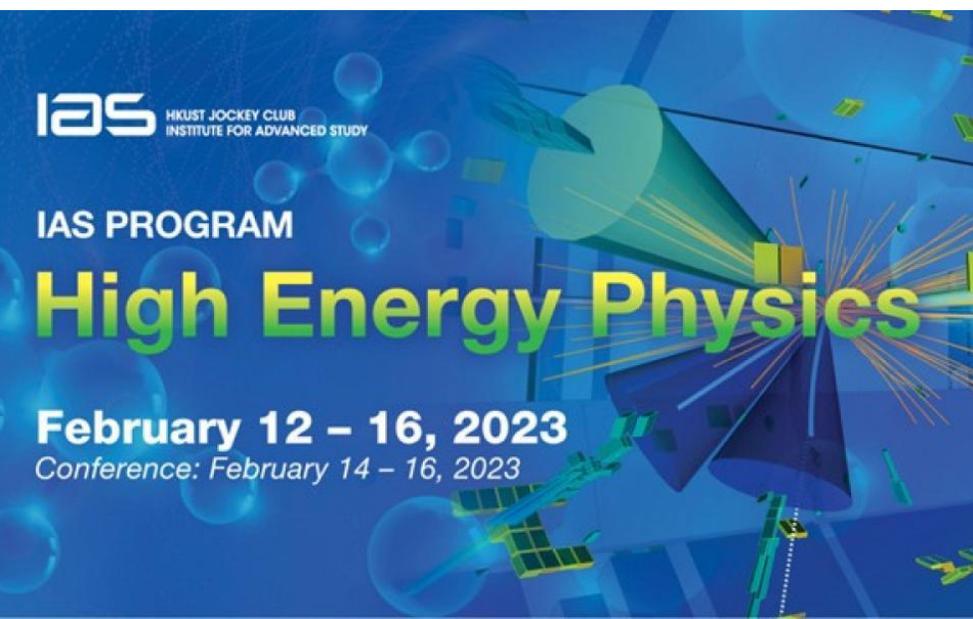




中国科学院高能物理研究所
Institute of High Energy Physics
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Progress of Reconstruction of Crystal Bar ECAL



SUN Shengsen

on behalf of CEPC ECAL software group

IAS Program – High Energy Physics (2023)
Mini-Workshop in Experiment and Detector

Hong Kong, February 13, 2023

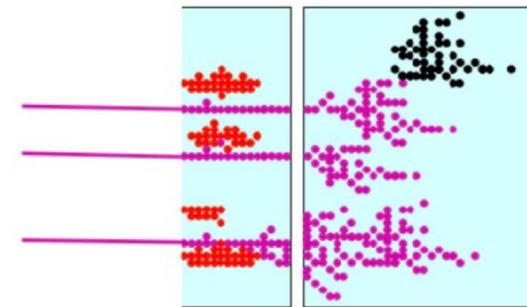
Introduction

- CEPC physics program
 - Precision Higgs, EW, flavor physics & QCD measurements
 - BSM physics
- Calorimeter for future e^+e^- collider
 - Jet energy resolution of 3~4%@100GeV
 - Fine γ/π^0 separation for flavor physics study
- Particle Flow Approach (PFA)
 - Jets = 60% charged tracks + 30% γ + 10% h^0
 - ✓ Hardware: Resolve energy deposits from different particles
 - ✓ Software: Identify energy deposits from each individual particle

Particle Flow Calorimeter = **HARDWARE + SOFTWARE**

Key Requirement on CEPC Detector

Physics process	Measurands	Detector subsystem	Performance requirement
$ZH, Z \rightarrow e^+e^-, \mu^+\mu^-$ $H \rightarrow \mu^+\mu^-$	$m_H, \sigma(ZH)$ $\text{BR}(H \rightarrow \mu^+\mu^-)$	Tracker	$\Delta(1/p_T) = 2 \times 10^{-5} \oplus \frac{0.001}{p(\text{GeV}) \sin^{3/2} \theta}$
$H \rightarrow b\bar{b}/c\bar{c}/gg$	$\text{BR}(H \rightarrow b\bar{b}/c\bar{c}/gg)$	Vertex	$\sigma_{r\phi} = 5 \oplus \frac{10}{p(\text{GeV}) \times \sin^{3/2} \theta} (\mu\text{m})$
$H \rightarrow q\bar{q}, WW^*, ZZ^*$	$\text{BR}(H \rightarrow q\bar{q}, WW^*, ZZ^*)$	ECAL HCAL	$\sigma_E^{\text{jet}}/E = 3 \sim 4\% \text{ at } 100 \text{ GeV}$
$H \rightarrow \gamma\gamma$	$\text{BR}(H \rightarrow \gamma\gamma)$	ECAL	$\Delta E/E = \frac{0.20}{\sqrt{E(\text{GeV})}} \oplus 0.01$

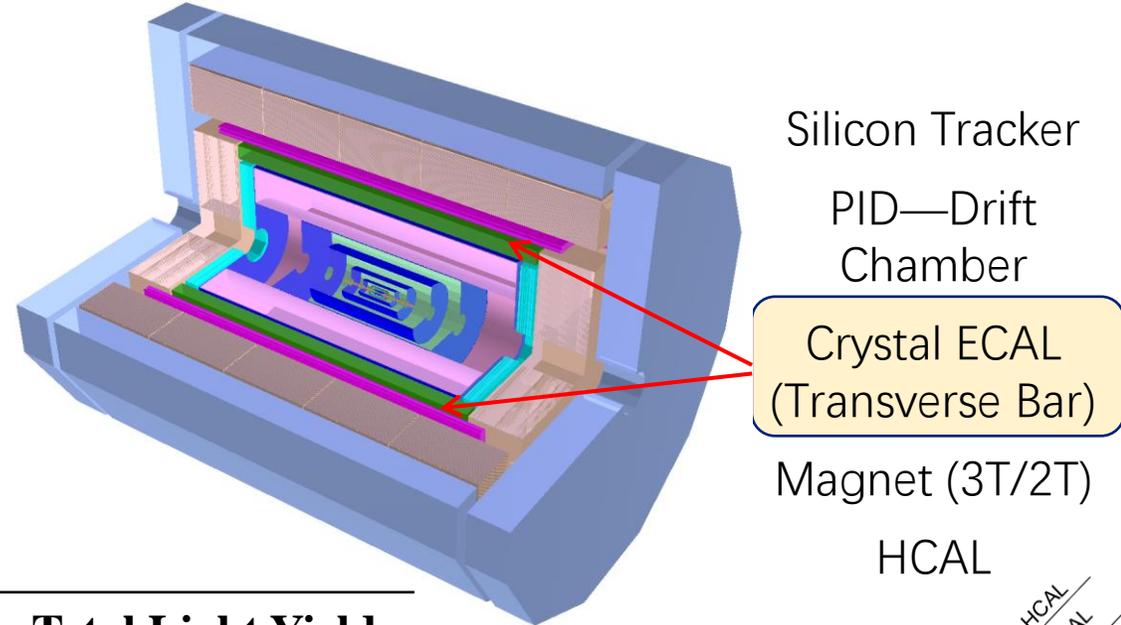


$$E_{\text{JET}} = E_{\text{TRACK}} + E_{\gamma} + E_n$$

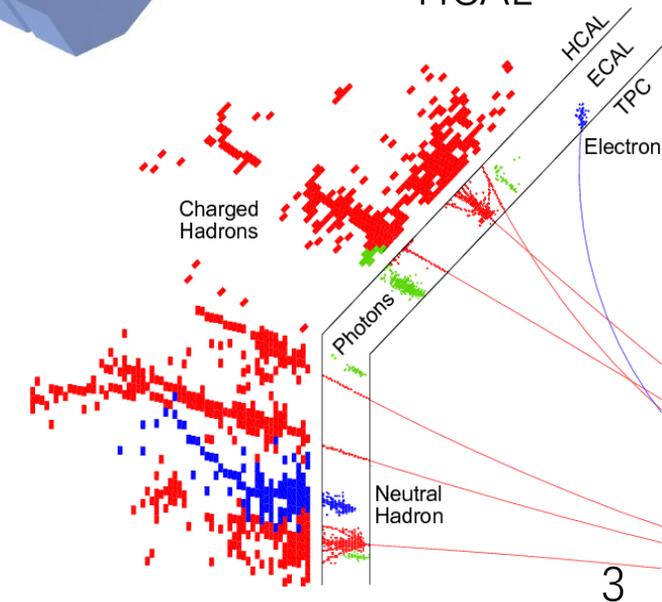
$$\sigma_{\text{Jet}} = \sqrt{\sigma_{\text{Track}}^2 + \sigma_{\text{EM}}^2 + \sigma_{\text{Had}}^2 + \sigma_{\text{Confusion}}^2}$$

Introduction: Crystal ECAL

- The 4th conceptual detector design
- Crystal ECAL
 - ✓ Homogenous structure
 - ✓ Optimal energy resolution: $\sim 3\%/\sqrt{E} \oplus \sim 1\%$
 - Incompact EM showers — X_0 & R_M
 - EM / Hadronic showers separation — λ_I / X_0

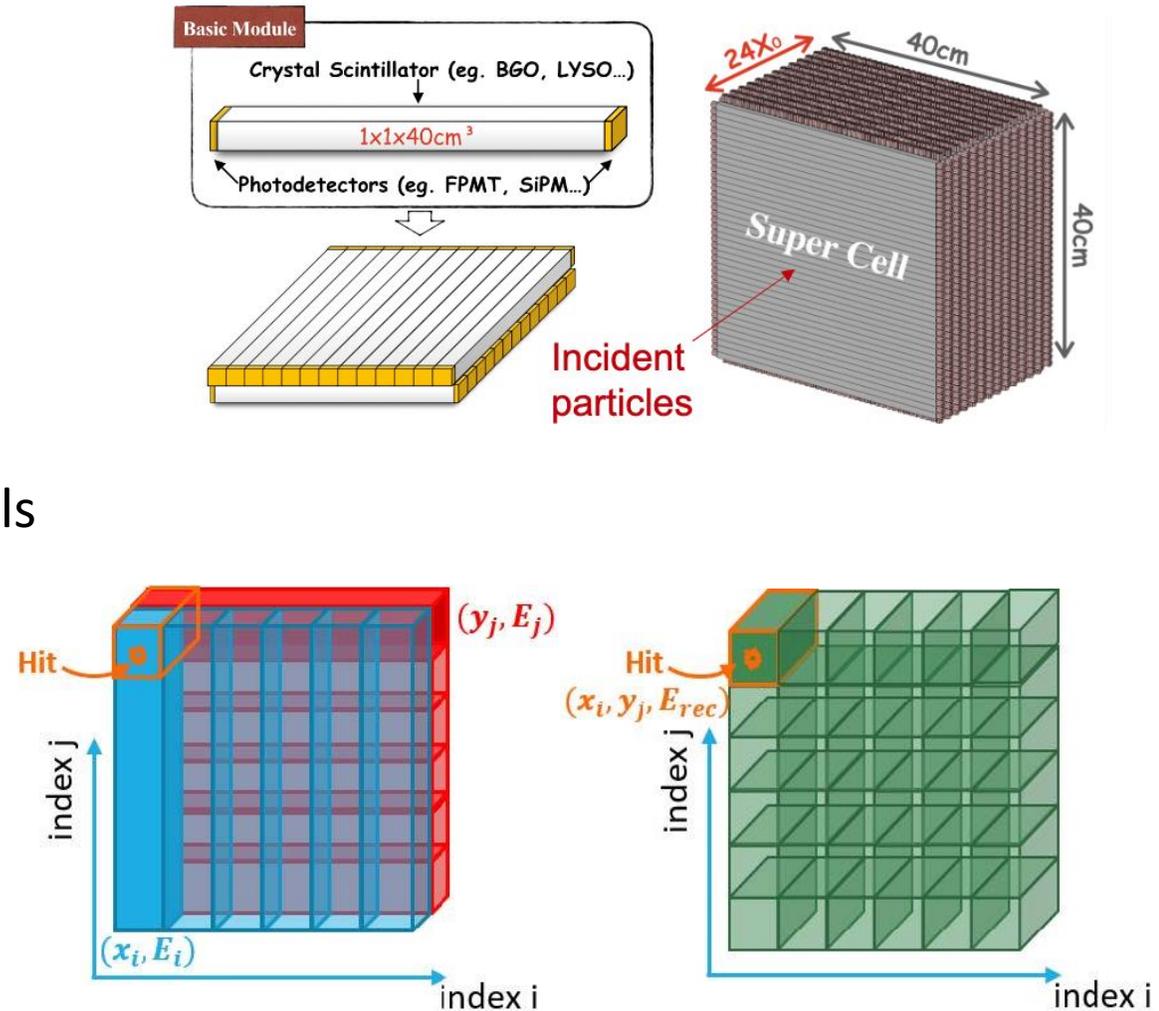


Material	X_0 / cm	R_M / cm	λ_I / cm	λ_I / X_0	Total Light Yield (ph/MeV)
PbWO ₄	0.89	2.00	20.7	23.3	130
LYSO:Ce	1.14	2.07	20.9	18.3	30'000
CsI:Tl	1.86	3.57	39.3	21.1	58'000
BGO	1.12	2.23	22.7	20.3	7'400
W (absorber)	0.35	0.93	9.6	27.4	
BGO/W	3.2	2.4	2.4	0.74	



Introduction: Crystal Bar ECAL

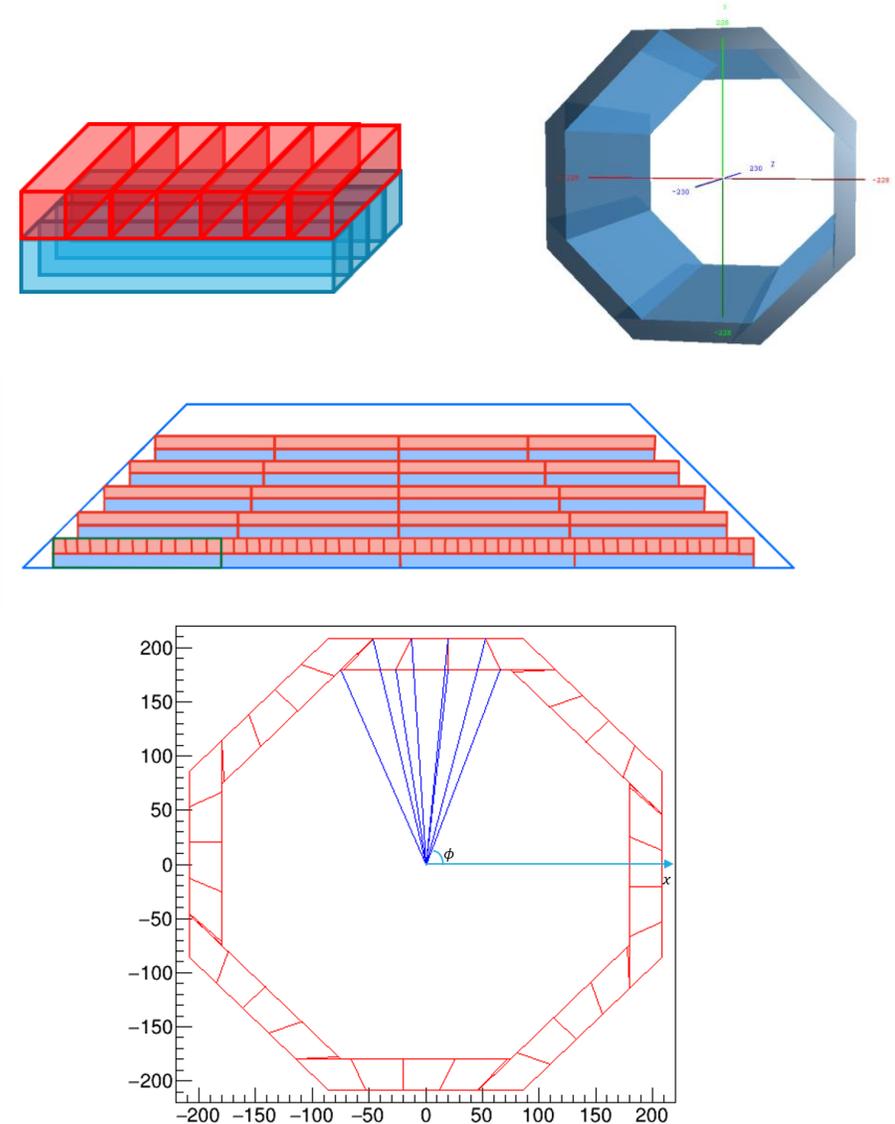
- Crystal bar array with cross point architecture
 - Homogeneous BGO crystal
 - Energy resolution: $\sim 3\%/\sqrt{E} \oplus \sim 1\%$
 - Long crystal bar: Charge/Time measurements at double-side readouts
 - Crossed arrangement of in adjacent layers
 - Significant reduction of number of readout channels
- Challenges for Reconstruction
 - Ambiguity caused by 2-dimension measurement (ghost hit)
 - Identification of energy deposits from individual particles



Simulation and Digitization

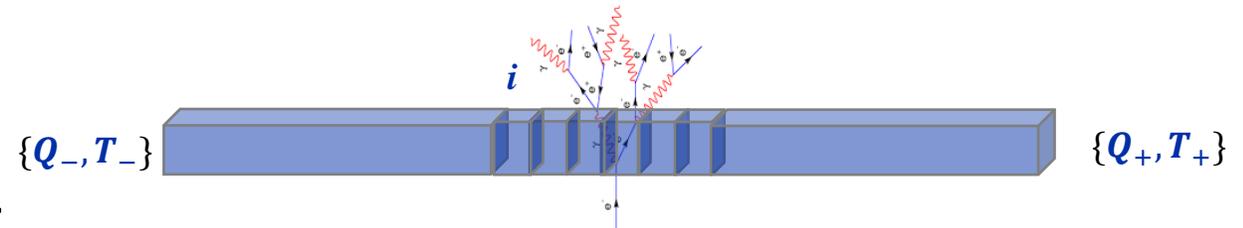
- Crystal Bar
 - Size: $1 \times 1 \times 40 \sim 60 \text{ cm}^3$
 - Double-end readout
- Super Cell
 - Adjacent layers of perpendicularly crossing bars
 - Size: $\sim 40 \times \sim 60 \times 28 \text{ cm}^3$
- Detector
 - $R = 1.9\text{m}, L = 6.6\text{m}, H = 28\text{cm}$
 - 8 same trapezoidal modules
 - Avoid gaps point to IP
- DD4Hep is used for geometry construction

Ignoring dead area, mechanics of supporting or cooling, etc



Simulation and Digitization

- A standalone full simulation for extraction of time resolution
 - Optical photon processes: scintillation, Cherenkov, absorption, refraction/reflection at boundaries
- Geant4-based simulation in CEPCSW
 - Electromagnetic & hadronic showers
- Simplified digitization for one crystal bar
 - Contribution from G4step i :

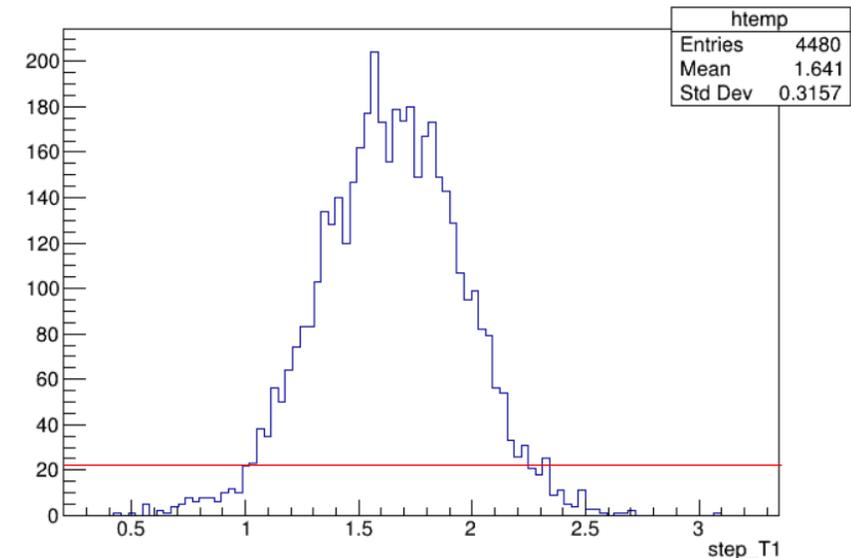


$$Q_{\pm}^i = E_0 \cdot e^{-\frac{L/2 \pm z_i}{L_{Atten}}}, \quad T_{\pm}^i = T_0 + Gaus(z_{\pm}^i/v, \sigma_T)$$

- Readout at both ends: Q and T

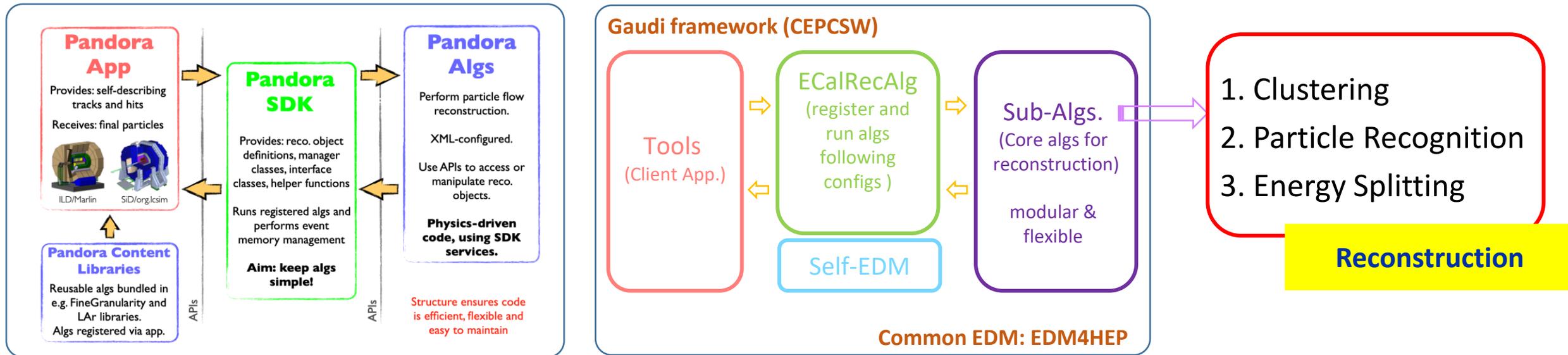
$$Q_{\pm} = \sum_{step} Q_{\pm}^i, \quad T_{\pm} = T_{\pm}^k \mid \left(\sum_{i=1}^k Q_{\pm}^i > thres \right)$$

Simplified Conditions: $L_{Atten} = \infty$



Framework for Particle Flow Reconstruction

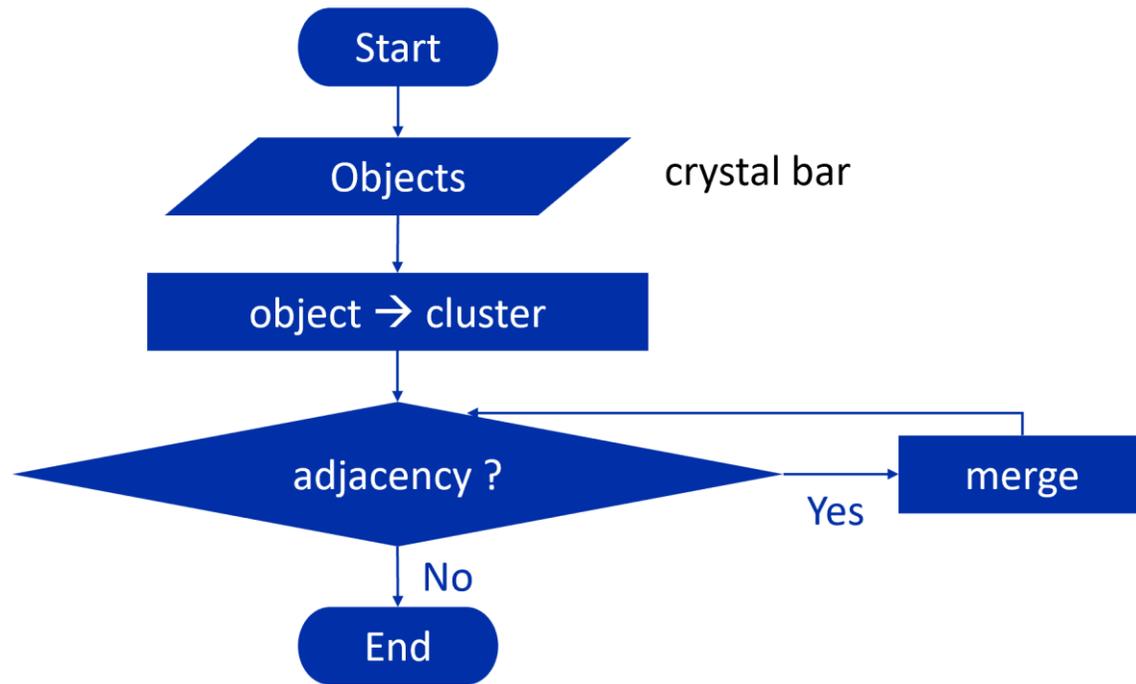
- Follow the idea of PandoraSDK: flexible, reusable, modularization (*Many thanks!*)
- Develop in CEPCSW: based on the common HEP software stack Key4HEP.



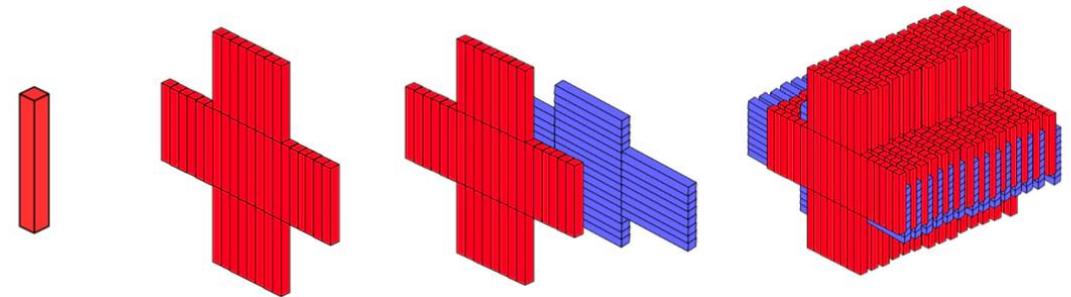
J.S.Marshall, CHEF 2013

Clustering

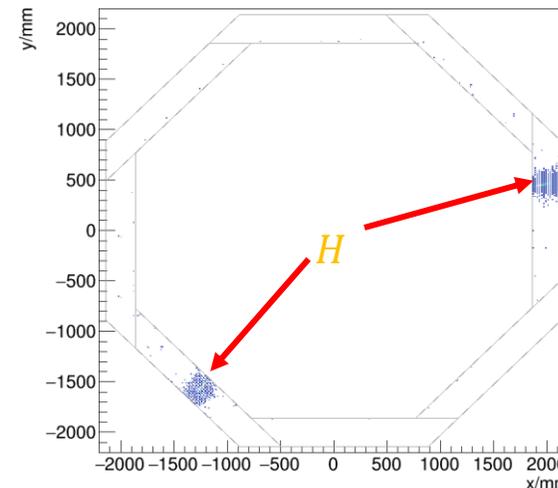
- A cluster: a group of adjacent detection units whose energy is greater than threshold
- Clustering is based on identification of adjacency or not



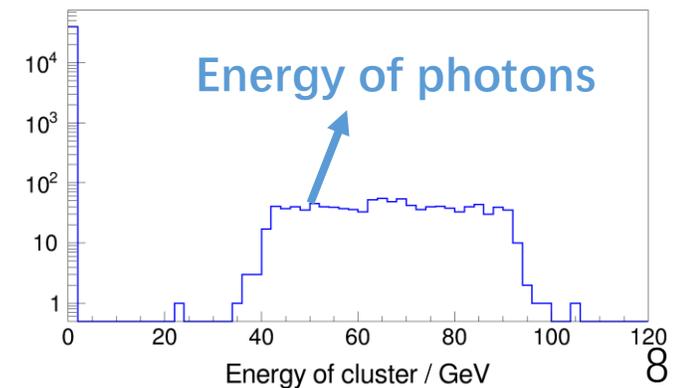
crystal bar



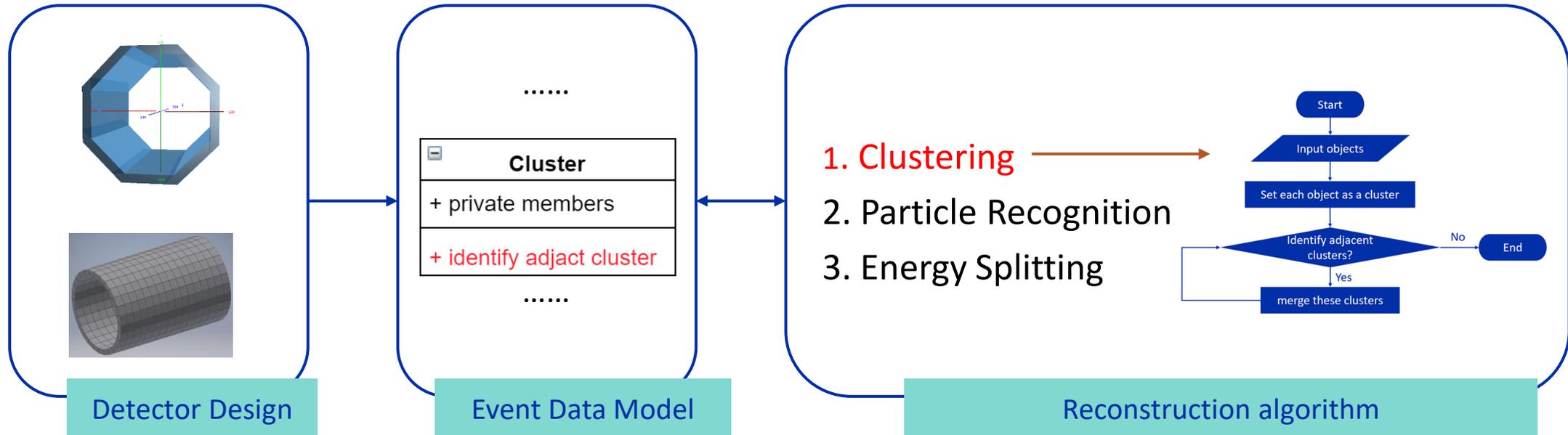
Unit 1DCluster 2DCluster 3DCluster



$H \rightarrow \gamma\gamma$



Clustering



Abstract concept

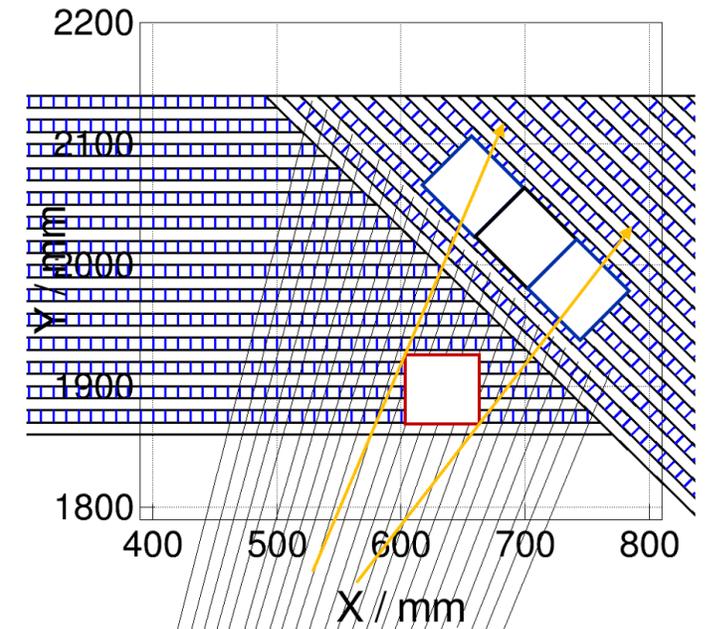
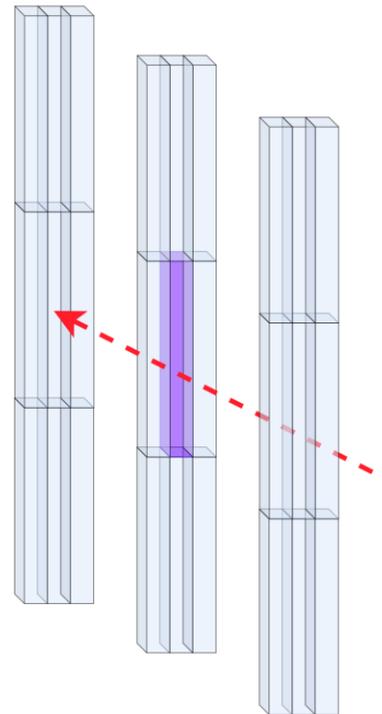
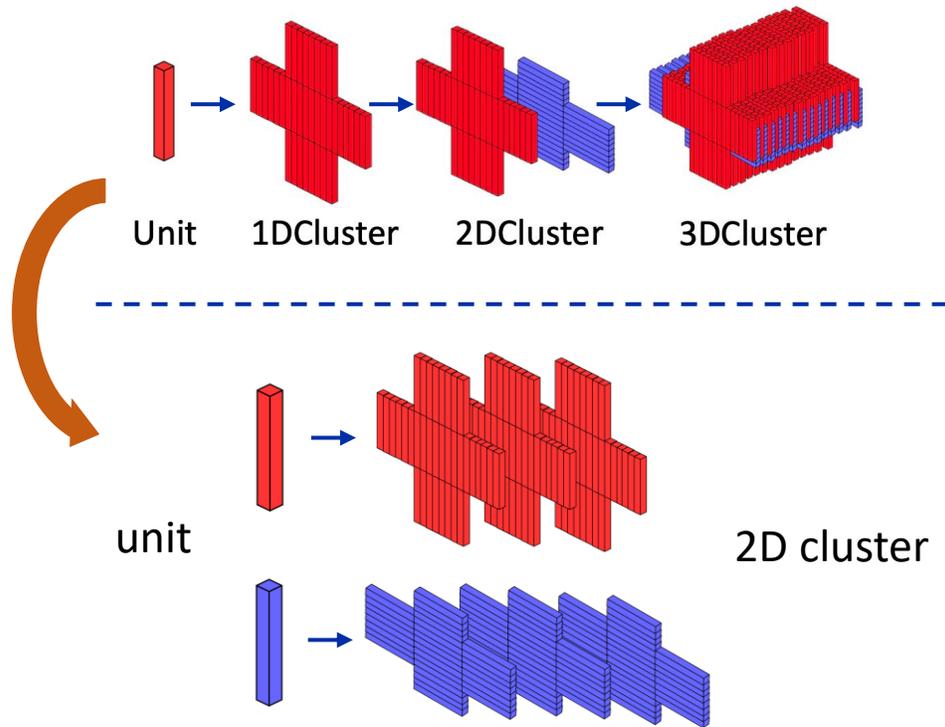
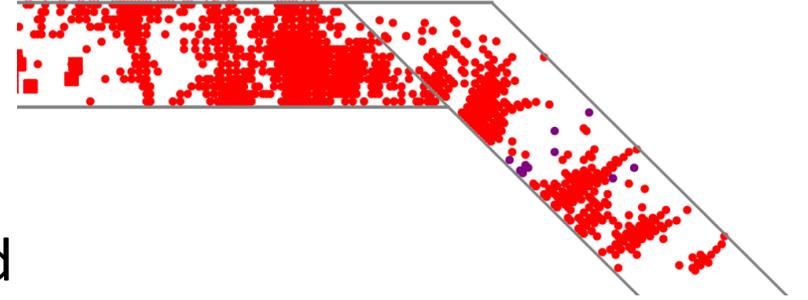
- clustering function template is used from units to 3D cluster

Modularization

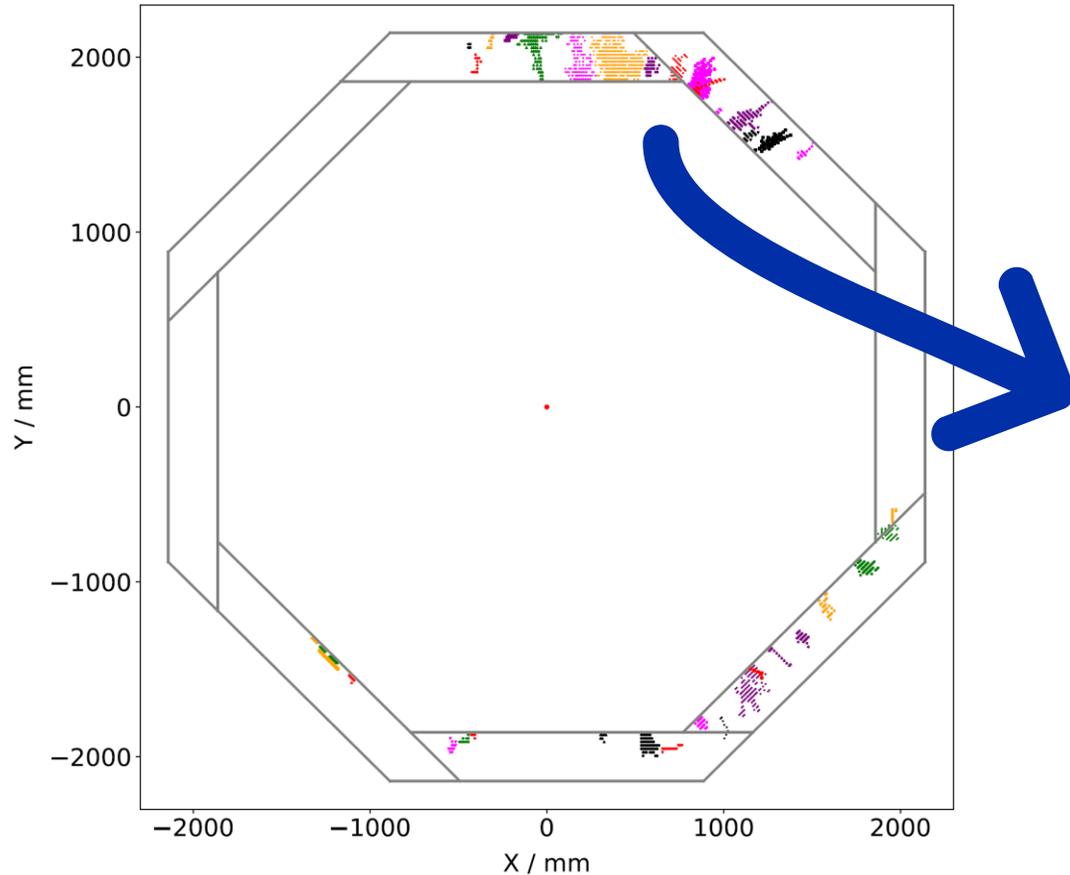
- easy to migrate, adapted to different detector design, such as endcap ECAL and HCAL

Optimization of Clustering

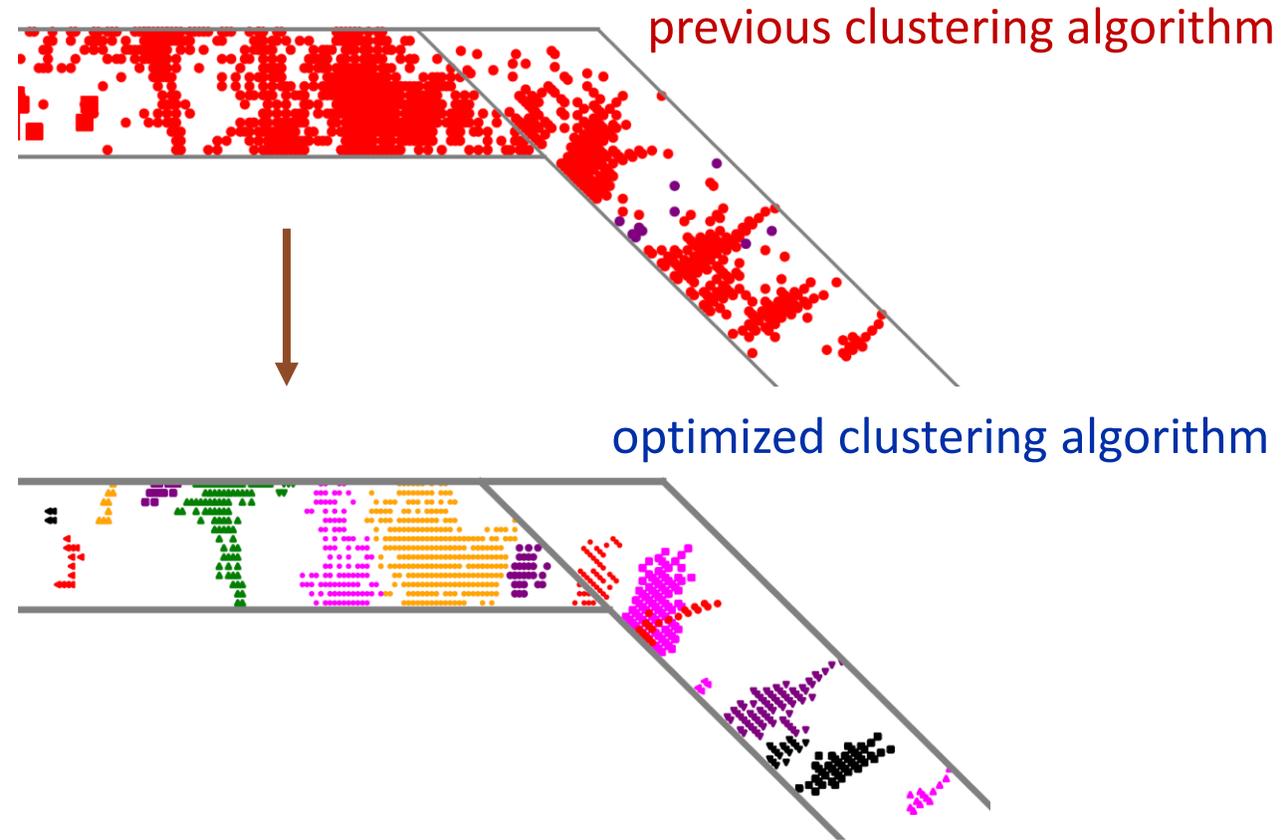
- Vertical and horizontal units are clustered respectively
- More strict criteria for adjacency
- Adjacent area of two modules: dictionary lookup method



Performance check using $H \rightarrow gg$ event



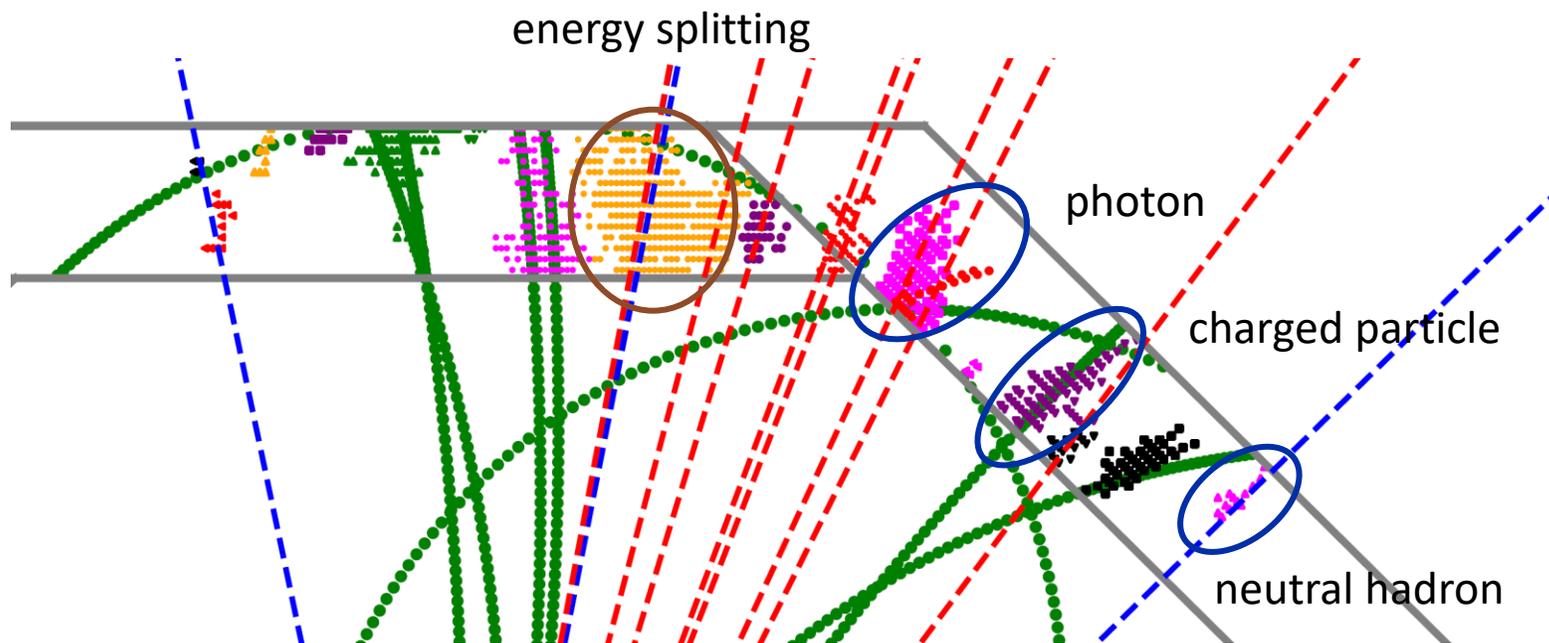
Each Color represent one Cluster



Separation power is improved significantly

Crystal bar ECAL in two projections [imaging calorimeter](#)

Validation using MC Truth



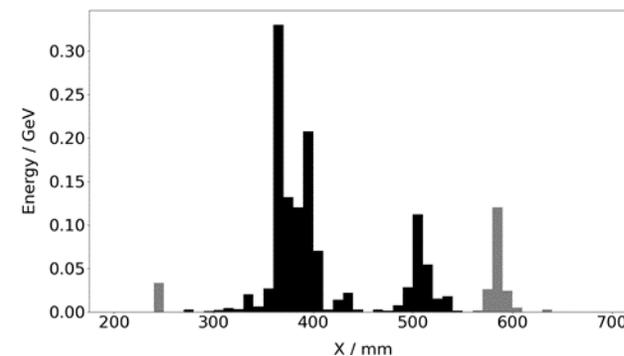
MC Truth Information

Green circle points: charged particles

Red dashed lines: photons

blue dashed line: neutral hadrons

A clear relationship between individual cluster and single particle



Principle of Hough Transformation

- A feature extraction method for detection simple shapes (e.g. lines) in image space

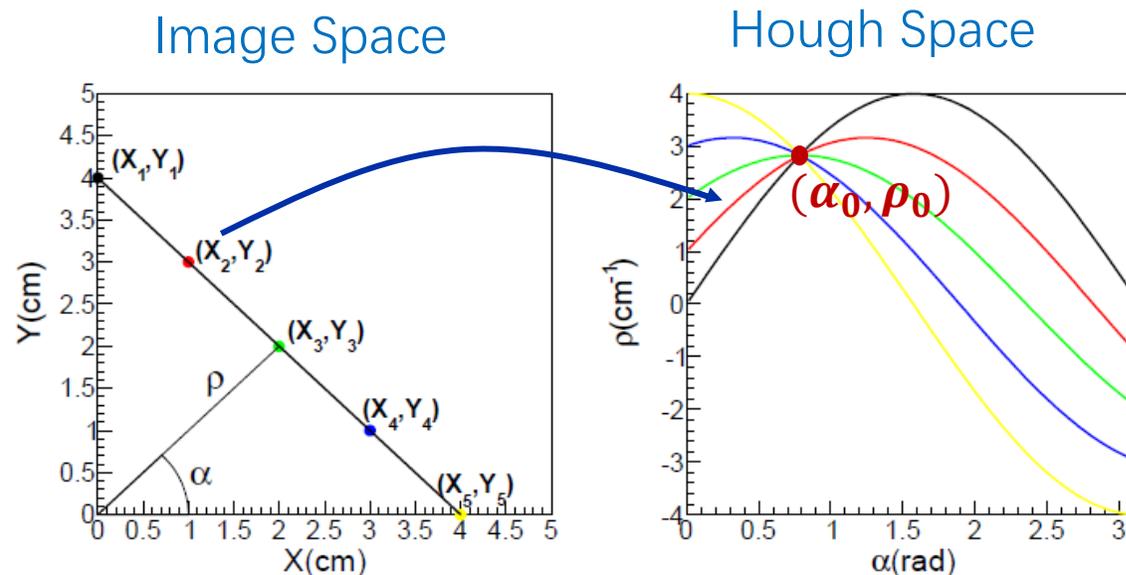
- For straight lines:

$$\rho = x \cos \alpha + y \sin \alpha$$

- Each point (x, y) in image space \leftrightarrow a curve in Hough space

- If several points (x_i, y_i) are collinear, their curves intersect at a point (α_0, ρ_0) in Hough space

- α_0 and ρ_0 are parameters of the straight line that pass through these points (x_i, y_i)



$$(x_i, y_i) \quad \rightarrow \quad \rho = x_i \cos \alpha + y_i \sin \alpha$$

Series of Points

Series of Curves

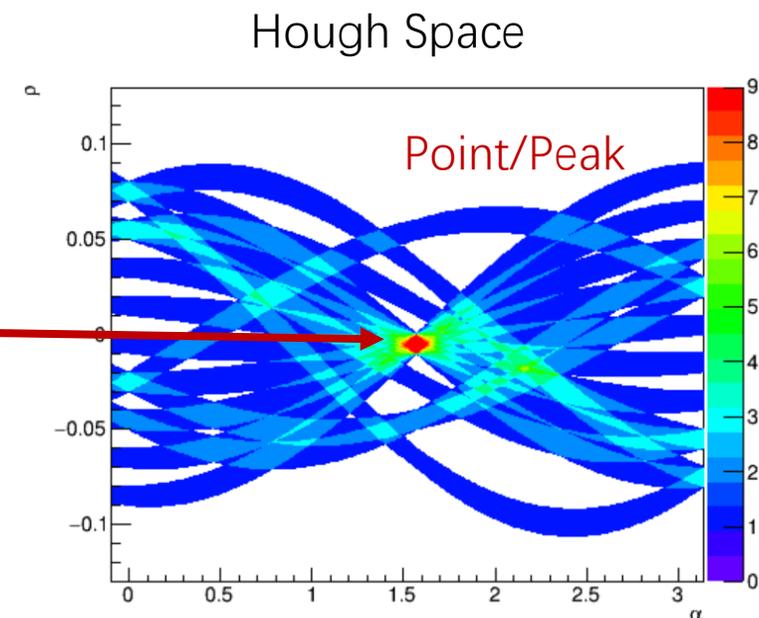
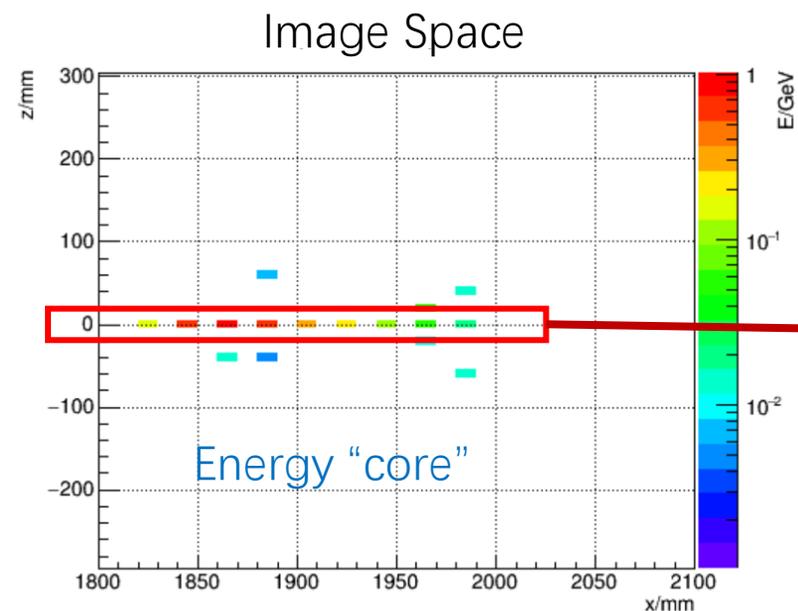
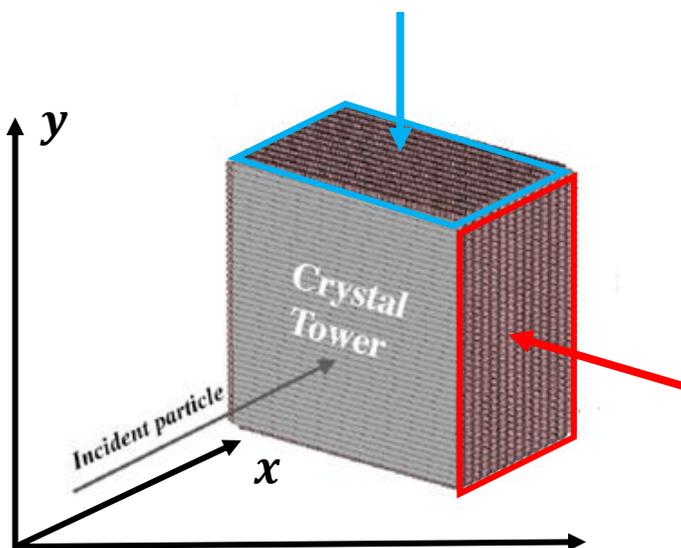
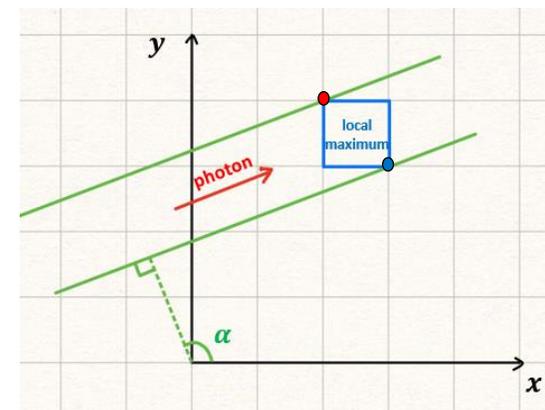
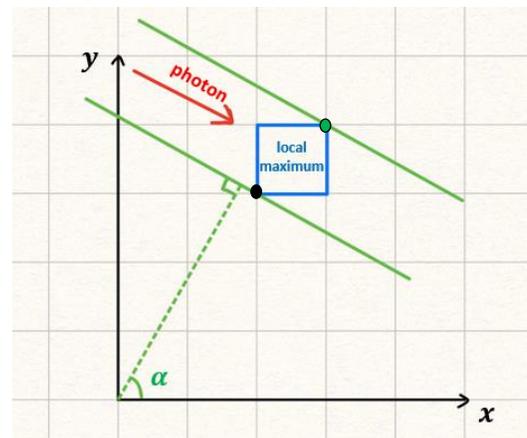
$$\rho_0 = x \cos \alpha_0 + y \sin \alpha_0 \quad \leftarrow \quad (\alpha_0, \rho_0)$$

Line

Point

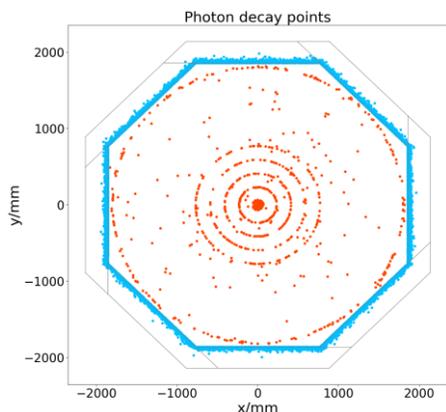
Hough Transformation for ECAL

- Each crystal in image space is transformed to a band in Hough space instead of a curve
- EM Shower recognition in vertical and horizontal projection spaces respectively
- Each point/peak (overlap region of band) in Hough space is chosen as a EM shower candidate

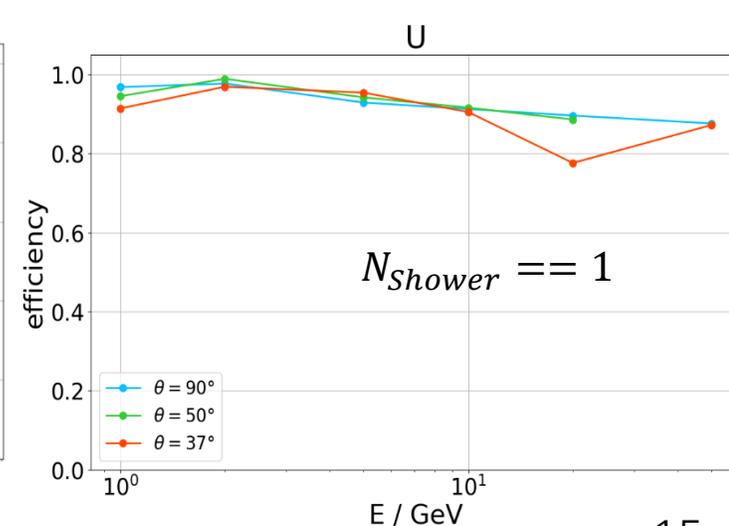
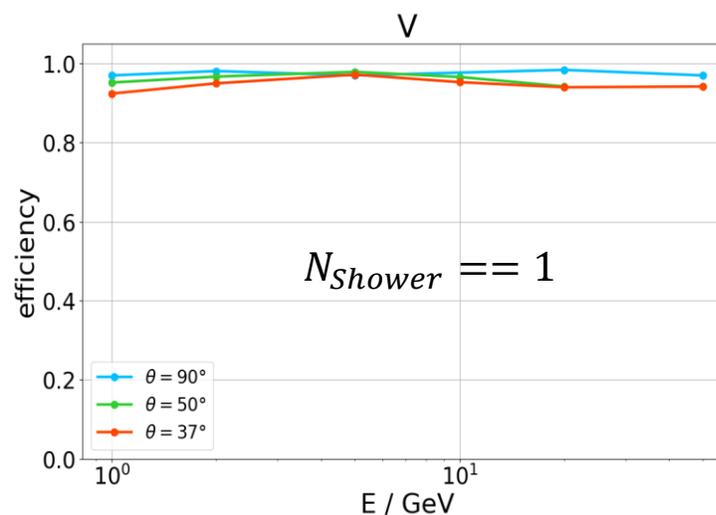
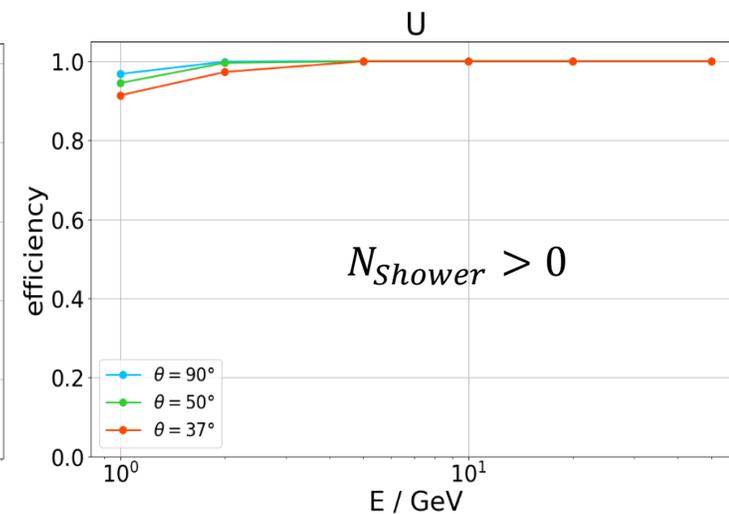
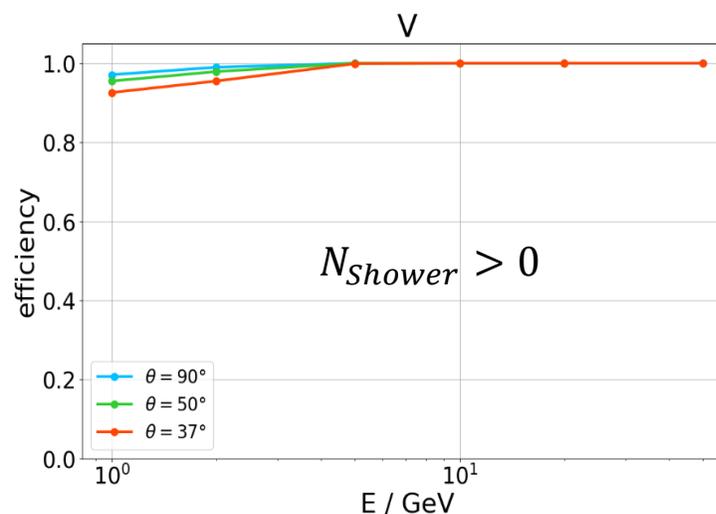


Performance of Single Photon MC Samples

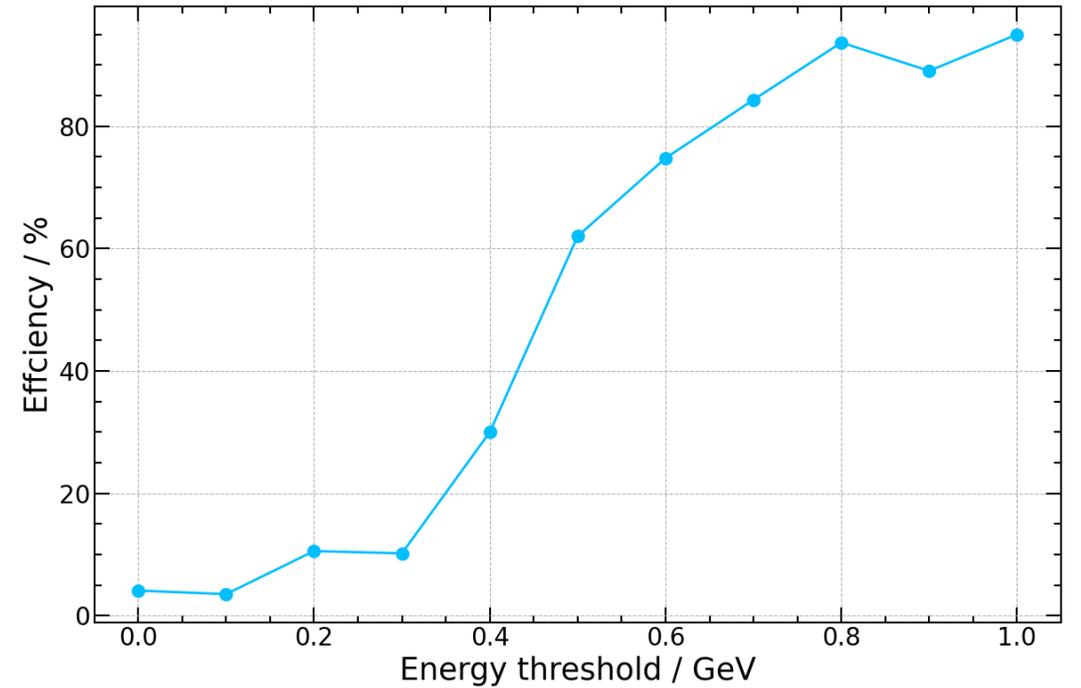
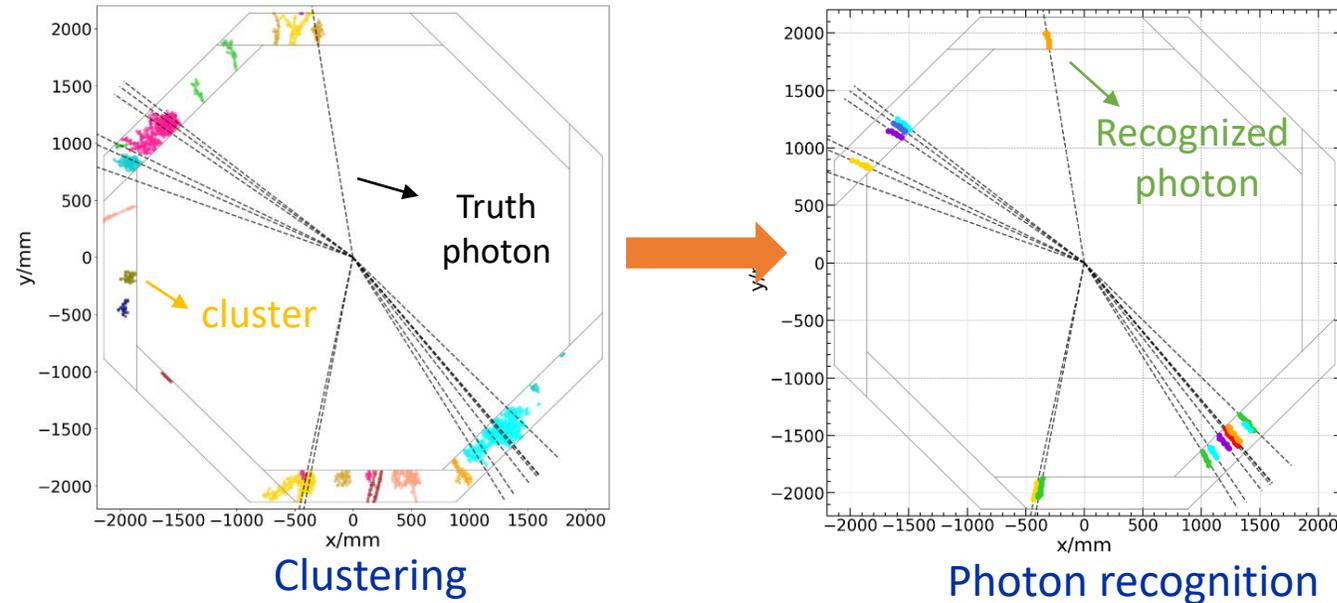
- A series of single photon MC samples are generated with differential energies and directions
- Inefficiency in low energy range since short longitudinal developments
- Number of fake showers raises in large energy and angle ranges
- Events with interactions between photon and material are included



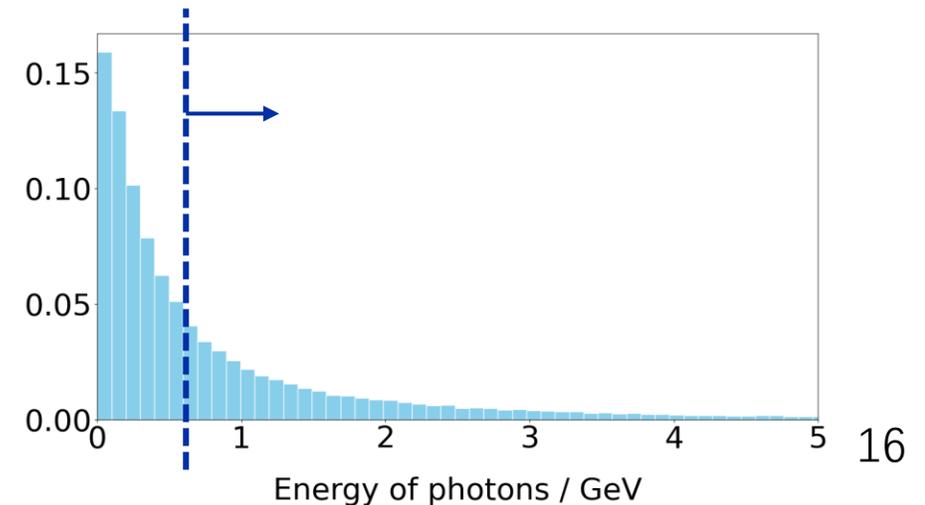
50GeV γ



Photon Recognition in $H \rightarrow gg$ events



- Recognition efficiency for photons in jet with $E > 0.8 \text{ GeV}$ is $> 95\%$ (Gamma conversion)
- Photons with lower energy will be recognized using other method



Elimination of Ambiguity

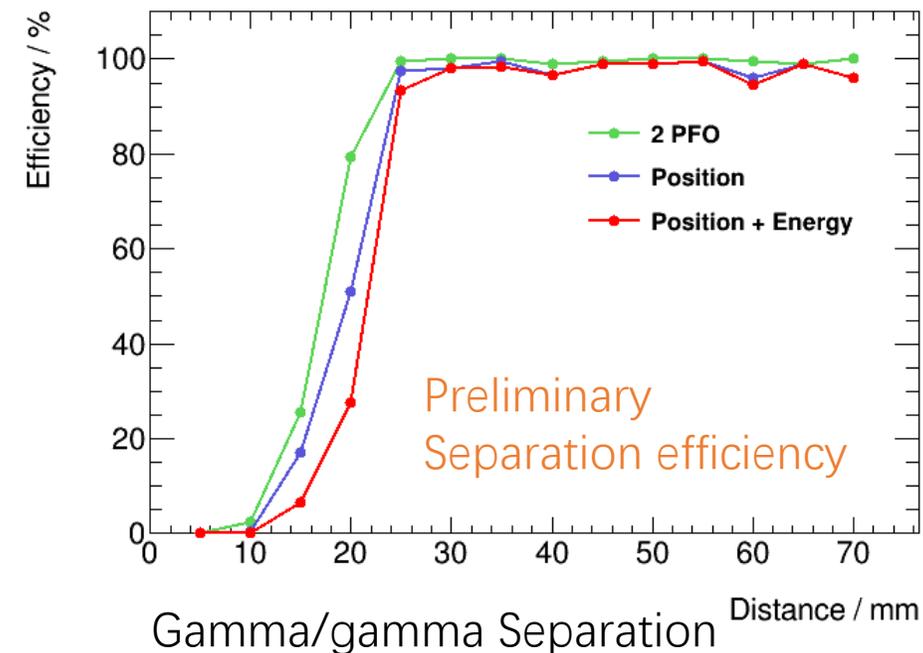
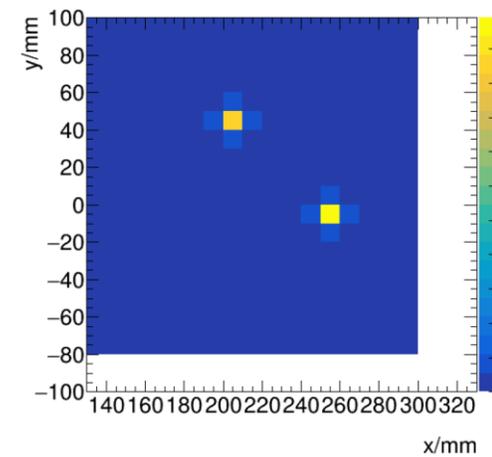
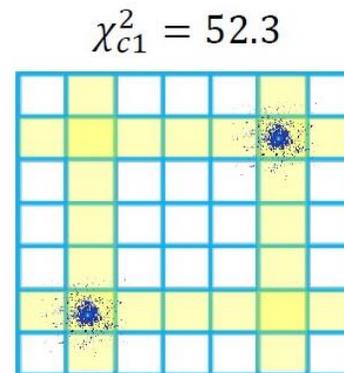
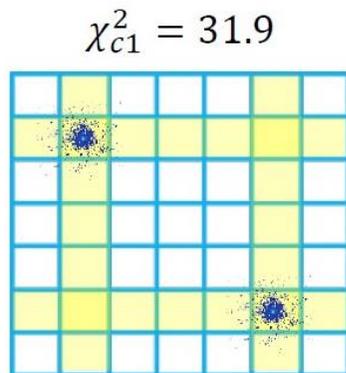
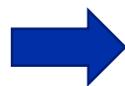
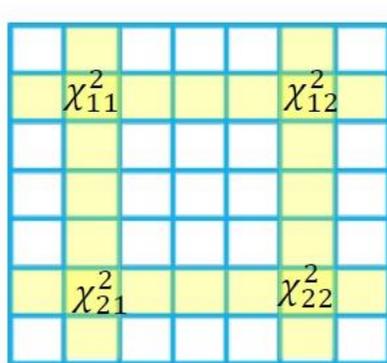
- Perpendicular arrangement of crystal bars in adjacent layers may cause ambiguity problem for multiple particles in one super cell

- Define χ_E^2 for energy matching: $\chi_E^2 = \frac{(E_X - E_Y)^2}{\sigma_E^2}$

- Define χ_T^2 for time matching: $\chi_T^2 = \frac{(Z_T - Z_Y)^2}{\sigma_{bar}^2 + \sigma_{Z(t)}^2}$

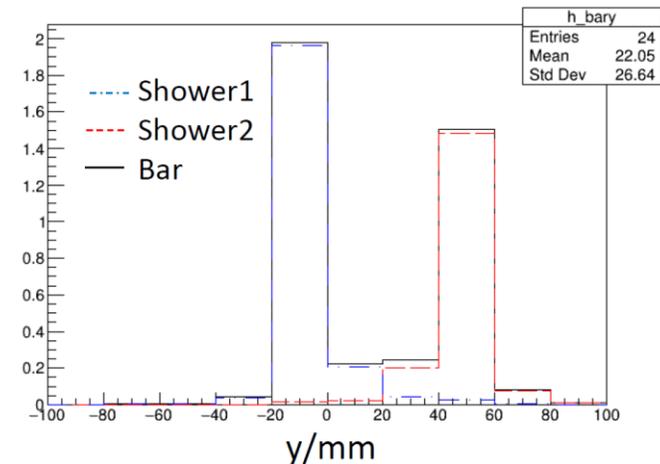
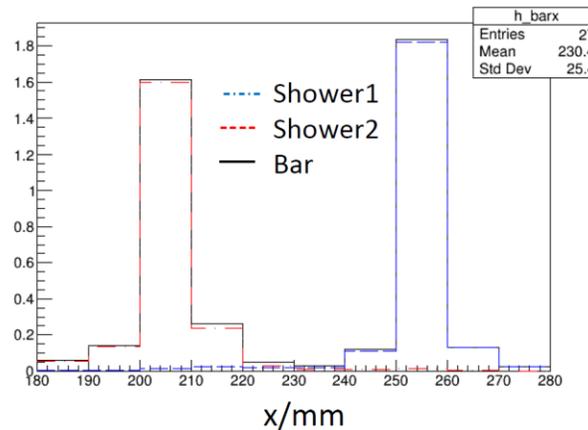
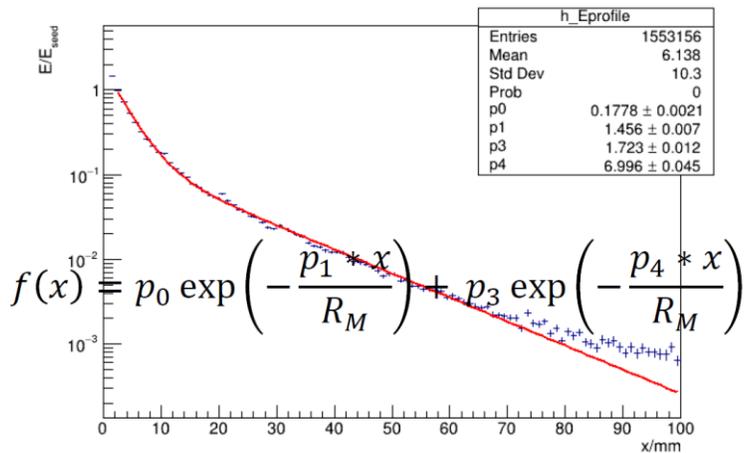
- Define $\chi_{point}^2 = \chi_E^2 + \frac{1}{2}(\chi_{Tx}^2 + \chi_{Ty}^2)$

- Totally $N!$ combinations: $\chi_c^2 = \sum_{i=1}^N \chi_{point}^2$



Energy Splitting

- Showers from different particles may overlap
 - EM shower development description: $E_{i\mu}^{exp} = E_{\mu}^{seed} \times f(|x_i - x_c|)$
 - Energy splitting: $E_{i\mu} = w_{i\mu} \times E_{mea}^i = \frac{E_{i\mu}^{exp}}{\sum_{\mu} E_{i\mu}^{exp}} \times E_{mea}^i$
 - Iteration until convergence



Summary

- Optimal energy resolution of crystal calorimeter enhance physics discovery potential
- Crystal bar array with cross point architecture provides a promising solution for ECAL, challenges for hardware and software to achieve a maximal exploitation of precise measurements
- Basic functions of clustering, photon recognition, elimination of ambiguity and energy splitting have been implemented and demonstrated
- More efforts are expected to complete feasibility study of cross point crystal ECAL

Thank you!