The CMS Experiment – Selected Results

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I had the pleasure to collaborate with Nicolai Shumeiko since more than 30 years within the FCAL R&D project and the CMS experiment











Physics background processes

Background events in the detector



The CMS Detector



B = 3.8 T

Full silicon tracker

coverage: $\eta < 2.5$ Resolution $\sigma(p_T/p_T) < 1\%$ (barrel) < 3% (endcap) $(p_T < 100 \text{ GeV})$ Vertex reconstruction: $\sigma_{xy} = 10 \text{ }\mu\text{m}$

material budget: 0.4-1.8 X₀

ECAL PbWO4 crystals, 24.7 – 25.8 X₀

 $\sigma_{\rm E}/{\rm E}$ = 1.5 – 5 % (for Z \rightarrow ee)

HCAL scintillator brass, $\lambda = 5.8 - 10.6$

 $\sigma_{\rm E}/{\rm E}$ = 84.7% / $\sqrt{\rm E}$ for single particles

Muon system

Drift tubes and RPCs in the barrel CSCs and RPCs in the endcup



Detector and trigger



Eamples for the trigger performace



two level trigger system

L1 40 MHz --> 100 kHz

calorimeters and muon chambers

L1 objects: muons, electrons, tau-leptons and jets, total and missing ET,

latency: 4µs

HLT 100 kHz \rightarrow 1 kHz

Computer farm, performing a fast reconstruction, including tracker +

13000 CPU

latency: up to 175 ms



Event reconstruction

First track reconstruction, primary vertex determination (selected by the maximum sum of p_T^2 of associated tracks)

Formation of calorimetric clusters in ECAL and HCAL separately, being then subject of the particle flow algorithm

Muon momenta are measured in the tracker and, at sufficiently high energy, in the muon system separately and combined

b-hadrons are tagged using the combined secondary vertex (CSV) algorithm searching for secondary vertices and exploiting track impact parameters with respect to the primary vertex

Decays of of J/ ψ and Z into a pair of leptons are used to calibrate energy and momentum scales for muons (tracks) and electrons (ECAL)



ParticleFlow Algorithm, PFA

tracks and clusters in the calorimeters are linked if spatially and energetically compatible

Test particle hypotheses:

- 1. Muons (matching of tracks in the tracker and muon chambers or tracks in the tracker and corresponding depositions in the calorimeters)
- 2. Electrons (multivariate discriminant based on shower shape, matched track quality and compatibility of track momentum with energy of the shower)
- 3. Isolated Photons
- 4. Charged hadrons
- 5. Neutral hadrons
- 6. Photons

Reconstruction of higher level objects

- Jets (using the anti-k_T algorithm, with a cone Δ R=0.4)
- Hadronically decaying tau-leptons, τ_h
- Missing transverse momentum



Jet energy corrections, including an offset due to depositions from min. bias interactions in the same or nearby bunch crossings



Reconstruction of hadronic τ -lepton decays

h^{\pm} h^{\pm} $\Delta\phi$ e^{\pm} e^{\mp} γ γ γ

HPS (Hadron plus strips) algorithm

- jets with one or three ,good tracks', originating from the primary vertex
- search for π^0 (includes photons and electrons/positrons from conversions in the tracker, $p_T > 2.5$ GeV)
- four momentum is the sum of tracks plus ,strips'
- require all constituents to be in a signal cone ($\Delta R p_T$ dependent)
- isolation creteria using th p_T sum of objects not being identified as t-lepton decay products within a cone $\Delta R = 0.5$
- impact parameter and decay length significances
- invariant mass compatible with ρ and a_1 for $\pi\pi^0$ and 3 pronc



Luminosity

Luminosity 2018

Data taking efficiency: 95 %



CMS Integrated Luminosity, pp, 2018, $\sqrt{s}=$ 13 TeV

Luminosity measurement

three independent on-line luminometer:

in 2017 also used for off-line luminosity measurement

precision: 2.3 % (2017), 1.7% at low pile-up



CMS Integrated Luminosity, pp

- Pixel luminosiy telescope
- Beam condition monitor BCM1F
- Hadron forward calorimeter HF



Electroweak physics

q

Weak mixing angle sin $^2 heta_W$

 e^{-}

 $qq \rightarrow Z \rightarrow e^+e^-$ and $qq \rightarrow Z \rightarrow \mu^+\mu^-$ at 13 TeV, forward-backward asymmetry in the polar angle distribution of the negatively charged lepton with respect to the incoming quark

in the Collins-Soper frame

 A_{FB} $\mathsf{A}_{\mathsf{FB}}^{\mathsf{true}}$ 0.8 PYTHIA 8 PYTHIA 8 0.6 0.6 LO NNPDF3.0 LO NNPDF3.0 0.4 0.4 Diluted True 0.2 0.2 0 -0.2 -0.2∘ dd • uū o dd • uū -0.4-0.4 <u>▲ C</u> <mark>∆</mark> SS [∆] SS • CC □bb ¢q₫ ∍bb Φαā -0.6-0.6 -0.8└__ 60 -0.8 60 70 80 90 100 110 120 70 80 90 100 110 120 M_{II} (GeV) M_u (GeV)

 $A_{FB} = \frac{\sigma_F - \sigma_B}{\sigma_F + \sigma_B}$

as quark direction the direction of the direction of the direction of the boost of the dilepton system is taken, this is however not always true, in addition A_{FB} depends on the PDFs

, with σ_F and σ_B the cross sections for $cos\theta$ > 0 and $cos\theta$ < 0





Result

 $sin^2 \theta_W = 0.23101 \pm 0.00036 \pm 0.00018 \pm 0.00016 \pm 0.00030$ (stat.) (syst.) (th.) (pdf)

 $\sin^2\theta_W = 0.23101 \pm 0.00052$

Statistical uncertainties still dominate, but pdf uncertainties may become a problem soon The dilution depends strongly on the rapitidy of the di-lepton system. A_{FB} ~ 0 at η = 0

 ${\sf A}_{FB}$ measured in 6 bins of η and 12 bins of ${\sf M}_{II}$





Electroweak physics

Gauge boson scattering, couplings and unitarity

Signature:

- Two jets with large rapidity gap
- Two isolated leptons, same charge



First observation of same sign W production 5.5 (5.7 st. d.)



Electroweak physics

Gauge boson couplings

Search for anomalies

Signature:

- Two jets with large rapidity gap
- Two isolated leptons, same flavor, opposite charge







 σ = 552 ± 58 fb, SM expectation: 543±24 fb

search for anomalous couplings



Top quark physics



At 13 TeV 85 % via gluon fusion decay almost 100% to bW final state defined by W decay,

- two leptons of opposite charge
- one charged lepton and two jets
- four jets



Top-quark mass

arXiv:1407.3792

top-quark mass is a quantum number, essential for the internal consistency of the SM near the electroweak scale

reconstruction of the invariant mass from jets:

CMS Run 1 $m_{top} = 172.44 \pm 0.48 \text{ GeV}$

results at 13 TeV $m_{top} = 172.25 \pm 0.62$ GeV

using boosted top quark jets

m _{iet} and different cross section measurements

 $M_{top} = 170.8 \pm 6.0_{stat} \pm 2.8_{syst} \pm 4.6_{model} \pm 4.0_{theo} GeV$







Precise NNLO and NNLL calculations done with an estimated precision of 5%

Measurements approached the same precision level !





Differential cross sections

t t final states with one W decaying in e or μ and the other in hadrons

signature: two b-jets, two light quark jets and one isolated e or μ and missing p_T

single and double differential distributions in p_T , η and m(tt)

most distributions are reasonably well described by theory (POWHEG+Pythia8, SHERPA when also theoretical uncertainties are considered)





motivation:

- background for ttH and many searches
- Test of QCD

t t final states with two additional jets

signature:

- two isolated leptons with opposite charge
- m(I⁺I⁻) > 12 GeV, same flavour leptons incompatible with Z decay
- at least four reconstructed jets with pT > 30 GeV, $|\eta|$ > 2.4

at least two b-jets,

method:

analyse 2D distributions of the b-jet discriminators of the 3-rd and 4-th jet, classification in b, c and light flavor jets

results:

 σ (ttbb) = 4.0 ± 0.6 (stat) ± 1.3 (sys) pb

 σ (ttqq) =184 ± 6 (stat) ± 33 (sys) pb

the ratio $\sigma(\text{ttbb})/\sigma(\text{ttqq})$ is underestimated using POWHEG







ttZ and ttW productio observed with significance > 5σ

method:

analyse samples in bins of the number of leptons, where the fraction of ttW and ttZ is significantly different



example: same-sign dilepton sample, ttW signal clearly visible









Top-quark mass measurement in single top production



- new sample with partly uncorrelated systematic uncertainties
- m_{top} extraction differently affected by pertubative and non-pertubative effects

event topology:

- single isolated muon, p_T > 26 GeV
- momentum imbalance, p_{Tmiss} > 50 GeV
- central b-tagged jet
- one light-quark jet in the forward direction, $|\eta| > 2.5$
- Use only positive muons (larger top than anti-top) cross section

requirements:

Invariant mass of $(\mu\nu)$ must be compatible with the W mass

t –channel signal and background from top-quark pair production modelled separately in the fit



$$m_{top} = 172.95 \pm 0.77 \text{ (stat) } \pm 97_{93} \text{ (syst) } \text{GeV}$$



motivation: expected enhanced production in BSM models, like gluino pair production, 2Higgs doublets



signature: two same-sign leptons or at least three leptons, jet multiplicity and b-quark jets

main background: tt + bosons

SM production mechanisms



Result: $\sigma(pp \rightarrow tt tt X) = 16.9 (+13.8-11.4)$ fb

Assuming a Yukawa coupling different from SM the result can also be interpreted in terms of the limit:

 $|y_t/y_t^{SM}| < 2.1 \text{ at } 95 \% \text{ C.L.}$



Higgs boson



$H \rightarrow \gamma \gamma$ excellent mass resolution, very low branching fraction

- preselection of events with two photons, $p_T^{(1)} > 30$ GeV and $p_T^{(2)} > 20$ GeV
- quality criteria on the shower shape, considering conversion, are applied
- events are categorised according to the expectations of the different production mechanism
- primary vertex identification

VBF: forward jets

- tt H : electrons, muons, missing energy, b-quark jets
- VH : decay producs from vector bosons





$H \rightarrow ZZ$, excellent mass resolution, low branching fraction

- events with four well defined isolated leptons
- muon and electron energy scale calibrated
- events are categorised according to the expectations of the different production mechanism
- VBF: two or more jets, limited b-tag
- VH : two or more jets, no b-tag, or one more lepton, or one more lepton pair of opposite sign and same flavour
- tt H : at least four jets, at least one b-quark jet or one asdditional lepton



width measurement ($105 < m_{4l} < 140 \text{ GeV}$)

Higgs boson at 13 TeV

$H \rightarrow WW, WW \rightarrow 2I 2v$, resonable branching fraction, very low mass resolution



Higgs boson at 13 TeV

$H \rightarrow \tau \tau$, reasonable branching fraction and mass resolution

direct measurement of the Yukawa coupling !

hadronic τ decays must have one or three tracks, charge of three tracks must be ±1 isolation criteria are applied challenge: discrimination from slim hadronic jets, BDT used, p_T dependend

used $\tau\tau$ decays: (e τ_h), ($\mu\tau_h$), (e μ), ($\tau_h\tau_h$)

event categorisation: zero jet, VBF, boosted background treatment

- $Z \rightarrow \tau \tau$: estimated with simulation, corrected using $Z \rightarrow \mu \mu$ control region
- tt : estimated from simulation, dedicated side-band region to control normalisation and t- quark $\ensuremath{p_T}$ distribution
- W+ jets : shape from MC, control samples used for adjustment

multi-jet production: fully data driven using control samples with same-sign loosely identified leptons





$H \rightarrow \tau \tau$

the signal strength is obtained from a simultaneous for of 2D distributions in all categories and final states, including the contol regions of tt, W+jets and multi-jet production.



local p-value as a function of the mass hypothesis of the Higgs boson

events weighted with S/(S+B) as a function of the di- τ mass



Higgs boson at 13 TeV

$H \rightarrow \tau \tau$

 $\begin{array}{ll} \text{observed significance:} & \sigma = 4.9 \text{ s.d.} \\ \text{expected} & \sigma = 4.7 \text{ s.d.} \end{array}$

signal strength: $\mu = 1.09 \pm 0.26$.



including also the published results at 7 and 8 $\ensuremath{\text{TeV}}$

 $\mu = 0.98 \pm 0.18.$

Observed and expected significance:

. σ = 5.9 s.d.

fit of the relative couplings to vector bosons and fermions





Higgs boson at 13 TeV

 $H \rightarrow \tau \tau$

supplemented analysis. VH (V \rightarrow leptons) e.g. Z \rightarrow ee, $\mu\mu$, H $\rightarrow \tau_e \tau_h$, $\tau_\mu \tau_h$, $\tau_h \tau_h$, $\tau_e \tau_\mu$

In addition WH

signal strength

 $\mu=2.5\pm1.4$

Observed and expected significance:

. σ = 2.3 (1.0) s.d.





$H \rightarrow \tau \tau$



Observed and expected significance:

. σ = 5.5 (4.8) s.d.



Higgs boson at 13 TeV

$H \rightarrow bb$, large branching fraction, huge background

Lcoupling of the Higgs to down-type quark challenge: tremendous QCD background

golden channel: Higgs-strahlung, VH

Topology: 2 b-tagged jets -two oppositely charged leptons, (ZH) - one lepton, missing p_T **CMS** Simulation (13 TeV) Events / 2.5 GeV 0005 GeV (WH) M_u = 125 GeV - missing p_T (ZH) Before regression ak = 15.6% - After regression regression technique to BMS/peak = 13.2% 3000 improve the bb mass 2000 resolution 1000 0 100 150 200 250 M_{bb} [GeV]

combination of all production mechanisms

$$\mu = 1.04^{+0.20}_{-0.19}$$

(5.6 st. d. observed, 5.5 st.d. expected)





Higgs boson at 13 TeV

t-h associated production

t-h coupling is expected to be the largest in the SM

Search is performed in multi-lepton final states, comprising

- $H \rightarrow WW$
- $H \rightarrow ZZ$
- $H \rightarrow \tau \tau$

produced together with b-quark jets

leptons are identified as e, μ or τ_h

six event categories, containing three leptons of different flavour composition or four (e, μ)

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main backgrounds: ttW, ttZ and WZ+jets shape taken from MC and normalised using control samples
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BDT used for signal extraction

signal strength: $\mu = 1.23 \pm 0.45$.

also studied other Higgs boson decay modes:

 $\begin{array}{l} \mathsf{H} \rightarrow \gamma \gamma \\ \mathsf{H} \rightarrow \mathsf{b} \mathsf{b} \\ \text{with slighly less expected significance} \end{array}$

7 +8 + 13 TeV data combined:

 μ = 1.26^{+0.32}-0.26 (5.2 σ observed, 4.2 σ expected)





Higgs boson summary



- couplings to the vector bosons measured with 10-20% precision
- observation of the Yukawa coupling to tau leptons
- Observation of Yukawa couplings to b- and top-quarks, τ-leptons
- to be found: Higgs self coupling, to probe the Higgs potential

signal strength: $\mu = 1.17 \pm 0.10$

III. Physikalisches Institut potential in the Higgs Lagrangian

V (Φ) = - μ^2 ΦΦ[†] + λ [ΦΦ[†]] ²

$$m_{\rm H} = (\sqrt{2\lambda}) v$$
 and $v^2 = (\sqrt{2G_{\rm F}})^{-1}$

Higgs-boson self-coupling



Question: are the two measurements of λ consisten?



$$\lambda_{HHH} = \lambda v$$



Search for Higgs-boson pair production in pp collisions at 13 TeV (35.9 fb⁻¹ 2016 data)

 $p p \rightarrow H_1 H_2 X$

Final states: $H_1 \rightarrow bb$ $H_2 \rightarrow bb, \gamma\gamma, \tau^+\tau^-, W^+W^-, ZZ,$

Predicted cross section: $\sigma_{HH} = 33.53 \pm (8\%)$ (i.e. with the integrated luminosity given above we have about 1000 events in the detector, but efficiency and acceptance are small),

 $H_1H_2 \rightarrow bb\gamma\gamma$: BR = 0.26 %, two b-tagged jets, two isolated photons, small background. fit performed to m(bb) vs, m($\gamma\gamma$)

 $H_1H_2 \rightarrow bbbb$: four b-tagged jets, BR = 33.6 %, but bery large QCD background

 $H_1H_2 \rightarrow bb\tau\tau$: two b-tagged jets, two τ -leptons, BR = 7.3 %, low background

 $H_1H_2 \rightarrow bbVV$: two b-tagged jets, two isolated leptons and missing momentum, BR = 2.7 %





 $Z' \rightarrow e^+e^-$

two isolated elecrons, opposite charge, in the barrel or barrel-end-cup e.m. calorimeter

limit on the mass (e⁺e⁻ and $\mu^+\mu^-$ combined)

 $m_{Z'} > 4.7 \text{ TeV}$

Motivated by U(1) extension of the SM or GUT inspired models based on E6 gauge group

search for CP mixing in Higgs boson production and decay

$$-\frac{m_f}{v}(\mathbf{a_f}\bar{f}f + \mathbf{b_f}\bar{f}i\gamma_5f)h = -\frac{m_f}{v}\kappa_\mathbf{f}(\cos\alpha_\mathbf{f}\bar{f}f + \sin\alpha_\mathbf{f}\bar{f}i\gamma_5f)h$$

method1: measurment of the angle between the two decay planes of the tau leptons in h $\rightarrow \tau^+ \tau^-$

method 2: measurement of the difference in the azimuthal angle in Higgs boson production with two associated jets.

 $\Delta \phi_{jj} = \phi_{y>0} - \phi_{y<0}$

search for additional Higgs bosons at higer mass, as predicted in extensions of the SM, e.g. in H \rightarrow W⁺W⁻ and H $\rightarrow \tau^+\tau^-$

explore low tan β and higher mass

III. Physikalische

CP-eve CP-odd • Measurement of the τ -lepton polarisation in Z $\rightarrow \tau^+ \tau^-$

$$\langle P_\tau \rangle = \frac{N(\tau_R^- \tau_L^+) - N(\tau_L^- \tau_R^+)}{N(\tau_R^- \tau_L^+) + N(\tau_L^- \tau_R^+)} \simeq -2(1 - 4 \sin^2 \theta_{eff})$$

- precision measurement of the weak mixing angle solely from τ-lepton couplings
- statistics larger than at LEP
- challenge: reconstruction of τ-lepton kinematics
- Search for lepton flavour violation in Z decays

- number of Z bosons larger than at LEP
- challenge: precise estimate of background processes

Best limits so far:

$$\begin{array}{l} \mathsf{BR}(Z \to e\mu) &= 7.3 \cdot 10^{-7} \\ \mathsf{BR}(Z \to e\tau)^{\dagger} &= 9.8 \cdot 10^{-6} \\ \mathsf{BR}(Z \to \mu\tau) &= 1.2 \cdot 10^{-5} \end{array}$$

LHC / HL-LHC Plan

Major CMS upgrades for the HL LHC phase

- new (again full silicon) tracker with larger coverage and higher granularity and L1 trigger capability
- new calorimeter endcaps, fine-grained silicon-tungsten sandwhich electromagnetic calorimeter
- trigger and DAQ
- HCAL and muon
- luminometers

upgrade calorimetry

operation at -30°C

Finely segmented Si sensors ~ cm² pads

scintillator tiles readout with Si PMs

Inpired by the ILC TDR

3.6 λ Si/brass

Silicon sensor tile

26 X₀ SiW

5 λ scintillator/brass

Upgrade tracker

- 6 barrel layers (TBPS, TB2S) and 5 end cap double disks (TEDD)
- pixelated modules at r < 60 cm (stacks of pixel and strip sensors PS)
- stack of two strip sensors at r > 60 cm (2S)

- Modules with on-board pt discrimination
- Tracker sends "stubs" with 40 MHz
- "stubs" used to form track(lets) → 100 kHz readout
- Two different module types PS and 2S

- designed: Vienna
- manufactured: Infineon
- 8 inch p-substrate FZ wafers with 7 kΩ cm
- 200 µm physical thickness
- - 25 wafers with p-stop and p-spray for strip isolation technique

15508 modules (218 m² active silicon)47.8 million strips218 million macro pixels

2 Strip sensors

- produced on 6 inch wafer
- Strips: 5 cm x 90 μm
- Strips: 5 cm x 90 μm
- occupancy < 1%
- P=5.0W
- ~92cm² active area

Fast Beam Condition Monitor BCM1F – what I did for CMS

III. Physikalisches Institut

- precision electroweak measurements at LHC approach LEP/SLD precision even for parameters which are easier accessible in electron-positron annihilations
- top-quark mesurements allow precision tests, both for QCD and electroweak physics
- top-quark studies reached the level of ,rare processes'
- probing the Higgs boson shows agreement with SM expectations (10-20% precison)
- SM so far in agreement with all observations
- new physics may appear in meaurements of better precision, to see inconsistencies, or in a dedecated search, or in the unexpected. we have to be patient
- HL-LHC will be a challenge for the detectors. major upgrades in preparation, based on the experience in runs I and II (listen to the talk of Pavel de Barbaro)

In the invitation of the school is written:

LANGUAGE English is the working language of the School-Conference and publications.

Gomel school 1977:

Chris Quigg Lectures on Charmed Particles, XIth International School for Young Scientists on High-Energy Physics and Relativistic Nuclear Physics, Gomel, Byelorussia, September, 1977. Five lectures.

Carlo Rubbia NA4 as a Y factory

A.K. Mann Neutrino Physics

S.M.Bilenky, B.Ponlecorvo ON "FAST" AND "SLOW" NEUTRINOS Proceedings of the XI International School on High Energy Physics and Relatlytstlc Nuclear Physics for Young Scientists. Gomel, 1977. A.M.Baldin Commulative quark effects

I. Savin Deep Inelastic Muon Scattering

