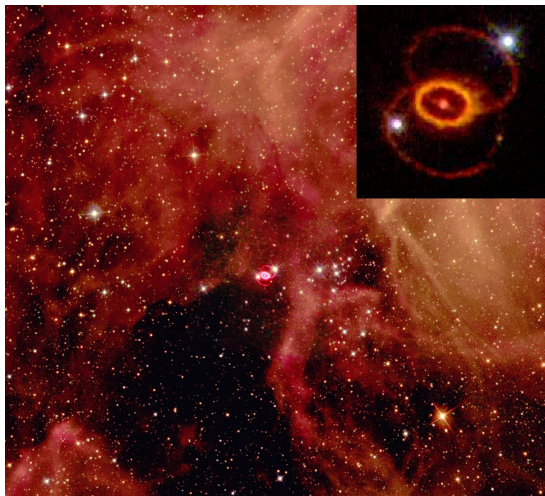
Solar Neutrinos

SN ✓

UHE ✓ probes

CNB

Summary



**1987: Supernova in large Magellanic Cloud (168,000 light years)**

# IMB/Kamioka Detect First Supernova Neutrinos!

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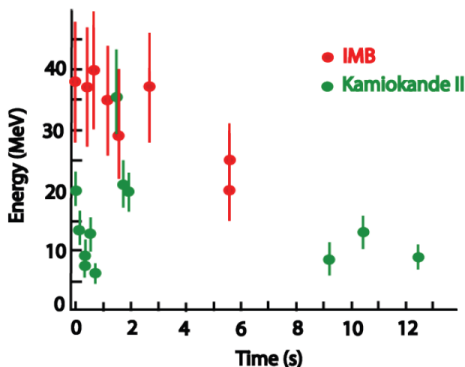
Solar Neutrinos

SN ✓

UHE ✓ probes

CNB

Summary



2-3 hrs earlier: IMB detects 8 neutrinos

AND Kamioka detector (Japan) detects 11 neutrinos

Masatoshi Koshiya (Kamiokande, SuperKamiokande) shares 2002 Nobel Prize with Ray Davis for detection of Cosmic Neutrinos





# 2015 Nobel Prize

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**Takaaki Kajita**  
University of Tokyo, Japan  
(SuperKamiokande)



**Arthur B. MacDonald**  
Queens University, Canada  
(SNO)

The Nobel Prize in Physics 2015 was awarded jointly to Takaaki Kajita and Arthur B. McDonald *"for the discovery of neutrino oscillations, which shows that neutrinos have mass"*



# Detectors for Ultra High Energy Neutrinos ( $> 1$ TeV)

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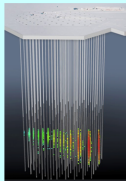
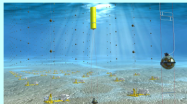
SN ✓

UHE ✓ probes

CNB

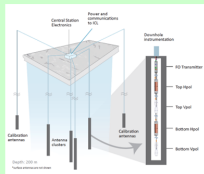
Summary

## Long-string Water Cherenkov



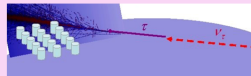
Water and ice

## Antenna-based detectors



Balloon or  
in-ice

## Cosmic-ray shower detectors



Ground-based  
or space-based



# The IceCUBE Experiment

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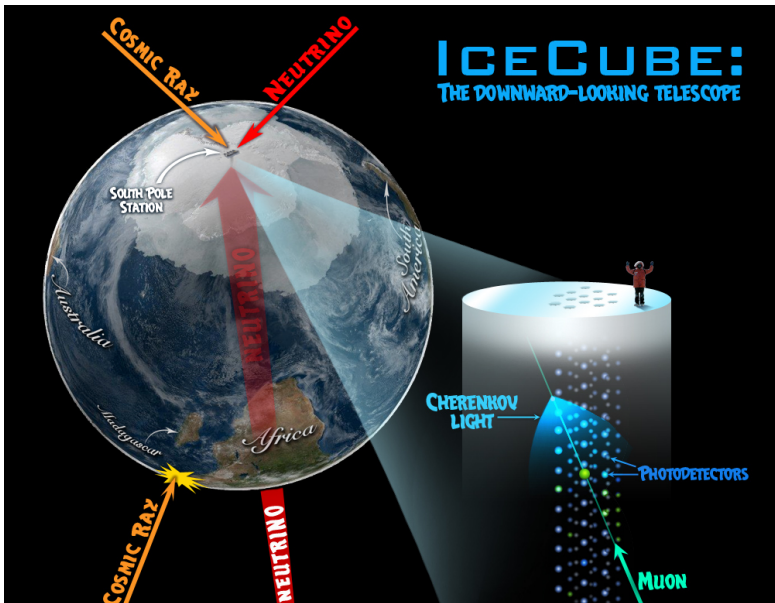
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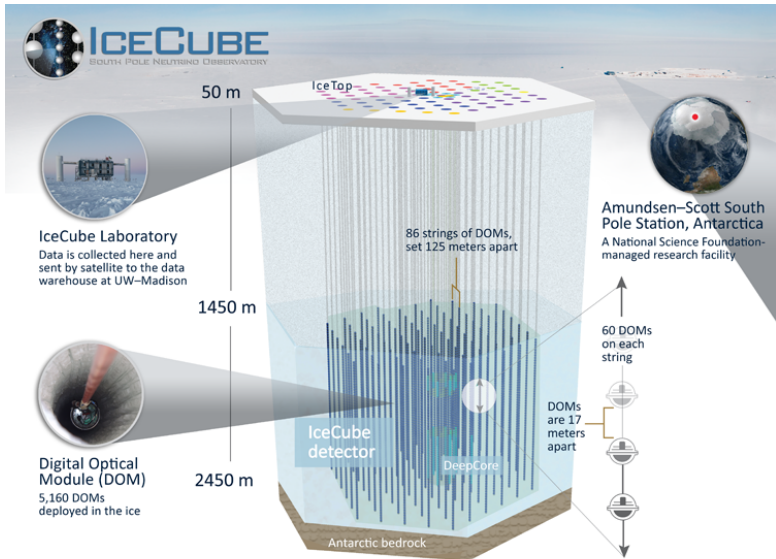
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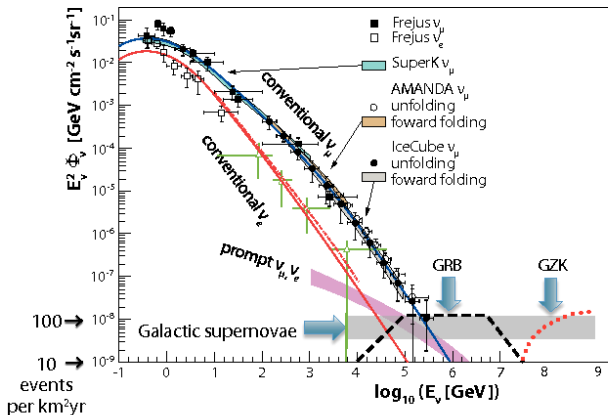
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SN ✓

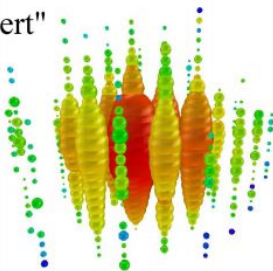
UHE ✓ probes

CNB

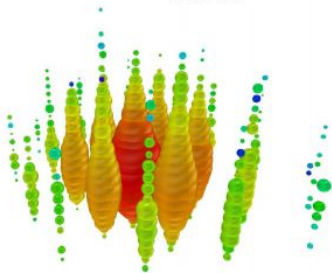
Summary

Neutrino events with energies  $> \text{PeV}$  ( $10^{15} \text{eV}$ )

"Bert"



"Ernie"





# The Highest Energy Neutrinos (Gamma Ray Bursts)

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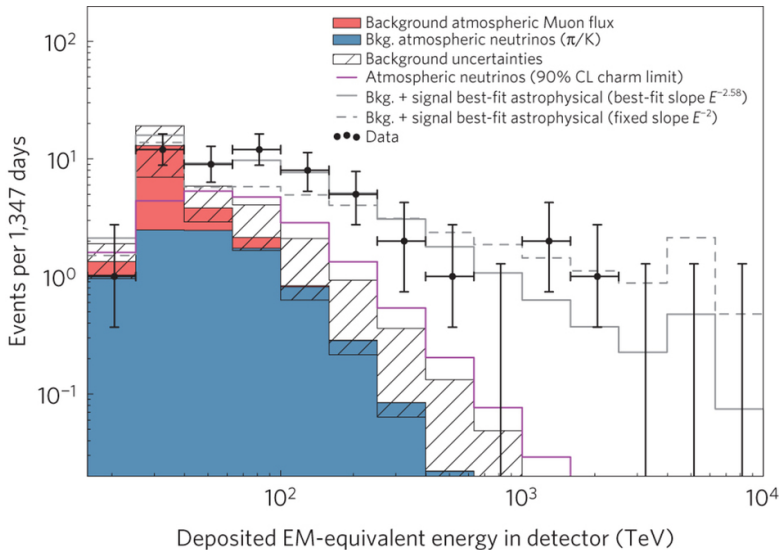
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# Neutrinos and Cosmology





# The Cosmic Neutrino Background

## Indirect information about CNB from cosmology

Yvonne Wong, Snowmass Neutrino colloquium

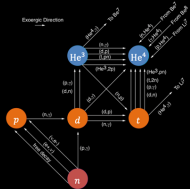
### Cosmological observables...

+ Supernova Ia, local  $H_0$ , etc.  
(No direct neutrino effects)

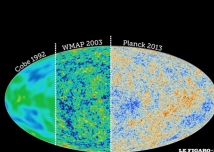
Light element abundances from primordial nucleosynthesis

Cosmic microwave background anisotropies

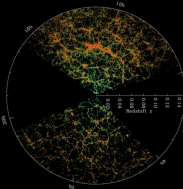
Large-scale matter distribution



$N_{\text{eff}}$  (expansion rate)



$N_{\text{eff}}$  (expansion rate)  
Interactions (free-streaming)  
Lifetime (free-streaming)



$\sum m_\nu$  (perturbation growth)

Planck TTTEEE+lowE+lensing+BAO; 7-parameters

$$N_{\text{eff}} = 2.99 \pm 0.34 \text{ (95\% CL)}$$

Aghanim et al. [Planck] 2021

Remarkably consistent with Standard Model prediction  $N_{\text{eff}} \approx 3$

Planck TTTEEE+lowE+lensing+BAO; 7-parameters

$$\sum m_\nu < 0.12 \text{ eV (95\% CL)}$$

Aghanim et al. [Planck] 2021

At face value a factor of 30 tighter than current lab bound from KATRIN,  $\sum m < 2.4 \text{ eV}$  (90% C.L.)  
Aker et al. [KATRIN] 2022



# Latest Results and Future Prospects



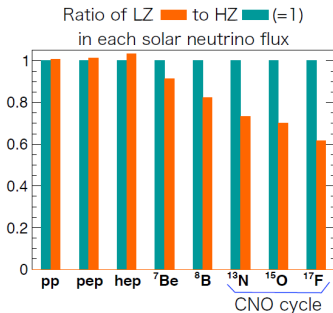
# Solar: The solar metallicity predictions

Previously, two different Standard Solar Models models predicted very different heavy metal abundance. The surface metal to hydrogen abundance ratio ( $Z/X$ ):

$$Z/X = 0.02292 \text{ GS98} \rightarrow \text{HZModel}$$

$$Z/X = 0.01780 \text{ AGSS09} \rightarrow \text{LZModel}$$

Vinyoles et al., ApJ 835: 202, 2017





# CNO neutrinos now detected!

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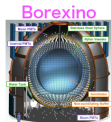
CNB

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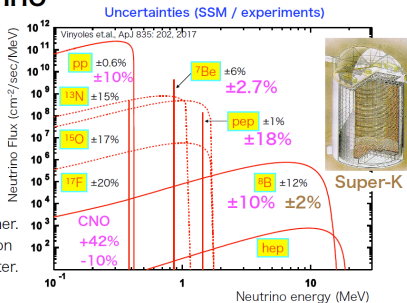
From Y. Koshio presentation at Neutrino 2022:



## Solar neutrino Recent results



New observations were reported one after another. Its measurement precision becomes better and better.



**Current generation will continue to push on precision including SNO+. New experiments coming online soon like JUNO and future concepts include THEIA a 100kton scale water-based liquid-scintillator detector.**



# Supernova Neutrinos: Prospects

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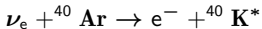
SN  $\nu$

UHE  $\nu$  probes

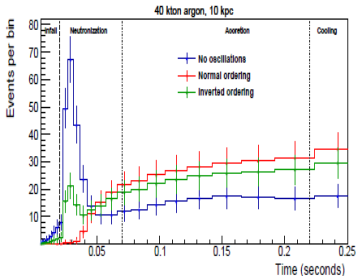
CNB

Summary

**DUNE is uniquely sensitive to the  $\nu_e$  component of a supernova neutrino burst:**

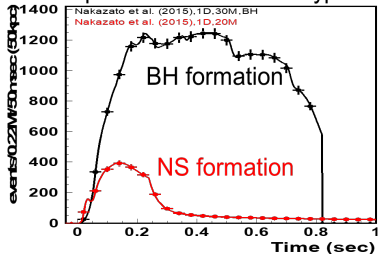


Expected time-dependent signal in 40 kton of liquid argon for a Supernova at 10 kpc:



**HyperK is sensitive to  $\bar{\nu}_e$**

Supernova neutrinos - HyperK



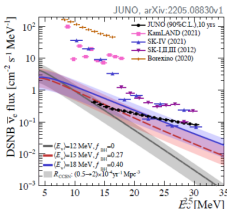
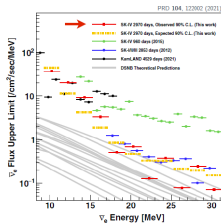


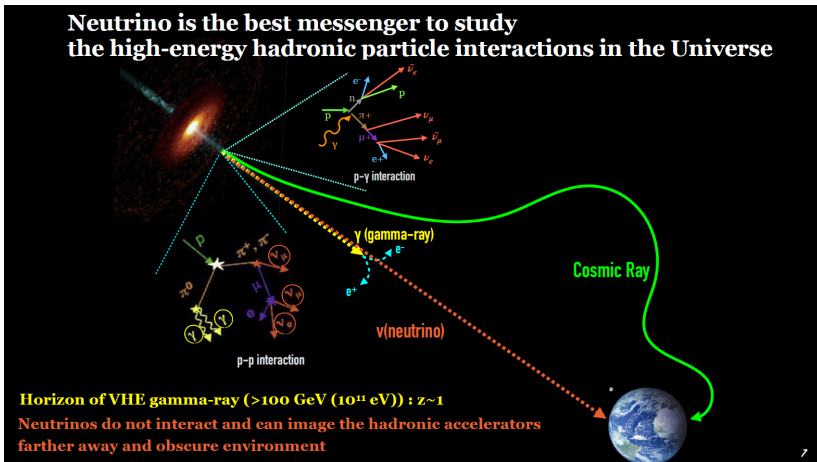
## From Yifang Wang summary at neutrino 2020:

### Diffused Supernova Neutrinos

- Latest results from SuperK
  - Sensitive to  $1.5 \bar{\nu}_e/\text{cm}^2/\text{s}$ , Horiuchi+09 model is 1.9
  - Combined upper limit of  $2.6 \bar{\nu}_e/\text{cm}^2/\text{s}$ 
    - Most optimistic signals are excluded
  - Best fit is  $1.3^{+0.90}_{-0.85} \bar{\nu}_e/\text{cm}^2/\text{s}$ 
    - $1.5\sigma$  excess over background expectation
- Signal right at the corner ?
- SuperK-Gd successfully operated for 2 years with 0.01% loading. Phase 2 with 0.03% loading just started
- JUNO can significantly improve the sensitivity
- Future experiments: HyperK, DUNE, THEIA, ...
- Shall be discovered in  $\sim 15$  years from now !

Mastbaum,Vagins,Zhao

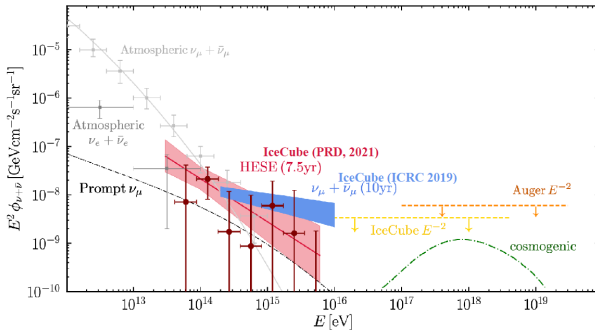






## High-Energy Astronomical Neutrinos

**IceCube has measured the astrophysical neutrino flux with multiple independent analyses**



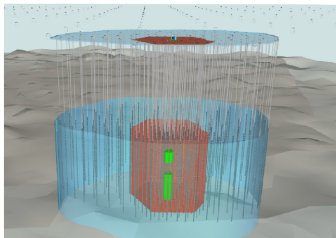




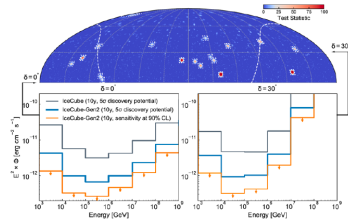
## IceCube Gen-2

**Designed to achieve five times better sensitivity than IceCube array**

- Optical array: Eight times larger active volume compared to IceCube filled with improved optical module based on the R&D studies from IceCube Upgrade
- Surface air shower array: Matching with the optical array throughput, ~40 times higher coincident events
- Radio array: ~ 500 km<sup>2</sup> area of the antenna array for the detection of EeV neutrinos



"Deep-ice Optical Sensor Array for IceCube-Gen2"  
- Poster IV-a/5F MT12-044 by A. Ishihara



IceCube-Gen2 (arXiv: 2008.04323)



## Indirect information about CNB from cosmology

Yvonne Wong, Snowmass Neutrino colloquium

### Future cosmological probes...



ESA Euclid

2024

$1\sigma$  sensitivity to  $\sum m_\nu$

0.011 – 0.02 eV

$1\sigma$  sensitivity to  $N_{\text{eff}}$

0.05



LSST

2024

0.015 eV

0.05



CMB-S4

2027

0.015 eV

0.02 – 0.04

Minimum  $\sum m_\nu = 0.06$  eV

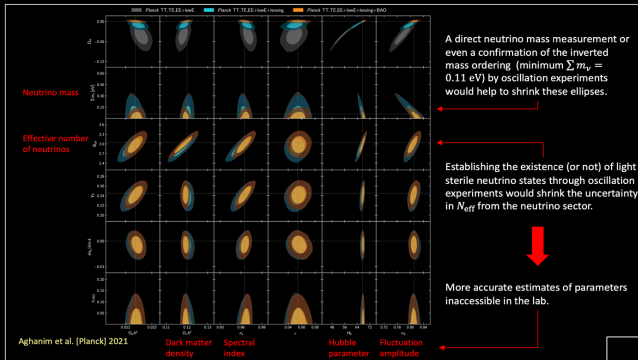
From neutrino oscillations  
(assuming normal mass ordering)



Detection of the absolute  
neutrino mass may be possible!

## Neutrinos and Cosmology: indirect CNB

Yvonne Wong, Snowmass Neutrino colloquium



- Cosmological measurements tell us about  $\nu$  properties
- **Lab experiments help to constrain cosmological fits**



# PTOLEMY: Detecting Big Bang Neutrinos

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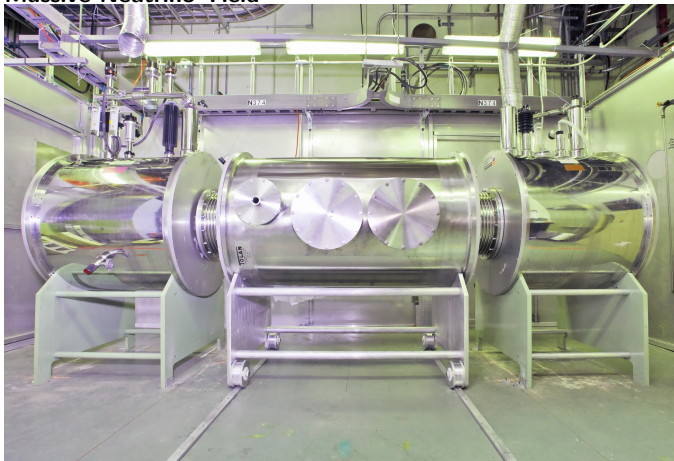
SN ✓

UHE ✓ probes

CNB

Summary

## Princeton Tritium Observatory for Light, Early-Universe, Massive-Neutrino Yield



# How to detect Big Bang Neutrinos

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From paper by Steven Weinberg in 1962 (Phys. Rev. 128:3 1457].  
Detect capture of BB neutrinos on a beta decaying nucleus:

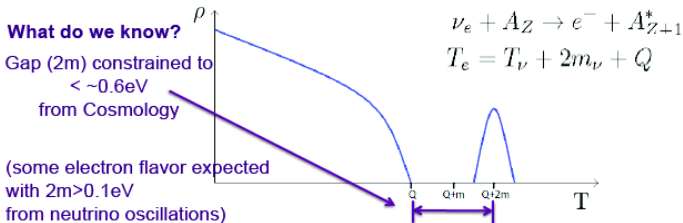
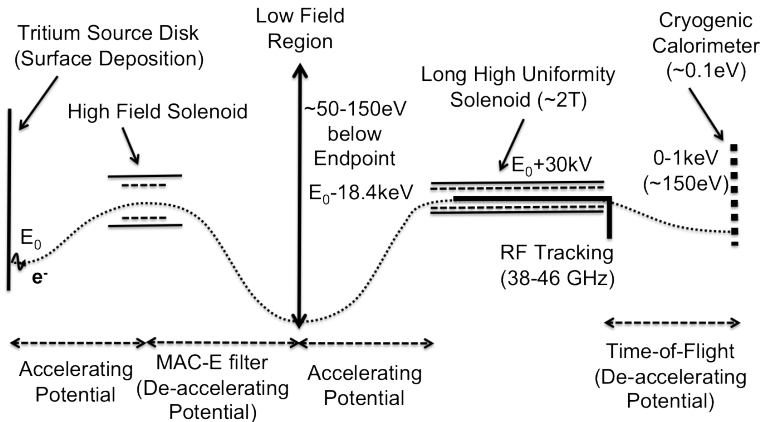


Figure 1: Emitted electron density of states vs kinetic energy for neutrino capture on beta decaying nuclei. The spike at  $Q + 2m$  is the CNB signal



# Experimental Concept





# Many technical challenges!!!

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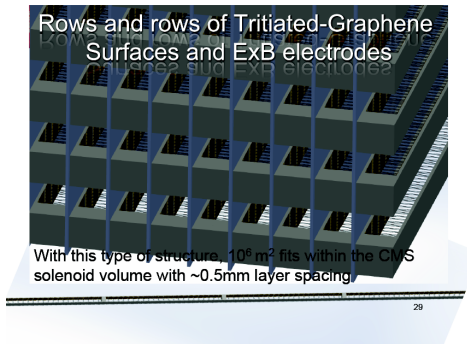
SN ✓

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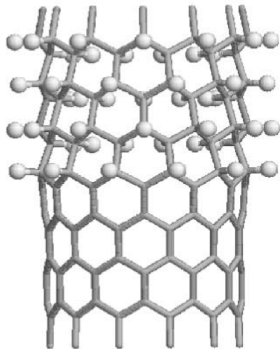
CNB

Summary

**The biggest nearly insurmountable problem for relic neutrino detection using capture on tritium is to provide a large enough surface area to hold at least 100 grams of weakly bound atomic tritium!**



**Ultra-modern materials science needed: Use tritium trapped in very thin layers of graphene:**





# Summary and Conclusions





# Summary and Conclusions

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**Neutrinos are messengers of astrophysics and cosmology - they tell us what is happening in the Universe, in active galactic cores, inside Supernova and details about our own Sun**

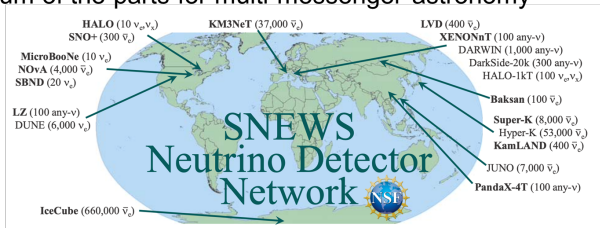
**Over the past few decades, experiments studying astro-physical neutrinos has primarily enhanced our understanding of the properties of the elementary particle itself such as mixing and oscillations, mass splitting, limits on absolute mass and the number of effective flavors.**

**With the past two decades advancement in the understanding of neutrino properties, astro-physical neutrinos are now used as probes to study astrophysical systems: measurement of solar metallicity, UHE neutrinos and study of active galactic nuclei, search for the diffuse Supernova neutrino background, ready to study the mechanics of Supernova explosions should one occur**

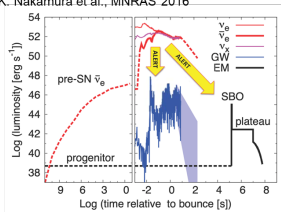


# Summary and Conclusions

In general, the whole is more than the sum of the parts for multi-messenger astronomy



K. Nakamura et al., MNRAS 2016



Neutrinos arrive earlier than the first light from a supernova... combine signals for a high-confidence prompt alert, enabling more physics & astrophysics