

# Scattering amplitudes in lattice QCD: thinking inside and outside the box

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“Linking non-perturbative and perturbative approaches to fragmentation”  
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# Observables from lattice QCD: Euclidean correlation functions

- Large time separation: ground state saturation

$$C_{ij}(\tau) = \langle \mathcal{O}_i(\tau) \bar{\mathcal{O}}_j(0) \rangle_U$$

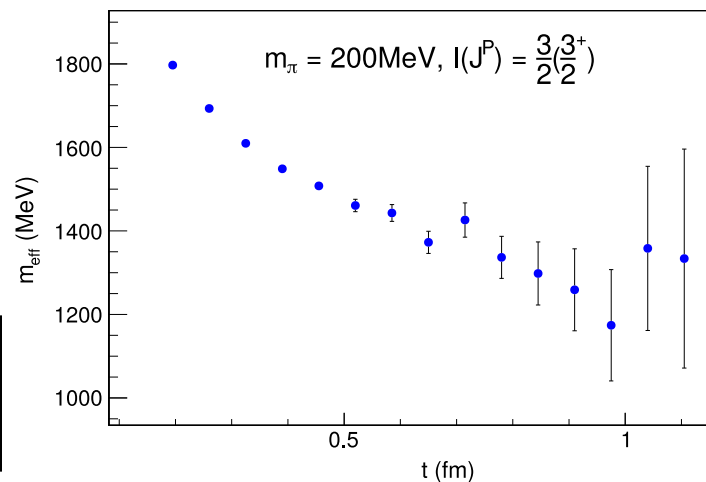
$$\lim_{\tau \rightarrow \infty} C_{ij}(\tau) = \langle 0 | \hat{\mathcal{O}}_i | E_1 \rangle \langle E_1 | \hat{\mathcal{O}}_j^\dagger | 0 \rangle e^{-E_1 \tau} \left\{ 1 + O(e^{-(E_2 - E_1)\tau}) \right\}$$

- Generalized Eigenvalue methods provide a few excited states:

$$C(\tau)v_n(\tau) = \lambda_n(\tau)C(\tau_0)v_n(\tau) \quad \lim_{\tau \rightarrow \infty} \lambda_n(\tau) = e^{-E_n \tau}$$

- Signal-to-noise problem  
=> 'Teufelspakt'

$$m_{\text{eff}}(\tau) = \log \left[ \frac{C(\tau)}{C(\tau + 1)} \right]$$



=>



# Real-time scattering in Euclidean lattice QCD

Maiani-Testa No-Go thm. (infinite volume): just threshold amplitudes from  $t \rightarrow \infty$  limit of Euclidean correlators.

L. Maiani, M. Testa, *Phys. Lett.* **B245** (1990) 585  
M. Bruno, M. T. Hansen, 2012.11488 [hep-lat]

Consider  $\gamma^* \rightarrow \pi + \pi$

$$C(t_2, t_1) = \langle \pi(\mathbf{p}, t_2) \pi(-\mathbf{p}, t_1) J_{\text{em}}(0) \rangle$$

$$\lim_{\substack{t_1, t_2 \rightarrow \infty \\ t_2 > t_1}} C(t_2, t_1) = \langle \pi(\mathbf{p}) | \hat{\pi}(-\mathbf{p}) | \pi(0) \pi(0) \rangle_{\text{out}} \times \\ \text{out} \langle \pi(0) \pi(0) | \hat{J}_{\text{em}}(0) | 0 \rangle \times e^{-E_\pi(\mathbf{p})(t_2 - t_1) - 2m_\pi t_1}$$

In general: threshold form factor and 'off-shell' form factor.

# Workaround: finite spatial volume

Finite-volume states give info about infinite-volume scattering amplitudes!

L. Lellouch, M. Lüscher, Comm. Math. Phys. 219 (2001)

H. Meyer, Phys. Rev. Lett 107 (2011)

X. Feng, S. Aoki, Phys. Rev. D91 (2015)

R. Briceño, M. T. Hansen, Phys. Rev. D92 (2015)

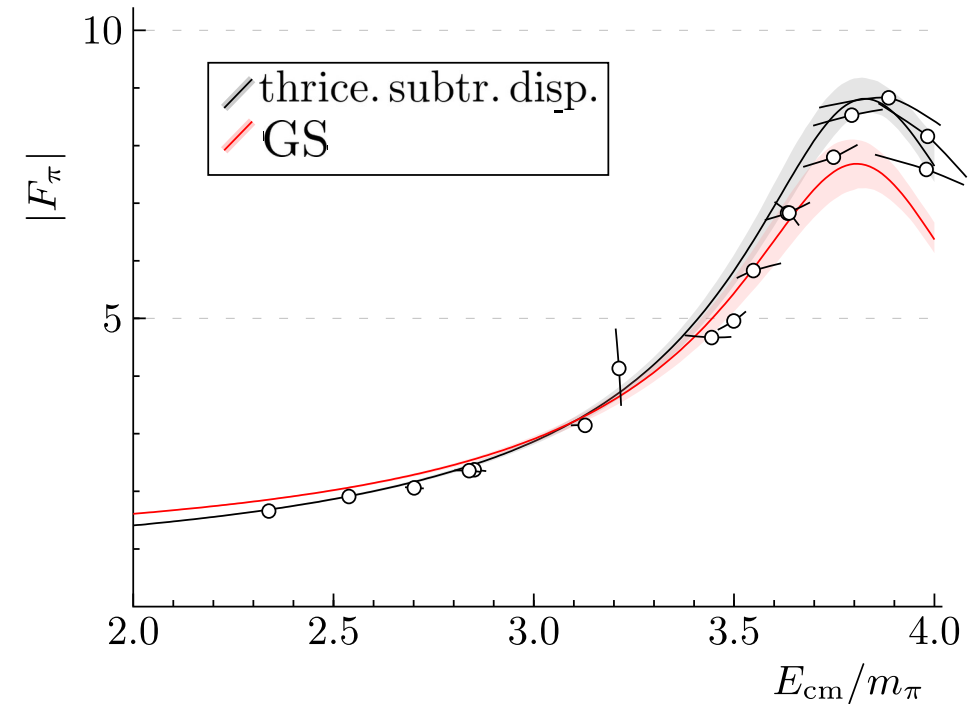
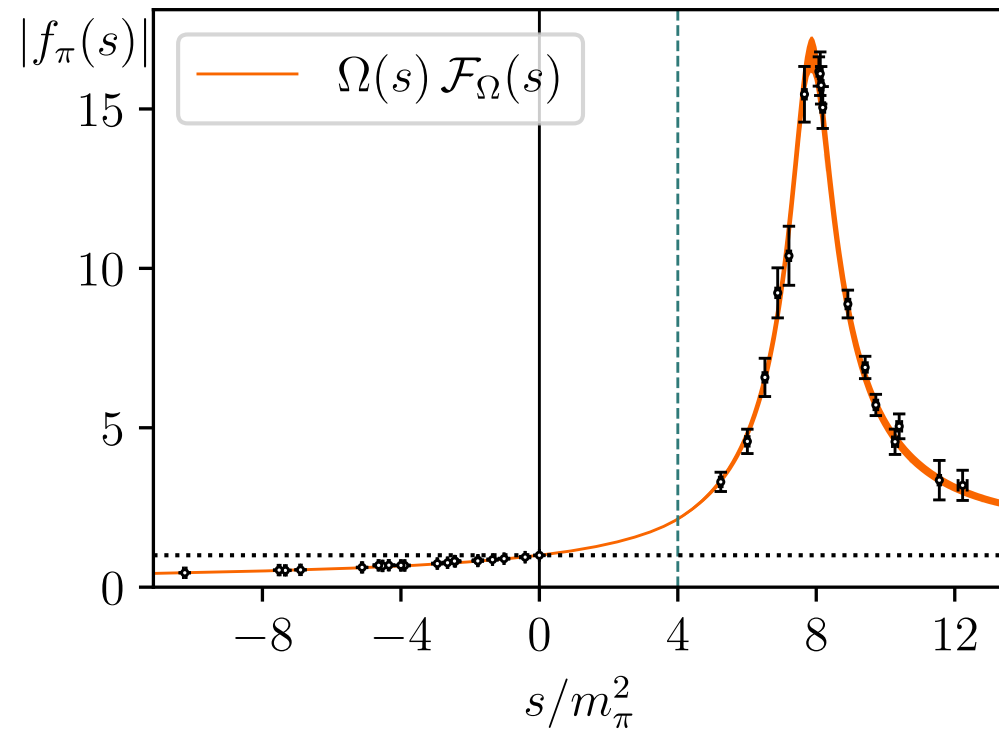
For  $E_{\text{cm}} < 4m_\pi$  :

$$|_L \langle \pi\pi, E_n, \Lambda(P^2) | \hat{J}_{\text{em}}(0) | 0 \rangle | = \sqrt{F_{\text{out}}(E_n) R_L(\Lambda) F_{\text{in}}(E_n)} \\ + \mathcal{O}(e^{-ML})$$

$$F_{\text{out}}(E, \ell) = {}_{\text{out}} \langle \pi\pi, E, \ell | \hat{J}_{\text{em}}(0) | 0 \rangle$$

- The matrix  $R_{L, \ell\ell'}(\Lambda)$  mixes partial waves
- 1-1 relation btw finite-volume and infinite-volume only in leading-partial-wave approx.
- Coupling between  $\pi\pi, \bar{K}K$  can also be treated.

# Recent finite-volume results



**Left:**  $N_f = 2 + 1$ ,  $m_\pi = 280$  MeV

F. Ortega-Gama, J. Dudek, R. Edwards (HadSpec),  
Phys.Rev.D 110 (2024) 9, 094505

**Right:**  $N_f = 2 + 1$ ,  $m_\pi = 200$  MeV

C. Andersen, JB, B. Hörz, C. Morningstar,  
Nucl. Phys. B939 (2019)

## What about two-to-two amplitudes?:

- Finite volume method: two-hadron energies below  $n \geq 3$  hadron thresholds:

M. Lüscher, *Nucl. Phys.* **B354** (1991) 531

$$\det[K^{-1}(E_{\text{cm}}^L) - B(E_{\text{cm}}^L)] = 0$$

- Determinant over all partial waves and channels

→ Truncation at some  $\ell_{\text{max}}$  → systematic error

For single channel:

$$K_{\ell\ell'}^{-1} = \delta_{\ell\ell'} \cot \delta_\ell$$

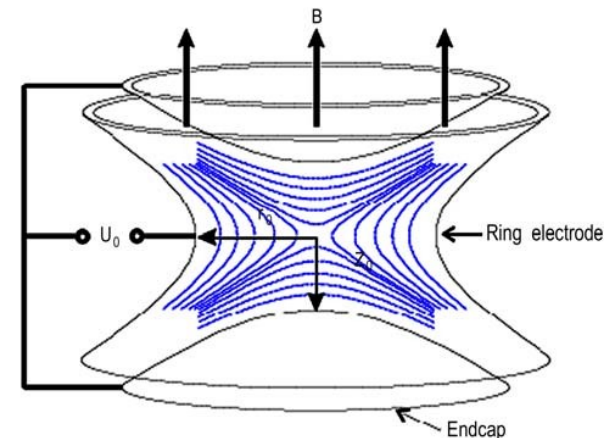
- Signal comes from interaction shift. Large- $L$  threshold expansion:

$$\Delta E = E_{2\pi}^{I=2} - 2m_\pi = -\frac{4\pi a_0^{I=2}}{m_\pi L^3} + \mathcal{O}(L^{-4})$$

- For attractive  $s$ -wave interaction

→ direct constraints in complex plane!

$$E_{\text{cm}}^L < E_{\text{thresh}}$$



Analogy: two cold atoms in a trap  
T. Busch, B.-G. Englert, K. Rzazewski, M. Wilkens,  
*Found. Phys.*, 28 (1998)

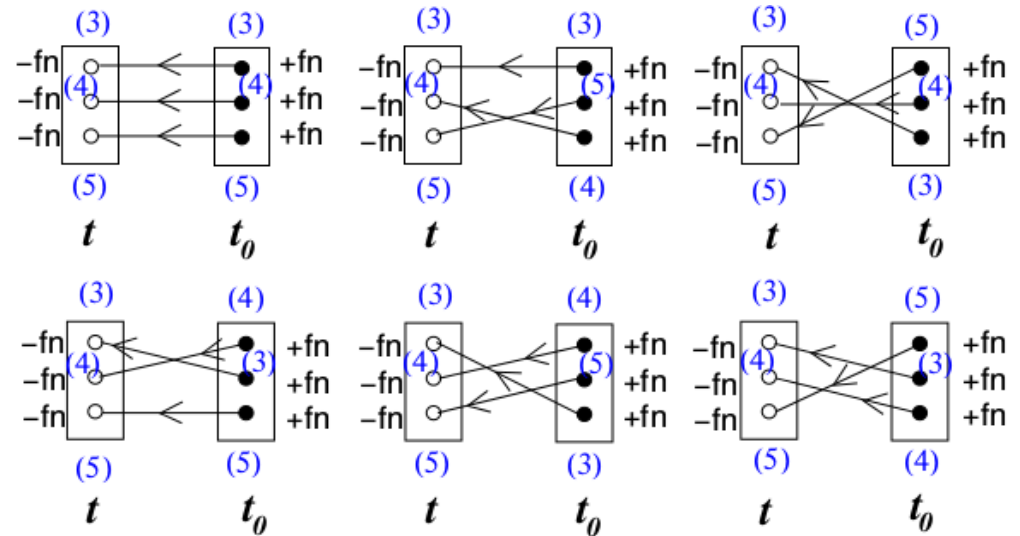
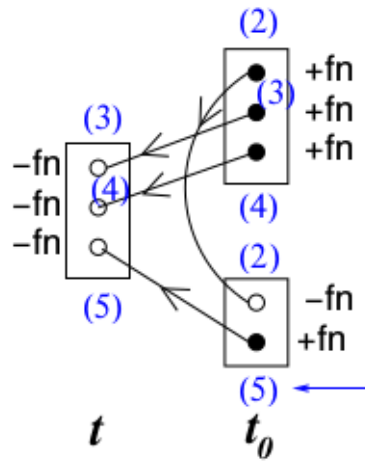
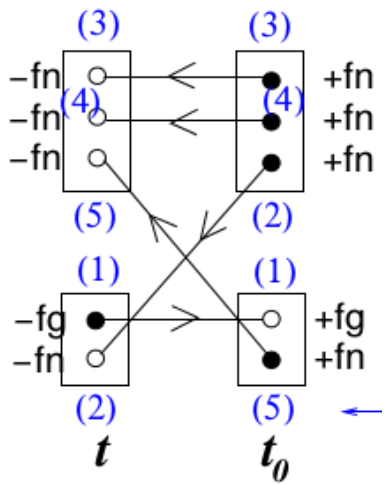
# Computing correlation functions: the distillation approach

M. Peardon et al. Phys.Rev.D 80 (2009) 054506; C. Morningstar et al. Phys.Rev.D 83 (2011) 114505

- 'All-to-all quark propagators are required for complete momentum projection

→ inverse of large sparse Dirac matrix:

$$D\psi = \eta$$



- Factorization enabled by the distillation/stochastic LapH algorithms for quark propagation

- Correlation function computation = tensor contraction

# Example of FV formalism

Baryon-Baryon interactions with SU(3) flavor symmetry:

$$8 \otimes 8 = 1 \oplus 8 \oplus 8 \oplus 10 \oplus \overline{10} \oplus 27$$

- Singlet: H-dibaryon
- 10-bar: deuteron
- 27-plet: dineutron

$$N_f = 2 + 1, \quad m_\pi = 700 \text{ MeV},$$

Proof of principle:

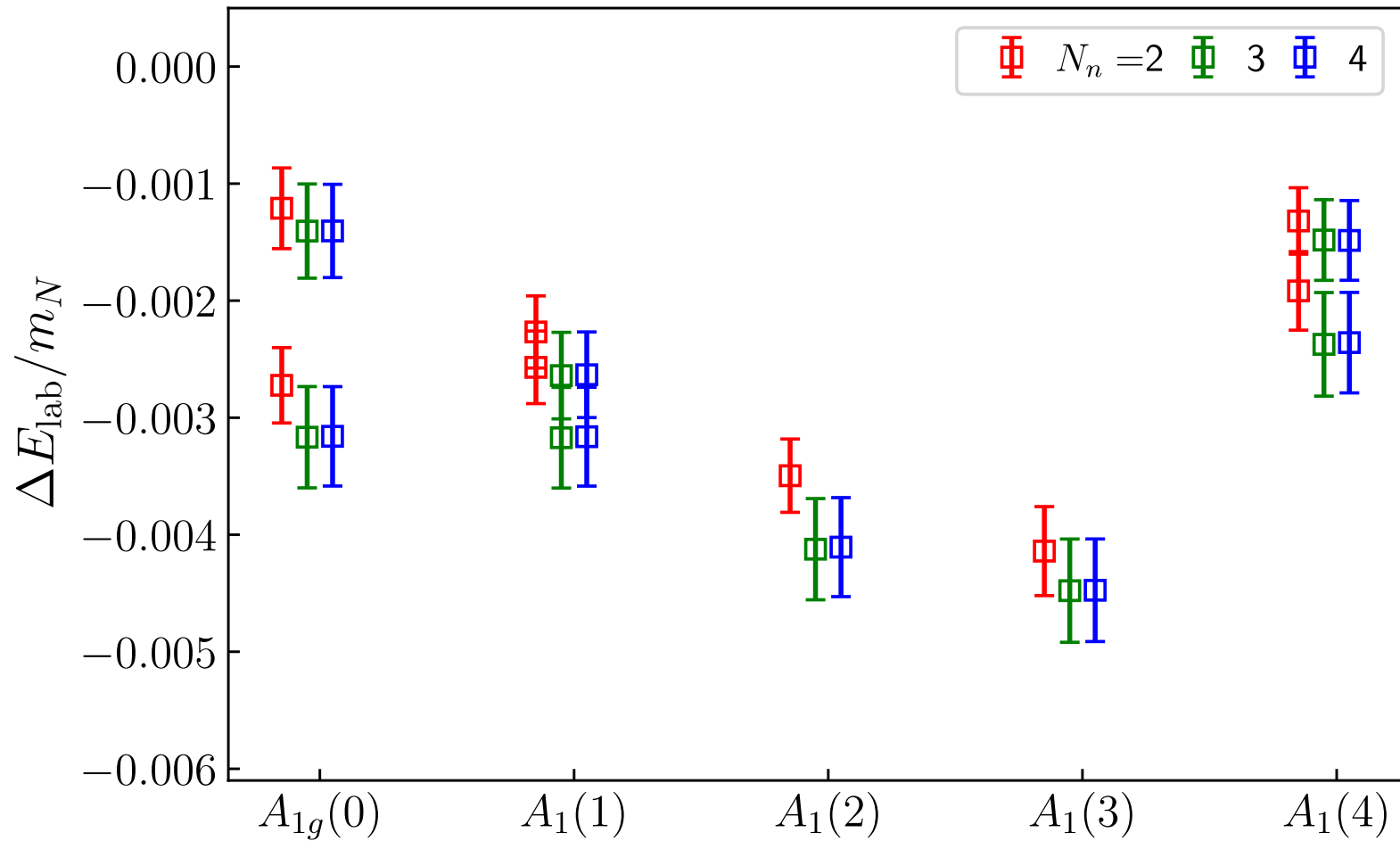
$$a = 0.09 \text{ fm}, \quad 48^3 \times 96$$

JB, et al. (Baryon Scattering [BaSc] Collaboration), 2502.15546 [hep-lat]

→ Consistency between different groups and methods

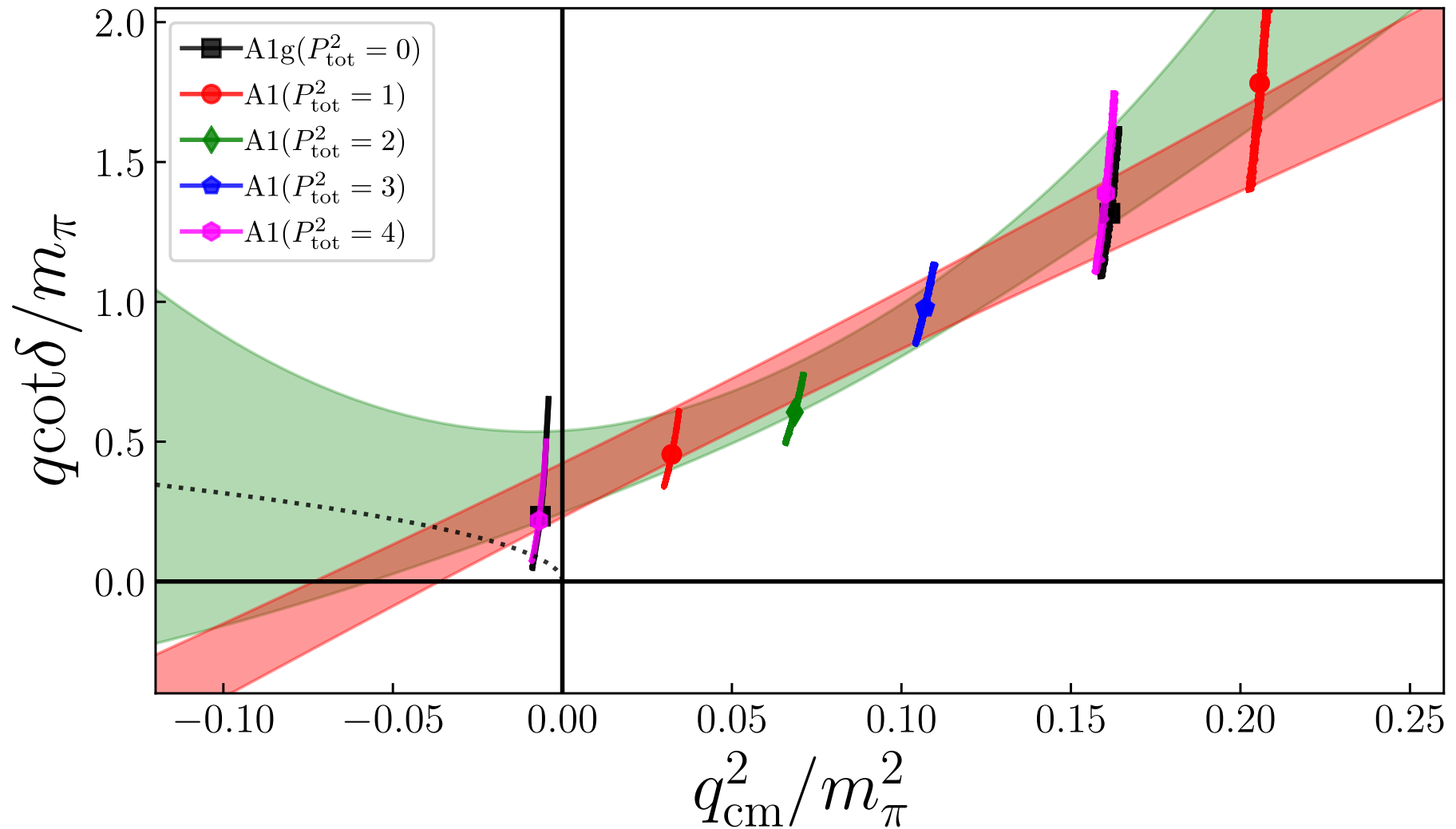
# Example of FV formalism

Dineutron: spectrum determination



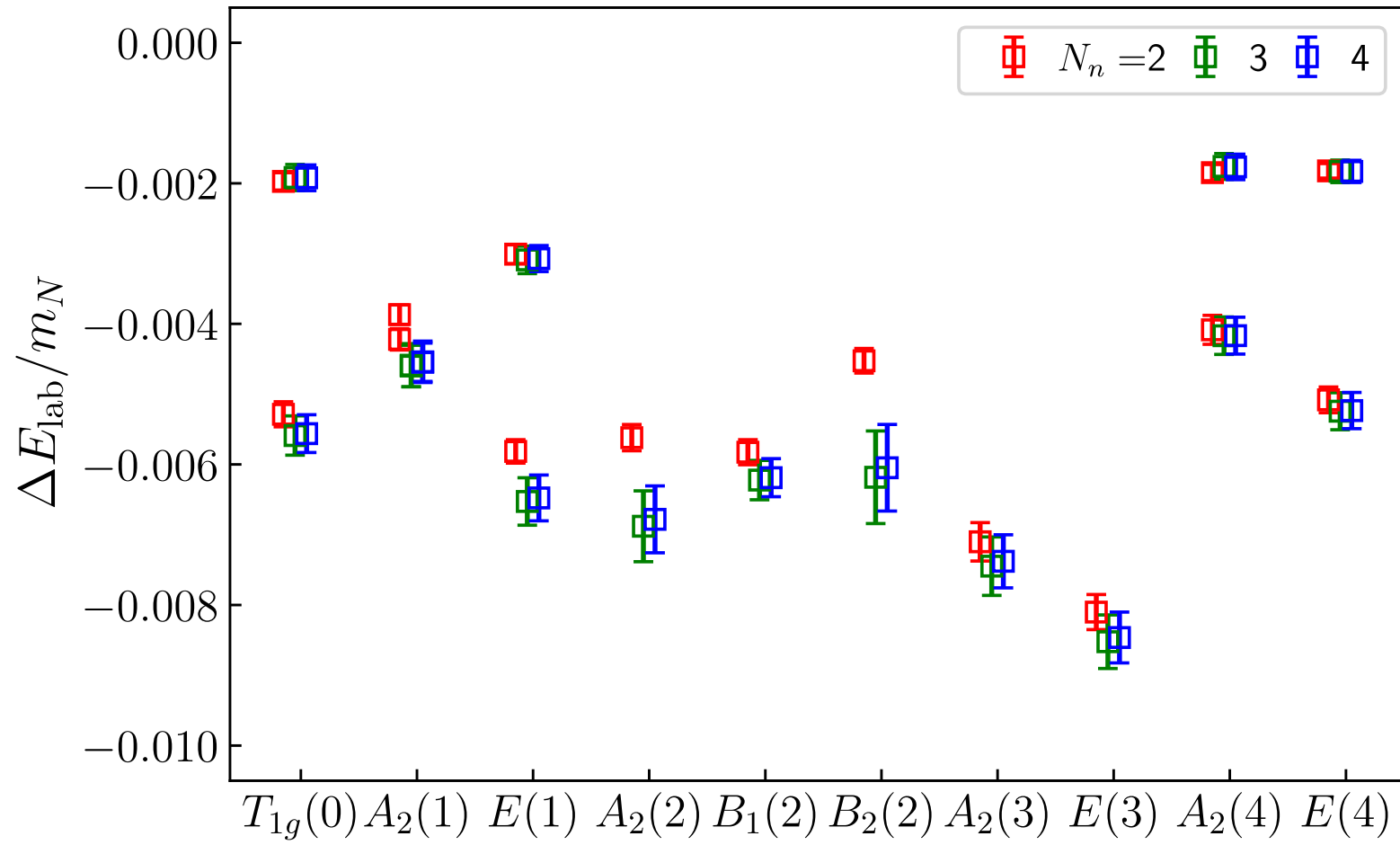
# Example of FV formalism

Dineutron: scattering amplitude



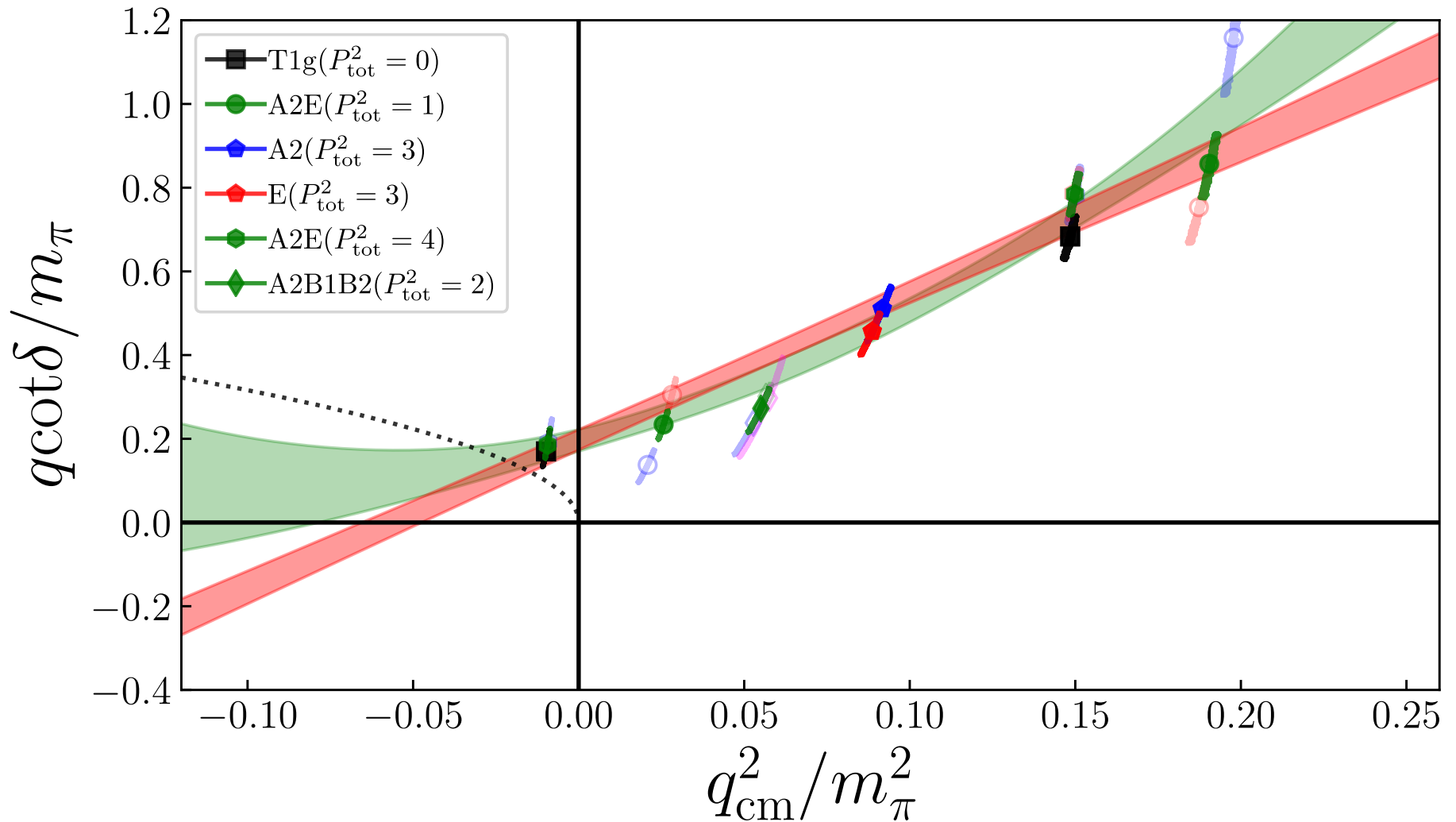
# Example of FV formalism

Deuteron: spectrum determination



# Example of FV formalism

Deuteron: scattering amplitude

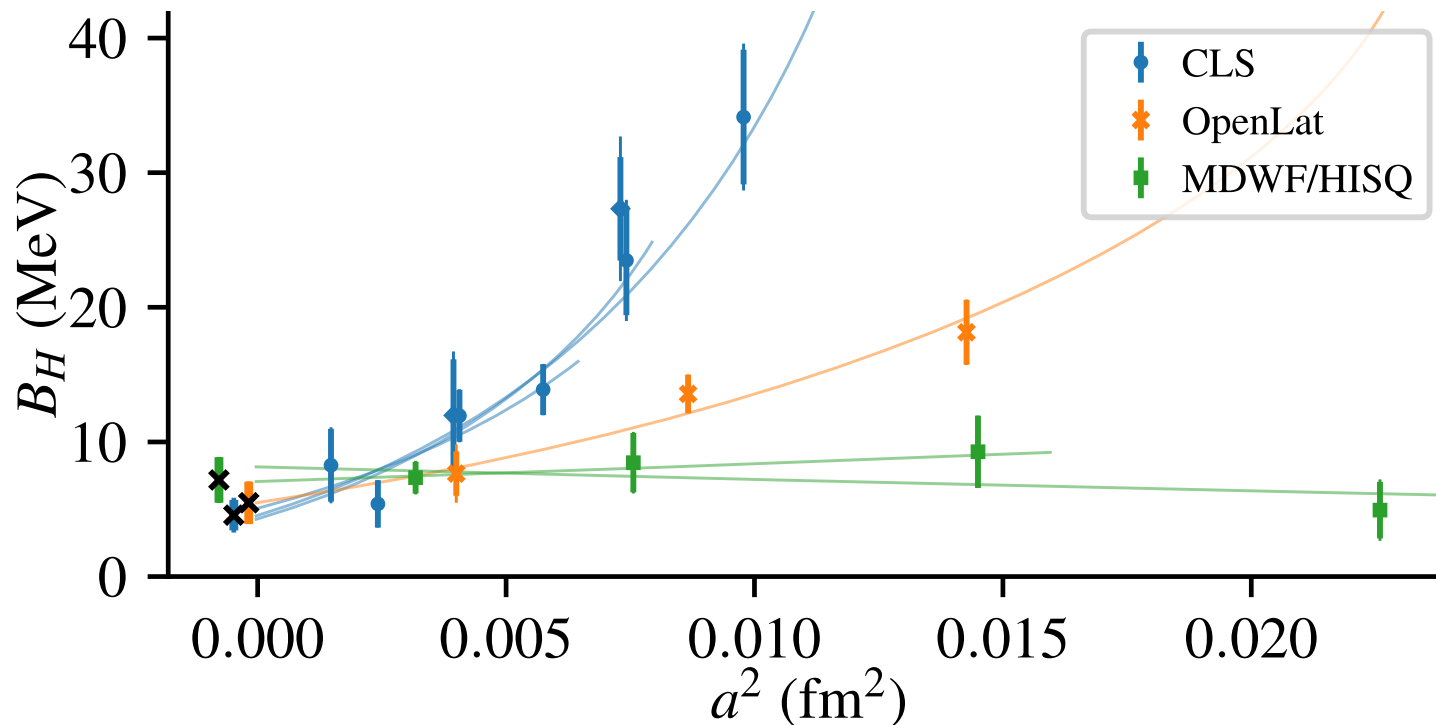


# Example of FV formalism

Preliminary SU(3) singlet results: continuum limit

$$N_f = 2 + 1, \quad m_\pi = 420 \text{ MeV},$$
$$a = 0.11 - 0.045 \text{ fm}, 3 \text{ discretizations}$$

JB, et al. (Baryon Scattering [BaSc] Collaboration), in preparation



# FV formalism: summary

- Limited to two- and three-body amplitudes near threshold
  - Current challenges:
    - cross-channel effects (left-hand cuts)
    - Three-body amplitudes
    - (solving integral equations)
- => Works very well for near-threshold amplitudes.

states [35–71] (this allows to study QCD processes in which e.g. a two-pion state can transition into a two-kaon state) and more recently also to three-particle states [35–71]. The resulting formalism in the case of three-particle states is so involved that it is hard to believe that further generalizations, that would allow to study phenomenologically interesting processes such as e.g.  $B \mapsto \pi\pi$  (where the threshold for producing more than 30 pions is open), will ever be obtained or could have practical applicability.

# Another approach: spectral functions

JB, M. T. Hansen, Phys. Rev. D100 (2019)

Consider the spectral function:

$$\begin{aligned} C(t) &= \langle \pi(\mathbf{p}_1) | \hat{\pi}(\mathbf{p}_2) e^{-\hat{H}t} \hat{J}_{\text{em}}(0) | 0 \rangle \\ &= \int_0^\infty d\omega e^{-\omega t} \rho_{\mathbf{p}_1 \mathbf{p}_2}(\omega) \end{aligned}$$

‘Smear’ with a particular kernel of width  $\epsilon$

$$\begin{aligned} \rho_{\epsilon, \mathbf{p}_1 \mathbf{p}_2}(E) &= \int_0^\infty d\omega K_\epsilon(E - \omega) \rho_{\mathbf{p}_1 \mathbf{p}_2}(\omega) \\ K_\epsilon(x) &= \frac{\epsilon}{x^2 + \epsilon^2} + \frac{ix}{x^2 + \epsilon^2} = \frac{i}{x + i\epsilon} \end{aligned}$$

# Another approach: spectral functions

Apply LSZ reduction:

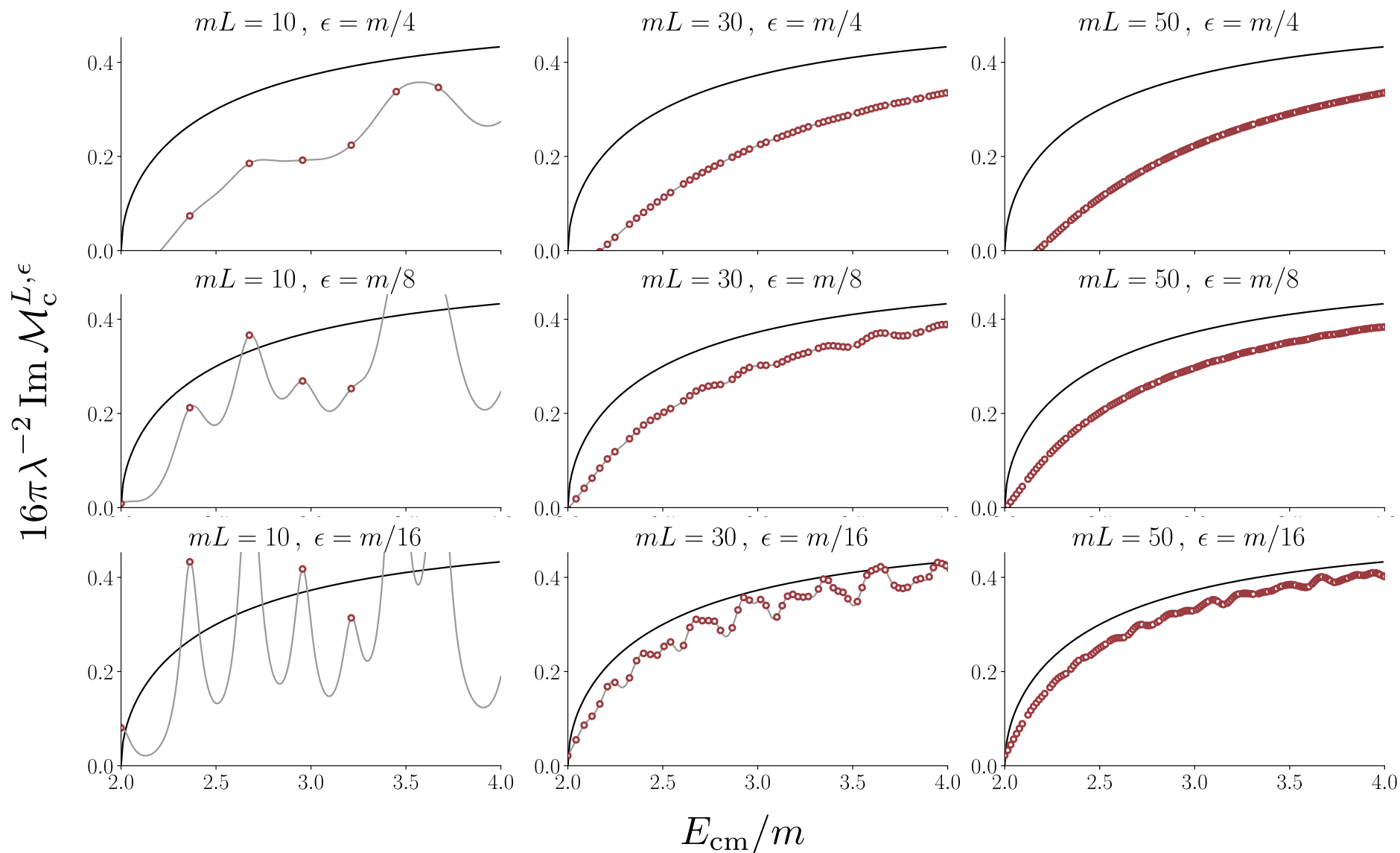
$$\text{out} \langle \pi(\mathbf{p}_1) \pi(\mathbf{p}_2) | \hat{J}_{\text{em}}(0) | 0 \rangle = \frac{2E(p_2)}{Z_\pi^{1/2}} \lim_{\epsilon \rightarrow 0^+} \epsilon \rho_{\epsilon, \mathbf{p}_1 \mathbf{p}_2}(E(\mathbf{p}_2))$$

Spectral function has an on-shell pole, which must be 'amputated'

- No reliance on finite-volume, applicable above arbitrary thresholds 😊
- Generalizes to arbitrary (inclusive, exclusive) amplitudes (in principle) 😊
- Requires large volume, solution of ill-posed inverse problem 😞

# Another approach: spectral functions

Illustrative example: 2-2 scattering in 3+1 dim.  $\lambda\phi^4$  (1-loop TOPT)



# Another approach: spectral functions

A. Patella, N. Tantalò, JHEP 01 (2025) 091

Recent improvement:

- Based on Haag-Ruelle; Barata-Fredenhagen
- Two types of smearing:
  - ‘interpolator’ smearing → only produce single-particle states
  - \*\*\*\* ‘time’ smearing → large- $t$  limit = small  $\epsilon$ -limit
- Maximum generality in smearing kernels
- TODO: interpolator smearing without compact support

# Spectral Reconstruction

Backus, Gilbert '68, '70

F. Pijpers, M. Thompson '92

M. R. Hansen, A. Lupo, N. Tantalo, PRD99 (2019)

Linear ansatz:

$$\hat{\rho}_\epsilon(E) = \sum_{t=a}^{t_{\max}} q_t(\epsilon, E) C(t), \quad \hat{\delta}_\epsilon(E, \omega) = \sum_{t=a}^{t_{\max}} q_t(\epsilon, E) e^{-\omega t}$$

Two criteria when choosing  $\{q_t(\epsilon, E)\}$

- Accuracy:  $A[q] = \int_{E_0}^{\infty} d\omega \left\{ \delta_\epsilon(E - \omega) - \hat{\delta}_\epsilon(E, \omega) \right\}^2$
- Precision:  $B[q] = \text{Var} \{ \rho_\epsilon(E) \}$

Optimal coeffs minimize:

$$G_\lambda[q] = (1 - \lambda)A[q] + \lambda B[q]$$

# Controlled Test

JB, M. W. Hansen, M. T. Hansen, A. Patella, N. Tantalo, JHEP '22

2d O(3)-model: ..., M. Lüscher, U. Wolff, Nucl. Phys. B339 (1990),...

$$S[\sigma] = -\beta \sum_{x, \mu} \sigma(x) \cdot \sigma(x + \hat{\mu}), \quad \sigma(x) \in \mathbb{R}^3, |\sigma(x)| = 1$$

Conserved (global) current:

$$j_{\mu}^a = \beta \epsilon^{abc} \sigma^b(x) \hat{\partial}_{\mu} \sigma^c(x)$$

Massive single-particle states. Target process: inclusive rate for  $j \rightarrow X$

$$\begin{aligned} \rho(E) &= \sum_{\alpha} \delta(\mathbf{P}_{\alpha}) \delta(E - E_{\alpha}) |_{\text{out}} \langle \alpha | \hat{j}(0) | 0 \rangle|^2 \\ &= \sum_{n=2,4,6,\dots} \rho^{(n)}(E) \end{aligned}$$

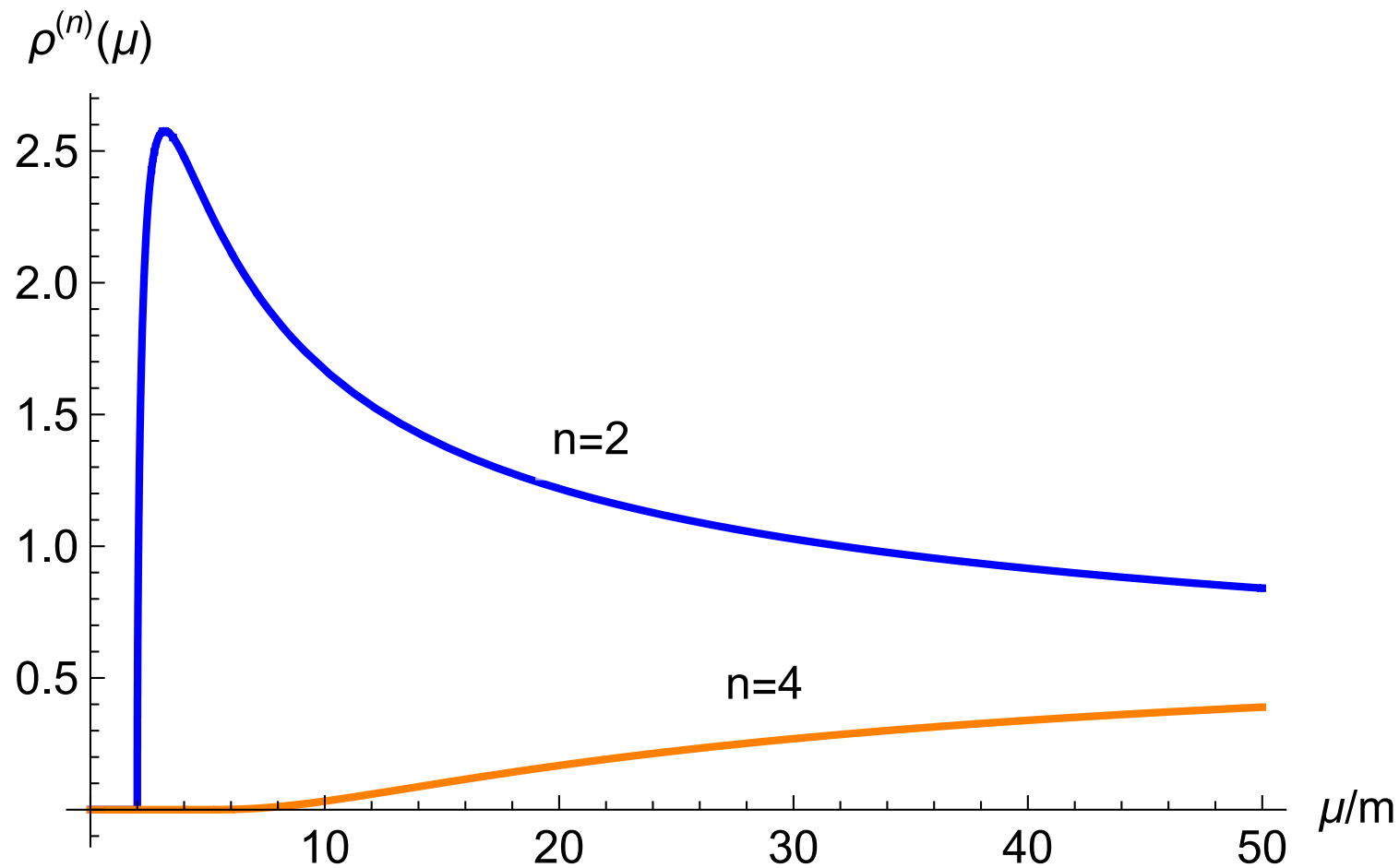
# Controlled Test

Integrable model => spectral function known exactly:

M. Karowski, P. Weisz, Nucl. Phys. B139 (1978)

A. B. Zamolodchikov, A. B. Zamolodchikov, Nucl. Phys. B133 (1978)

J. Balog, M. Niedermaier, Nucl. Phys. B500 (1997)



Two-particle contribution dominant, four-particle ~2% near  $E = 10m$

# Controlled Test

Four smearing kernels  $\delta_\epsilon^x(E - \omega)$ :

$$\delta_\epsilon^g(x) = \frac{1}{\sqrt{2\pi\epsilon}} \exp\left[-\frac{x^2}{2\epsilon^2}\right],$$

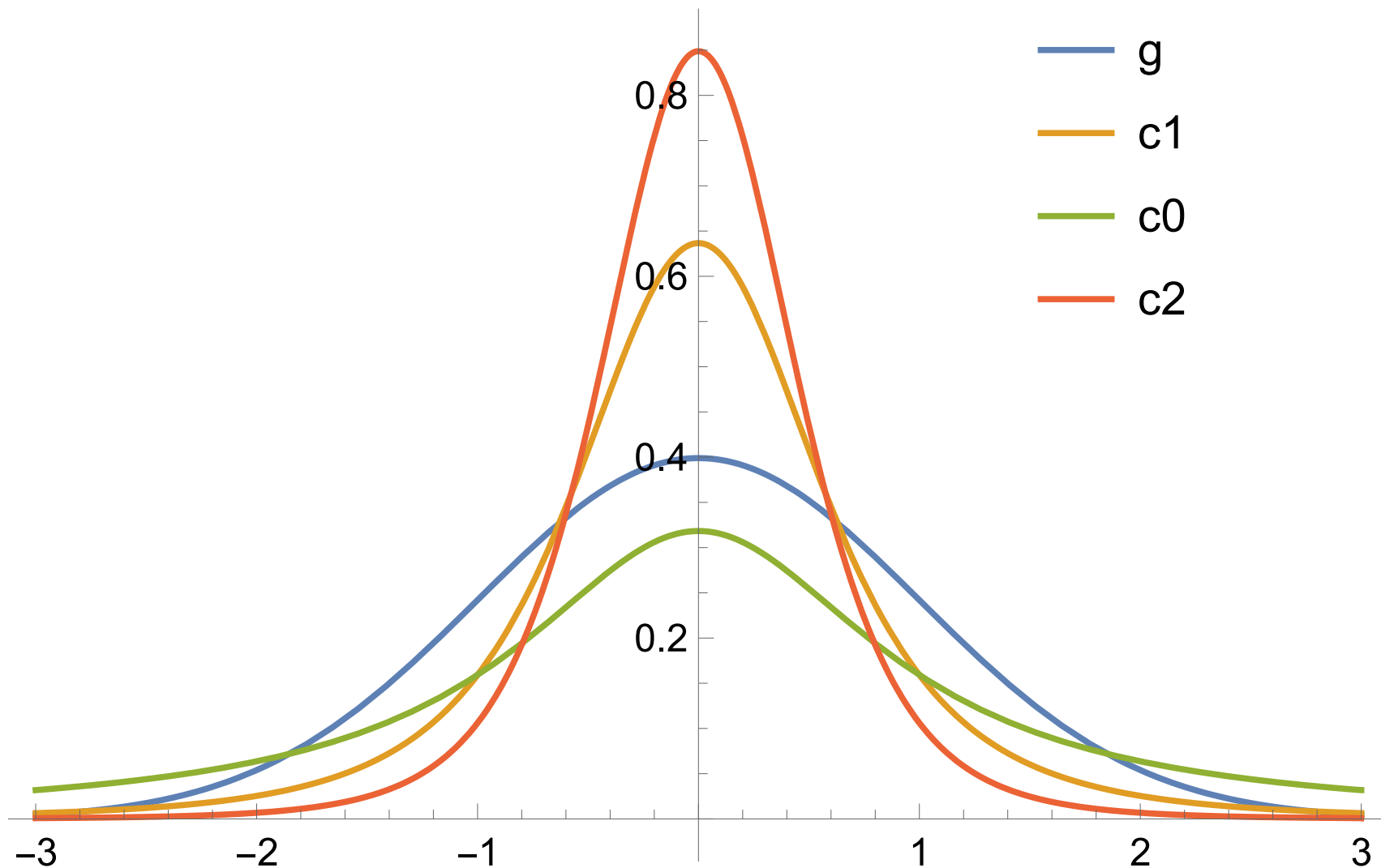
$$\delta_\epsilon^{c0}(x) = \frac{1}{\pi} \frac{\epsilon}{x^2 + \epsilon^2},$$

$$\delta_\epsilon^{c1}(x) = \frac{2}{\pi} \frac{\epsilon^3}{(x^2 + \epsilon^2)^2},$$

$$\delta_\epsilon^{c2}(x) = \frac{8}{3\pi} \frac{\epsilon^5}{(x^2 + \epsilon^2)^3}$$

# Controlled Test

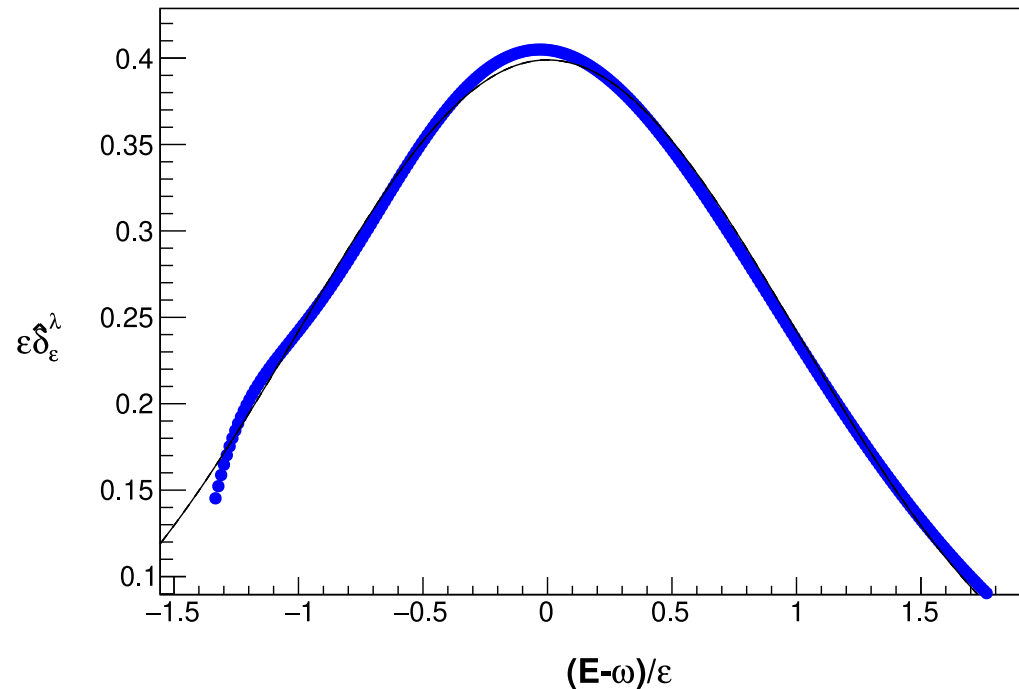
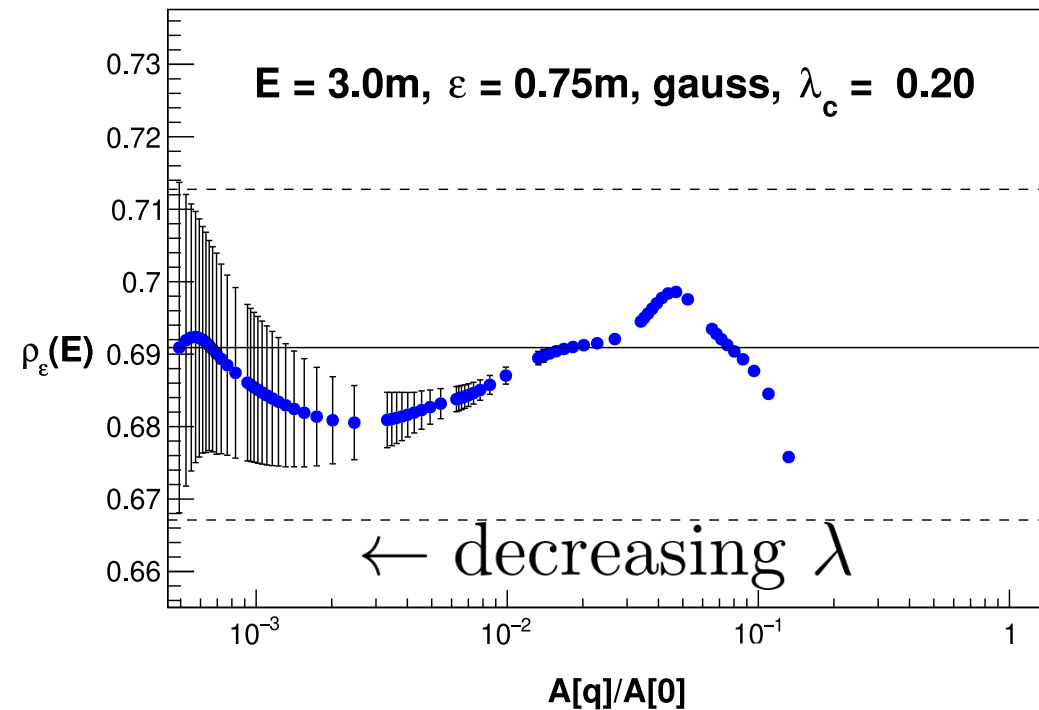
Four smearing kernels  $\delta_\epsilon^x(E - \omega)$ :



# Spectral Reconstruction

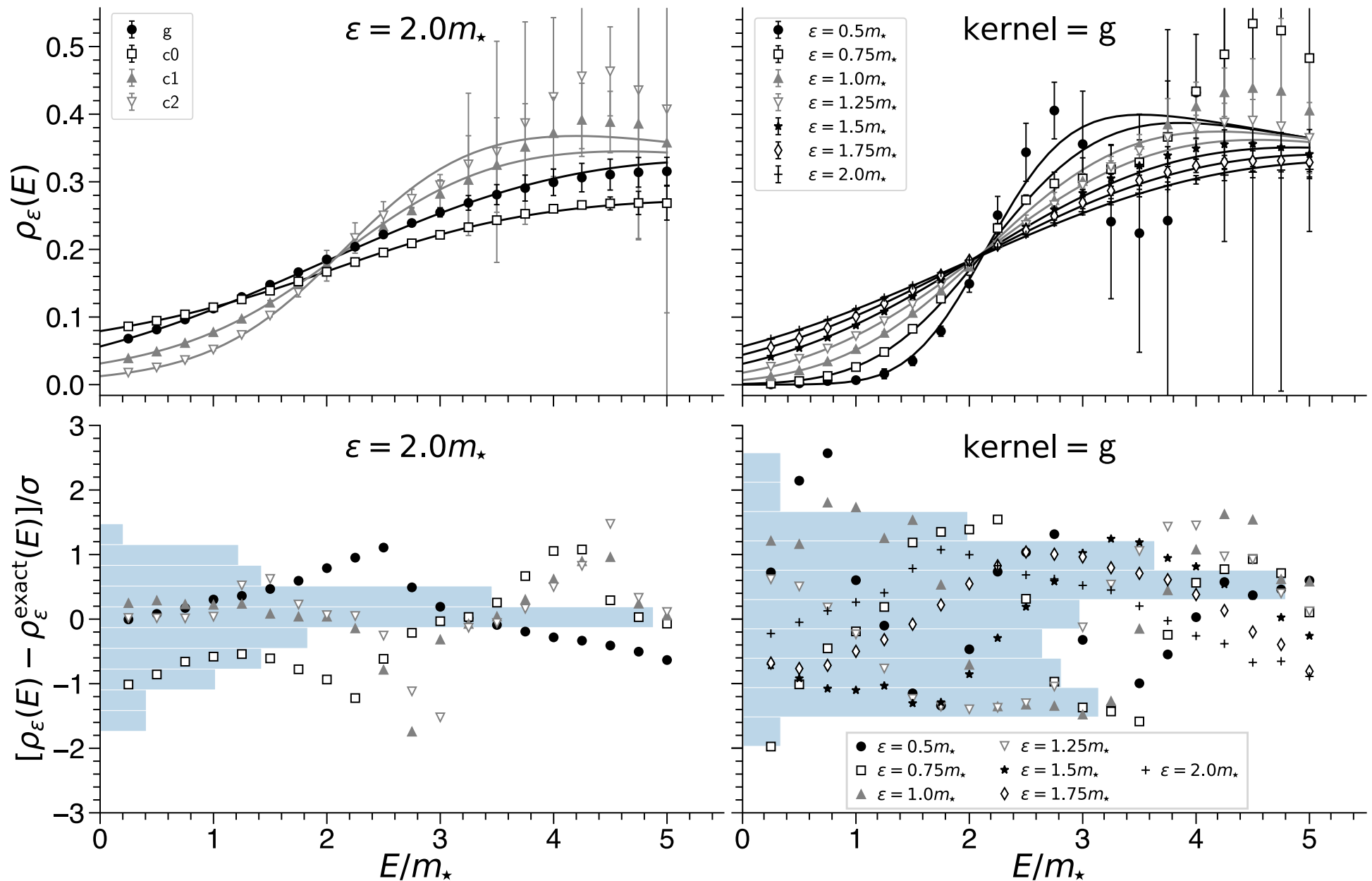
$$G_\lambda[q] = (1 - \lambda)A[q] + \lambda B[q]$$

Trade off parameter ( $\lambda$ ) balances systematic (A) and statistical (B) error



Plateau indicates statistics-limited regime, automatically selected.

# Results: fixed smearing width



Solid lines: exact smeared spectral function, using  $N=2, 4, 6$  particle contributions.

# Summary: spectral functions

Many differences wrt to finite-volume approach!

- Cannot use finite-volume momenta → momentum wave packets
- Cannot use quark smearing → smearing in position space (wave packets)
  - Distillation inapplicable
  - Sparsening?
    - A. Stump, J. R. Green, PoS LATTICE2024 (2025) 094
    - W. Detmold, et al., Phys. Rev. D 104 (2021) 034502
    - Y. Li, et al., Phys. Rev. D 103 (2021) 014514
- Large volumes required
  - Masterfield simulation paradigm M. Lüscher, EPJ Web Conf. 175 (2018) 01002
- All hadrons at different times in correlation function
  - complicates reconstruction
  - Complicates correlation function construction

# First tests: spectral functions

Much work required to implement Tantalo-Patella program

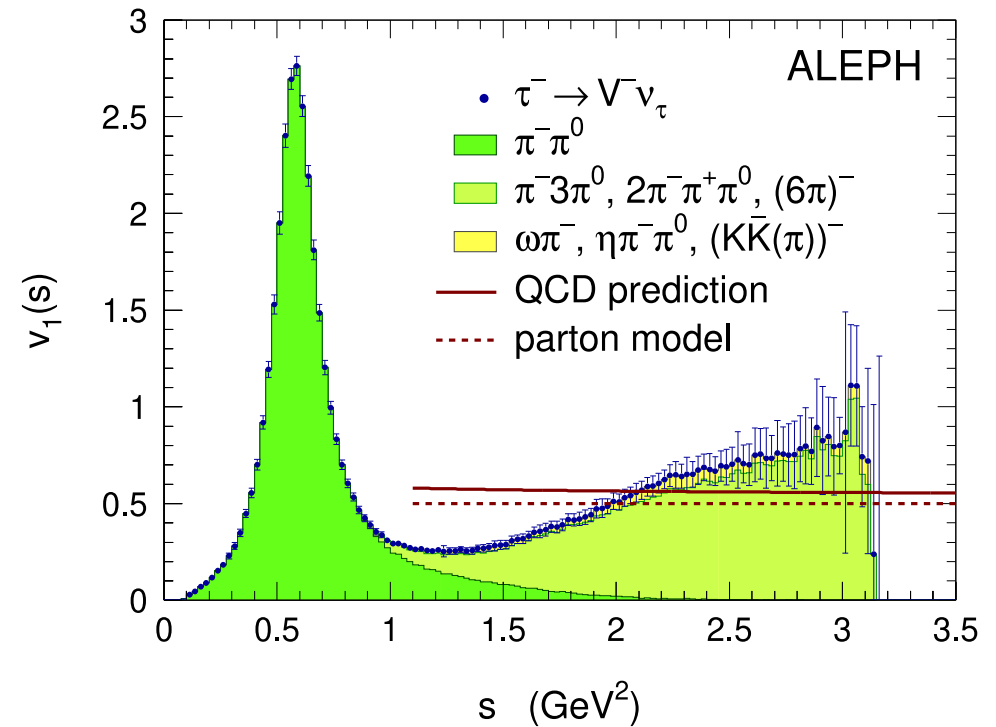
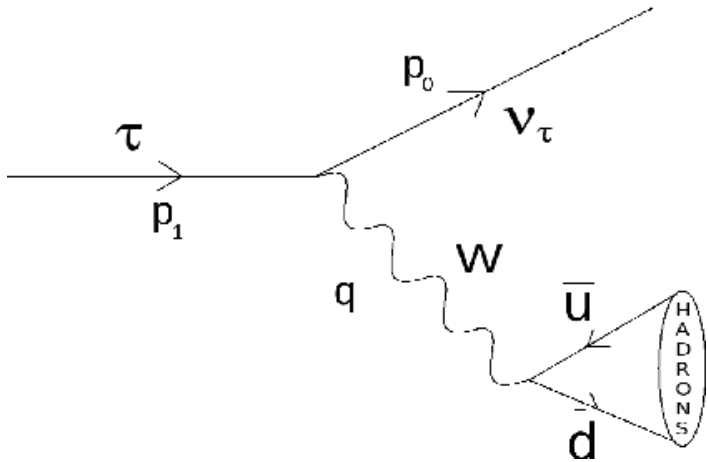
● What can be done now?

→ \*\*\* Inclusive  $0 \rightarrow X$ :  $e^+ e^- \rightarrow \text{hadrons}$

→ Inclusive  $1 \rightarrow X$ :  $p + e^- \rightarrow X$

# Inclusive processes in lattice QCD

Hadronic Tau decays:



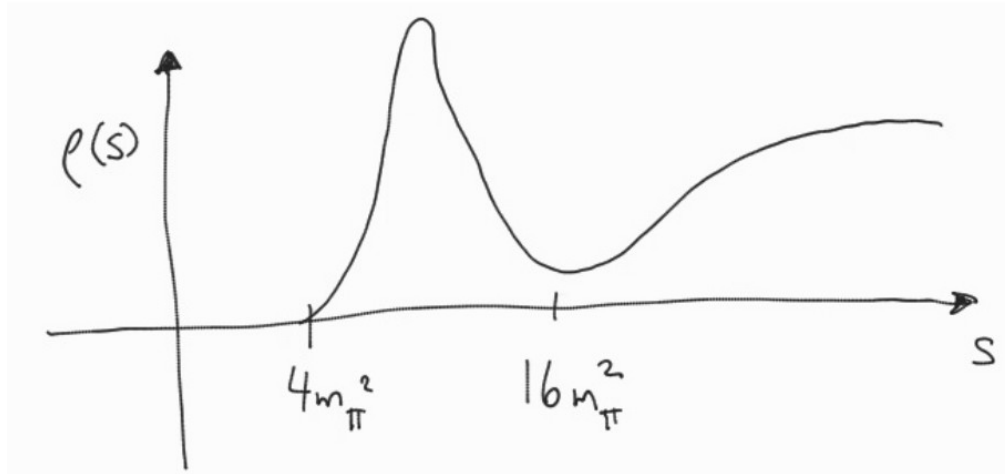
Decay rate from spectral density:

$$C(t) = \int d^3 \mathbf{x} \langle \Omega | \hat{V}_z^{cc}(\mathbf{x}) e^{-\hat{H}t} \hat{V}_z^{cc}(0)^\dagger | \Omega \rangle$$

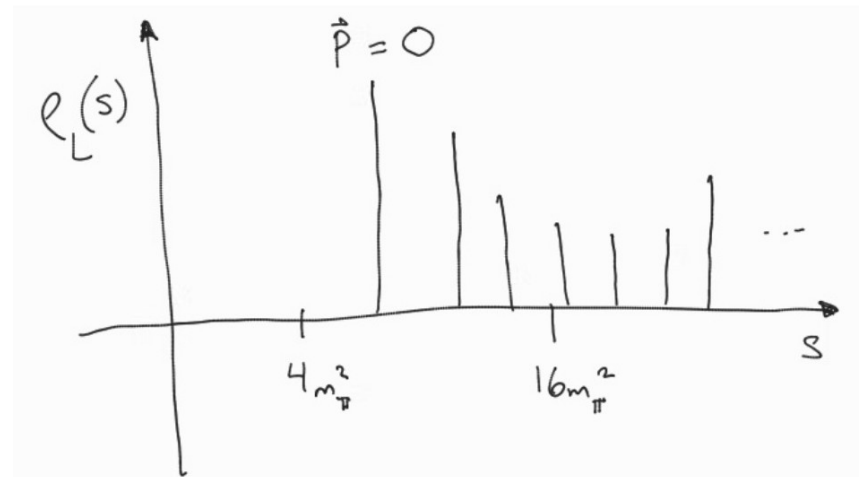
$$\propto \int_0^\infty d\omega \omega^2 v_1(\omega^2) e^{-\omega t}$$

# Finite vs. infinite volume

Infinite volume: continuous



Finite volume: sum of Dirac-delta peaks.



Not 'close' to infinite volume at finite  $L$ !

# Masterfield lattice QCD

- Large volumes needed to saturate ordered double limit:

$$v_1(s) = \lim_{\epsilon \rightarrow 0^+} \lim_{L \rightarrow \infty} v_{1,\epsilon}^g(s), \quad v_{1,\epsilon}^g(s) = \int d\omega \frac{e^{-\frac{(\omega - \sqrt{s})^2}{2\epsilon^2}}}{\sqrt{2\pi\epsilon}} v_1(\omega^2)$$

- Relevant idea: masterfield simulation paradigm M. Lüscher, '17

→ Only a few gauge configurations

→ Accrue statistics from separate space-time regions:

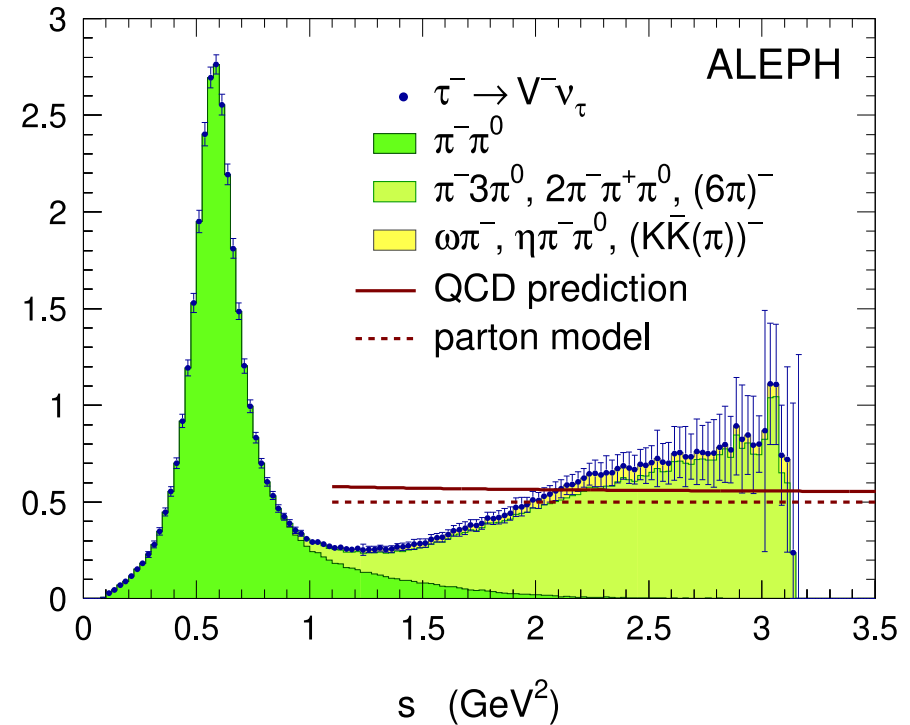
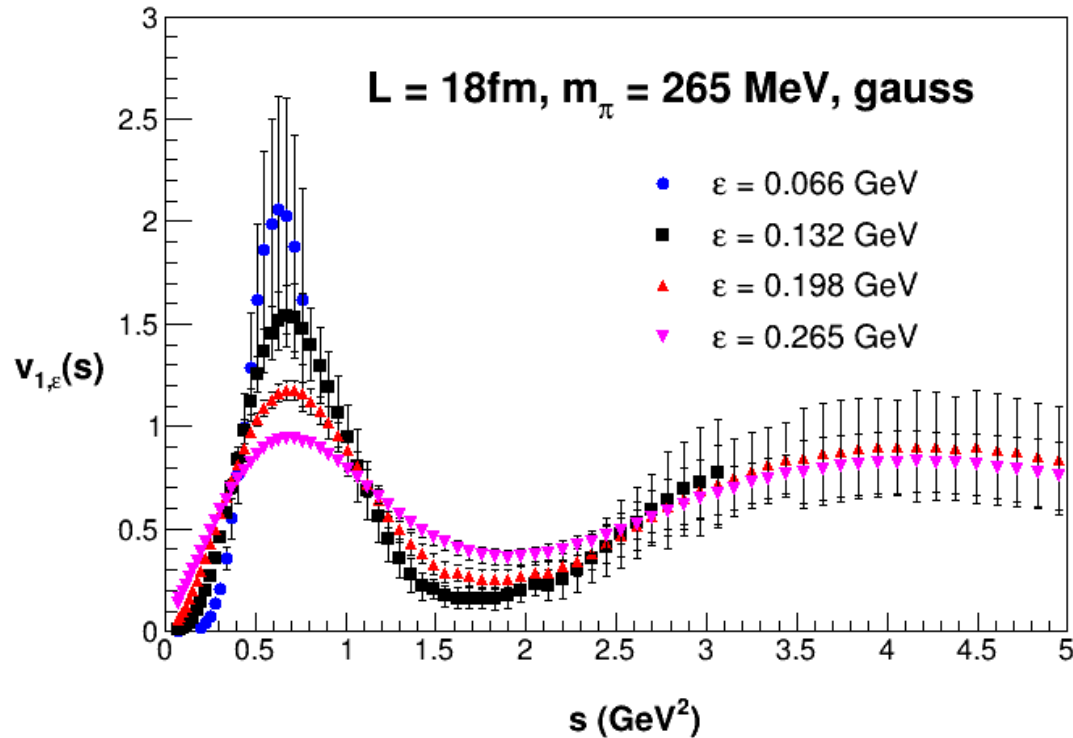
→ O(1000) gauge configs =  $6^4$  space time regions of size  $L = 3 \text{ fm}$

- Preliminary application: isovector (axial)vector correlators at

$$N_f = 2 + 1, \quad L = 18\text{fm},$$
$$a = 0.09\text{fm}, \quad m_\pi = 265\text{MeV}$$

# Preliminary results

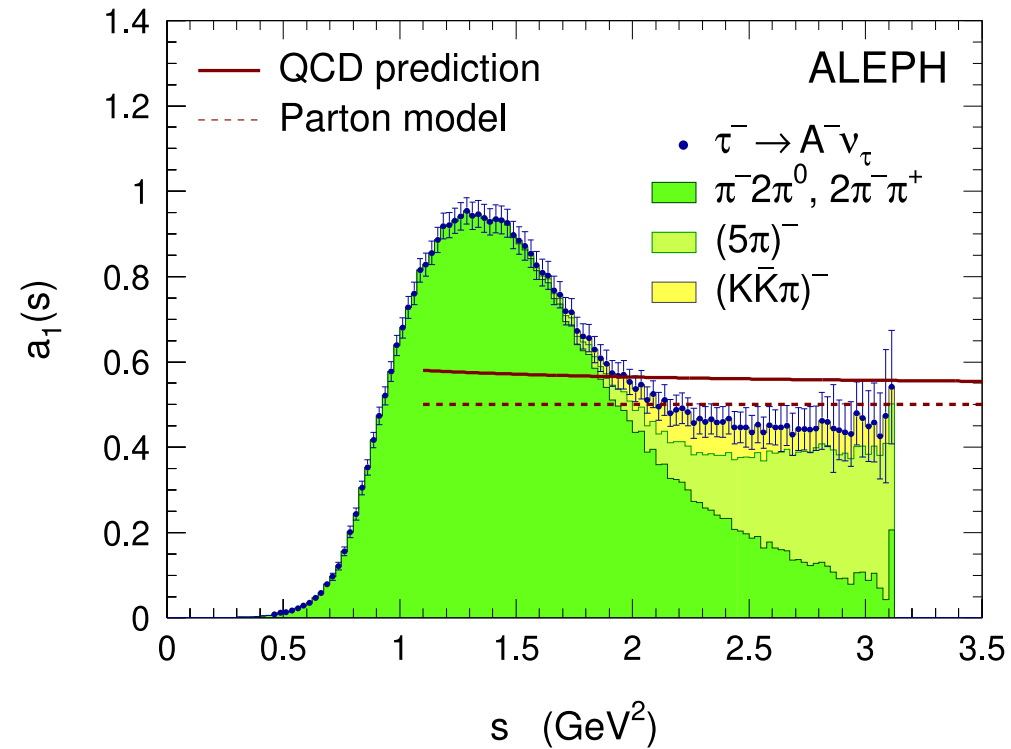
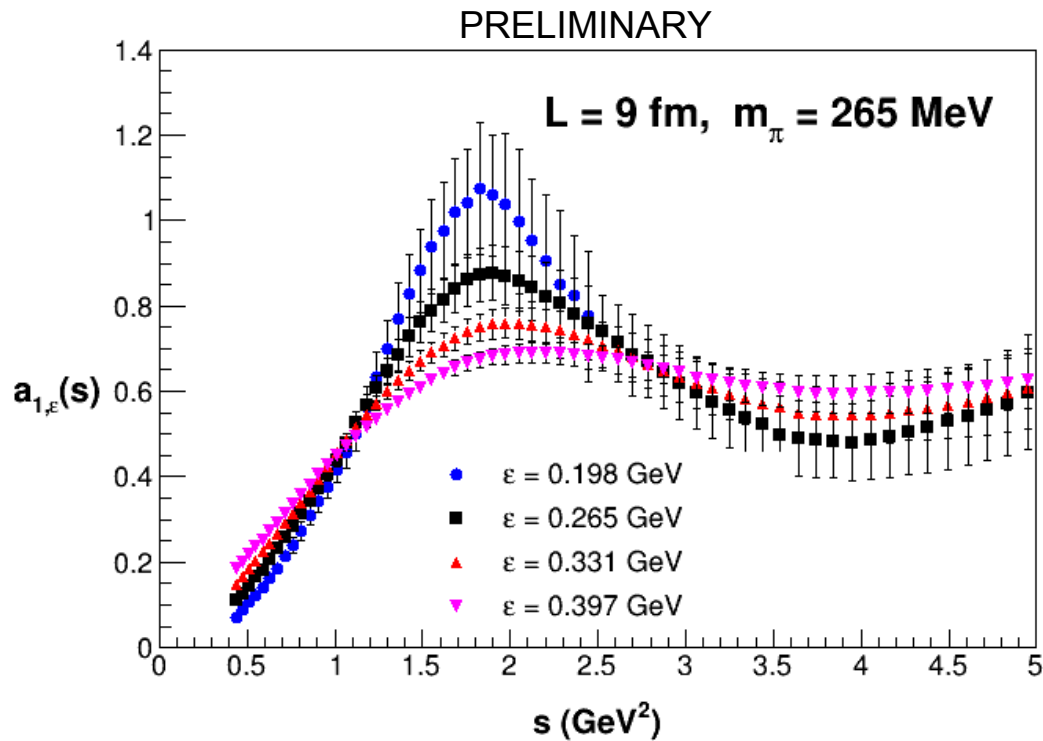
PRELIMINARY



- Comparison to hadronic tau-decay (right)
- No extrapolation to zero-width yet
- Mild indication of four-particle effects.

ALEPH collaboration '05

# Preliminary results



ALEPH collaboration '05

- Comparison to hadronic tau-decay (right)
- No extrapolation to zero-width yet
- Bump from  $a_1(1260)$ , indication of five pions

# Conclusions

- Finite volume approach: successful for near-threshold amplitudes
  - Meson-meson
  - Meson-meson-meson
  - Meson-baryon
  - Baryon-baryon
- Infinite volume approach: promising, but much work to be done
- Applications to fragmentation:??