

XIV-th International School-Conference  
Actual Problems of Microworld Physics,  
August 12-24, 2018, Hrodna, Belarus

# Quantum field theory in strong external fields at high densities and temperatures. I.

Yu.A.Sitenko  
BITP, Kyiv, Ukraine

## Spinor matter in extremal conditions:

- ▶ hot and dense
- ▶ in strong magnetic field

Physical systems in:

- ▶ relativistic heavy-ion collisions
- ▶ compact astrophysical objects (neutron stars and magnetars)
- ▶ the early universe
- ▶ novel materials (the Dirac and Weyl semimetals)  
 $Cd_3As_2$ ,  $Na_3Bi$ ,  $K_3Bi$ ,  $Rb_3Bi$ ,  $TaAs$ ,  $BaAuBi$ ,  $BaCuBi$ ,  
 $BaAgBi$ ,  $Bi_2Se_3$ ,  $TIBiSe_2$ , ...

## Operator of quantized spinor field in a static background

$$\hat{\Psi}(\mathbf{x}, t) = \sum_{E_k > 0} e^{-iE_k t} \langle \mathbf{x}|k\rangle \hat{a}_k + \sum_{E_k < 0} e^{-iE_k t} \langle \mathbf{x}|k\rangle \hat{b}_k^\dagger, \quad (1)$$

where  $\hat{a}_k^\dagger$  and  $\hat{a}_k$  ( $\hat{b}_k^\dagger$  and  $\hat{b}_k$ ) are the spinor particle (antiparticle) creation and destruction operators satisfying anticommutation relations,

$$[\hat{a}_k, \hat{a}_{k'}^\dagger]_+ = [\hat{b}_k, \hat{b}_{k'}^\dagger]_+ = \langle k|k' \rangle, \quad (2)$$

and  $\langle \mathbf{x}|k\rangle$  is the solution to the stationary Dirac equation,

$$H \langle \mathbf{x}|k\rangle = E_k \langle \mathbf{x}|k\rangle, \quad (3)$$

$H$  is the Dirac hamiltonian,  $k$  is the set of parameters (quantum numbers) specifying a one-particle state,  $E_k$  is the energy of the state, and the vacuum is defined as

$$\hat{a}_k |\text{vac}\rangle = \hat{b}_k |\text{vac}\rangle = 0. \quad (4)$$

Operators of dynamical variables (physical observables) in second-quantized theory are defined as bilinears of the fermion field operator (1).

Fermion number operator

$$\hat{N} = \frac{1}{2} \int d^d x (\hat{\Psi}^\dagger \hat{\Psi} - \hat{\Psi}^T \hat{\Psi}^{\dagger T}) = \sum_k \left[ \hat{a}_k^\dagger \hat{a}_k - \hat{b}_k^\dagger \hat{b}_k - \frac{1}{2} \text{sgn}(E_k) \right], \quad (5)$$

where  $\text{sgn}(\pm u) = \pm 1$  at  $u > 0$ .

Energy (temporal component of the energy-momentum vector) operator,

$$\hat{P}^0 = \frac{1}{2} \int d^d x (\hat{\Psi}^\dagger H \hat{\Psi} - \hat{\Psi}^T H^T \hat{\Psi}^{\dagger T}) = \sum_k |E_k| \left( \hat{a}_k^\dagger \hat{a}_k + \hat{b}_k^\dagger \hat{b}_k - \frac{1}{2} \right). \quad (6)$$

Partition function of the grand canonical ensemble:

$$Z(\beta, \mu) = \text{Sp} \exp \left[ -\beta(\hat{P}^0 - \mu \hat{N}) \right], \quad \beta = (k_B T)^{-1}. \quad (7)$$

Averages over the grand canonical ensemble:

$$\langle \hat{N} \rangle_{\beta, \mu} = Z^{-1}(\beta, \mu) \text{Sp} \hat{N} \exp \left[ -\beta(\hat{P}^0 - \mu \hat{N}) \right] \quad (8)$$

and

$$\langle \hat{P}^0 \rangle_{T, \mu} = Z^{-1}(\beta, \mu) \text{Sp} \hat{P}^0 \exp \left[ -\beta(\hat{P}^0 - \mu \hat{N}) \right]. \quad (9)$$

*Sp* is the sum over all possible occupation numbers

Fock space in second-quantized theory:  $|\{i_k\}\rangle$

$$\hat{n}_k = \hat{a}_k^\dagger \hat{a}_k \quad E_k > 0 \quad (\text{particles})$$

$$\hat{n}_k = \hat{b}_k^\dagger b_k \quad E_k < 0 \quad (\text{antiparticles})$$

$$\hat{n}_l |\{i_k\}\rangle = i_l |\{i_k\}\rangle \quad l \in \{k\} \quad i_k = 0, 1$$

$$\hat{N} |\{i_k\}\rangle = \left[ \sum_{l, E_l > 0} \left( \hat{n}_l - \frac{1}{2} \right) \right] |\{i_k\}\rangle - \left[ \sum_{l, E_l < 0} \left( \hat{n}_l - \frac{1}{2} \right) \right] |\{i_k\}\rangle$$

$$\hat{P}^0 |\{i_k\}\rangle = \left[ \sum_l |E_l| \left( \hat{n}_l - \frac{1}{2} \right) \right] |\{i_k\}\rangle$$

$$\mu = 0$$

$$\left\langle \hat{n}_I - \frac{1}{2} \right\rangle_{\beta} = \frac{\sum_{0,1} \sum_{k \neq I} \langle \{i_k\} | e^{-\beta \hat{P}^0} | \{i_k\} \rangle \left\langle i_I \left| (\hat{n}_I - \frac{1}{2}) e^{-\beta \hat{P}^0} \right| i_I \right\rangle}{\sum_{0,1} \sum_{k \neq I} \langle \{i_k\} | e^{-\beta \hat{P}^0} | \{i_k\} \rangle \left\langle i_I \left| e^{-\beta \hat{P}^0} \right| i_I \right\rangle}$$

$$= \frac{-\frac{1}{2}e^{\frac{1}{2}\beta|E_I|} + \frac{1}{2}e^{-\frac{1}{2}\beta|E_I|}}{e^{\frac{1}{2}\beta|E_I|} + e^{-\frac{1}{2}\beta|E_I|}} = -\frac{1}{2} \tanh\left(\frac{1}{2}\beta|E_I|\right)$$

$$\left\langle \hat{N} \right\rangle_{\beta} = \sum_{I, E_I > 0} \left\langle \hat{n}_I - \frac{1}{2} \right\rangle_{\beta} - \sum_{I, E_I < 0} \left\langle \hat{n}_I - \frac{1}{2} \right\rangle_{\beta}$$

$$= -\frac{1}{2} \sum_k \tanh\left(\frac{1}{2}\beta E_k\right)$$

$H$  is the hamiltonian in first-quantized theory

$$H \langle x|k \rangle = E_k \langle x|k \rangle$$

orthonormality:  $\int d^d x \langle k|x \rangle \langle x|k' \rangle = \langle k|k' \rangle$

completeness:  $\sum_k \langle x|k \rangle \langle k|x' \rangle = \langle x|x' \rangle I$

$H$  is self-adjoint, then  $f(H)$  can be defined,

$$\text{Tr } f(H) \equiv \int d^d x \text{ tr} \langle x | f(H) | x \rangle = \sum_k \sum_{k'} \int d^d x \text{ tr} \langle x|k \rangle$$

$$\times \langle k|f(H)|k' \rangle \langle k'|x \rangle = \sum_k \sum_{k'} \int d^d x \text{ tr} \langle k'|x \rangle \langle x|k \rangle \langle k|f(H)|k' \rangle$$

$$= \sum_k \sum_{k'} \langle k'|k \rangle \langle k|f(H)|k' \rangle = \sum_k \langle k|f(H)|k \rangle = \sum_k f(E_k)$$

$$\langle \hat{N} \rangle_{\beta, \mu} = \frac{\text{Sp } \hat{N} \exp \left[ -\beta(\hat{P}^0 - \mu \hat{N}) \right]}{\text{Sp } \exp \left[ -\beta(\hat{P}^0 - \mu \hat{N}) \right]} = -\frac{1}{2} \text{Tr } \tanh \left[ \frac{1}{2} \beta(H - \mu I) \right]$$

and

$$\langle \hat{P}^0 \rangle_{\beta, \mu} = \frac{\text{Sp } \hat{P}^0 \exp \left[ -\beta(\hat{P}^0 - \mu \hat{N}) \right]}{\text{Sp } \exp \left[ -\beta(\hat{P}^0 - \mu \hat{N}) \right]} = -\frac{1}{2} \text{Tr } H \tanh \left[ \frac{1}{2} \beta(H - \mu I) \right]$$

Fermi-Dirac distribution:

$$\langle \hat{n}_I \rangle_{\beta, \mu} = \{ \exp[\beta(E_I - \mu)] + 1 \}^{-1}, \quad E_I > 0$$

and

$$\langle \hat{n}_I \rangle_{\beta, \mu} = \{ \exp[\beta(-E_I + \mu)] + 1 \}^{-1}, \quad E_I < 0.$$

One can define densities of the averages, for instance:

$$\langle \hat{N} \rangle_{\beta, \mu} = \int d^d x \rho_N(x; \beta, \mu)$$

$$\rho_N(x; \beta, \mu) = -\frac{1}{2} \text{tr} \left\langle x \left| \tanh \left[ \frac{1}{2} \beta(H - \mu I) \right] \right| x \right\rangle$$

# Matsubara formalism

Causal Green function:

$$G(t - t', x, x') = i \left\langle \text{vac} \left| T \hat{\Psi}(t, x) \bar{\hat{\Psi}}(t', x') \right| \text{vac} \right\rangle$$

$$(-i\gamma^\nu D_\nu + m) G(t - t', x, x') = \delta(t - t') \delta^d(x - x')$$

$$D_\nu = \partial_\nu + ieA_\nu, \quad -i\gamma^\nu D_\nu + m = \gamma^0 (-i\partial_0 + H)$$

$H = -i\vec{\alpha} \cdot \vec{D} + eA_0 + \gamma^0 m$  is the Dirac hamiltonian;  $\vec{\alpha} = \gamma^0 \vec{\gamma}$ .

Transition to imaginary time:  $t = -i\tau$

$$0 < \tau < \beta, \quad \beta = (k_B T)^{-1}$$

$$\gamma^0 (\partial_\tau + H) G\left(-i(\tau - \tau'), x, x'\right) = i\Delta(\tau - \tau') \delta^d(x - x')$$

Chemical potential  $\mu$ :  $A_0(x, t) = \tilde{A}_0(x, t) - \mu$

$$H_\mu \equiv H - \mu I, \quad \gamma^0 (\partial_\tau + H_\mu) G\left(-i(\tau - \tau'), x, x'\right) = i\Delta(\tau - \tau') \delta^d(x - x')$$

antiperiodicity:  $\Delta(\tau + \beta) = -\Delta(\tau)$

$$G\left(-i(\tau + \beta - \tau'), x, x'\right) = -G\left(-i(\tau - \tau'), x, x'\right)$$

$$G\left(-i(\tau - \tau'), x, x'\right) = \frac{i}{\beta} \sum_{n \in \mathbb{Z}} e^{-i\omega_n(\tau - \tau')} \left\langle x \left| \left[ \gamma^0 (H_\mu - i\omega_n) \right]^{-1} \right| x' \right\rangle$$

$$\Delta(\tau) = \frac{1}{\beta} \sum_{n \in \mathbb{Z}} e^{i\omega_n \tau}, \quad \omega_n = \frac{2\pi}{\beta} \left( n + \frac{1}{2} \right)$$

$$\left\langle T\hat{\Psi}(-i\tau, x)\bar{\hat{\Psi}}(-i\tau', x') \right\rangle_{\beta, \mu} = -iG\left(-i(\tau - \tau'), x, x'\right)$$

$$= \frac{1}{\beta} \sum_{n \in \mathbb{Z}} e^{-i\omega_n(\tau - \tau')} \left\langle x \left| \left[ \gamma^0 (H_\mu - i\omega_n) \right]^{-1} \right| x' \right\rangle$$

$$T = 0, \mu = 0$$

Identity:

$$T\hat{\Psi}(t, x)\bar{\hat{\Psi}}(t', x') = \frac{1}{2} [\hat{\Psi}(t, x)\bar{\hat{\Psi}}(t', x') - \bar{\hat{\Psi}}^T(t', x')\hat{\Psi}^T(t, x)]$$

$$+ \frac{1}{2} \text{sgn}(t - t') [\hat{\Psi}(t, x)\bar{\hat{\Psi}}(t', x') + \bar{\hat{\Psi}}^T(t', x')\hat{\Psi}^T(t, x)]$$

its consequence:

$$\hat{\Psi}^\dagger(t, x)\hat{\Psi}(t, x') - \hat{\Psi}^T(t, x')\hat{\Psi}^{\dagger T}(t, x)$$

$$= \lim_{t-t' \rightarrow 0_+} T\bar{\hat{\Psi}}(t, x)\gamma^0\hat{\Psi}(t', x') + \lim_{t-t' \rightarrow 0_-} T\bar{\hat{\Psi}}(t, x)\gamma^0\hat{\Psi}(t', x')$$

$$\text{and } \langle \text{vac} | \hat{N} | \text{vac} \rangle$$

$$= \frac{1}{2} \int d^d x \langle \text{vac} | [\hat{\Psi}^\dagger(t, x)\hat{\Psi}(t, x) - \hat{\Psi}^T(t, x)\hat{\Psi}^{\dagger T}(t, x)] | \text{vac} \rangle$$

$$= -\frac{1}{2} \left( \lim_{t-t' \rightarrow 0_+} + \lim_{t-t' \rightarrow 0_-} \right) \int d^d x \text{tr} \gamma^0 \langle \text{vac} | T\hat{\Psi}(t', x)\bar{\hat{\Psi}}(t, x) | \text{vac} \rangle$$

$$T > 0, \mu \neq 0$$

$$\begin{aligned}
\langle \hat{N} \rangle_{\beta, \mu} &= -\frac{1}{2} \left( \lim_{\tau-\tau' \rightarrow 0_+} + \lim_{\tau-\tau' \rightarrow 0_-} \right) \int d^d x \operatorname{tr} \gamma^0 \left\langle T \hat{\Psi}(-i\tau') \bar{\hat{\Psi}}(-i\tau) \right\rangle_{\beta, \mu} \\
&= -\frac{1}{\beta} \int d^d x \operatorname{tr} \sum_{n \in Z} \gamma^0 \left\langle x \left| \left[ \gamma^0 (H_\mu - i\omega_n) \right]^{-1} \right| x \right\rangle \\
&= -\frac{1}{\beta} \operatorname{Tr} \sum_{n \in Z} (H_\mu - i\omega_n)^{-1} = -\frac{1}{\beta} \operatorname{Tr} \sum_{n \geq 0} \left[ (H_\mu - i\omega_n)^{-1} + (H_\mu + i\omega_n)^{-1} \right] \\
&= -\frac{2}{\beta} \operatorname{Tr} \sum_{n \geq 0} \frac{H_\mu}{H_\mu^2 + \omega_n^2} = -\frac{1}{2} \operatorname{Tr} \tanh \left( \frac{1}{2} H_\mu \right),
\end{aligned}$$

where the use is made of relation

$$\sum_{n \geq 0} \frac{y}{y^2 + (2n+1)^2} = \frac{\pi}{4} \tanh \left( \frac{1}{2} \pi y \right).$$

Taking limit:

$$\langle \hat{N} \rangle_{\infty, 0} = -\frac{1}{2} \operatorname{Tr} \operatorname{sgn}(H) = \left\langle \text{vac} \left| \hat{N} \right| \text{vac} \right\rangle$$

## Polarization tensor

$$\Pi^{\nu\nu'}(x, y) = \frac{\delta^2 \Gamma(A)}{\delta A_{\nu'}(y) \delta A_\nu(x)}$$
$$= i \left\langle \text{vac} \left| T j^\nu(x) j^{\nu'}(y) \right| \text{vac} \right\rangle - i \langle \text{vac} | j^\nu(x) | \text{vac} \rangle \langle \text{vac} | j^{\nu'}(y) | \text{vac} \rangle$$

where

$$e^{i\Gamma(A)} = \int d\bar{\psi} d\psi \exp \left\{ i \int d^{d+1}x \bar{\psi} [i\gamma^\nu (\partial_\nu + ieA_\nu) - m] \psi \right\}$$

$$j^\nu(x) = e\bar{\psi}(x)\gamma^\nu\psi(x)$$

$$\Pi^{\nu\nu'}(x, y) = -ie^2 \text{tr} \gamma^\nu \left\langle \text{vac} \left| T \hat{\Psi}(x) \bar{\hat{\Psi}}(y) \right| \text{vac} \right\rangle \gamma^{\nu'} \left\langle \text{vac} \left| T \hat{\Psi}(y) \bar{\hat{\Psi}}(x) \right| \text{vac} \right\rangle$$

Transition to imaginary time:  $x^0 = -i\tau$      $y^0 = -i\tau'$

$$\begin{aligned} \left\langle T\hat{\Psi}(-i\tau, \vec{x})\bar{\hat{\Psi}}(-i\tau', \vec{y}) \right\rangle_{\beta, \mu} &= \frac{1}{\beta} \sum_{n \in Z} e^{-i\omega_n(\tau - \tau')} \\ &\times \left\langle \vec{x} \left| \left[ \gamma^0 (H_\mu - i\omega_n) \right]^{-1} \right| \vec{y} \right\rangle \end{aligned}$$

$$H_\mu = -i\vec{\alpha}(\vec{\partial} - ie\vec{A} + \gamma^0 m - \mu), \quad \omega_n = \frac{2\pi}{\beta}(n + \frac{1}{2})$$

$$\begin{aligned} \Pi^{jk}(\vec{x}, i\tau; \vec{y}, -i\tau') &= -\frac{ie^2}{\beta} \sum_{n, n' \in Z} \exp[-i(\omega_n - \omega_{n'})(\tau - \tau')] \\ &\times \text{tr } \alpha^j \left\langle \vec{x} \left| (H_\mu - i\omega_n)^{-1} \right| \vec{y} \right\rangle \alpha^k \left\langle \vec{y} \left| (H_\mu - i\omega_{n'})^{-1} \right| \vec{x} \right\rangle \end{aligned}$$

Momentum representation

$$\int_0^\beta d\tau \int_0^\beta d\tau' e^{i\Omega_m \tau - i\Omega_{m'} \tau'} \Pi^{jk} = i\beta^2 \delta_{mm'} \tilde{\Pi}(\Omega_m; \vec{x}, \vec{y})$$

where  $\Omega_m = \frac{2\pi}{\beta}m$ ,     $m \in Z$

$$\tilde{\Pi}^{jk}(\Omega_m; \vec{x}, \vec{y}) = -\frac{e^2}{\beta^2} \sum_{n \in Z} \text{tr} \alpha^j \left\langle \vec{x} \left| \left( H_\mu - i\omega_n - \frac{i}{2}\Omega_m \right)^{-1} \right| \vec{y} \right\rangle$$

$$\times \alpha^k \left\langle \vec{y} \left| \left( H_\mu - i\omega_n + \frac{i}{2}\Omega_m \right)^{-1} \right| \vec{x} \right\rangle$$

Translational invariance:  $\tilde{\Pi}^{jk}(\Omega_m; \vec{x}, \vec{y}) = \tilde{\Pi}^{jk}(\Omega_m; \vec{x} - \vec{y})$

$$\int d^d x d^d y e^{-i\vec{q}(\vec{x}-\vec{y})} \tilde{\Pi}^{jk}(\Omega_m; \vec{x} - \vec{y}) = (2\pi)^d \pi^{jk}(\Omega_m, \vec{q}) \delta^d(\vec{q} - \vec{q}')$$

where

$$\begin{aligned} \pi^{jk}(\Omega_m, \vec{q}) &= -\frac{e^2}{\beta^2} \int d^d x e^{-i\vec{q}\vec{x}} \sum_{n \in Z} \text{tr} \\ &\times \alpha^j \left\langle \vec{x} \left| \left( H_\mu - i\omega_n - \frac{i}{2}\Omega_m \right)^{-1} \right| \vec{0} \right\rangle \alpha^k \left\langle \vec{0} \left| \left( H_\mu - i\omega_n + \frac{i}{2}\Omega_m \right)^{-1} \right| \vec{x} \right\rangle \end{aligned}$$

Polarization tensor at  $\vec{q} = \vec{0}$  and  $\Omega_m \neq 0$ :

$$\pi^{0k}(\Omega_m, \vec{0})$$

$$= -\frac{e^2}{\beta^2} \sum_{n \in Z} \text{tr } \alpha^k \left\langle \vec{0} \left| (H_\mu - i\omega_n + \frac{i}{2}\Omega_m)^{-1} (H_\mu - i\omega_n - \frac{i}{2}\Omega_m)^{-1} \right| \vec{0} \right\rangle = 0$$

where the use is made of formulas

$$\int d^d x |x\rangle \langle x| = 1, \quad \sum_{n \in Z} \frac{1}{n + ix} \frac{1}{n + iy} = \frac{\pi}{x - y} [\coth(\pi x) - \coth(\pi y)]$$

also  $\pi^{00}(\Omega_m, \vec{0}) = 0$

This is consistent with gauge invariance

$$q_\nu \pi^{\nu\nu'} = 0 \quad \Rightarrow \quad \Omega_m \pi^{0k} = \Omega_m \pi^{00} = 0$$

Polarization tensor at  $\vec{q} = \vec{0}$  and  $\Omega_m = 0$ :

$$\pi^{0k}(0, \vec{0}) = -\frac{e^2}{\beta^2} \sum_{n \in Z} \text{tr } \alpha^k \left\langle \vec{0} \left| (H_\mu - i\omega_n)^{-2} \right| \vec{0} \right\rangle$$

$$= \frac{e^2}{4} \text{tr } \alpha^k \left\langle \vec{0} \left| \text{sech}^2 \left( \frac{1}{2} \beta H_\mu \right) \right| \vec{0} \right\rangle$$

$$\pi^{00}(0, \vec{0}) = \frac{e^2}{4} \text{tr} \left\langle \vec{0} \left| \text{sech}^2 \left( \frac{1}{2} \beta H_\mu \right) \right| \vec{0} \right\rangle$$

Quadratic fluctuation of the charge:

$$V\pi^{00}(0, \vec{0}) = \frac{e^2}{4} \text{Tr} \text{ sech}^2 \left[ \frac{1}{2} \beta (H - \mu I) \right]$$

Correlation of the charge with the current:

$$V\pi^{0k}(0, \vec{0}) = \frac{e^2}{4} \text{Tr } \alpha^k \text{sech}^2 \left[ \frac{1}{2} \beta (H - \mu I) \right]$$

## Physical observables

first-quantized theory      second-quantized theory

charge

$eI$

$$\hat{Q} = \frac{e}{2} \int d^d x (\hat{\Psi}^\dagger \hat{\Psi} - \hat{\Psi}^T \hat{\Psi}^{T\dagger})$$

energy

$H$

$$\hat{P}^0 = \frac{1}{2} \int d^d x (\hat{\Psi}^\dagger H \hat{\Psi} - \hat{\Psi}^T H^T \hat{\Psi}^{T\dagger})$$

conserved  
observable

$J$

$$\hat{M} = \frac{1}{2} \int d^d x (\hat{\Psi}^\dagger J \hat{\Psi} - \hat{\Psi}^T J^T \hat{\Psi}^{T\dagger})$$

nonconserved  
observable

$\gamma$

$$\hat{U} = \frac{1}{2} \int d^d x (\hat{\Psi}^\dagger \gamma \hat{\Psi} - \hat{\Psi}^T \gamma^T \hat{\Psi}^{T\dagger})$$

Figure:

Partition function:  $Z(\beta, \mu_e) = Sp e^{-\beta(\hat{P}^0 - \mu_e \hat{Q})}$

Thermodynamic potential:  $\Omega(\beta, \mu_e) = -\frac{1}{\beta} \ln Z(\beta, \mu_e)$

Average of the charge:

$$\langle \hat{Q} \rangle_{\beta, \mu_e} = -\frac{\partial}{\partial \mu_e} \Omega(\beta, \mu_e) = Z^{-1}(\beta, \mu_e) Sp \hat{Q} e^{-\beta(\hat{P}^0 - \mu_e \hat{Q})}$$

$$= -\frac{e}{2} \text{Tr} \tanh \left[ \frac{1}{2} \beta (H - \mu_e eI) \right]$$

Quadratic fluctuation

$$\langle \hat{Q}^2 \rangle_{\beta, \mu_e} - \left( \langle \hat{Q} \rangle_{\beta, \mu_e} \right)^2 = -\frac{1}{\beta} \frac{\partial^2}{\partial^2 \mu_e} \Omega(\beta, \mu_e)$$

$$= \frac{e^2}{4} \text{Tr} \operatorname{sech}^2 \left[ \frac{1}{2} \beta (H - \mu_e eI) \right]$$

## Conservation of observables

first-quantized theory  $\Rightarrow$  second-quantized theory

$[J, H]_- = 0 \Rightarrow \hat{M}$  is diagonal along with  $\hat{P}^0$  and  $\hat{Q}$

Generalized partition function:

$$Z(\beta, \mu_e, \mu_J) = e^{-\beta \Omega(\beta, \mu_e, \mu_J)} = Sp e^{-\beta(\hat{P}^0 - \mu_e \hat{Q} - \mu_J \hat{M})}$$

Average of the conserved observable:

$$\begin{aligned} \langle \hat{M} \rangle_{\beta, \mu_e} &= -\frac{\partial}{\partial \mu_J} \Omega(\beta, \mu_e, \mu_J) |_{\mu_J=0} = Z^{-1}(\beta, \mu_e) Sp \hat{M} e^{-\beta(\hat{P}^0 - \mu_e \hat{Q})} \\ &= -\frac{1}{2} \text{Tr } J \tanh \left[ \frac{1}{2} \beta(H - \mu_e eI) \right] \end{aligned}$$

Quadratic fluctuation:

$$\begin{aligned} \langle \hat{M}^2 \rangle_{\beta, \mu_e} - \left( \langle \hat{M} \rangle_{\beta, \mu_e} \right)^2 &= -\frac{1}{\beta} \frac{\partial^2}{\partial^2 \mu_J} \Omega(\beta, \mu_e, \mu_J) |_{\mu_J=0} \\ &= \frac{1}{4} \text{Tr } J^2 \operatorname{sech}^2 \left[ \frac{1}{2} \beta(H - \mu_e eI) \right] \end{aligned}$$

The partition function of the fermionic system is presented as the functional integral over the Grassman fields

$$\exp[-\beta \Omega(\beta, \mu_e, \mu_J)] = \int d\psi^\dagger d\psi e^{-S},$$

where

$$S = \int_0^\beta d\tau \int d^d x \psi^+ (\partial_\tau - \mu_e eI - \mu_J J + H) \psi$$

is the Euclidean action,  $\tau$  is the imaginary time. The integral is of the Gauss type and can be immediately computed

$$\exp[-\beta \Omega(\beta, \mu_e, \mu_J)] = \det(\partial_\tau + H_\mu), \quad H_\mu = H - \mu_e eI - \mu_J J.$$

Hence the thermodynamic potential is given by expression

$$\begin{aligned} \Omega(\beta, \mu_e, \mu_J) &= -\frac{1}{\beta} \ln \det(\partial_\tau + H_\mu) = \\ &= -\frac{1}{\beta} \int_0^\beta d\tau \int d^d x \operatorname{tr} \langle \mathbf{x}, \tau | \ln(\partial_\tau + H_\mu) | \mathbf{x}, \tau \rangle. \end{aligned}$$

In the case of a static background, operators  $H$  and  $J$  are  $\tau$ -independent, and the integration over  $\tau$  is performed by using the antiperiodicity boundary condition at the ends of the imaginary time interval:

$$\Omega(\beta, \mu_e, \mu_J) = -\frac{1}{\beta} \sum_{n \in \mathbb{Z}} \int d^d x \operatorname{tr} \langle \mathbf{x} | \ln(H_\mu - i\omega_n) | \mathbf{x} \rangle,$$

where  $\omega_n = \frac{2\pi}{\beta}(n + \frac{1}{2})$ . Using the notation of functional trace, one gets further

$$\begin{aligned} \Omega(\beta, \mu_e, \mu_J) &= -\frac{1}{\beta} \sum_{n \in \mathbb{Z}} \operatorname{Tr} \ln(H_\mu - i\omega_n) = -\frac{1}{\beta} \operatorname{Tr} \ln \prod_{n=0}^{\infty} (H_\mu^2 + \omega_n^2) \\ &= -\frac{1}{\beta} \left\{ \operatorname{Tr} \ln \prod_{n=0}^{\infty} \left[ \left( \frac{H_\mu}{\omega_n} \right)^2 + 1 \right] + \operatorname{Tr} \ln \prod_{n=0}^{\infty} \omega_n^2 \right\}. \end{aligned}$$

The second term in the figure brackets is dropped as an irrelevant infinite constant, and the infinite product in the first term is computed with the use of relation

$$\cosh\left(\frac{\pi a}{2}\right) = \prod_{n=0}^{\infty} \left[1 + a^2(2n+1)^{-2}\right].$$

As a result we obtain

$$\begin{aligned} \Omega(\beta, \mu_e, \mu_J) &= -\frac{1}{\beta} \text{Tr} \ln \cosh \left( \frac{1}{2} \beta H_\mu \right) \\ &= -\frac{1}{\beta} \text{Tr} \ln \{1 - \exp [-\beta (H - \mu_e eI - \mu_J J) \text{sgn}(H)]\} \end{aligned}$$

Average of the nonconserved observable:

$$\begin{aligned}\langle \hat{U} \rangle_{\beta, \mu_e} &= Z^{-1}(\beta, \mu_e) Sp \hat{U} e^{-\beta(\hat{P}^0 - \mu_e \hat{Q})} \\ &= -\frac{1}{2} \text{Tr } \Upsilon \tanh \left[ \frac{1}{2} \beta (H - \mu_e eI) \right]\end{aligned}$$

Correlation:

$$\langle \hat{U} \hat{M} \rangle_{\beta, \mu_e} - \langle \hat{U} \rangle_{\beta, \mu_e} \langle \hat{M} \rangle_{\beta, \mu_e} = \frac{1}{4} \text{Tr } \Upsilon J \operatorname{sech}^2 \left[ \frac{1}{2} \beta (H - \mu_e eI) \right]$$

# Correlations in Matsubara formalism

Functional integral

$$e^{i\Gamma[\{g_j\}]} = \int d\bar{\psi} d\psi \exp \left[ i \left( S + \int dt d^d x \sum_j g_j \bar{\psi} \gamma^0 \tau_j \psi \right) \right]$$

where  $S = \int dt d^d x \bar{\psi} \gamma^0 (i\partial_t - H_\mu) \psi$

Transition to imaginary time  $t = -i\tau$  with  $0 < \tau < \beta$

$$e^{-\tilde{\Gamma}[\{g_j\}]} = \int d\psi^\dagger d\psi \exp \left[ - \left( \tilde{S} - \int d\tau d^d x \sum_j g_j \psi^\dagger \tau_j \psi \right) \right]$$

where  $\tilde{S} = \int d\tau d^d x \psi^\dagger (\partial_\tau + H_\mu) \psi$

$$\langle T \hat{\Psi}^\dagger \tau_k \hat{\Psi} \rangle_{\beta,\mu} = -\frac{\delta \tilde{\Gamma}[\{g_j\}]}{\delta g_k} |_{\{g_j\}=0} = -\frac{\int d\psi^\dagger d\psi \psi^\dagger \tau_k \psi \exp(-\tilde{S})}{\int d\psi^\dagger d\psi \exp(-\tilde{S})}$$

$$\hat{U}_k = \frac{1}{2} \left( \lim_{\tau - \tau' \rightarrow 0_+} + \lim_{\tau - \tau' \rightarrow 0_-} \right) \int d^d x \, T \hat{\Psi}^\dagger(-i\tau, x) \Upsilon_k \hat{\Psi}(-i\tau', x)$$

Generalized polarization tensor

$$\Pi^{jk}(x, \tau; y, \tau') = - \left. \frac{\delta^2 \tilde{\Gamma}[\{g\}]}{\delta g_k(y, \tau') \delta g_j(x, \tau)} \right|_{\{g\}=0}$$

$$= -\text{tr} \Upsilon_j \left\langle T \hat{\Psi}(x, \tau) \hat{\Psi}^\dagger(y, \tau') \right\rangle_{\beta, \tau} \Upsilon_k \left\langle T \hat{\Psi}(y, \tau') \hat{\Psi}^\dagger(x, \tau) \right\rangle_{\beta, \tau}$$

where

$$\left\langle T \hat{\Psi}(x, \tau) \hat{\Psi}^\dagger(y, \tau') \right\rangle_{\beta, \tau} = \left\langle x, \tau \left| (\partial_\tau + H_\mu)^{-1} \right| y, \tau' \right\rangle$$

$$= \frac{1}{\beta} \sum_{n \in Z} e^{-i\omega_n(\tau - \tau')} \left\langle x \left| (H - i\omega_n)^{-1} \right| y \right\rangle$$

$$\omega_n = \frac{2\pi}{\beta} \left( n + \frac{1}{2} \right)$$

Fourier transformation:

$$\int_0^\beta d\tau \int_0^\beta d\tau' e^{i\Omega_m \tau - i\Omega_{m'} \tau'} \int d^d x \int d^d y e^{-iqx + iq'y} \Pi^{jk}(x, \tau; y, \tau')$$

$$= \beta^2 \delta_{mm'} \pi^{jk}(\Omega_m; q, q'), \quad \Omega_m = \frac{2\pi}{\beta} m, \quad \omega_n = \frac{2\pi}{\beta} \left(n + \frac{1}{2}\right)$$

where, in the case of  $q = q' = 0$

$$\pi^{jk}(\Omega_m; 0, 0) = -\frac{1}{\beta^2} \text{Tr} \sum_{n \in Z} \Upsilon_j(H_\mu - i\omega_n - \frac{i}{2}\Omega_m)^{-1} \Upsilon_k(H_\mu - i\omega_n + \frac{i}{2}\Omega_m)^{-1}$$

Quadratic fluctuation:

$$\begin{aligned} \left\langle \hat{U}_k^2 \right\rangle_{\beta,\mu} - \left( \left\langle \hat{U}_k^2 \right\rangle_{\beta,\mu} \right)^2 &= \pi^{kk}(0; 0, 0) \\ &= -\frac{1}{\beta^2} \text{Tr} \sum_{n \in \mathbb{Z}} \Upsilon_k(H_\mu - i\omega_n)^{-1} \Upsilon_k(H_\mu - i\omega_n)^{-1} \end{aligned}$$

Correlation:

$$\begin{aligned} \left\langle \hat{U}_j \hat{U}_k \right\rangle_{\beta,\mu} - \left\langle \hat{U}_j \right\rangle_{\beta,\mu} \left\langle \hat{U}_k \right\rangle_{\beta,\mu} &= \pi^{jk}(0; 0, 0) \\ &= -\frac{1}{\beta^2} \text{Tr} \sum_{n \in \mathbb{Z}} \Upsilon_j(H_\mu - i\omega_n)^{-1} \Upsilon_k(H_\mu - i\omega_n)^{-1} \end{aligned}$$

Correlation with conserved observable:

$$\begin{aligned} \left\langle \hat{U}_k \hat{M} \right\rangle_{\beta,\mu} - \left\langle \hat{U}_k \right\rangle_{\beta,\mu} \left\langle \hat{M} \right\rangle_{\beta,\mu} &= -\frac{1}{\beta^2} \text{Tr} \sum_{n \in \mathbb{Z}} \Upsilon_k J(H_\mu - i\omega_n)^{-2} \\ &= \frac{1}{4} \text{Tr} \Upsilon_k J \operatorname{sech}^2 \left( \frac{1}{2} \beta H_\mu \right) \end{aligned}$$

## Further thermodynamic characteristics

Entropy:

$$S = -\frac{\partial}{\partial T} \Omega(\beta, \mu) = \frac{1}{T} \left[ \langle \hat{P}^0 \rangle_{\beta, \mu} - \mu \langle \hat{N} \rangle_{\beta, \mu} - \Omega(\beta, \mu) \right]$$

Heat capacity:

$$\begin{aligned} C_V &= T \left[ \frac{\partial S}{\partial T} - \left( \frac{\partial}{\partial T} \langle \hat{N} \rangle_{\beta, \mu} \right)^2 \left( \frac{\partial}{\partial \mu} \langle \hat{N} \rangle_{\beta, \mu} \right)^{-1} \right] \\ &= \frac{1}{k_B T^2} \left[ \left\langle (\hat{P}^0)^2 \right\rangle_{\beta, \mu} - \left( \langle \hat{P}^0 \rangle_{\beta, \mu} \right)^2 \right. \\ &\quad \left. - \frac{\left( \langle \hat{P}^0 \hat{N} \rangle_{\beta, \mu} - \langle \hat{P}^0 \rangle_{\beta, \mu} \langle \hat{N} \rangle_{\beta, \mu} \right)^2}{\langle \hat{N}^2 \rangle_{\beta, \mu} - \left( \langle \hat{N} \rangle_{\beta, \mu} \right)^2} \right] \end{aligned}$$

## Local characteristics (densities)

Conserved observables

$$\hat{M}_k(x) = \frac{1}{2} [\hat{\Psi}^\dagger(x) J_k \hat{\Psi} - \hat{\Psi}^T(x) J_k^T \hat{\Psi}^{\dagger T}(x)]$$

Average:

$$\langle \hat{M}_k(x) \rangle_{\beta,\mu} = -\frac{1}{2} \text{tr} \left\langle x \left| J_k \tanh\left(\frac{1}{2}\beta H_\mu\right) \right| x \right\rangle$$

Quadratic fluctuation:

$$\langle \hat{M}_k^2(x) \rangle_{\beta,\mu} - \left( \langle \hat{M}_k(x) \rangle_{\beta,\mu} \right)^2 = \frac{1}{4} \text{tr} \left\langle x \left| J_k^2 \operatorname{sech}^2\left(\frac{1}{2}\beta H_\mu\right) \right| x \right\rangle$$

Correlation:

$$\begin{aligned} & \langle \hat{M}_k(x) \hat{M}_{k'}(x) \rangle_{\beta,\mu} - \langle \hat{M}_k(x) \rangle_{\beta,\mu} \langle \hat{M}_{k'}(x) \rangle_{\beta,\mu} \\ &= \frac{1}{4} \text{tr} \left\langle x \left| J_k J_{k'} \operatorname{sech}^2\left(\frac{1}{2}\beta H_\mu\right) \right| x \right\rangle \end{aligned}$$

Nonconserved observables

$$\hat{U}_k(x) = \frac{1}{2} [\hat{\Psi}^\dagger(x) \gamma_k \hat{\Psi} - \hat{\Psi}^T(x) \gamma_k^T \hat{\Psi}^{\dagger T}(x)]$$

Average:

$$\langle \hat{U}_k(x) \rangle_{\beta, \mu} = -\frac{1}{2} \text{tr} \left\langle x \left| \gamma_k \tanh \left( \frac{1}{2} \beta H_\mu \right) \right| x \right\rangle$$

Quadratic fluctuation:

$$\begin{aligned} & \langle \hat{U}_k^2(x) \rangle_{\beta, \mu} - \left( \langle \hat{U}_k(x) \rangle_{\beta, \mu} \right)^2 \\ &= -\frac{1}{\beta^2} \sum_{n \in Z} \text{tr} \left\langle x \left| \gamma_k (H_\mu - i\omega_n)^{-1} \gamma_k (H_\mu - i\omega_n)^{-1} \right| x \right\rangle \end{aligned}$$

Correlation of two nonconserved observables:

$$\begin{aligned} & \left\langle \hat{U}_k(x) \hat{U}_{k'}(x) \right\rangle_{\beta, \mu} - \left\langle \hat{U}_k(x) \right\rangle_{\beta, \mu} \left\langle \hat{U}_{k'}(x) \right\rangle_{\beta, \mu} \\ &= -\frac{1}{\beta^2} \sum_{n \in Z} \text{tr} \left\langle x \left| \Upsilon_k(H_\mu - i\omega_n)^{-1} \Upsilon_{k'}(H_\mu - i\omega_n)^{-1} \right| x \right\rangle \end{aligned}$$

Correlation of nonconserved and conserved observables:

$$\begin{aligned} & \left\langle \hat{U}_k(x) \hat{M}_{k'}(x) \right\rangle_{\beta, \mu} - \left\langle \hat{U}_k(x) \right\rangle_{\beta, \mu} \left\langle \hat{M}_{k'}(x) \right\rangle_{\beta, \mu} \\ &= \frac{1}{4} \text{tr} \left\langle x \left| \Upsilon_k J_{k'} \text{sech}^2 \left( \frac{1}{2} \beta H_\mu \right) \right| x \right\rangle \end{aligned}$$

Resolvent and spectral density

$(H - \omega)^{-1}$  where  $\omega$  is a complex parameter

If  $H$  is self-adjoint operator, than  
resolvent is defined at  $\text{Im } \omega \neq 0$

Spectral density of the self-adjoint operator:

$$\tau(E) = \pm \frac{1}{\pi} \text{Im} \text{Tr}(H - E \mp i0)^{-1} = \text{Tr} \delta(H - E)$$

$$\text{Tr } f(H) = \int_{-\infty}^{\infty} dE \tau(E) f(E) = \int_C \frac{d\omega}{2\pi i} \text{Tr}(H - \omega)^{-1} f(\omega)$$

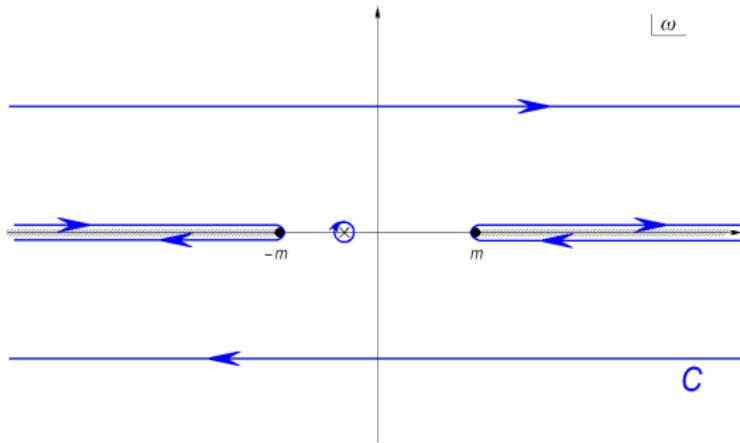


Figure: Singularities of the resolvent on the complex  $\omega$ -plane.

## Ideal nonrelativistic Fermi gas

spectral density:

$$\tau(E) = \frac{gV}{(4\pi)^{d/2}} \left(\frac{2m}{\hbar^2}\right)^{d/2} \frac{E^{\frac{d}{2}-1}}{\Gamma(d/2)}, \quad E = \frac{\hbar^2 k^2}{2m}$$

thermodynamic potential:

$$\Omega(\beta, \mu) = -\frac{1}{\beta} \int_0^\infty dE \tau(E) \ln [1 + e^{-\beta(E-\mu)}]$$

average particle number:

$$\langle \hat{N} \rangle_{\beta, \mu} = \int_0^\infty \frac{dE \tau(E)}{\exp[\beta(E - \mu)] + 1}, \quad \int_0^\infty dE \tau(E) \dots = \frac{gV}{(2\pi)^d} \int d^d k \dots$$

quadratic fluctuation of particle number:

$$\langle \hat{N}^2 \rangle_{\beta, \mu} - \left( \langle \hat{N} \rangle_{\beta, \mu} \right)^2 = \frac{1}{4} \int_0^\infty \frac{dE \tau(E)}{\cosh^2[\frac{1}{2}\beta(E - \mu)]}$$

## Ideal relativistic Fermi gas.I.

spectral density:

$$\tau(E) = \frac{gV|E|}{(4\pi)^{d/2}\Gamma(d/2)} (E^2 - m^2)^{\frac{d}{2}-1} \Theta(E^2 - m^2), \quad E = \pm\sqrt{k^2 + m^2}$$

thermodynamic potential:

$$\Omega(\beta, \mu) = -\frac{1}{\beta} \int_{-\infty}^{\infty} dE \tau(E) \ln \left\{ 1 + e^{-\beta[|E| - \mu \text{sgn}(E)]} \right\}$$

$$= -\frac{1}{\beta} \int_m^{\infty} d\epsilon \tau(\epsilon) \ln \left\{ \left[ 1 + e^{-\beta(\epsilon - \mu)} \right] \left[ 1 + e^{-\beta(\epsilon + \mu)} \right] \right\}$$

$$\epsilon = |E|, \quad 2 \int_m^{\infty} d\epsilon \tau(\epsilon) \dots = \frac{gV}{(2\pi)^d} \int d^d k \dots$$

## Ideal relativistic Fermi gas.II.

average particle number:

$$\langle \hat{N} \rangle_{\beta,\mu} = -\frac{1}{2} \int_{-\infty}^{\infty} dE \tau(E) \tanh \left[ \frac{1}{2} \beta(E - \mu) \right] = \int_m^{\infty} \frac{d\epsilon \tau(\epsilon) \sinh(\beta\mu)}{\cosh(\beta\epsilon) + \cosh(\beta\mu)}$$

quadratic fluctuation of particle number:

$$\begin{aligned} \langle \hat{N}^2 \rangle_{\beta,\mu} - \left( \langle \hat{N} \rangle_{\beta,\mu} \right)^2 &= \frac{1}{4} \int_{-\infty}^{\infty} \frac{dE \tau(E)}{\cosh^2 \left[ \frac{1}{2} \beta(E - \mu) \right]} \\ &= \int_m^{\infty} d\epsilon \tau(\epsilon) \frac{\cosh(\beta\epsilon) \cosh(\beta\mu) + 1}{[\cosh(\beta\epsilon) + \cosh(\beta\mu)]^2} \end{aligned}$$

Note

$$\langle \hat{N} \rangle_{\beta,0} = 0, \quad \langle \hat{N} \rangle_{\infty,\mu} = 0, \quad \langle \hat{N}^2 \rangle_{\infty,\mu} = 0, \quad [\beta\Omega(\beta, \mu)] |_{\beta=\infty} = 0$$

# Ideal relativistic Fermi gas.III.

average energy:

$$\langle \hat{P}^0 \rangle_{\beta,\mu} = \int_m^{\infty} d\epsilon \tau(\epsilon) \epsilon \frac{\cosh(\beta\mu) + 1}{\cosh(\beta\epsilon) + \cosh(\beta\mu)}$$

quadratic fluctuation of energy:

$$\langle (\hat{P}^0)^2 \rangle_{\beta,\mu} - \left( \langle \hat{P}^0 \rangle_{\beta,\mu} \right)^2 = \frac{\mu}{\beta} \frac{\partial}{\partial \mu} \langle \hat{P}^0 \rangle_{\beta,\mu} - \frac{\partial}{\partial \beta} \langle \hat{P}^0 \rangle_{\beta,\mu}$$

$$= \int_m^{\infty} d\epsilon \tau(\epsilon) \epsilon^2 \frac{\sinh(\beta\epsilon)[\cosh(\beta\mu) + 1]}{[\cosh(\beta\epsilon) + \cosh(\beta\mu)]^2}$$

## Ideal relativistic Fermi gas.IV.

correlation with particle number:

$$\begin{aligned} \langle \hat{P}^0 \hat{N} \rangle_{\beta,\mu} - \langle \hat{P}^0 \rangle_{\beta,\mu} \langle \hat{N} \rangle_{\beta,\mu} &= \frac{1}{\beta} \frac{\partial}{\partial \mu} \langle \hat{P}^0 \rangle_{\beta,\mu} \\ &= \int_m^{\infty} d\epsilon \tau(\epsilon) \epsilon \frac{[\cosh(\beta\epsilon) - 1] \sinh(\beta\mu)}{[\cosh(\beta\epsilon) + \cosh(\beta\mu)]^2} \end{aligned}$$

Note

$$\langle \hat{P}^0 \rangle_{\infty,\mu} = 0, \quad \langle (\hat{P}^0)^2 \rangle_{\infty,\mu} = \langle \hat{P}^0 \hat{N} \rangle_{\infty,\mu} = 0, \quad \langle \hat{P}^0 \hat{N} \rangle_{\beta,0} = 0$$

# Ideal relativistic Fermi gas.V.

heat capacity:

$$C_V = k_B \frac{gV\beta^{-d}}{(4\pi)^{d/2}\Gamma(d/2)} \left\{ \int_{\beta m}^{\infty} du u^3 (u^2 - \beta^2 m^2)^{\frac{d}{2}-1} \frac{\sinh u (\cosh \beta \mu + 1)}{(\cosh u + \cosh \beta \mu)^2} \right. \\ \left. - \frac{\left[ \int_{\beta m}^{\infty} du u^2 (u^2 - \beta^2 m^2)^{\frac{d}{2}-1} \frac{(\cosh u - 1) \sinh \beta \mu}{(\cosh u + \cosh \beta \mu)^2} \right]^2}{\int_{\beta m}^{\infty} du u (u^2 - \beta^2 m^2)^{\frac{d}{2}-1} \frac{\cosh u \cosh \beta \mu + 1}{(\cosh u + \cosh \beta \mu)^2}} \right\}$$

$$\mu = 0 : C_V = k_B \frac{gV\beta^{-d}}{(4\pi)^{d/2}\Gamma(d/2)} \int_{\beta m}^{\infty} du u^3 (u^2 - \beta^2 m^2)^{\frac{d}{2}-1} \frac{\sinh(u/2)}{\cosh^3(u/2)}$$

Thank you for your attention!