

Tau Physics at Belle II

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HEPHY Seminar

13th October 2020



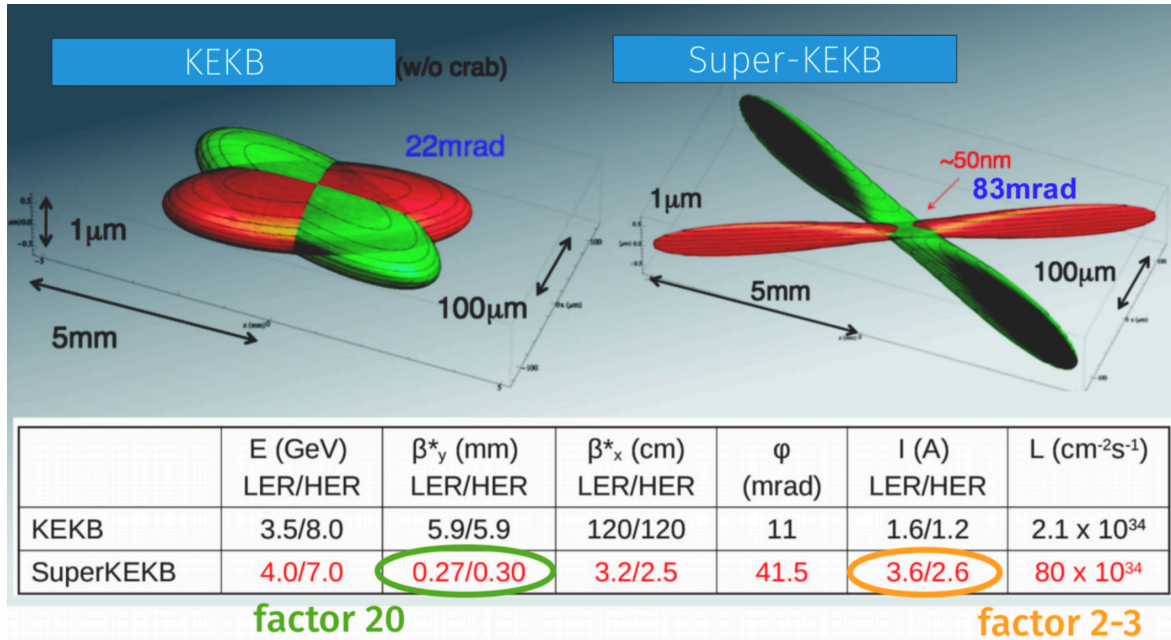
HELMHOLTZ
RESEARCH FOR GRAND CHALLENGES



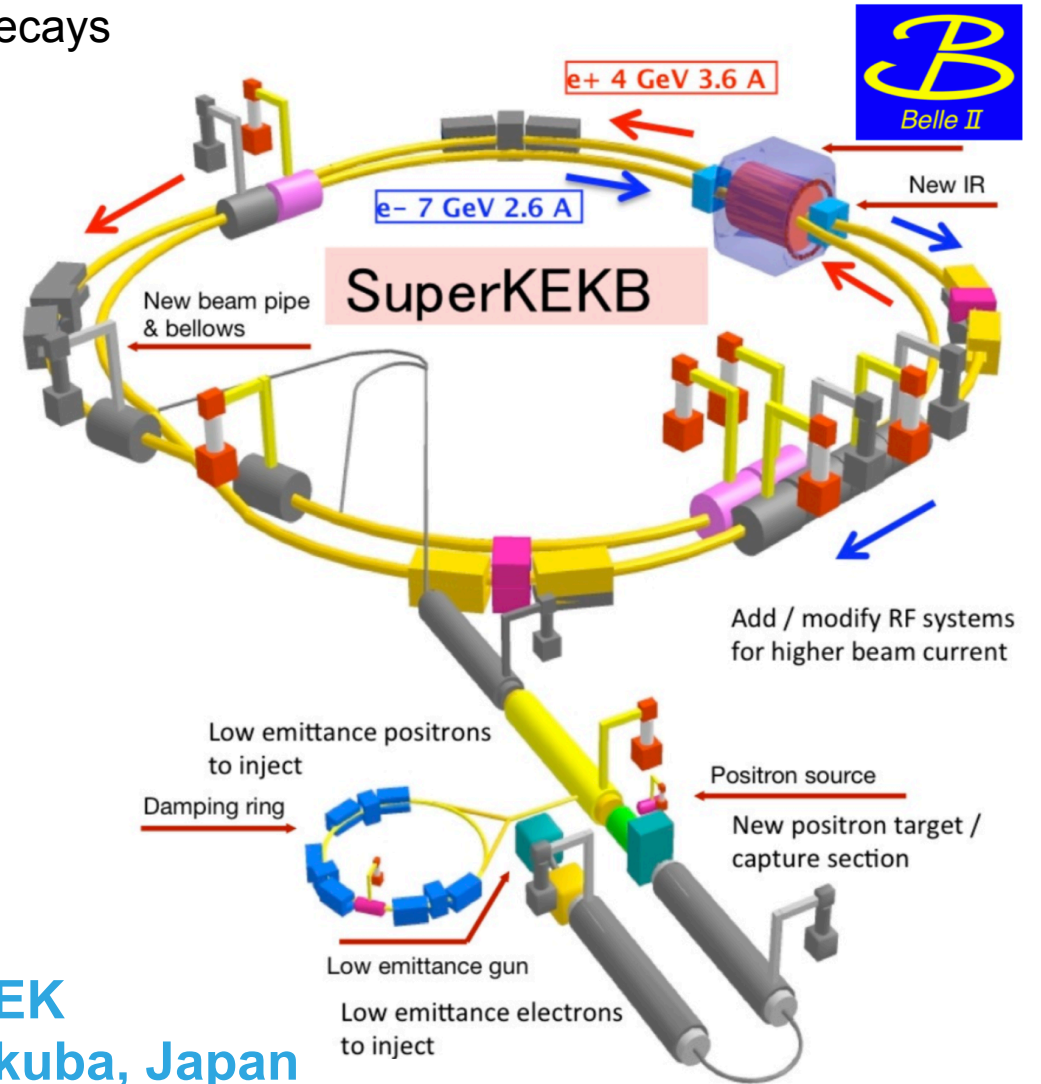
- (1) Overview of SuperKEKB accelerator and Belle II detector
- (2) How we reconstruct τ -pair events
- (3) Performance studies with taus
- (4) First results and prospects for Tau Physics at Belle II
- (5) Summary and outlook

SuperKEKB Accelerator

- New facility to search for new physics by studying B , D and τ decays
- Electron-positron collisions at $\sqrt{s} \approx 10.6$ GeV

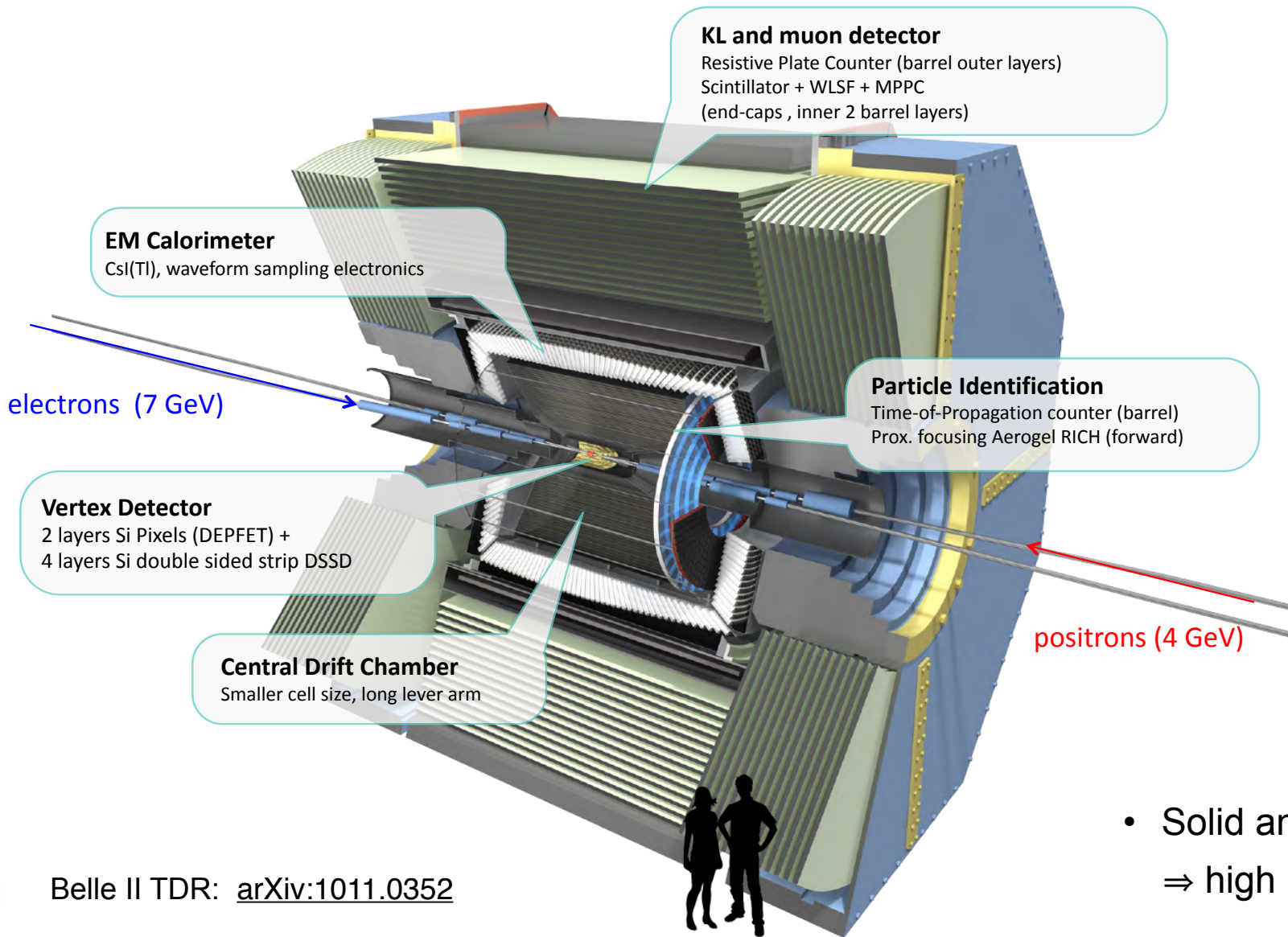


- Unprecedented design luminosity of $\sim 6 \times 10^{35} \text{ cm}^{-2}\text{s}^{-1}$
- First beams/commissioning in 2016. Broke the world lumi record in June 2020! ($2.4 \times 10^{34} \text{ cm}^{-2}\text{s}^{-1}$)



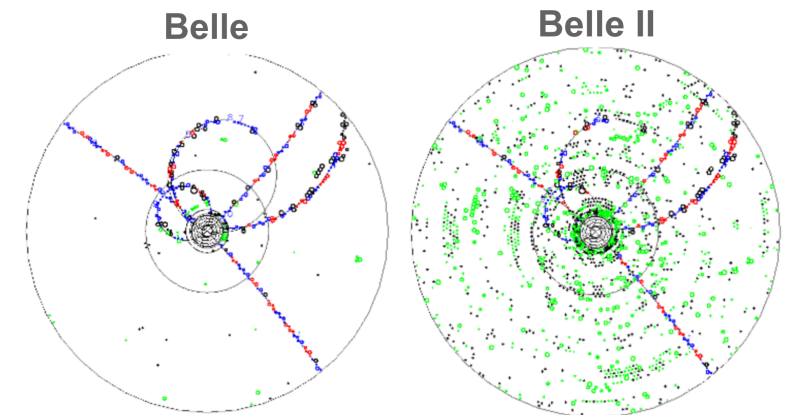
@KEK
Tsukuba, Japan

Belle II Detector



Belle II TDR: [arXiv:1011.0352](https://arxiv.org/abs/1011.0352)

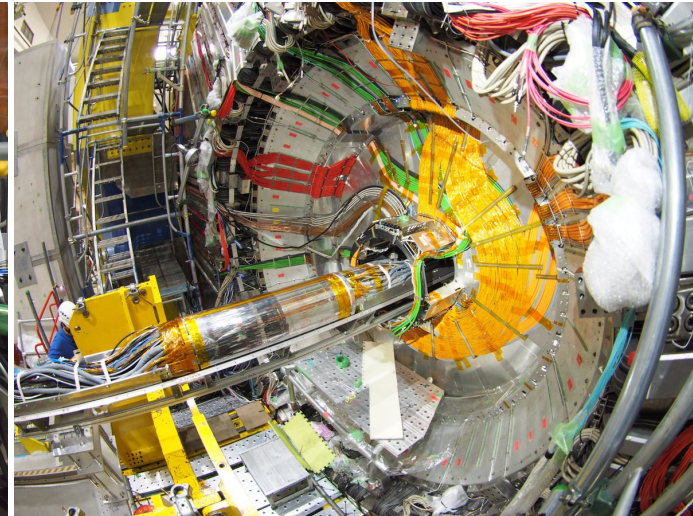
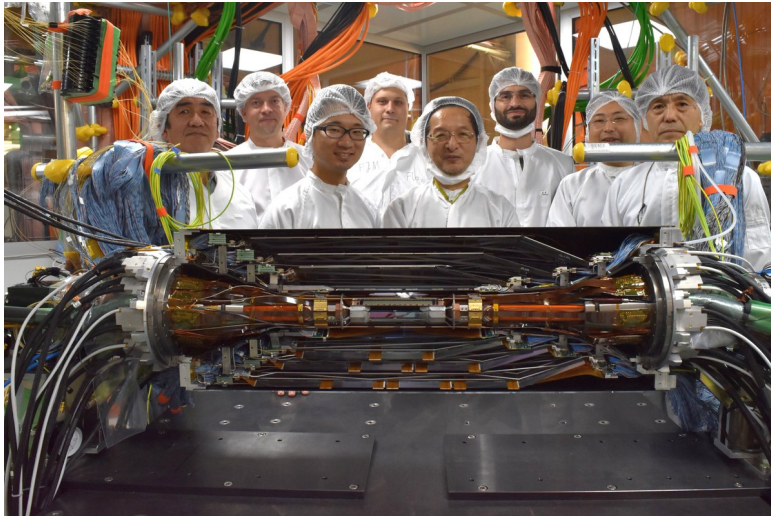
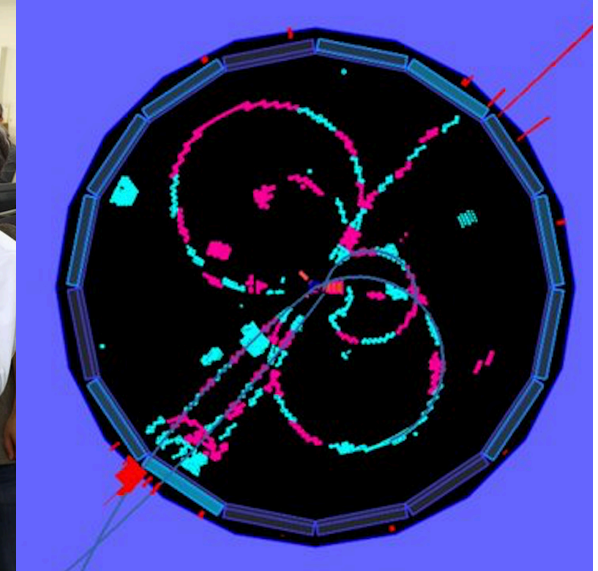
- Rolled in: April 2017
- Detector upgrade to mitigate increased beam background



- Solid angle coverage $> 90\%$
⇒ high hermeticity for E_{miss} measurements

First collisions @ Belle II

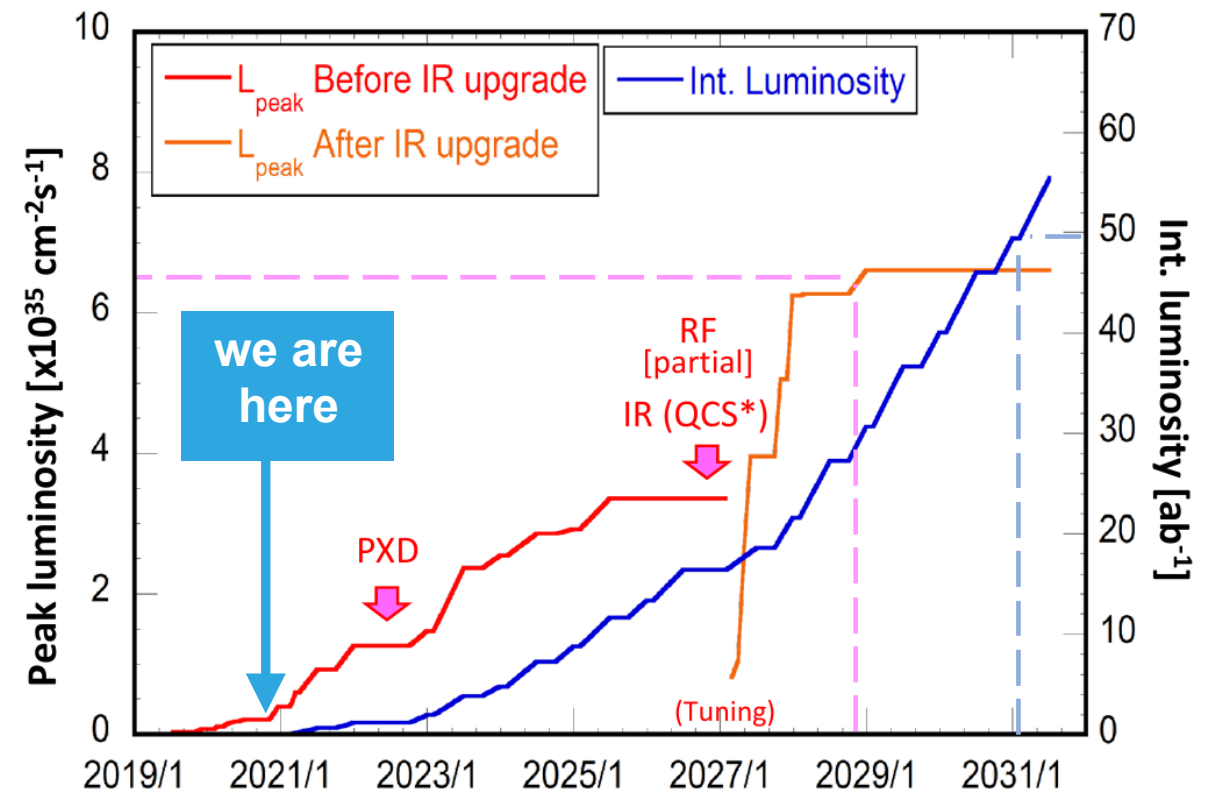
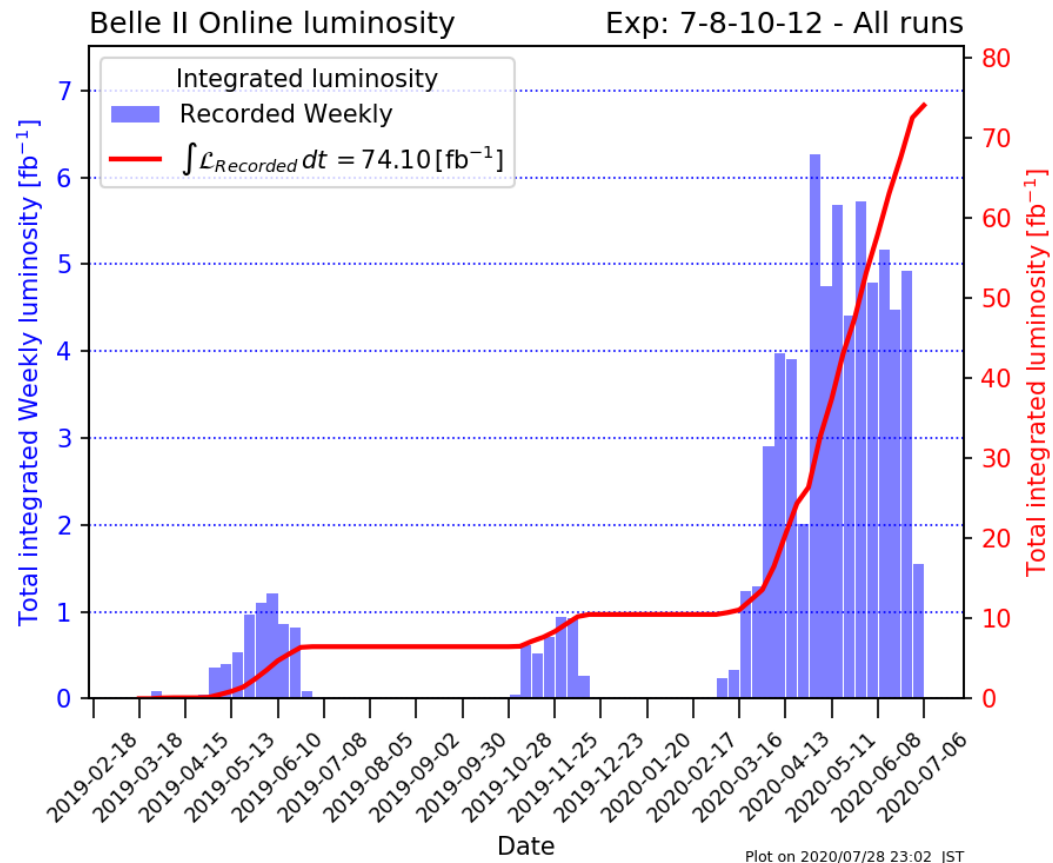
- First collisions recorded by Belle II on 26th April 2018
- During **Phase 2** (April-July 2018) recorded $\sim 0.5 \text{ fb}^{-1}$ of data
- Data taking was performed with all subsystems, excluding the full vertex detector



- Since then, the vertex detector including one pixel layer has been installed
- **Phase 3** ongoing since March 2019
- Overall good performance of the detector subsystems

Luminosity status and goals

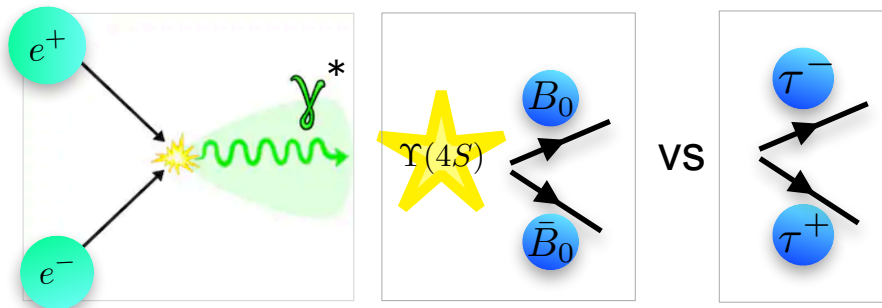
- So far we have collected $\sim 74 \text{ fb}^{-1}$ during Phase 3, with the 2020c data taking period starting this month.
- Aiming for 50 ab^{-1} over the next ~ 10 years (50 x Belle dataset)



Belle II as a τ -factory

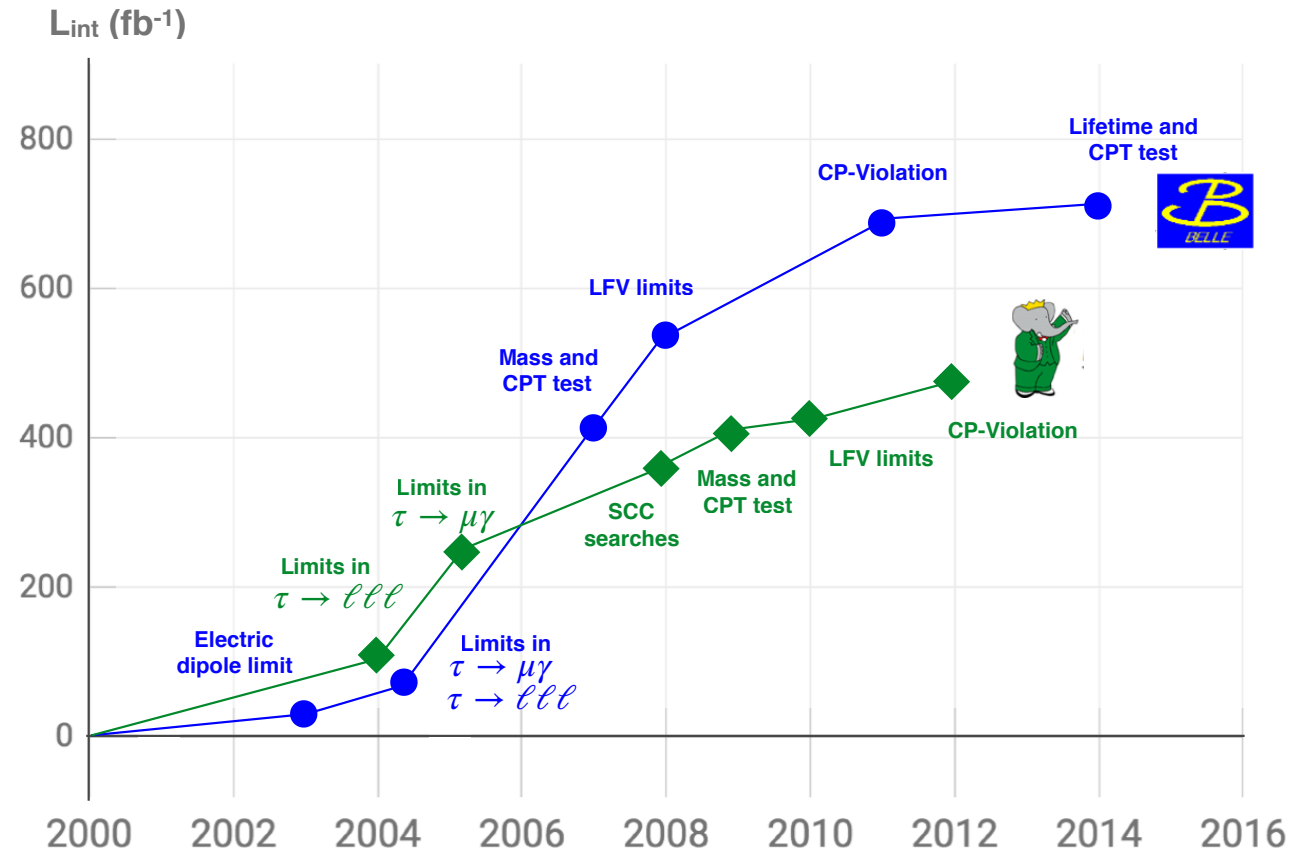
- **B-factories are also τ -factories!**

- $\sigma(e^+e^- \rightarrow Y(4s)) = 1.05 \text{ nb}$
- $\sigma(e^+e^- \rightarrow \tau^+\tau^-) = 0.92 \text{ nb}$



- Last generation B-factories provided a variety of very interesting τ physics results in the last two decades

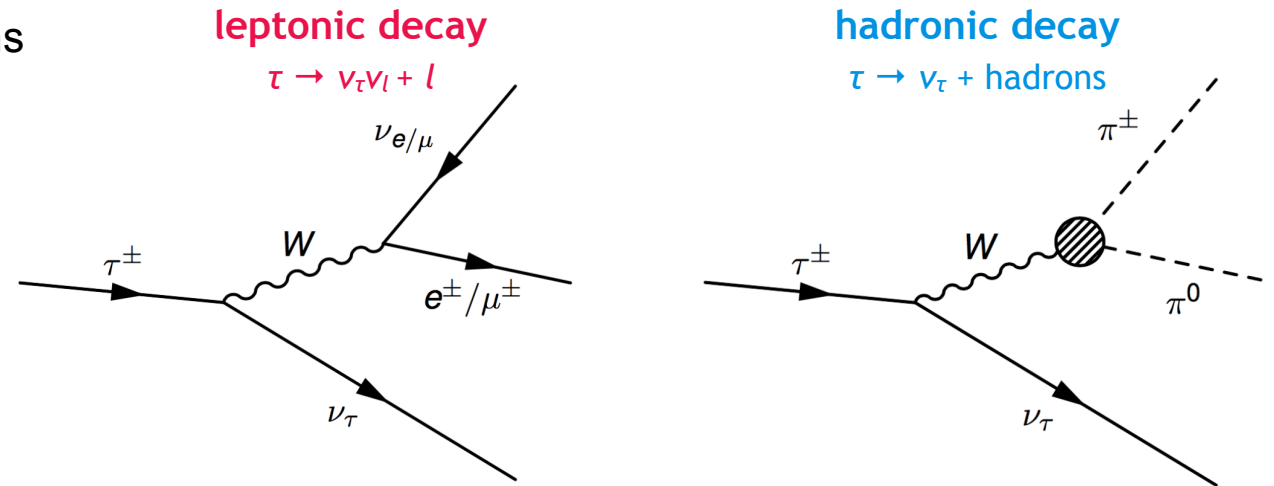
- Over its lifetime Belle II will collect by far the worlds largest sample of τ -pair events ($\sim 4.6 \times 10^{10}$)



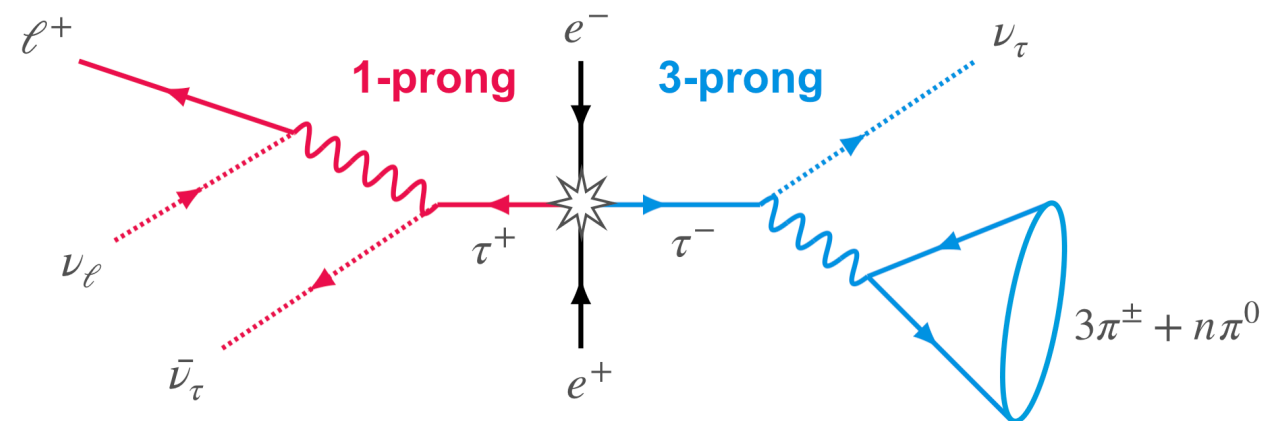
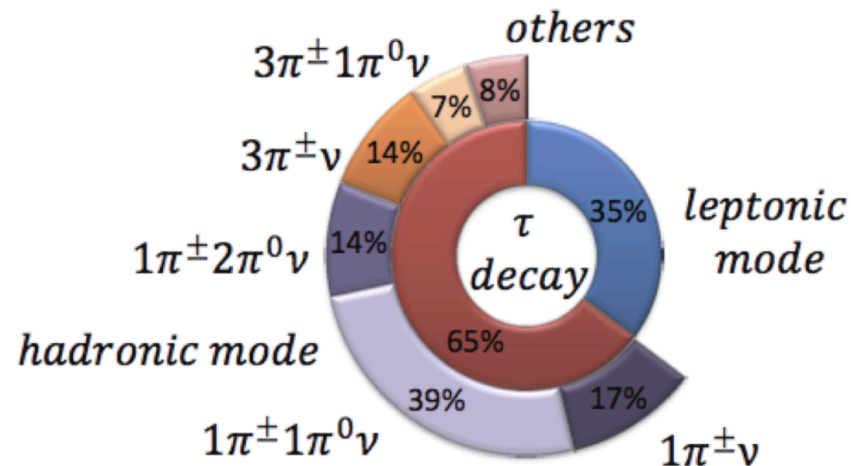
a unique environment to study τ physics with high precision!

τ -pair reconstruction

- Tau leptons will decay before reaching the active regions of the Belle II detector
- Identified via decay products:
 - 1-prong: 35.2% **leptonic**, 49.5% **hadronic**
 - 3-prong: 15.2% **hadronic**
- Wide variety of low multiplicity signatures involving e^\pm , μ^\pm , π^\pm , π^0 and neutrinos (missing energy)

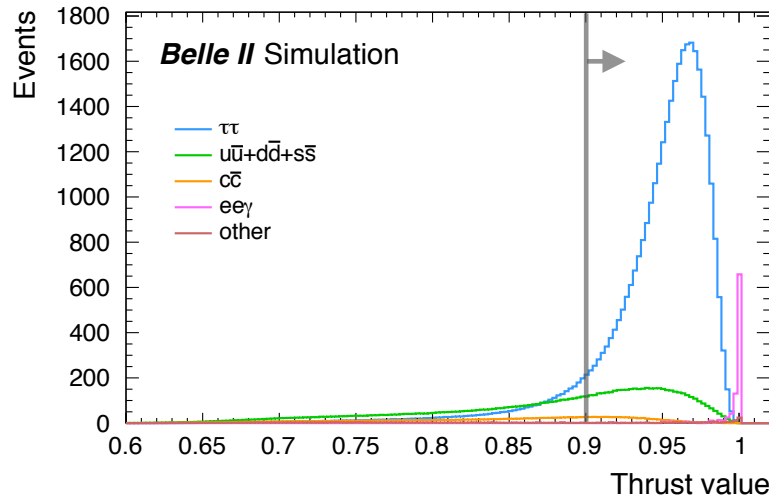


- τ -pairs reconstructed as 1x3 (4 track) or 1x1 (2 track) events



τ -pair reconstruction

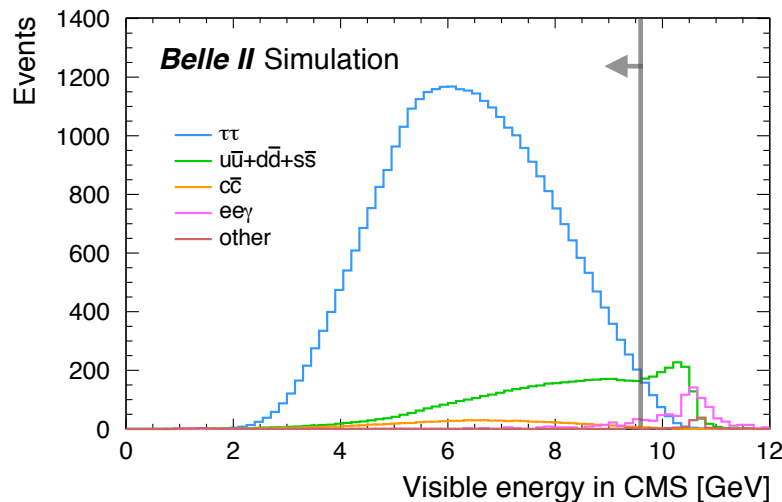
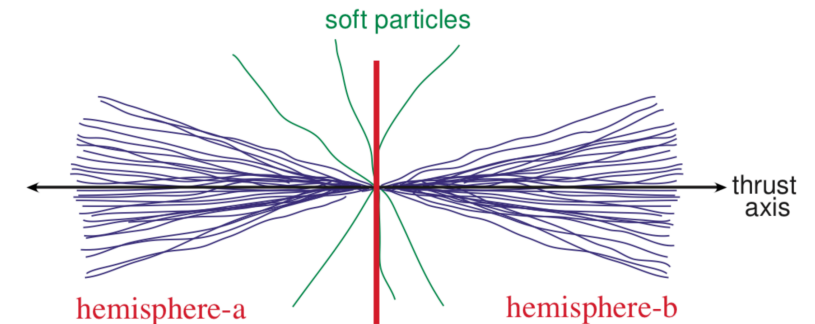
- We exploit the unique topology and kinematic of τ -pair events to suppress the main $q\bar{q}$ and $e\bar{e}\gamma$ backgrounds



⇒ Relatively mild deviation of τ decay particles from the primary trajectory

$$\text{thrust value} = \sum_h \frac{\vec{p}_h \cdot \hat{T}}{|p_h|}$$

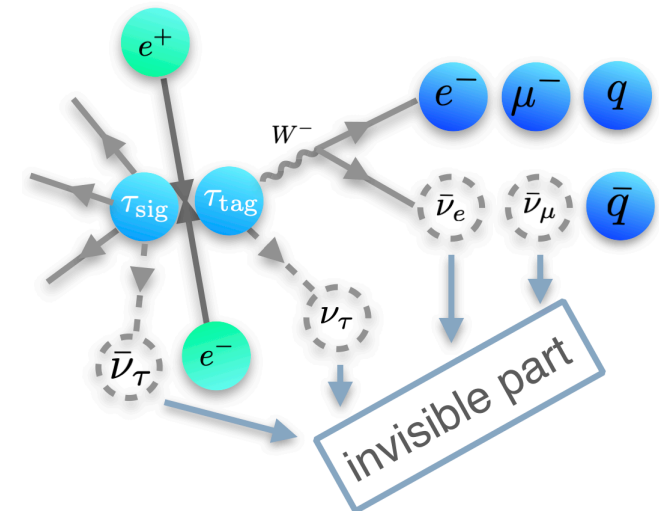
thrust axis (\hat{T}) is maximising the event shape variable



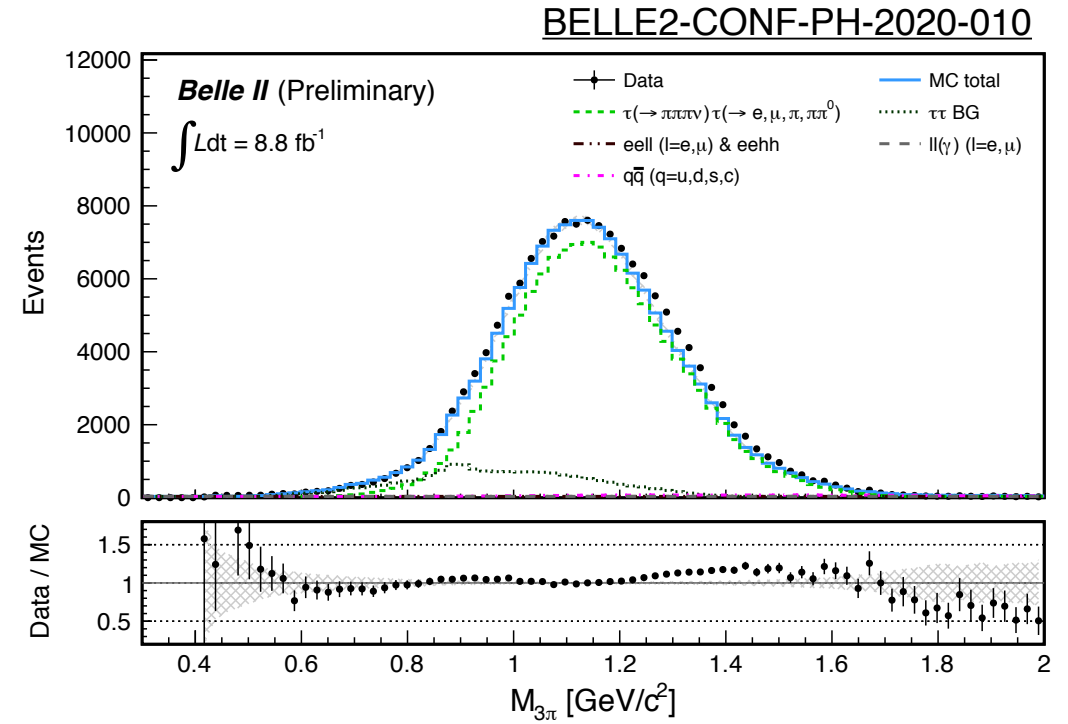
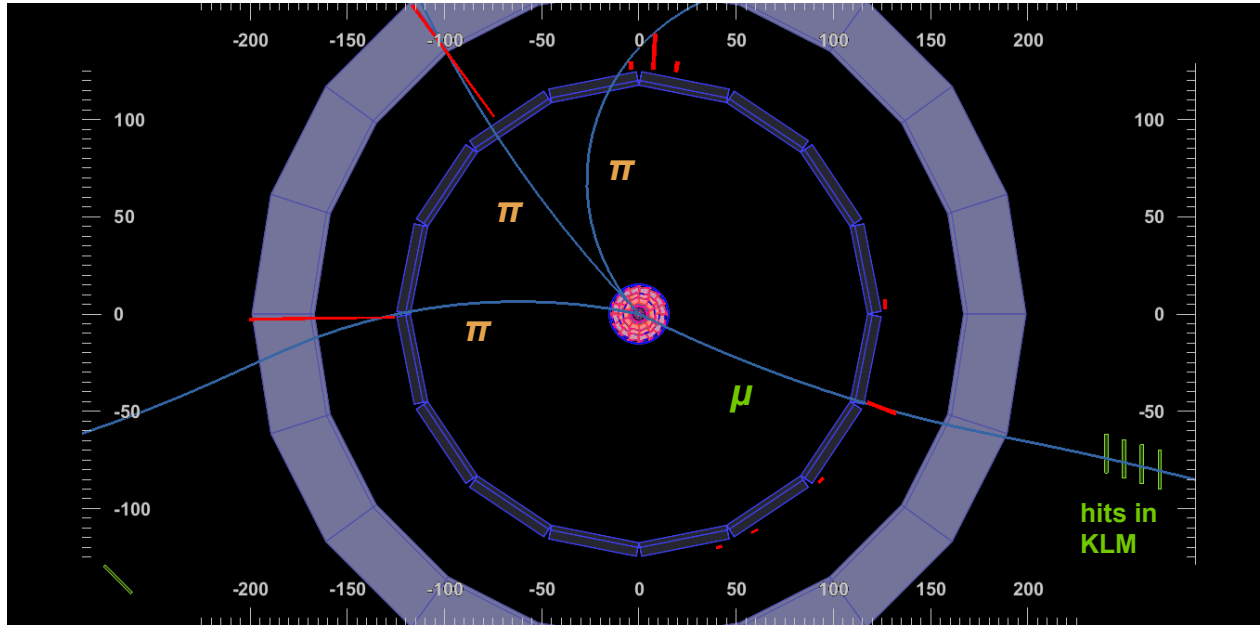
⇒ Undetected neutrinos in τ events

$$\text{visible energy} = \sum_h E_h$$

e^+e^- data allows for precise determination of the missing energy



τ -pair reconstruction in early data



- Clear evidence of τ -pair production in the early Belle II data
- Clean sample with high statistics
- **τ -pair events provide an ideal testbed of the Belle II performance!**

- **trigger efficiency**
- **tracking efficiency**
- **particle identification**
- **π^0 reconstruction**

L1 Trigger System

- **Good L1+HLT performance is critical to achieving tau physics goals!**

- Total physics rate at SuperKEKB design luminosity is **~20 kHz**

- Beam background increases this rate significantly!

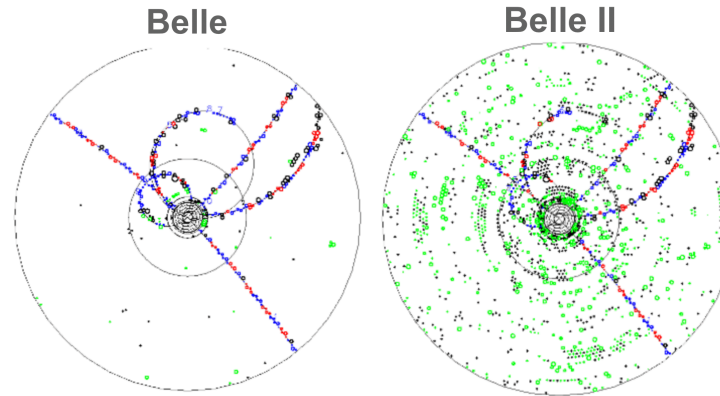
- L1 trigger must reduce physics + bkg rate to a maximum of **30 kHz**

- Requirements

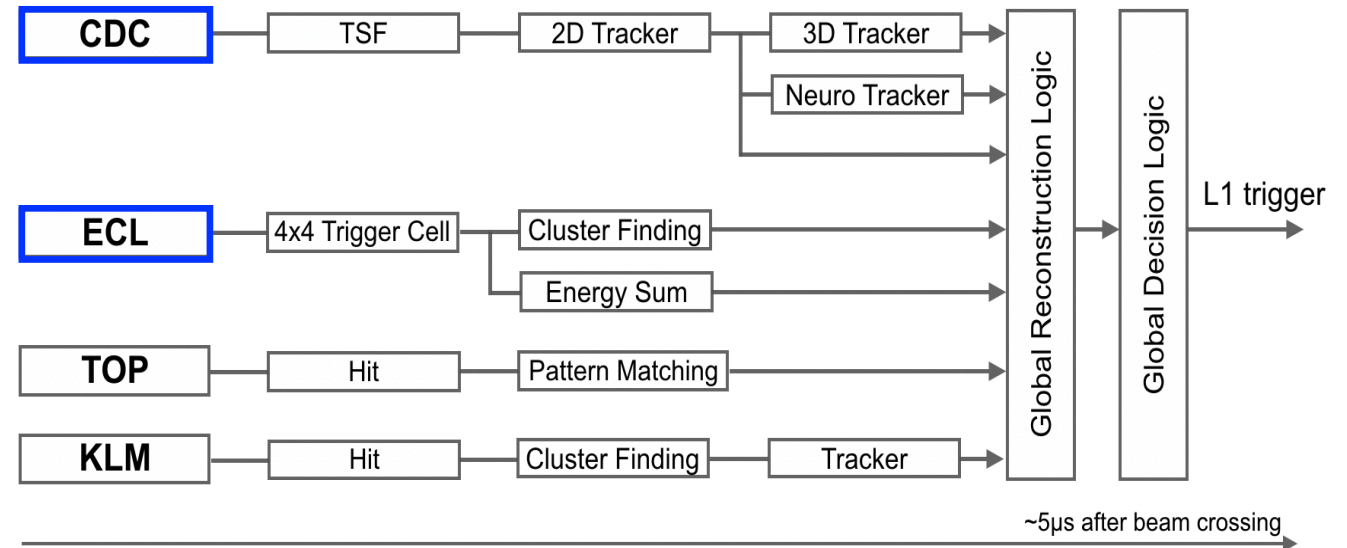
- high efficiency for high and low multiplicity physics
- trigger latency $\sim 5\mu\text{s}$, timing precision $\leq 10\text{ ns}$
- two event separation $\geq 200\text{ ns}$

- Two primary components: **CDC** and **ECL** triggers

- CDC 2D (r- ϕ space) track finding
- ECL total energy and cluster finding, Bhabha veto

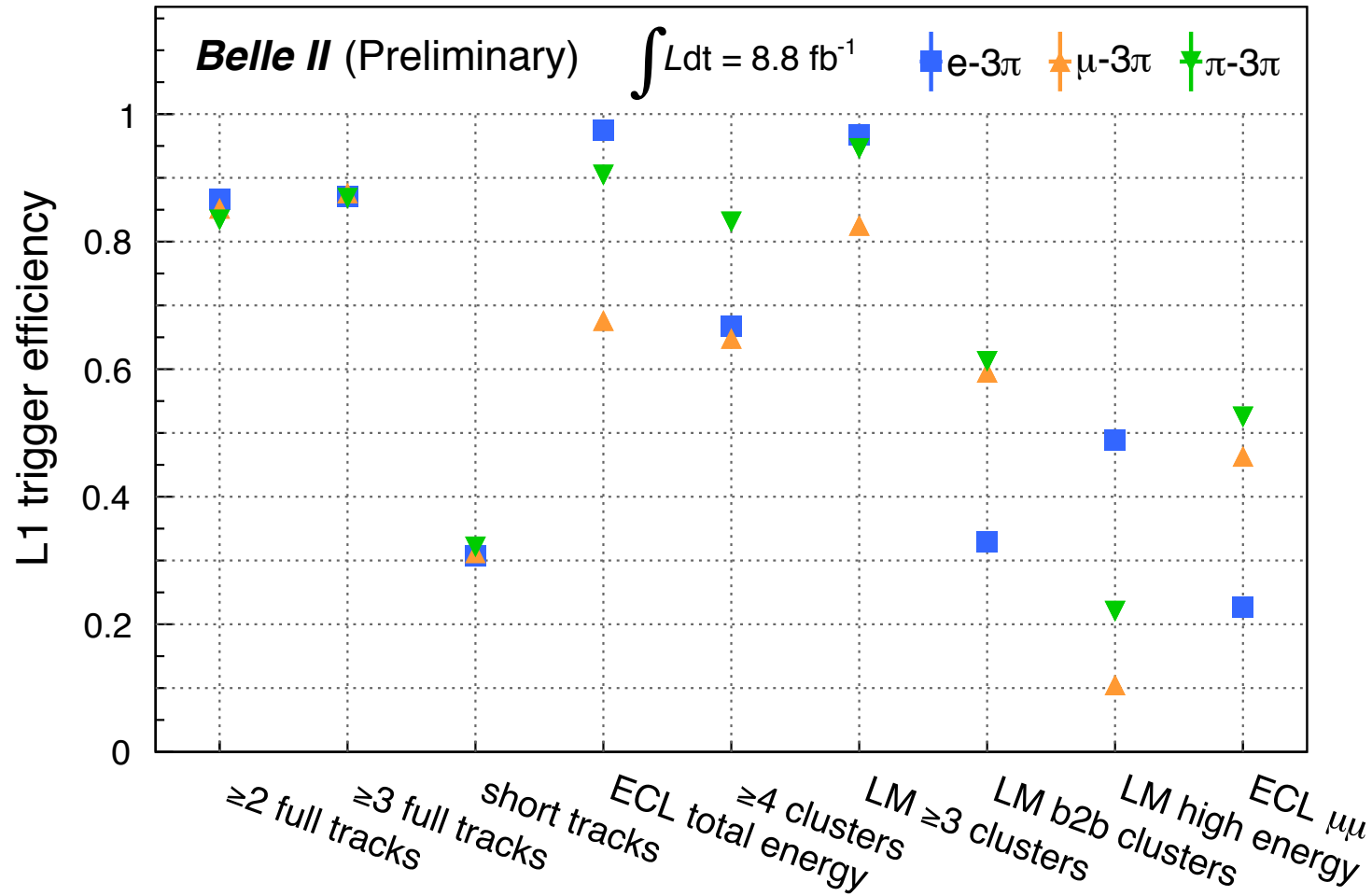


Physics process	Cross section (nb)	Rate (Hz)
$\Upsilon(4S) \rightarrow B\bar{B}$	1.2	960
$e^+e^- \rightarrow \text{continuum}$	2.8	2200
$\mu^+\mu^-$	0.8	640
$\tau^+\tau^-$	0.8	640
Bhabha ($\theta_{\text{lab}} \geq 17^\circ$)	44	350 ^a
$\gamma\gamma$ ($\theta_{\text{lab}} \geq 17^\circ$)	2.4	19 ^a
2γ processes ^b	~ 80	~ 15000
Total	~ 130	~ 20000



Trigger efficiency for 1x3 prong

BELLE2-NOTE-PL-2020-015



- Main trigger types for tau and other low-multiplicity physics

- CDC number of 2D full tracks
- CDC number of 2D short tracks
- ECL total energy threshold
- ECL number of isolated clusters
- ECL low multiplicity
- ECL di-muon

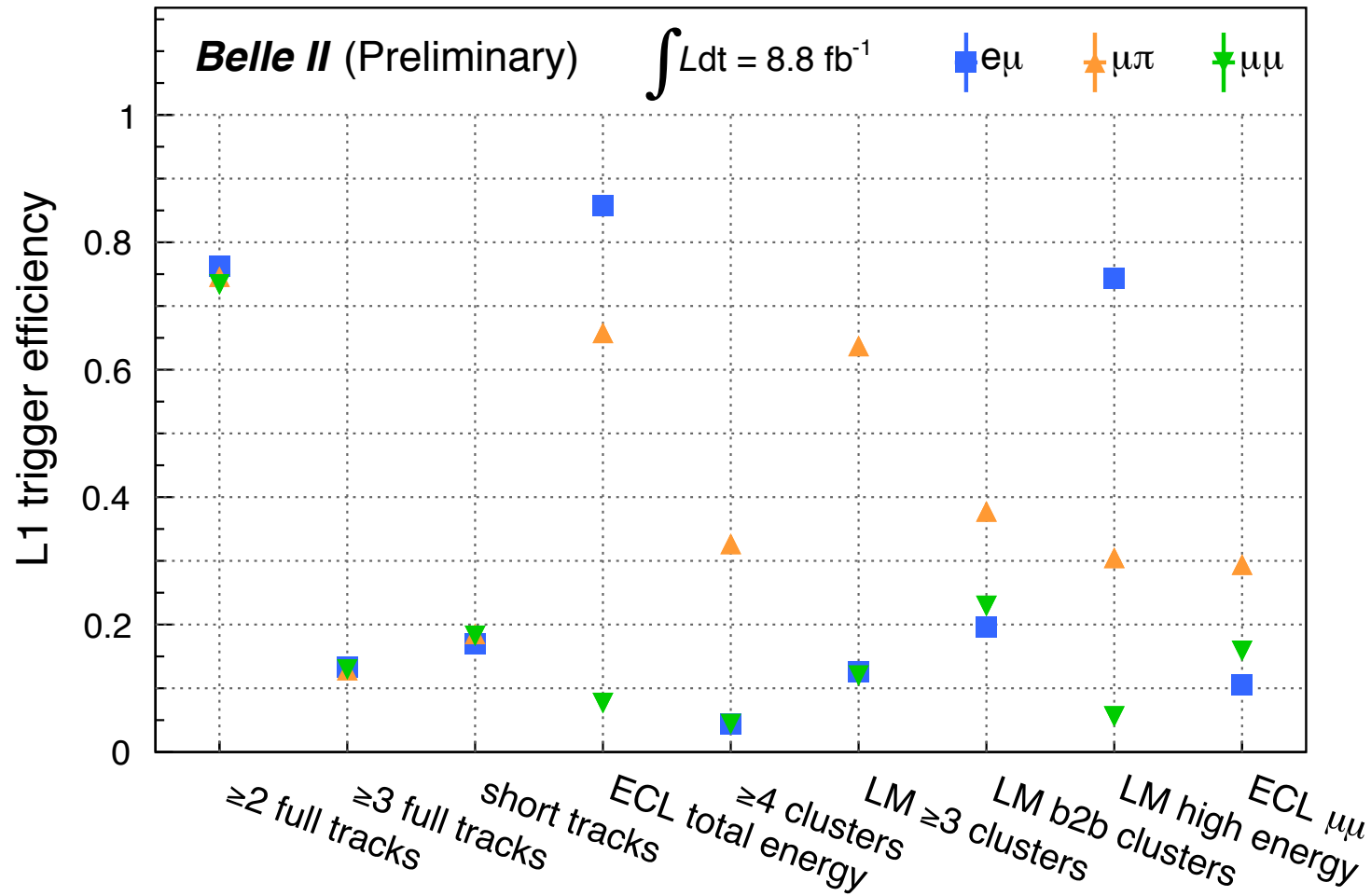
- Trigger decision is made independently using only CDC or ECL information. Allows measurement of L1 efficiency in data.

- Efficiency of a CDC/ECL trigger:

$$\frac{(\text{OR of ECL/CDC bits}) \text{ AND CDC/ECL bit}}{(\text{OR of ECL/CDC bits})}$$

Trigger efficiency for 1x3 prong

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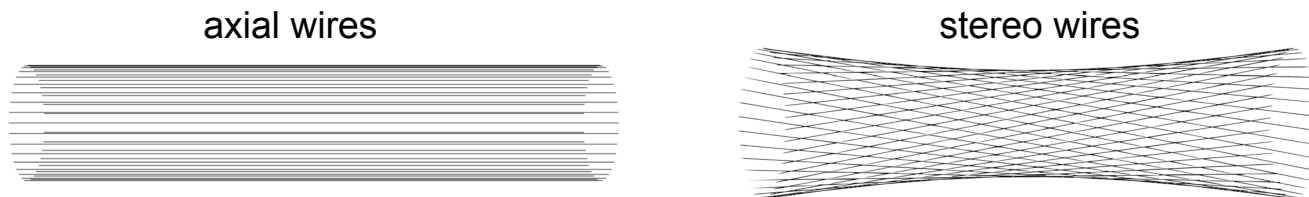
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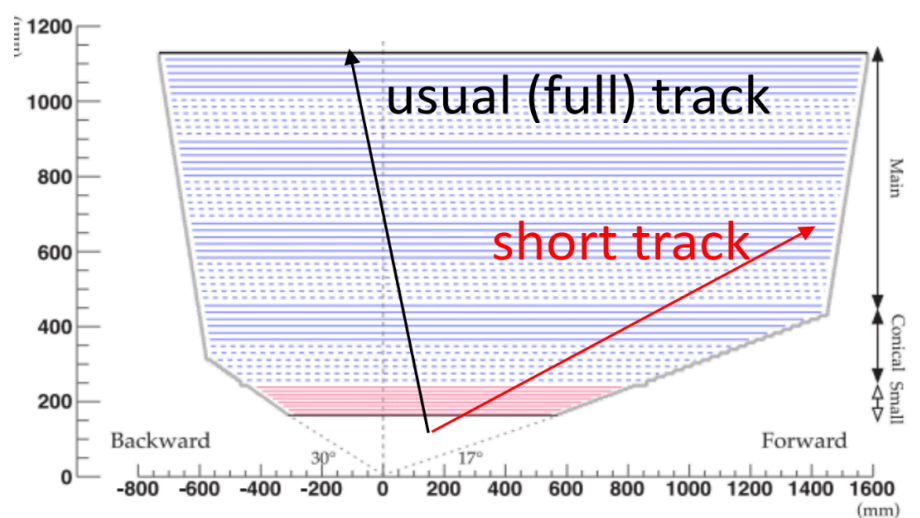
$$\frac{(\text{OR of ECL/CDC bits}) \text{ AND } \text{CDC/ECL bit}}{(\text{OR of ECL/CDC bits})}$$

Full and short track triggers

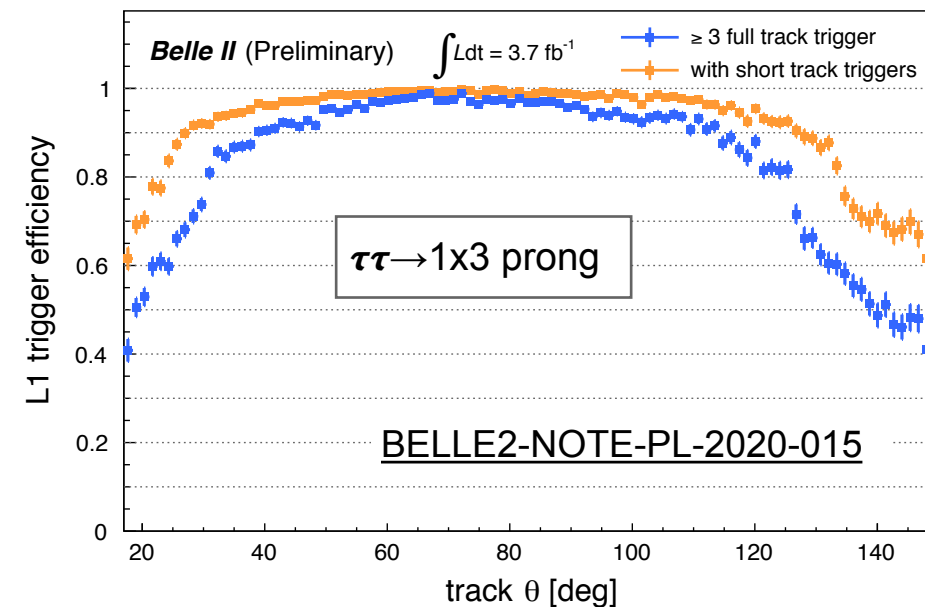
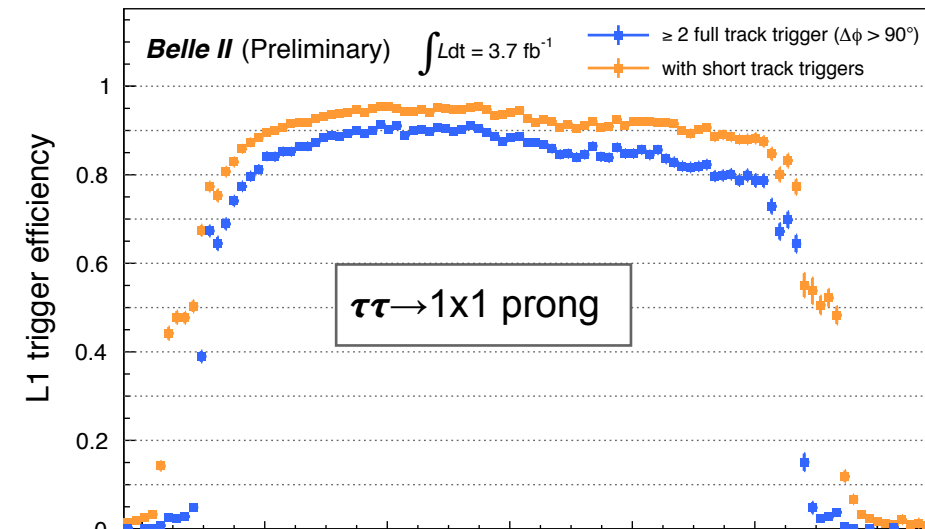
- “**full tracks**” pass through all axial CDC superlayers and reach the barrel



- **Full track triggers** have low efficiency in endcaps, putting limitations on tau and other low multi physics
- To help compensate, the CDC trigger also searches for “**short tracks**” that pass through inner most 5 axial + stereo SLs. Operational since Oct 2019.

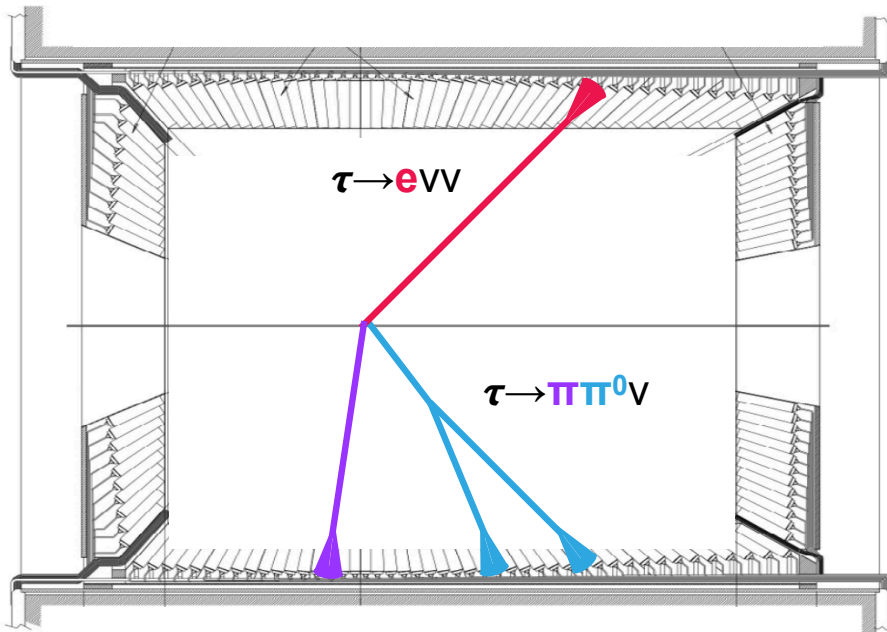
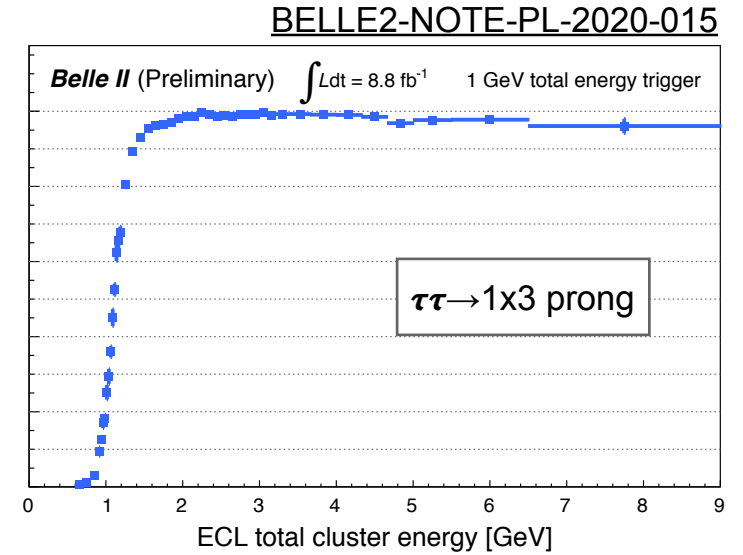
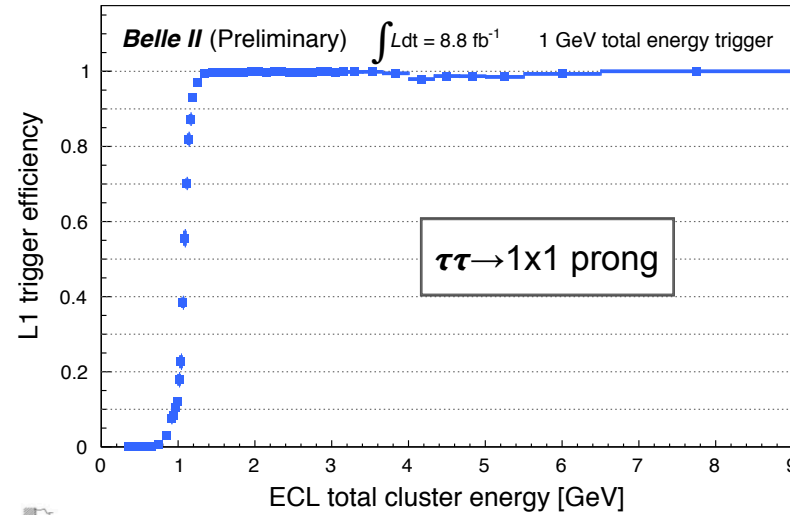


- **Short track triggers** provide a significant gain in efficiency for endcaps / low p_T !
- **neural-z triggers** might become active in 2020c \Rightarrow we keep a close eye!



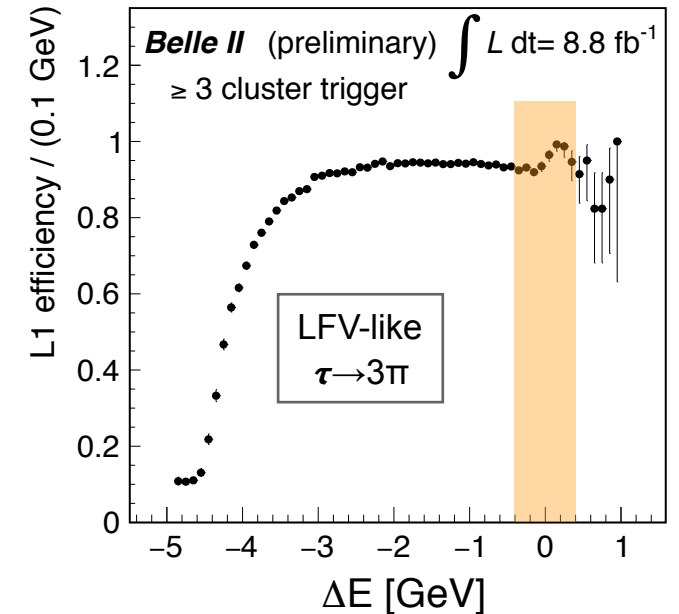
ECL triggers

- Unprescaled **total energy trigger** has a 1 GeV threshold. Sum over L1 Cells.
 \Rightarrow 4x4 tower of CsI(Tl) crystals.
- Performs well for $ee \rightarrow \tau\tau$ events that have high EM energy deposition (e.g. $\tau \rightarrow e\nu\nu$, $3\pi\pi^0\nu$, $\pi\pi^0\nu$)



- $\tau \rightarrow 3\mu$ is one of the most difficult LFV signatures to trigger on @ L1.
- ECL low multiplicity triggers are new at Belle II.

Most performant for LFV-like events is the ≥ 3 ECL isolated cluster trigger



Tracking efficiency with taus

- Track-finding efficiency is another key performance driver for tau physics @Belle II

- Real detector != simulated detector.

Goal: asses systematic uncertainty, based on the measured discrepancy in the tracking efficiency between simulation and data

- Tag-and-probe method on $ee \rightarrow \tau\tau \rightarrow 1 \times 3$ prong
 - large τ -pair cross section
 - low multiplicity but high track density (boosted $\tau \rightarrow 3\pi\nu$)
 - wide momentum range: $0.2 < p_T < 4$ GeV

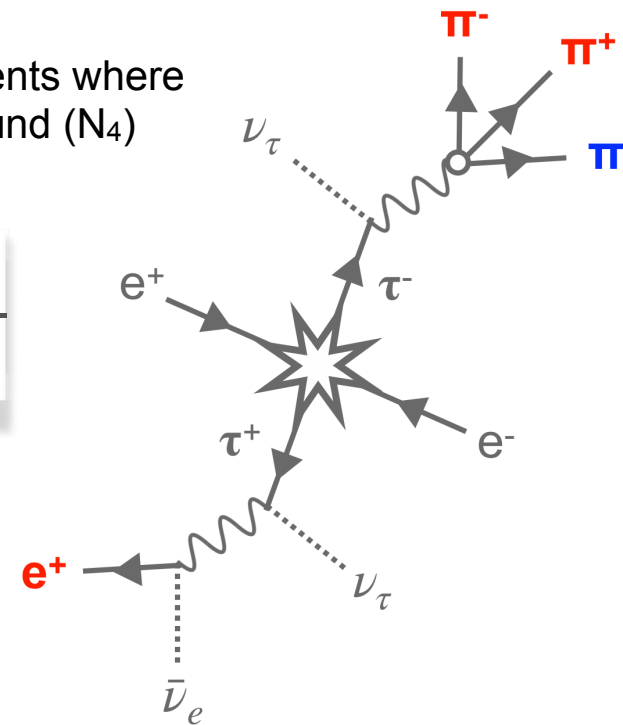
Method

- ▶ **tag** = 3 good quality tracks with $\sum q = \pm 1$
- ▶ **probe** = look for 4th track that passes loose selections, and conserves charge ($\sum q = 0$)
- ▶ Count number of events where the probe track is found (N_4) and not found (N_3):

$$\epsilon \cdot A = \frac{N_4}{N_3 + N_4}$$

where:

- ϵ is tracking efficiency
- A is geometric acceptance



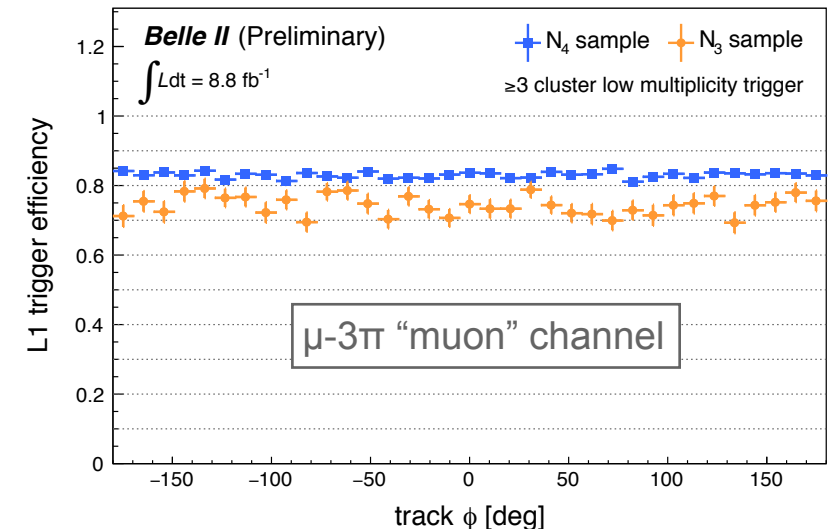
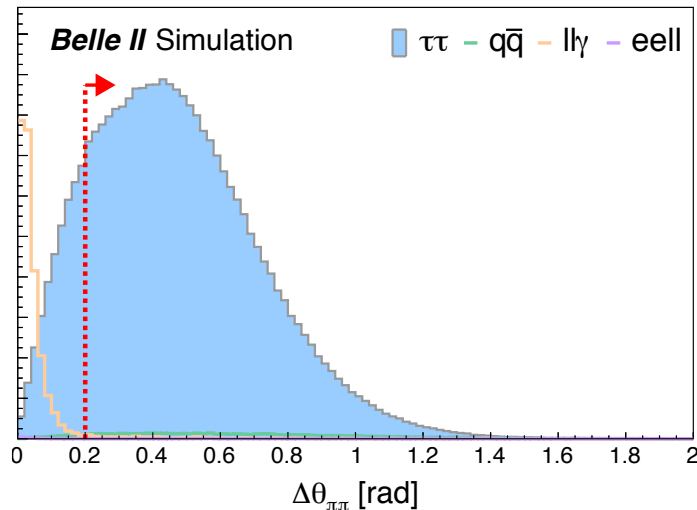
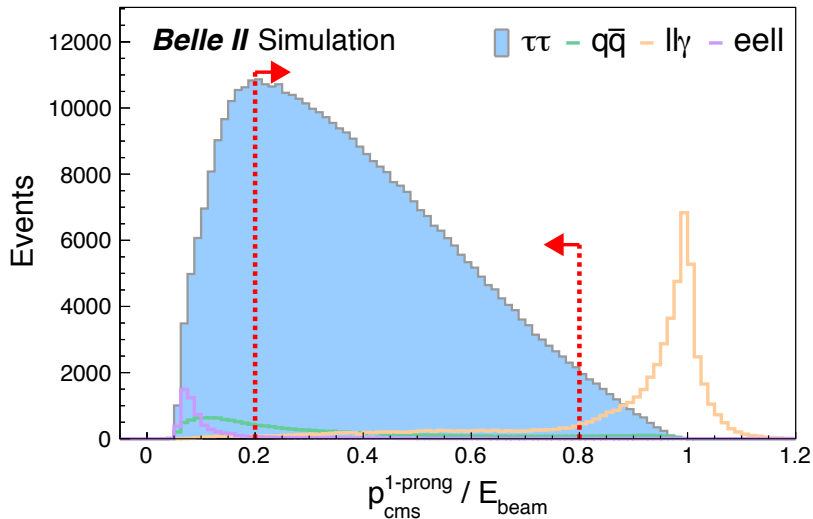
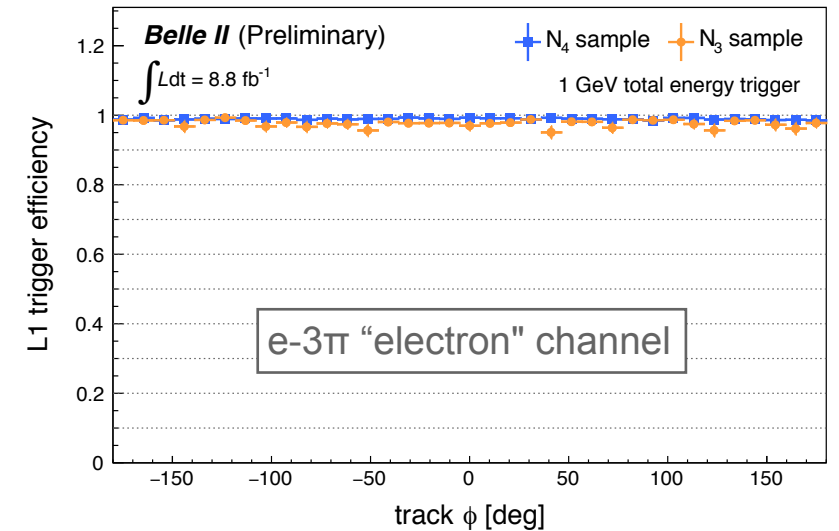
Tracking efficiency with taus

- **Tag tracks:** $|dz| < 3\text{cm}$, $dr < 1\text{cm}$, $p_T > 200\text{ MeV}$, $e/\mu/\pi$ PID
- **Probe track:** p_T and PID dropped
- **Trigger:** h_{ie} ($e-3\pi$), l_{m0} ($\mu-3\pi$)

background suppression

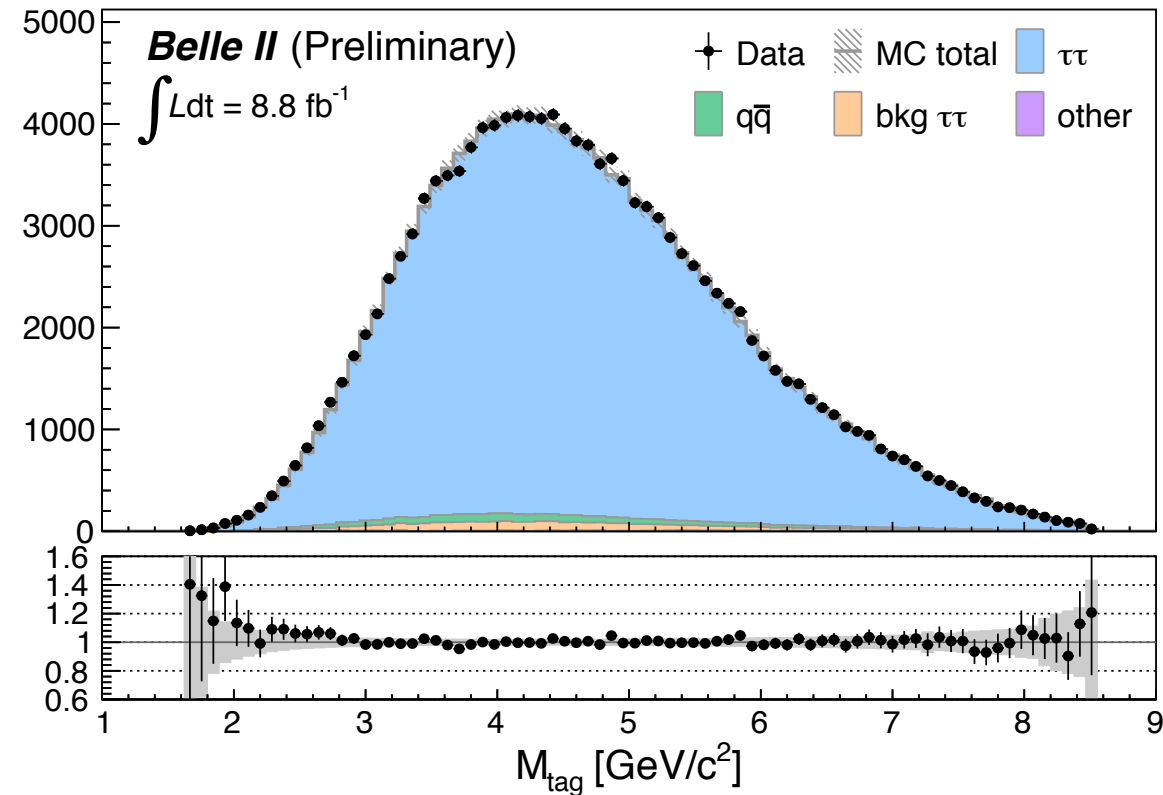
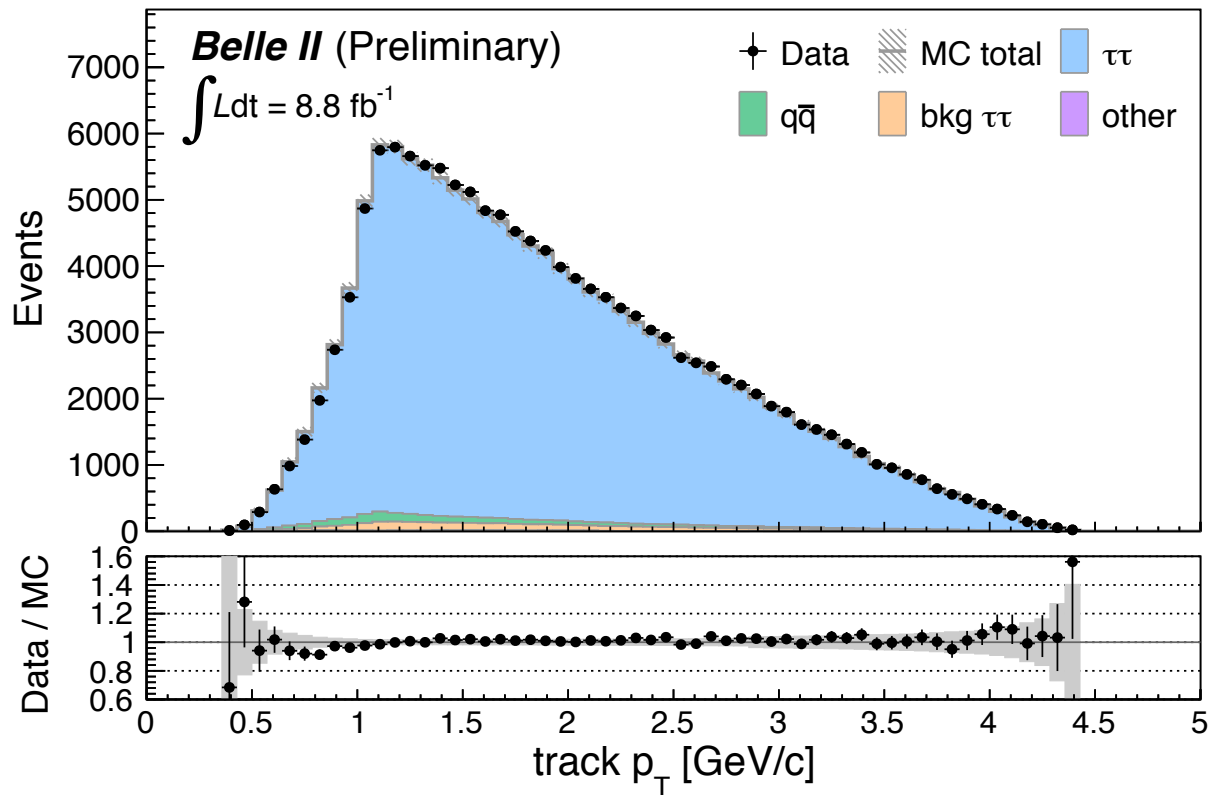
- ▶ Angular isolation b/w 1-prong track and each π ($\cos(\theta) < -0.5$)
- ▶ vertex fit (Rave) to tag π ($\chi^2 > 0.01$)
- ▶ 1-prong $p_{\text{cms}}/E_{\text{beam}} \in [0.2, 0.8]$
- ▶ tag π , $\Delta\theta_{\pi\pi} > 0.2$ (0.05) for e (μ)
- ▶ mass cuts: $m_{\text{tag}} < 8.5\text{ GeV}$, $m_{\pi\pi} < m_{a1}$
- ▶ $e\bar{e}\gamma^*$ veto for electron-OS channel
 - $p_{\text{miss}} \theta_{\text{cms}} \in [40^\circ, 135^\circ]$
 - missing $M^2 > 20\text{ GeV}^2$

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Tracking efficiency with taus

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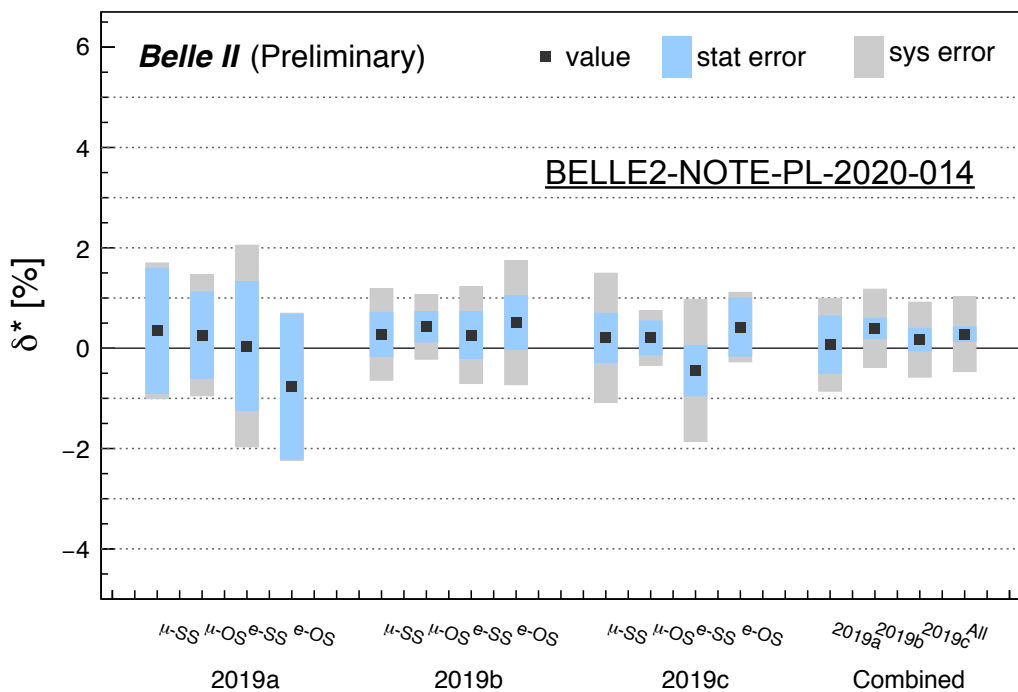
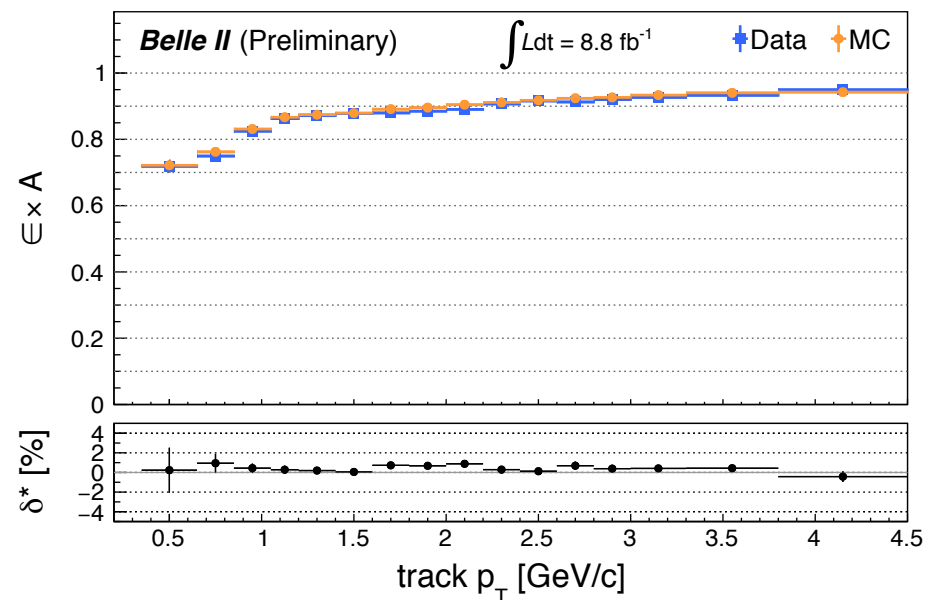
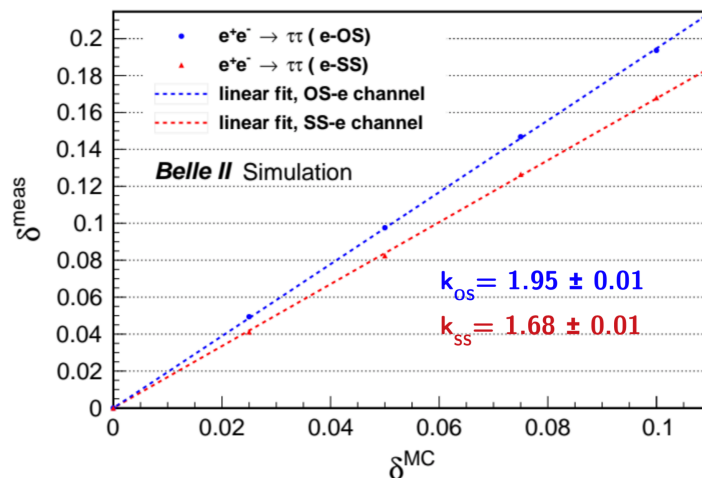
- After trigger and all offline selections, good agreement between data and MC
- Clean sample with high statistics

Tracking efficiency with taus

- Data-MC discrepancy:

$$\delta^* = 1 - \epsilon_{Data}^* / \epsilon_{MC}^* = \frac{1}{k} (1 - \epsilon_{Data}^{meas} / \epsilon_{MC}^{meas})$$

- k-factors from calibration procedure
 - combinatorial effects
 - impact of bkg suppression cuts



$$\delta_{\text{overall}}^* = 0.13 \pm 0.16 \text{ (stat)} \pm 0.89 \text{ (sys)} \%$$

- Includes systematics related to:
 - trigger efficiency
 - charge dependence (dominant)
 - calibration procedure
 - background subtraction
 - luminosity
- Aiming for tracking performance paper in early/mid 2021

Particle ID with taus

- ~95% of $\tau \rightarrow 3$ -prong decays contain $3\pi \Rightarrow$ powerful handle on **π ID efficiency** and **$\pi \rightarrow e/\mu$ misID rates**

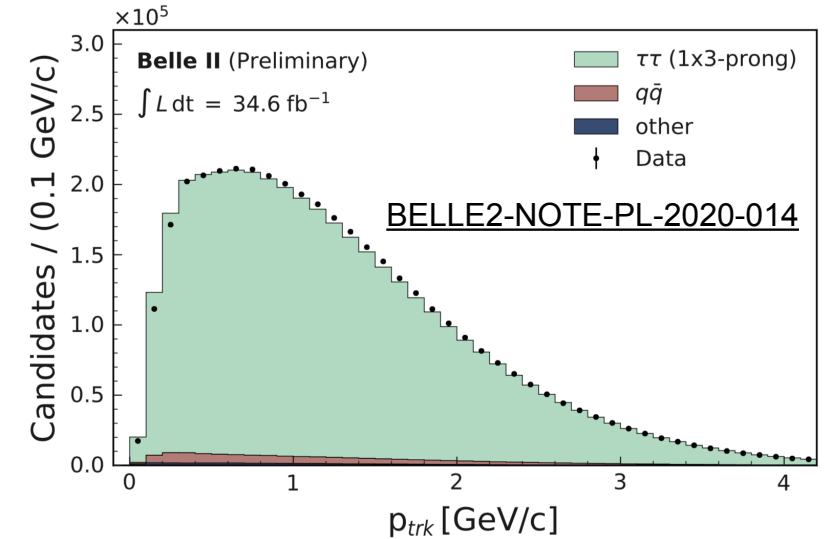
$$\epsilon_{\text{data}} = \frac{N_{\text{pid}} - \sum_i N_{\text{pid}}^{\text{bkg},i} \cdot r_{\text{mis-id}}^i}{N_{\text{total}} - \sum_i N_{\text{bkg},i}} \quad i \in \{\mu, e, K, p, d\}$$

$$\epsilon_{\text{MC}} = \frac{N_{\text{pid}}}{N_{\text{total}}}$$

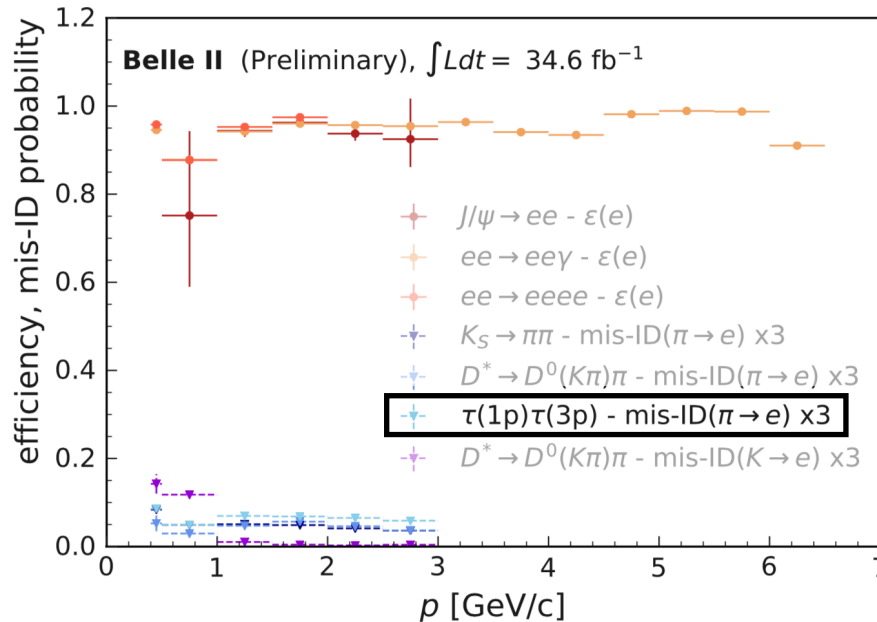
▶ calculated using truth-matched MC

▶ N_{pid} : probe selection

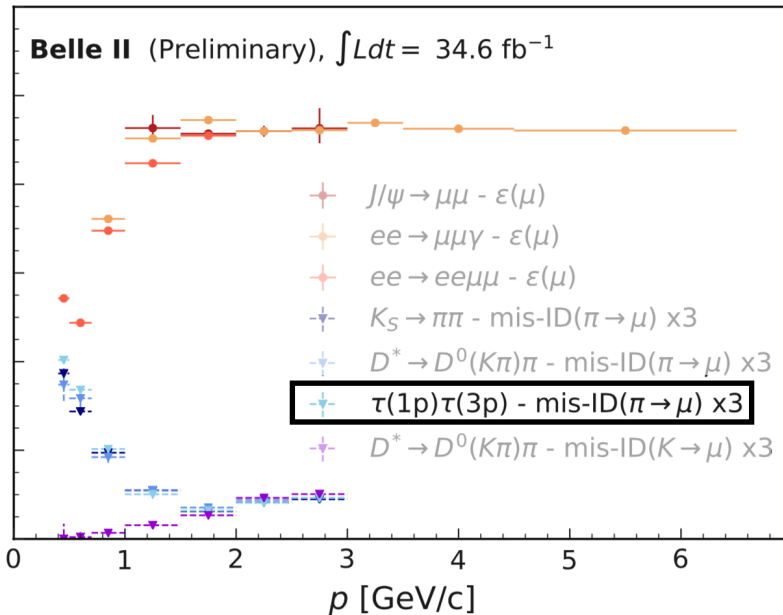
- **π efficiency** \Rightarrow pionID $> x$
- **$\pi \rightarrow \mu$ misID** \Rightarrow muonID $> x$
- **$\pi \rightarrow e$ misID** \Rightarrow electronID $> x$



1.13 $\leq \theta <$ 1.57 rad, electronID $>$ 0.9



0.82 $\leq \theta <$ 1.16 rad, muonID $>$ 0.9



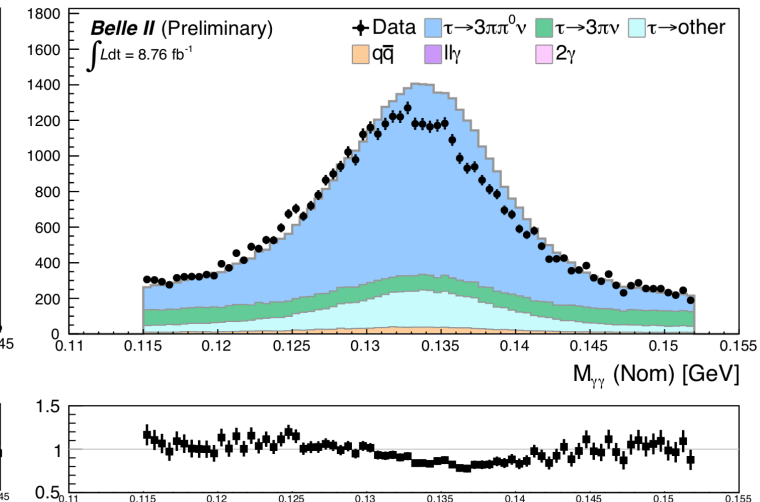
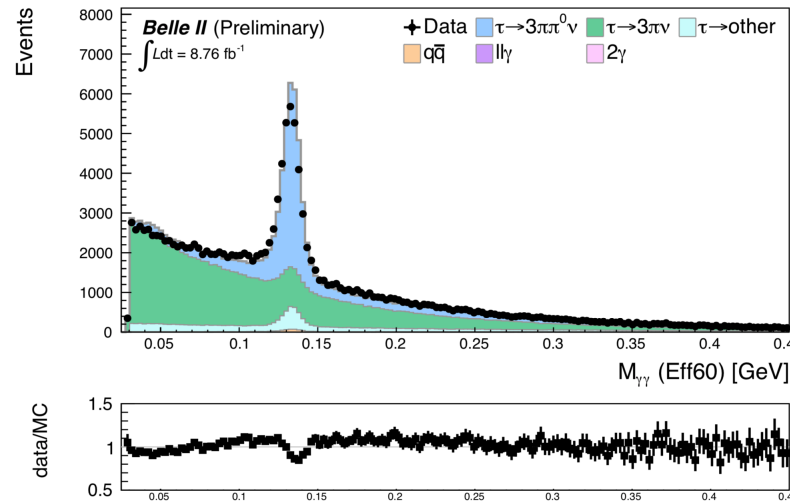
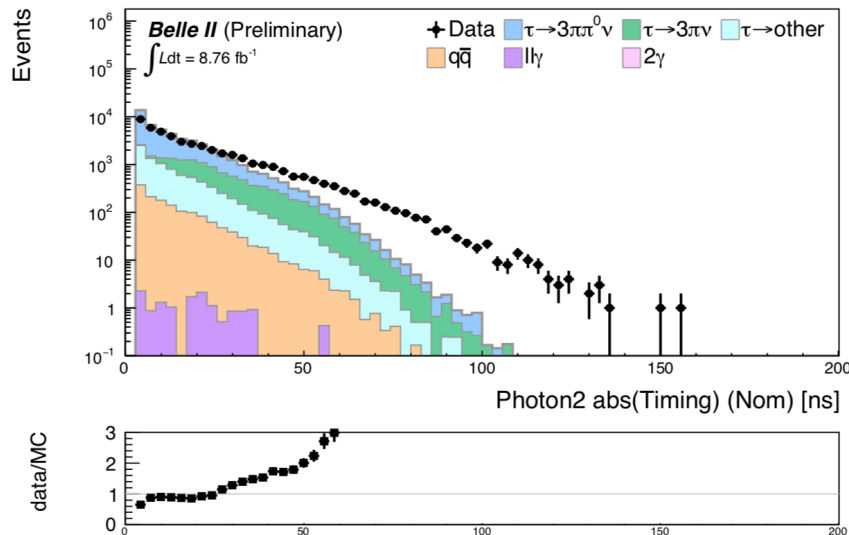
- Ongoing work (from **Paul** 😊)
 - studying the dependency on track isolation
 - improve systematics
 - studies of sub-detector performance
- Aiming for PID performance paper in early/mid 2021

π^0 reconstruction with taus

- π^0 efficiency corrections can be measured by taking the double ratio:

$$\eta_{\pi^0} = \frac{N^{data}(\tau \rightarrow 3\pi\pi^0\nu_\tau)}{N^{MC}(\tau \rightarrow 3\pi\pi^0\nu_\tau)} \div \frac{N^{data}(\tau \rightarrow 3\pi\nu_\tau)}{N^{MC}(\tau \rightarrow 3\pi\nu_\tau)}$$

\Rightarrow covers π^0 momentum from 0.2 - 4.5 GeV, and is complimentary to the studies of π^0 from B decays



Current nominal π^0 reco in Tau Group:

- $E_\gamma > 100 \text{ MeV}$
- $-0.8660 < \cos\theta < 0.9563$
- $\text{clusterNHits} > 1.5$
- $115 < M_{\gamma\gamma} < 152 \text{ MeV}$

- $\sim 2 \text{ MeV}$ shift observed in data, also seen in B decay modes
- \Rightarrow will be mitigated by improved γ energy calibration

- Studies in early stages. Ongoing work to reduce fakes in data using γ timing cuts, and development of BDT based π^0 ID.
- Aiming for Neutrals performance paper in early/mid 2021

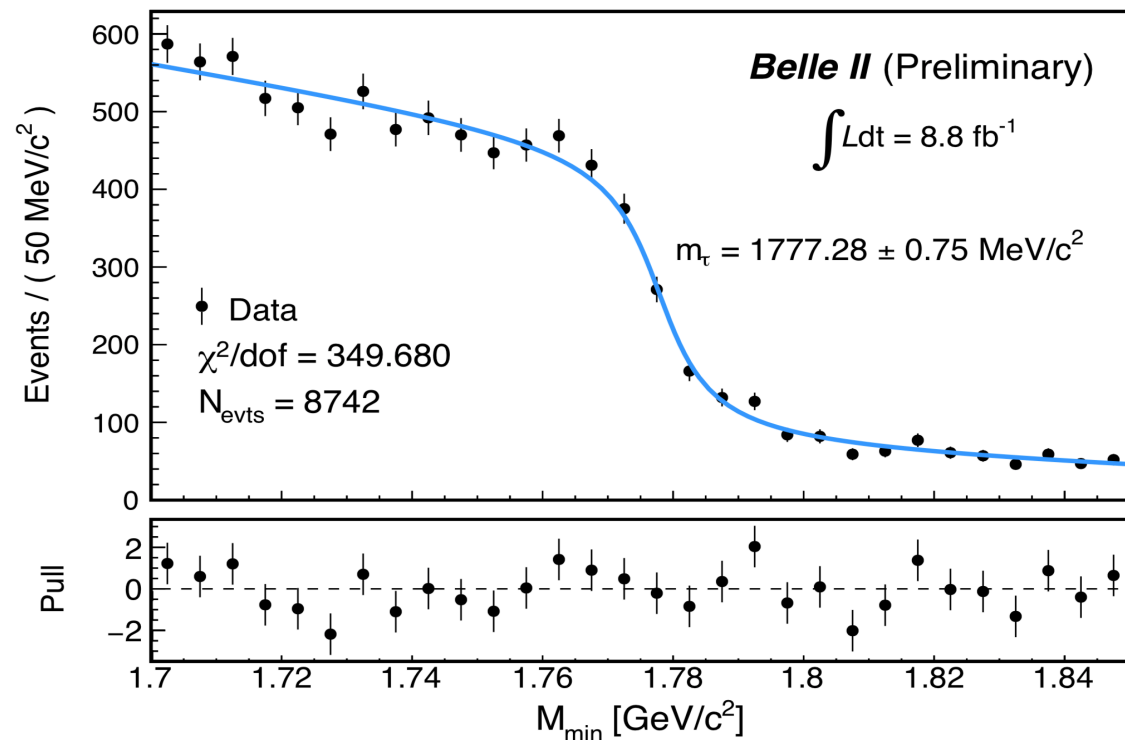
- Belle II will provide the world's largest sample of τ -pair events, enabling a rich program of new physics searches and precision tests of the Standard Model
 - ▶ **tau mass measurement**
 - ▶ **search for lepton flavour violating decays: $\tau \rightarrow l\gamma, lll, lh(h), l\alpha, \dots$**
 - ▶ **lepton universality tests**
 - ▶ **search for heavy neutral leptons**
 - ▶ **CP violation in $\tau \rightarrow K_s \pi \nu$**
 - ▶ and much more!
 - electric dipole moment (CP/T violation)
 - Dalitz analysis
 - τ lifetime (3x3 prong)
 - ν_τ mass
 - $|V_{us}|$ and g_τ/g_l from $\tau \rightarrow K\nu, \pi\nu$
 - $Y(nS) \rightarrow \tau\mu$ decays
 - search for second class currents in $\tau \rightarrow \pi\eta\nu$
 - ...

Tau Mass Measurement

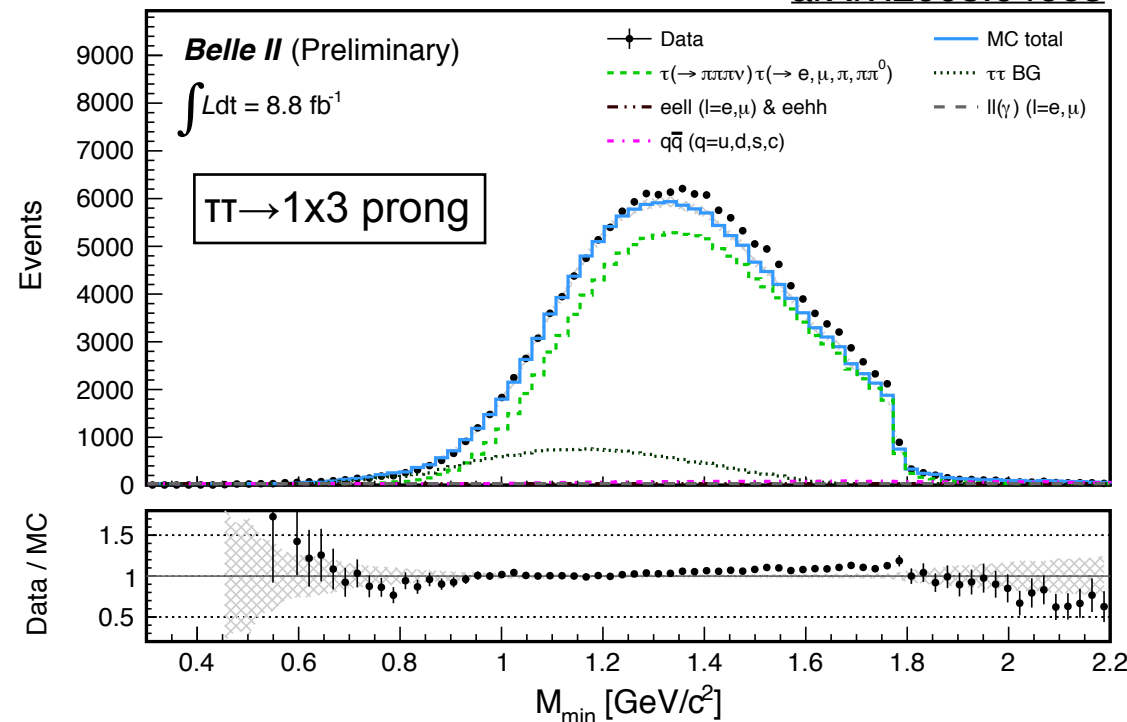
- Tau mass measurement in early Belle II data (8.8 fb⁻¹)
- Using a pseudomass technique on $\tau \rightarrow 3\pi\nu$ decays

$$M_{min} = \sqrt{M_{3\pi}^2 + 2(E_{beam} - E_{3\pi})(E_{3\pi} - P_{3\pi})}$$

▶ sharp threshold behaviour in region close to m_τ



arXiv:2008.04665



- M_{min} is fitted to an empirical mass function ($P_1 \Rightarrow m_\tau$) within a 1.7-1.85 GeV window:

$$F(M, \vec{P}) = (P_3 + P_4 M) \cdot \tan^{-1}[(M - P_1)/P_2] + P_5 M + 1$$

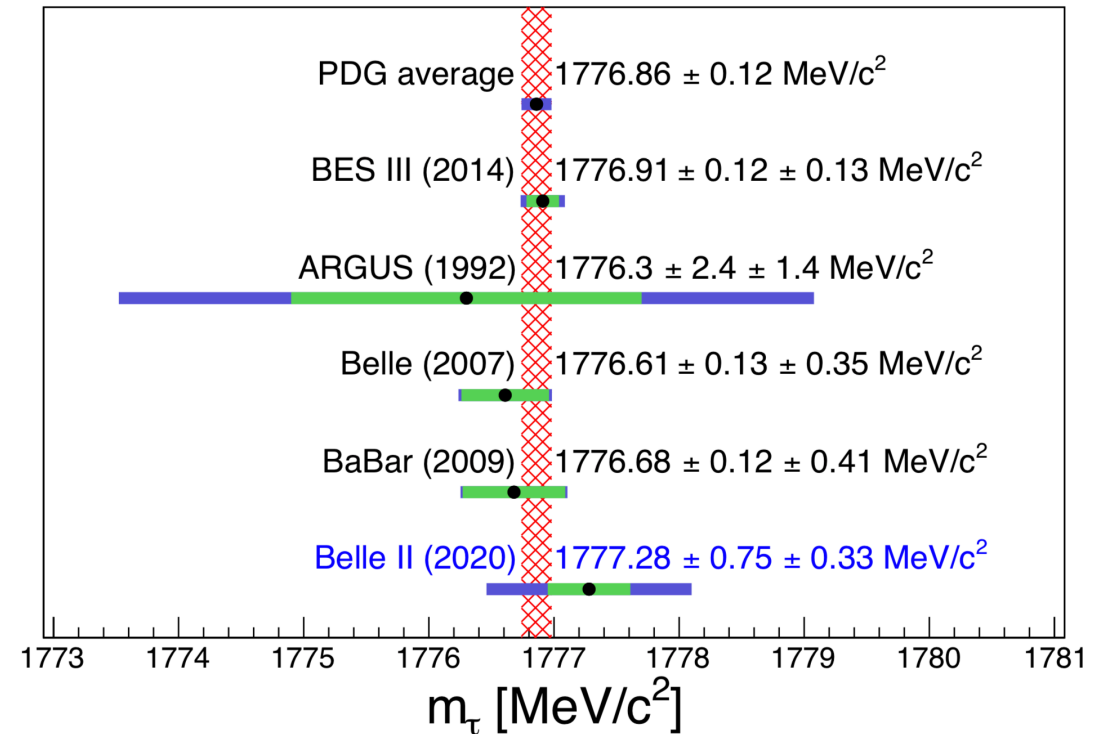
$$m_\tau = 1777.28 \pm 0.75 \text{ (stat)} \pm 0.33 \text{ (sys)} \text{ MeV}$$

Tau Mass Measurement

arXiv:2008.04665

- **Belle II** in good agreement with previous measurements
- Current best result comes from **BES III** from pair production at threshold energy
- Best measurement from pseudomass technique comes from **Belle**

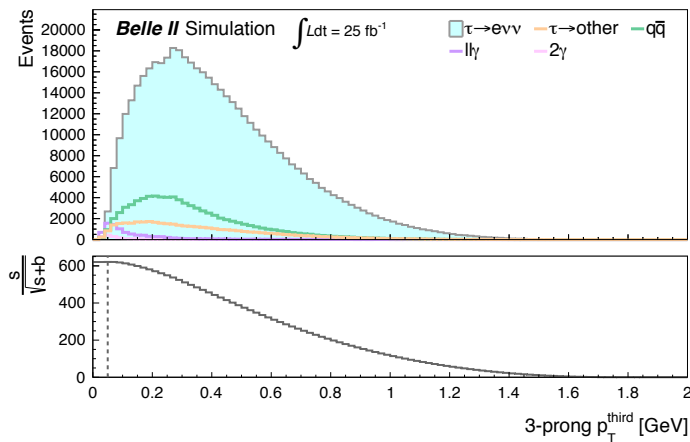
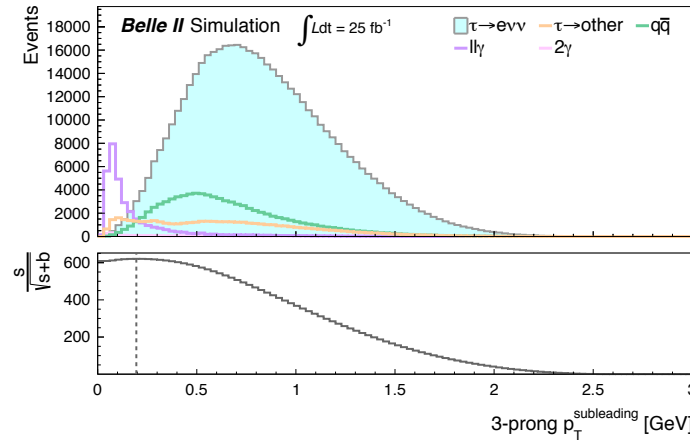
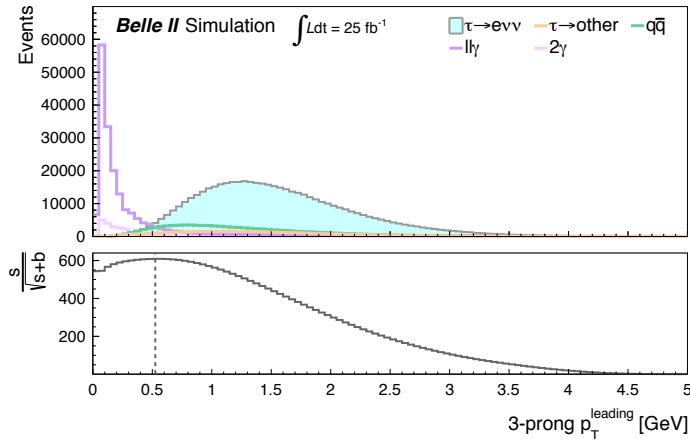
Systematic uncertainty	MeV/c ²
Momentum shift due to the B-field map	0.29
Estimator bias	0.12
Choice of p.d.f.	0.08
Fit window	0.04
Beam energy shifts	0.03
Mass dependence of bias	0.02
Trigger efficiency	≤ 0.01
Initial parameters	≤ 0.01
Background processes	≤ 0.01
Tracking efficiency	≤ 0.01



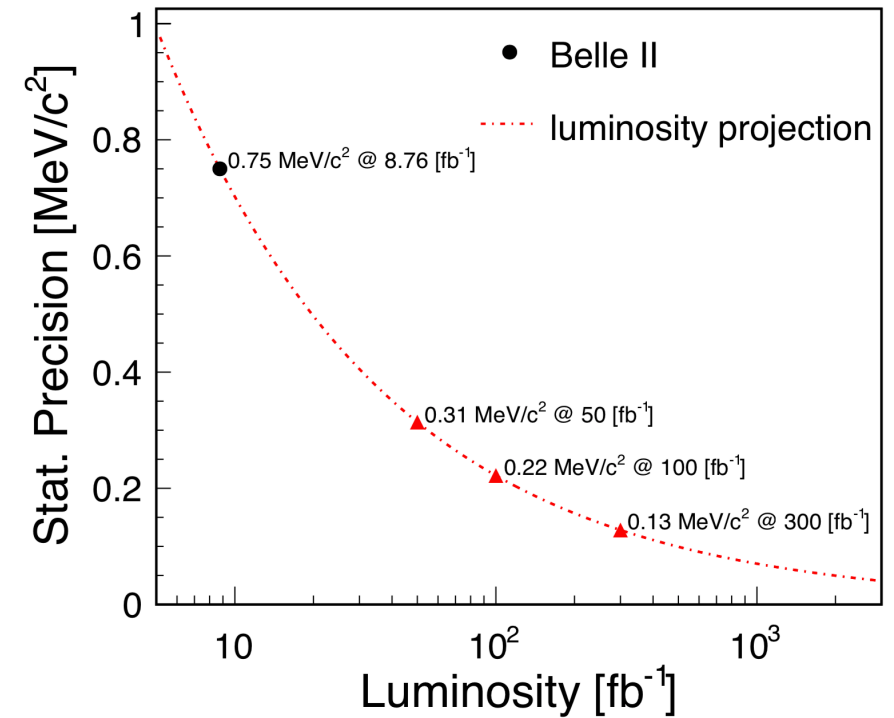
- **Belle II** currently has similar systematic error as last generation B-factory results
- **B-field maps** will be updated soon, significantly reducing the dominant uncertainty

Tau Mass Prospects

- **Goal:** achieve at Belle II the best tau mass precision amongst the pseudomass techniques
- Current analysis will reach Belle precision with $\sim 300 \text{ fb}^{-1}$



arXiv:2008.04665



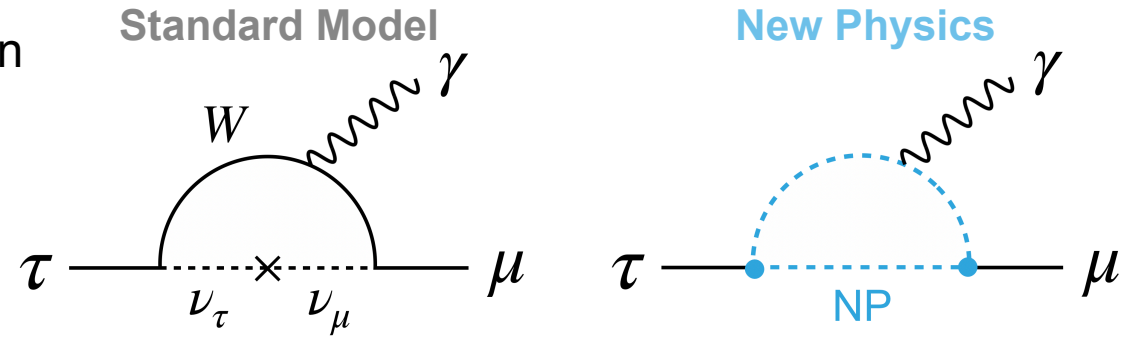
- Recent studies indicate that with a more optimal 3-prong selection (E/p cuts \rightarrow asymmetric p_T cuts) we can get a **2-3x higher efficiency** at \sim same purity

\Rightarrow **Belle II could become competitive with Belle in the near future!**

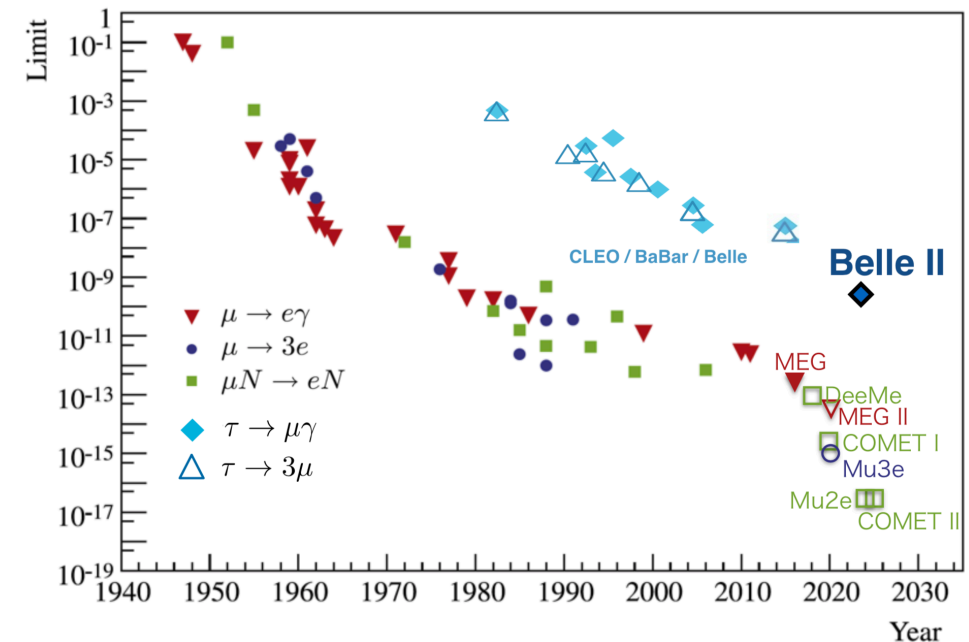
Searches for charged LFV

- LFV has been established for the neutrinos, but what about their charged partners (e, μ and τ)?
- In the SM, charged LFV decays via neutrino oscillation are highly suppressed and immeasurably small:

$$Br(\ell_1 \rightarrow \ell_2 \gamma)_{SM} \propto \left(\frac{\delta m_\nu^2}{m_W^2} \right)^2 \sim 10^{-54} - 10^{-49}$$



- Observation of charged LFV would be a clear signature for New Physics!**
 - Br enhanced in many NP models (10^{-10} - 10^{-7})
 - SUSY, extended Higgs sector, seesaw, leptoquarks, non-universal Z' , and many more
 - $\mu \rightarrow e$: stringent bounds exist from MEG
 - $\tau \rightarrow \mu/e$: weaker bounds (Belle, BaBar and CLEO)
- As heaviest lepton, NP can have preferential τ LFV couplings



Prospects for τ LFV

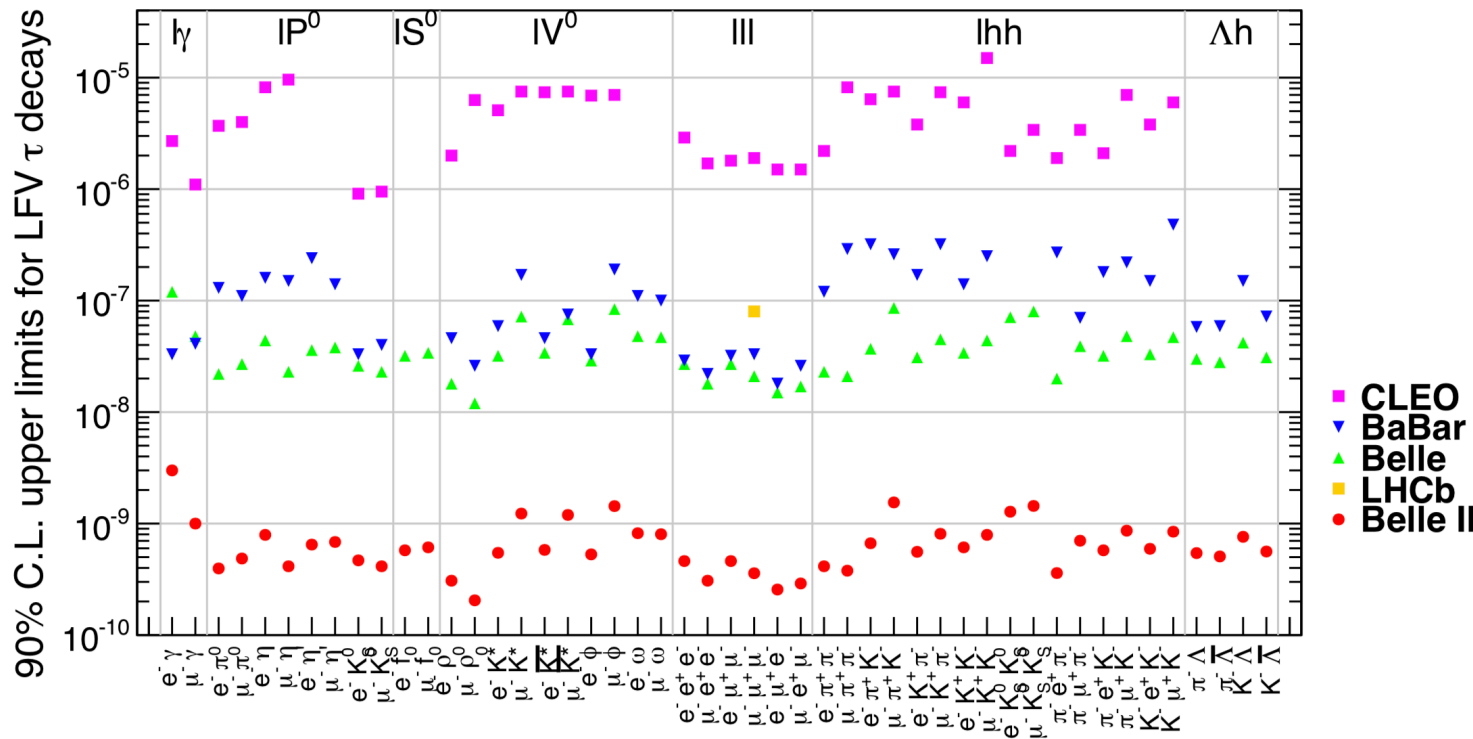
- Due to their large mass, τ leptons provide a wide variety of LFV (and LNV) decay modes to study:

- radiative: $\tau \rightarrow \ell \gamma$
- leptonic: $\tau \rightarrow \ell \ell \ell$
- semileptonic: $\tau \rightarrow \ell h(h)$



- ▶ “golden channels” for discovery: $\tau \rightarrow \mu \mu \mu$, $\tau \rightarrow \mu \gamma$
- ▶ complementary: semileptonic modes allow us to test LFV couplings b/w quarks and leptons, and better discriminate b/w NP models

[arXiv:1808.10567](https://arxiv.org/abs/1808.10567)



Extrapolating from Belle results (50 ab⁻¹):

Belle II will push the current bounds forward by at least one order of magnitude!

- This only accounts for \uparrow luminosity
- Equally important will be improvements in signal detection efficiency

better trigger, tracking, vertexing, PID, π^0 reconstruction, more refined analysis techniques, ...

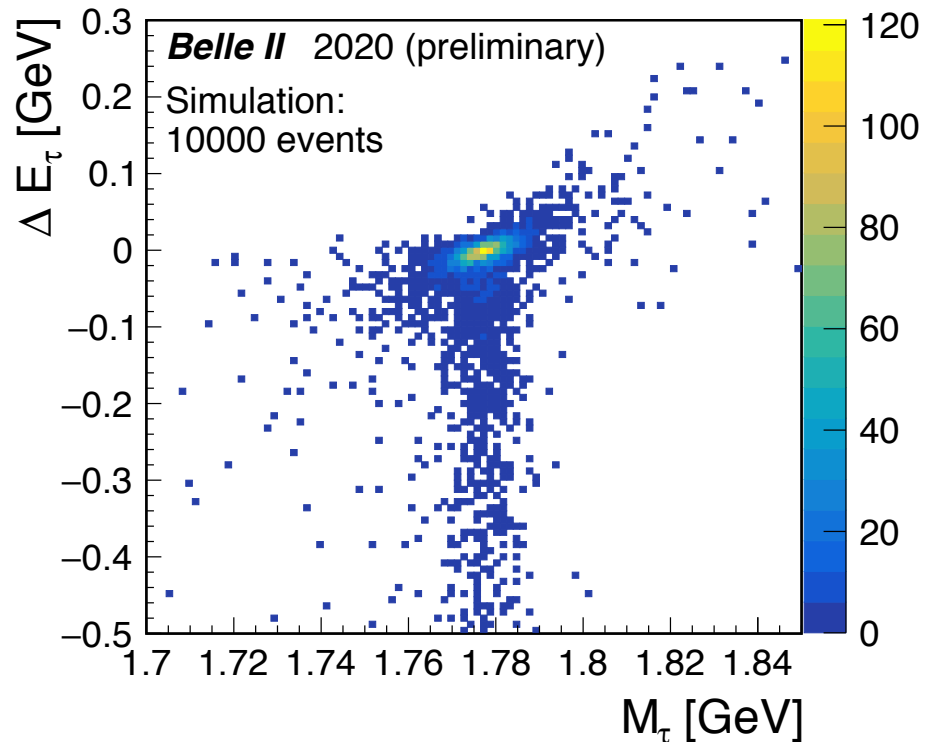
Search for LFV $\tau \rightarrow \mu\mu\mu$

- tag side: generic 1-prong decay, **signal side**: fully reconstructed $\tau \rightarrow 3\mu$

- Consider two independent variables: $M_\tau = \sqrt{E_{\mu\mu\mu}^2 - P_{\mu\mu\mu}^2}$ $\Delta E = E_{\mu\mu\mu}^{CMS} - E_{beam}^{CMS}$

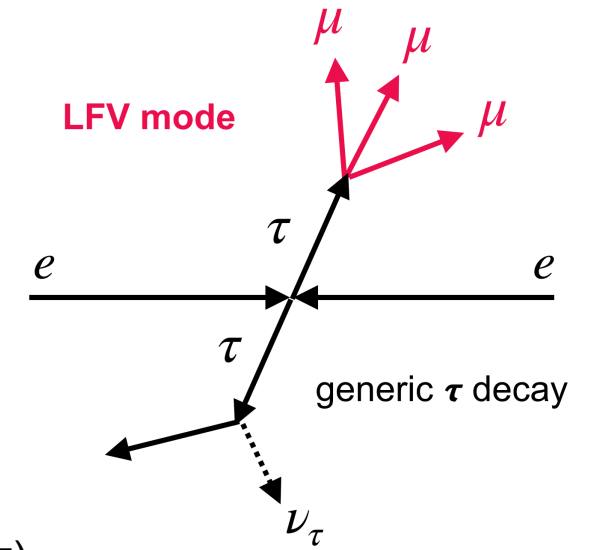
- Signal extraction in $M_{3\mu}$ - ΔE plane (or rotated plane to reduce correlation)

- Side-bands to study / evaluate background contributions



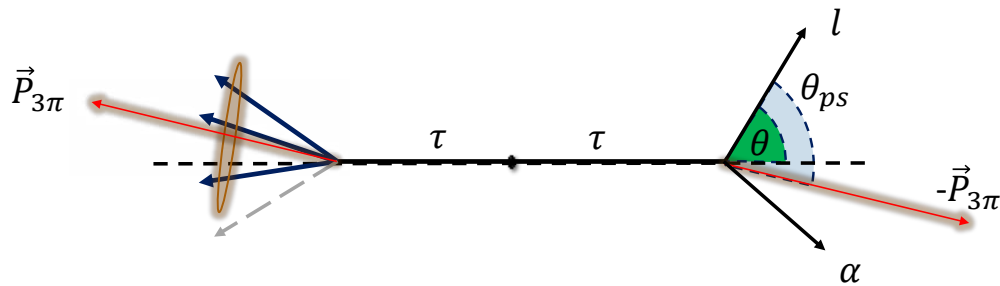
- Good μ ID performance is critical to achieving the necessary level of background suppression (mainly SM $\tau\tau$)
- Momentum dependent μ ID optimisation
 - $p_\mu < 0.7$ GeV \Rightarrow μ does not reach the KLM
 - $0.7 < p_\mu < 1$ GeV \Rightarrow reaches KLM but not many layers crossed
 - $p_\mu > 1$ GeV \Rightarrow reaches KLM with many layers
- Can avoid tag μ -veto and $p_\mu > 0.6$ GeV requirements used @Belle. New low-multi 3-cluster triggers (>95% efficiency for $\Delta E \sim 0$).

\Rightarrow higher efficiency foreseen @Belle II compared to Belle/BaBar!

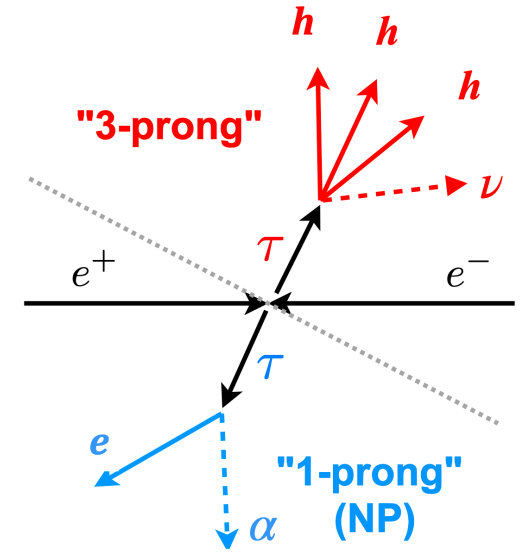


Search for LFV $\tau \rightarrow l \alpha$

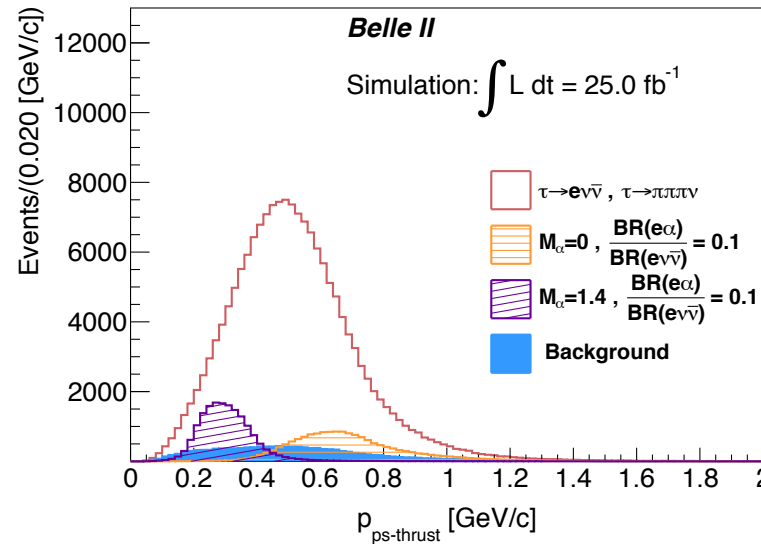
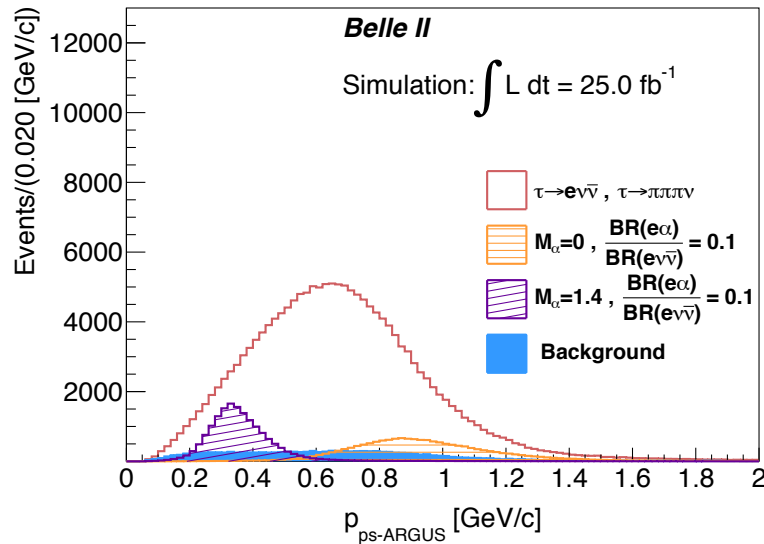
- Search for two body decay $\tau \rightarrow e/\mu + \alpha$, where α is unobserved (missing energy)
- LFV process that appears in several NP models (Goldstone boson, LFV Z' , light ALP, ...)
- Previously studied at MARK III (9.5 pb^{-1}) and ARGUS (476 pb^{-1})



- Signal will manifest as a peak in the τ rest frame, against the SM $\tau \rightarrow l \nu \nu$ background



BELLE2-NOTE-PL-2020-018



- cannot access τ rest frame directly due to neutrino

- approximate with the following assumptions:

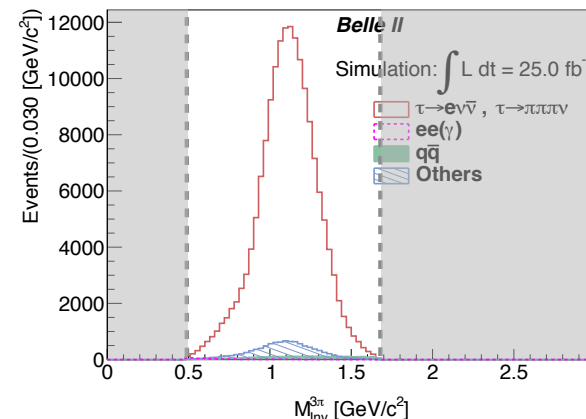
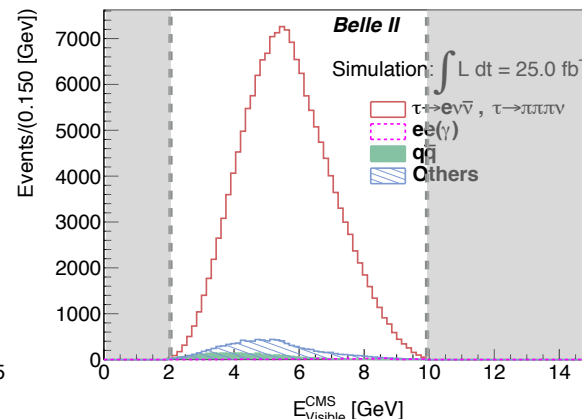
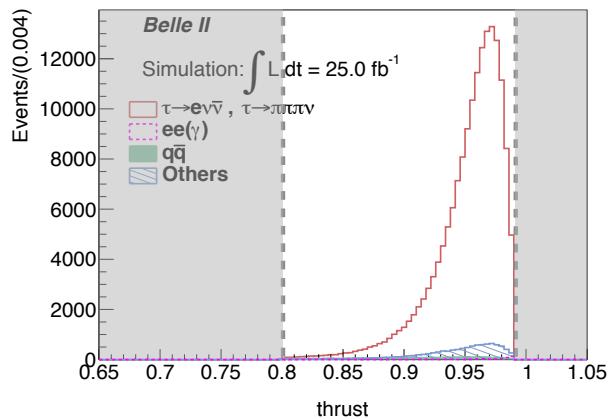
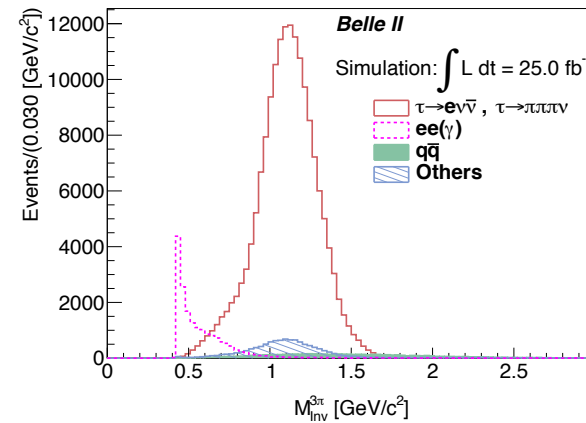
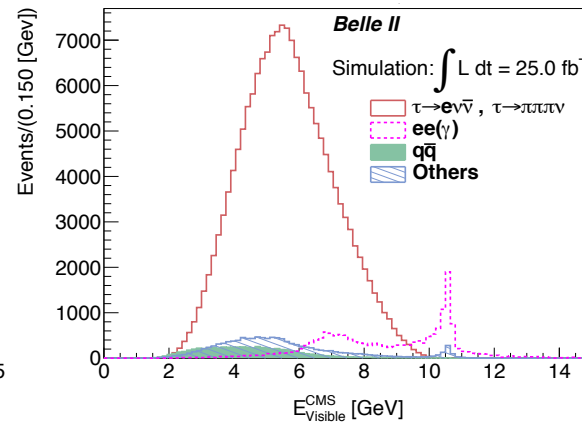
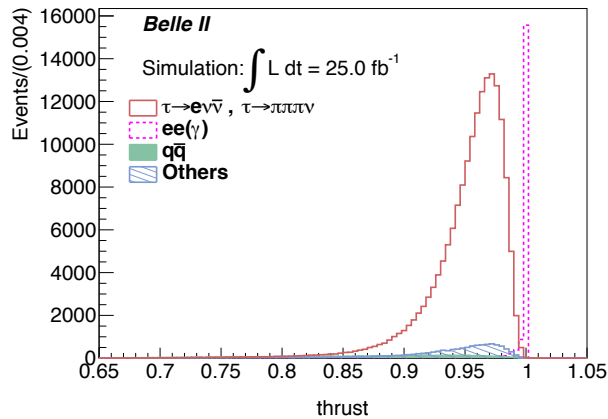
▶ $E_\tau = \sqrt{s}/2$

▶ ARGUS method: $\vec{p}_\tau \approx -\vec{p}_{3\pi}$

▶ Thrust method: $\vec{p}_\tau \approx \vec{T}$

Search for LFV $\tau \rightarrow l\alpha$

- Follows τ -pair 1x3 prong reconstruction criteria described earlier (4 good tracks, thrust-based hemisphere separation)
- Dominant background is **SM $\tau \rightarrow lv\nu$ (irreducible)**. Since we don't know M_α , we optimise for the SM.



- Cut-based selection

$$\text{FOM} = \frac{S_{SM}}{\sqrt{S_{SM} + B}}$$

- ▶ $0.8 < \text{thrust} < 0.99$
- ▶ $2.0 < E_{\text{vis}}^{\text{CMS}} < 9.9 \text{ GeV}$
- ▶ $0.48 < M_{3\pi} < 1.66 \text{ GeV}$

Search for LFV $\tau \rightarrow l\alpha$

- UL estimation for the ratio $\text{Br}(\tau \rightarrow e\alpha) / \text{Br}(\tau \rightarrow e\nu\nu)$ was shown at ICHEP (no systematics)

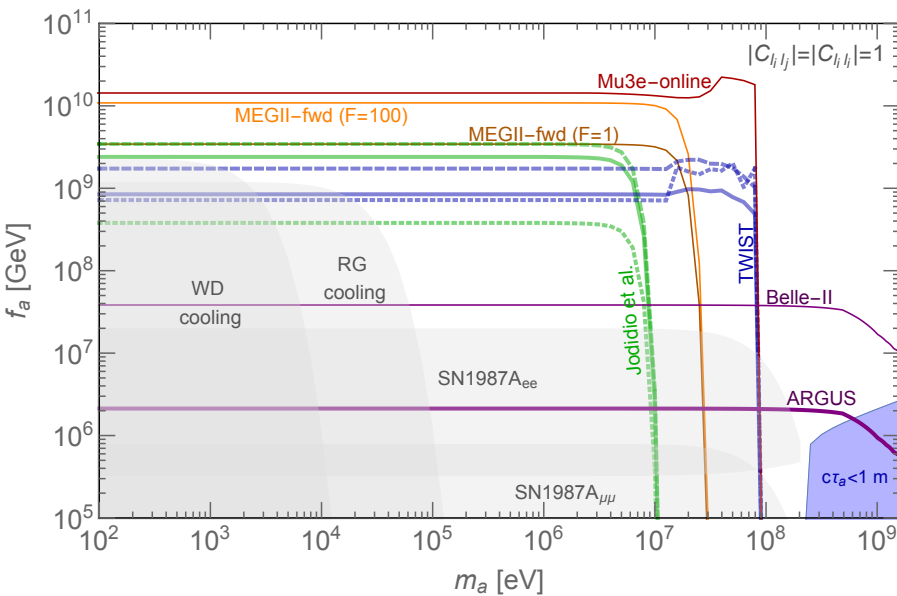
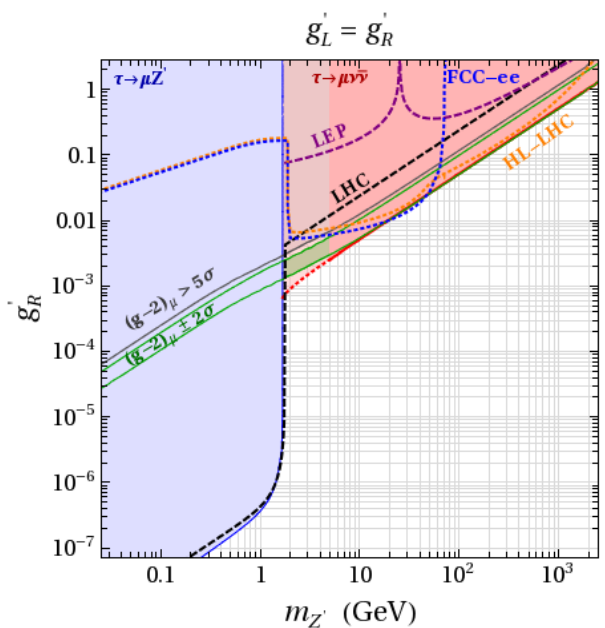
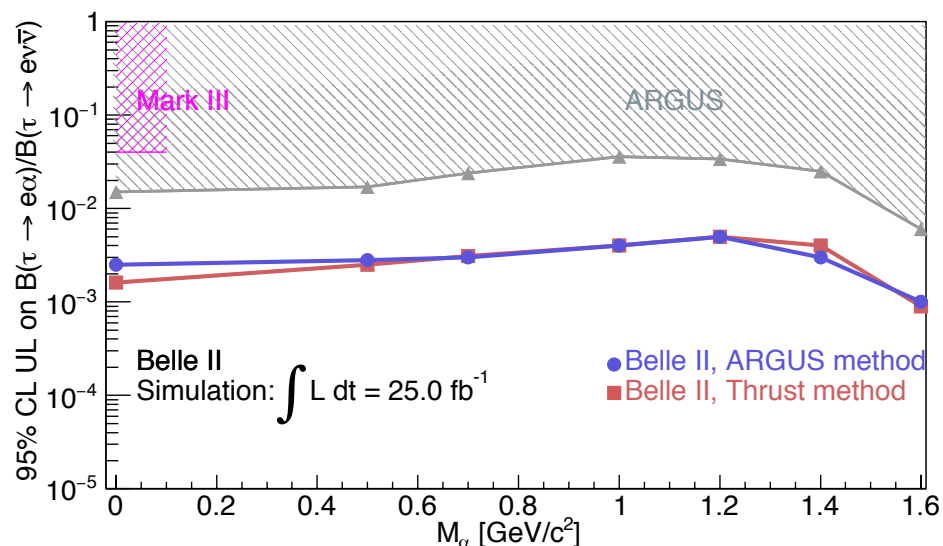
BELLE2-NOTE-PL-2020-018

- **With only 25 fb⁻¹ we can push forward current bounds by an order of magnitude!** Aiming for a paper in early-mid 2021.

- Current status of the analysis:
 - including systematics uncertainties
 - include $\tau \rightarrow \mu\alpha$ channel
 - development of BDT, and better 3-prong selection (see earlier)
 - UL cross-check using Bayesian approach

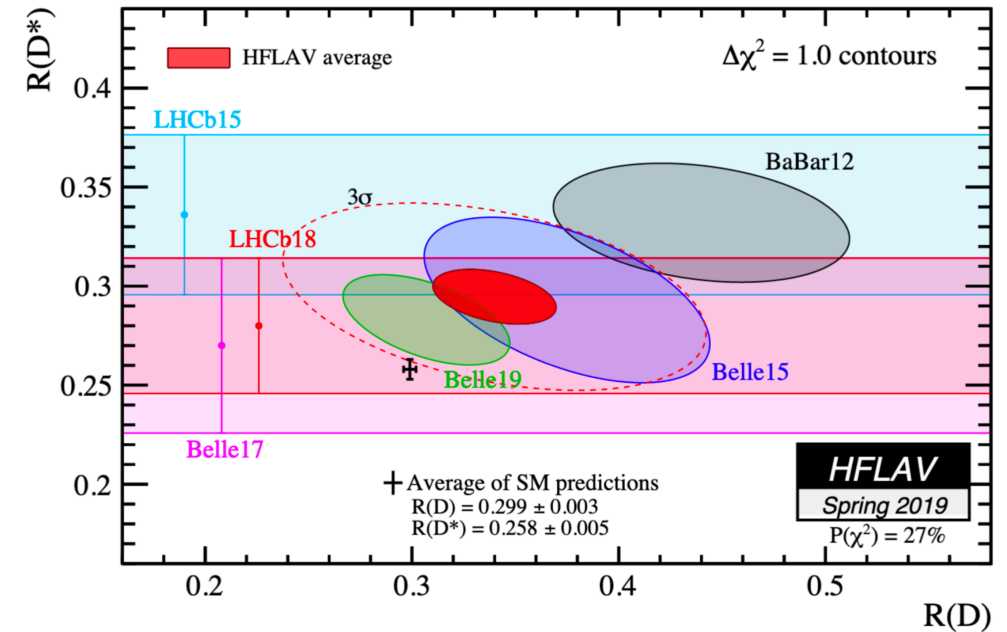
Can set strong constraints on NP models, e.g:

- LFV Z' \Rightarrow strong bound already set from ARGUS for $m_{Z'} \lesssim m_\tau - m_\mu$
- light ALP \Rightarrow exploring regions of parameter space not reachable by other experiments



Tests of Lepton Flavour Universality

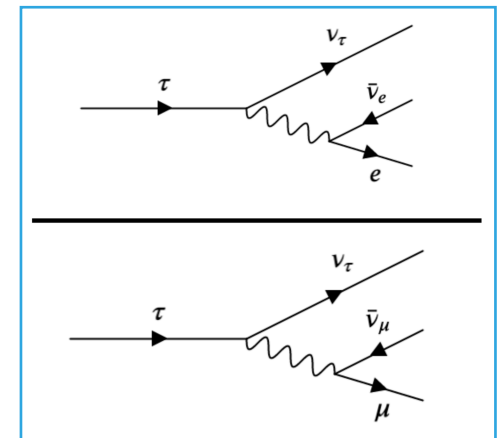
- LFU refers to the SM property that the electroweak (gauge) bosons have the same coupling to all lepton generations
- Anomalies in **quark sector**
 - R(D)-R(D*) plane ($\sim 3.9\sigma$)
 - R(K) and R(K*0) ($\sim 2.2-2.5\sigma$), also P_5' in $B \rightarrow K^* \mu \mu$ ($\sim 3.4\sigma$)
 - and more...
- Tensions also in **lepton sector**
 - anomalous magnetic moment of μ ($\sim 3.8\sigma$) and e ($\sim 2.5\sigma$)



• **Are these hints of a new fundamental interaction that violates LFU?**

- If so, then we should also see hints in the **tau sector**, where the most stringent test of μ - e universality comes from the ratio:

$$\frac{\mathcal{B}(\tau^- \rightarrow \mu^- \bar{\nu}_\mu \nu_\tau)}{\mathcal{B}(\tau^- \rightarrow e^- \bar{\nu}_e \nu_\tau)}$$

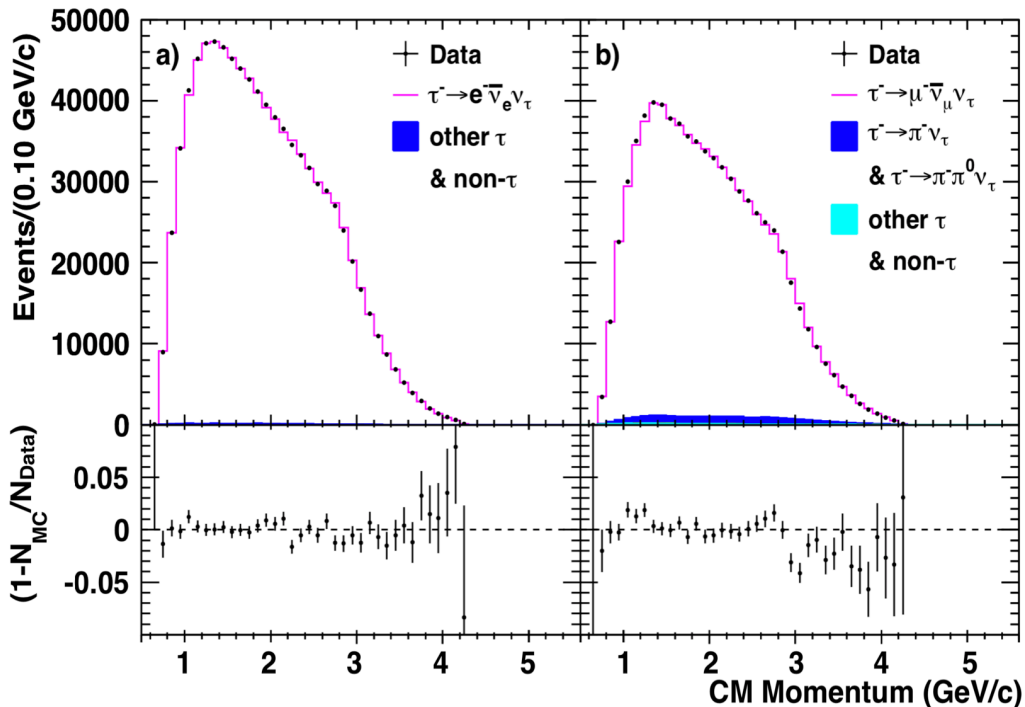


Tests of LFU in τ decays

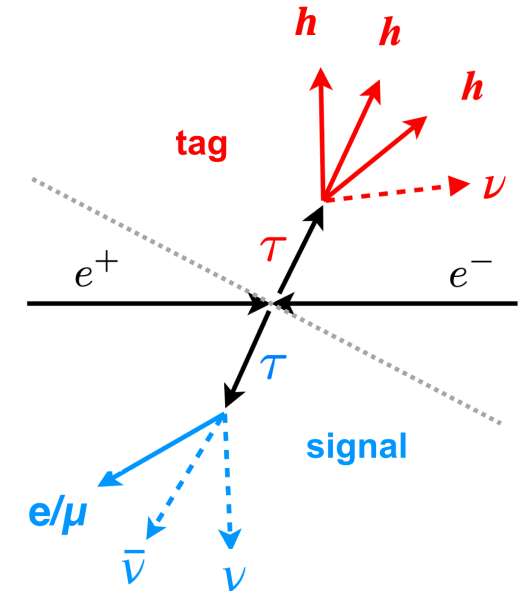
$$\left(\frac{g_\mu}{g_e}\right)_\tau^2 = \frac{\mathcal{B}(\tau^- \rightarrow \mu^- \bar{\nu}_\mu \nu_\tau) f(m_e^2/m_\tau^2)}{\mathcal{B}(\tau^- \rightarrow e^- \bar{\nu}_e \nu_\tau) f(m_\mu^2/m_\tau^2)}, \quad \text{where } f(x) = 1 - 8x + 8x^3 - x^4 - 12x^2 \log x$$

- Most precise measurement from **BaBar** (467 fb⁻¹): [Phys.Rev.Lett.105:051602 \(2010\)](#)

$$R_\mu \equiv \frac{\mathcal{B}(\tau^- \rightarrow \mu^- \bar{\nu}_\mu \nu_\tau)}{\mathcal{B}(\tau^- \rightarrow e^- \bar{\nu}_e \nu_\tau)} = 0.9796 \pm 0.0016 \text{ (stat)} \pm \mathbf{0.0036 \text{ (sys)}}$$



	μ
N^D	731102
Purity	97.3%
Total Efficiency	0.485%
Particle ID Efficiency	74.5%
Systematic uncertainties:	
Particle ID	0.32
Detector response	0.08
Backgrounds	0.08
Trigger	0.10
$\pi^- \pi^- \pi^+$ modelling	0.01
Radiation	0.04
$\mathcal{B}(\tau^- \rightarrow \pi^- \pi^- \pi^+ \nu_\tau)$	0.05
$\mathcal{L}\sigma_{e^+e^- \rightarrow \tau^+\tau^-}$	0.02
Total [%]	0.36



Can we do better at Belle II?

⇒ **Yes!**

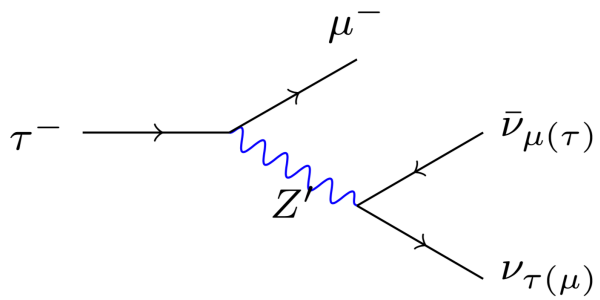
More data and higher signal reconstruction efficiency.

PID uncertainties should scale well with luminosity and higher stat MC samples.

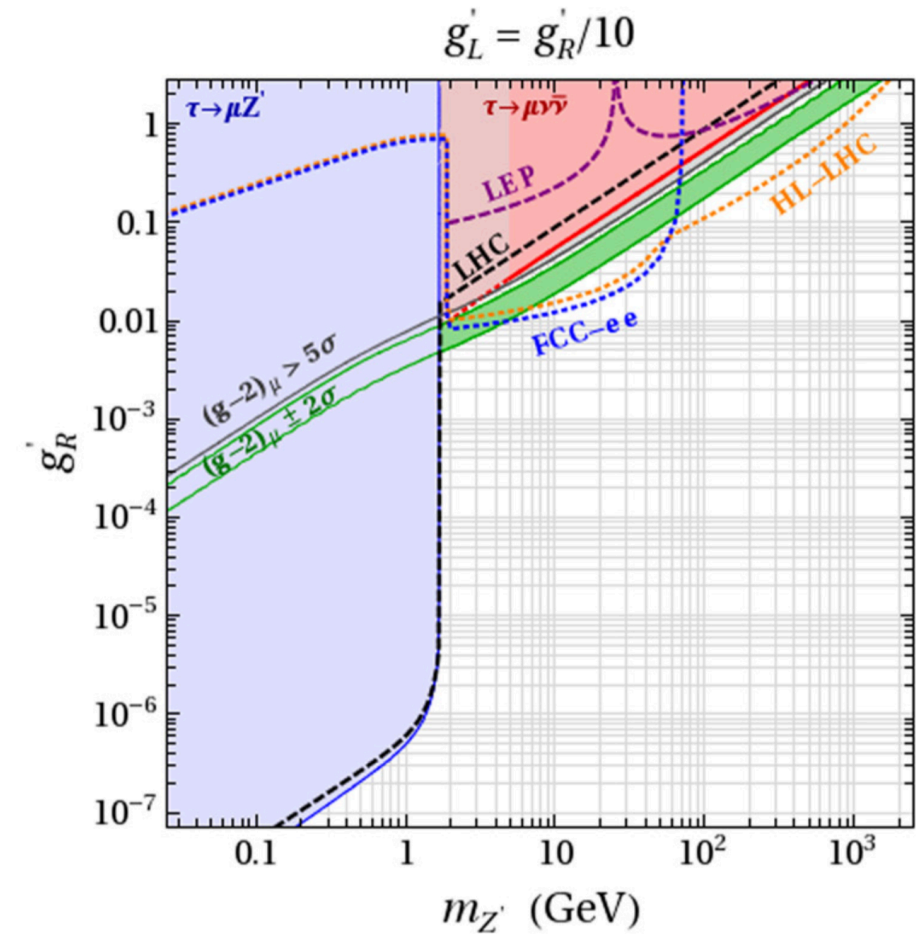
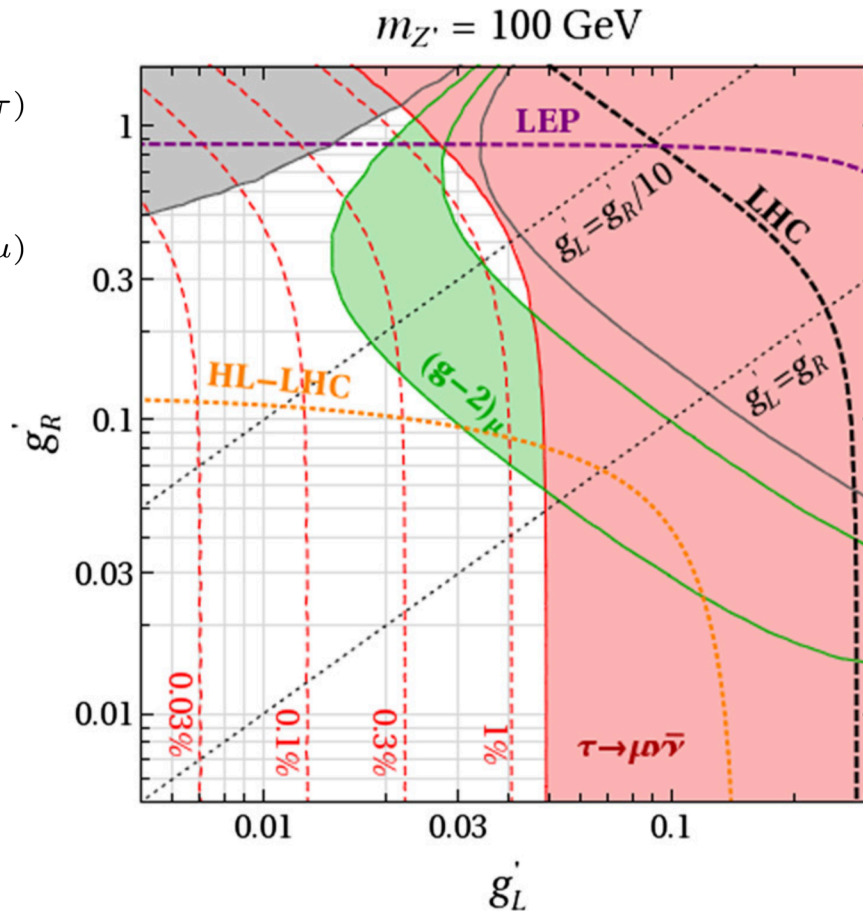
Tests of LFU in τ decays

- R_μ can put strong constraints on lepton flavour violating Z' models

Physics Letters B 762 (2016) 389–398



- **BaBar** has already excluded a significant region of parameter space
- Sensitivity @**Belle II** will depend on how well we can control the systematics



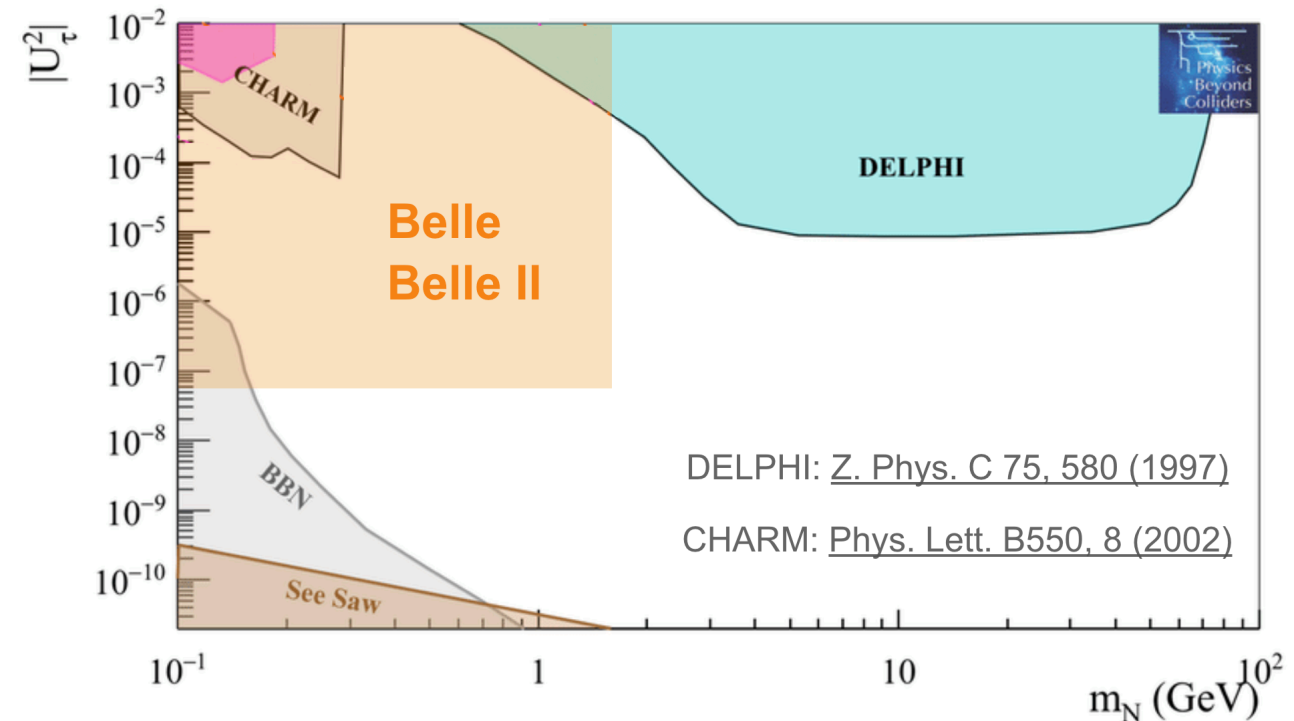
Search for Heavy Neutral Leptons

- Neutrino masses can be incorporated into the SM by introducing sterile RH (Majorana) neutrino(s)
- For example, the **vMSM** model introduces three RH singlet HNLs. Can solve:
 - origin and smallness of ν_{SM} mass (with GeV scale $N_{1,2}$ and see-saw mechanism)
 - dark matter (N_1 with mass \sim keV)
 - BAU: leptogenesis due to Majorana mass term

	I	II	III	
mass →	2.4 MeV	1.27 GeV	173.2 GeV	0
charge →	$\frac{2}{3}$	$\frac{2}{3}$	$\frac{2}{3}$	0
name →	u up	c charm	t top	g gluon
	Left Right	Left Right	Left Right	Left Right
Quarks				
mass →	4.8 MeV	104 MeV	4.2 GeV	0
charge →	$-\frac{1}{3}$	$-\frac{1}{3}$	$-\frac{1}{3}$	0
name →	d down	s strange	b bottom	γ photon
	Left Right	Left Right	Left Right	Left Right
Quarks				
mass →	~ 10 keV	\sim GeV	\sim GeV	91.2 GeV
charge →	0	0	0	0
name →	ν_e N_1 electron neutrino	ν_μ N_2 muon neutrino	ν_τ N_3 tau neutrino	Z weak force
	Left Right	Left Right	Left Right	Left Right
Leptons				
mass →	0.511 MeV	105.7 MeV	1.777 GeV	80.4 GeV
charge →	-1	-1	-1	± 1
name →	e electron	μ muon	τ tau	W weak force
	Left Right	Left Right	Left Right	Left Right
Leptons				
				126 GeV Higgs boson spin 0

- HNL interacts with ν_{SM} via $N \leftrightarrow \nu_{SM}$ mixing. Long lifetime due to small M_N and small mixing.
- Tight limits already exist on HNL mixing with ν_e and ν_μ . Weaker limits on $|U_{\tau N}|^2$, motivating $|U_{\tau N}|^2 \gg |U_{eN}|^2, |U_{\mu N}|^2$
- By studying τ decays at **Belle II**, we can significantly improve existing limits for $M_N < M_\tau$

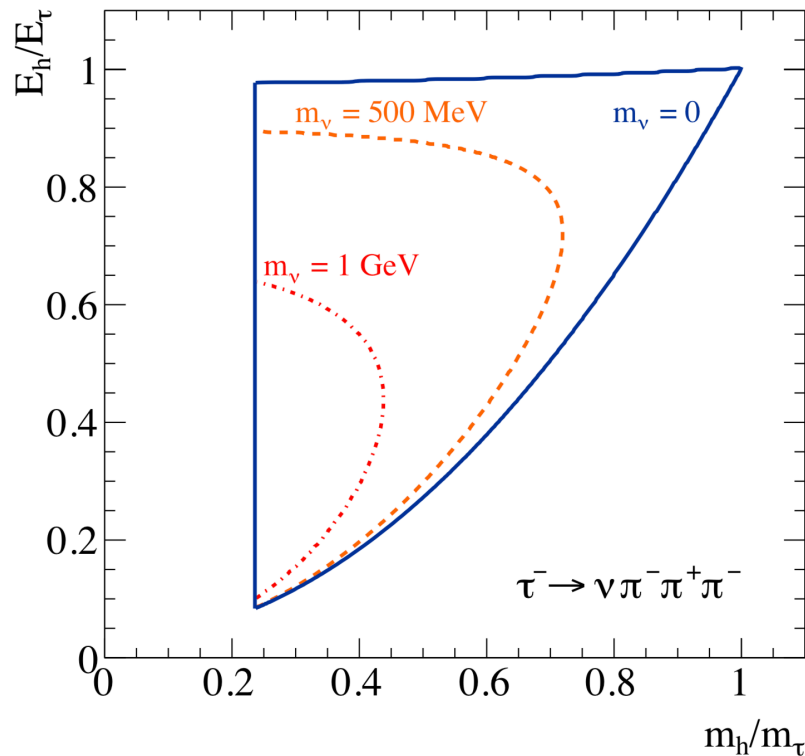
⇒ **No measurement was done at Belle/BaBar!**



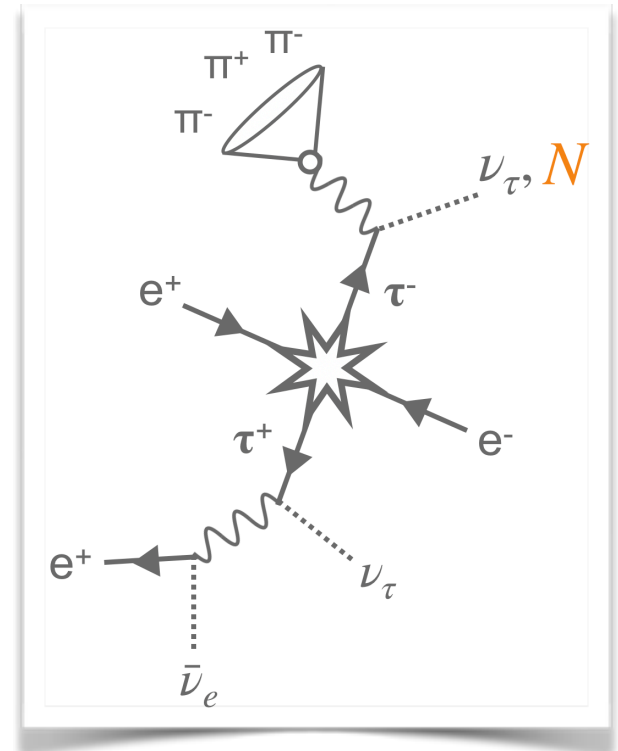
HNL in τ decay kinematics

- Proposed search for HNL in $\tau \rightarrow 3\pi \nu$ decays [arXiv:1412.4785v2](https://arxiv.org/abs/1412.4785v2)
- Phase space of 3 π -system could be superposition of massless neutrinos and HNL

$$\frac{d\Gamma_{\text{tot}}(\tau^- \rightarrow \nu h^-)}{dm_h dE_h} = (1 - |U_{\tau 4}|^2) \frac{d\Gamma(\tau^- \rightarrow \nu h^-)}{dm_h dE_h} \Big|_{m_\nu=0} + |U_{\tau 4}|^2 \frac{d\Gamma(\tau^- \rightarrow \nu h^-)}{dm_h dE_h} \Big|_{m_\nu=m_4}$$

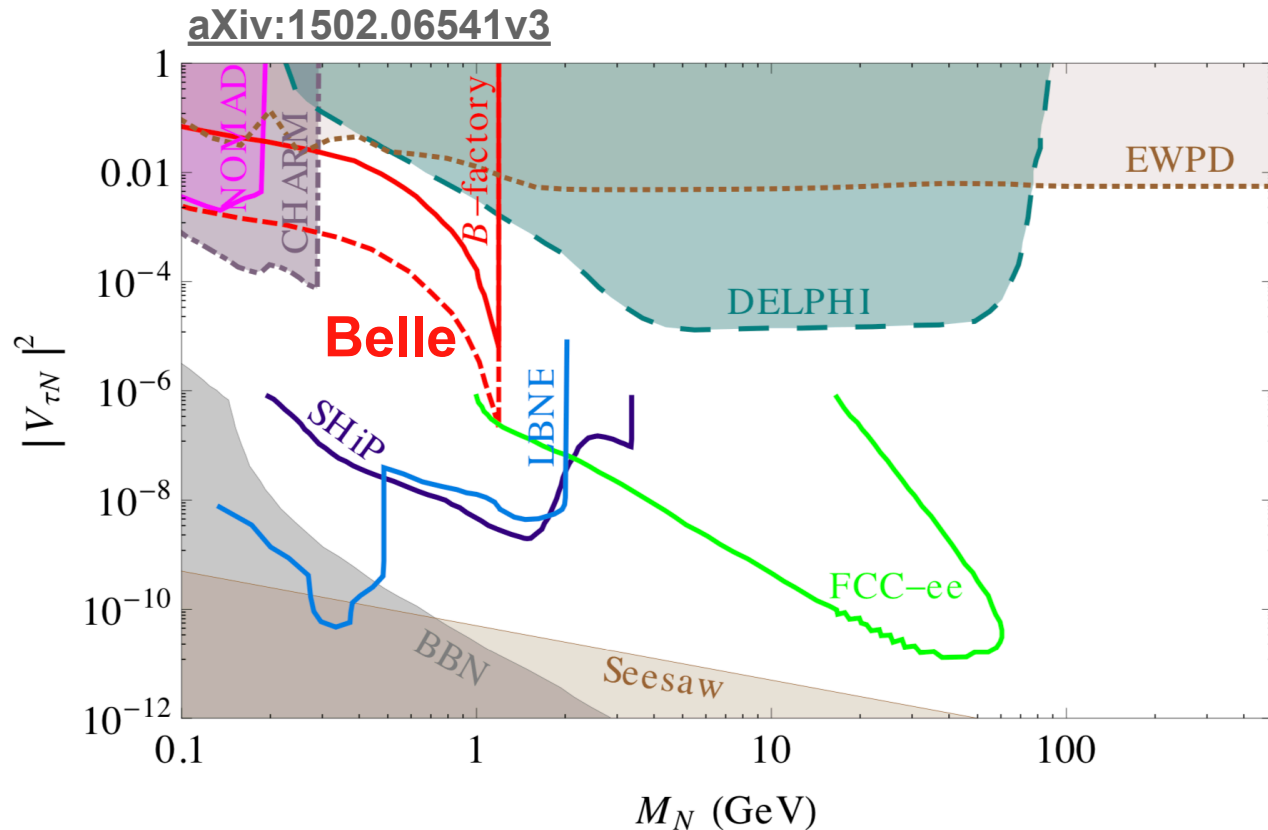


- Kinematics of τ decay will contain info on whether 3 π recoiled against HNL
- General idea:**
Measure a crescent-shaped endpoint in the $E_{3\pi}$ - $M_{3\pi}$ plane



- Method is insensitive to details of HNL decay, lifetime or whether it is Majorana/Dirac
- Would require large data statistics and excellent E/M resolution
 \Rightarrow Possible at Belle and definitely at Belle II!

HNL in τ decay kinematics



- Sensitivity estimate based on pseudo-data study
- MC sample of $ee \rightarrow \tau\tau$ with $\tau \rightarrow 3\pi\nu$ decay(s)
 - assuming Belle lumi
 - smearing to mimic typical Belle resolution
 - both optimistic and conservative scenarios wrt systematics
- **Belle** may be able to place stringent limits on $|U_{\tau N}|^2$ as low as $\mathcal{O}(10^{-7} - 10^{-3})$ for **$100 \text{ MeV} \lesssim M_N \lesssim 1.2 \text{ GeV}$**

⇒ In the coming years **Belle II** will be able to push these limits even further!

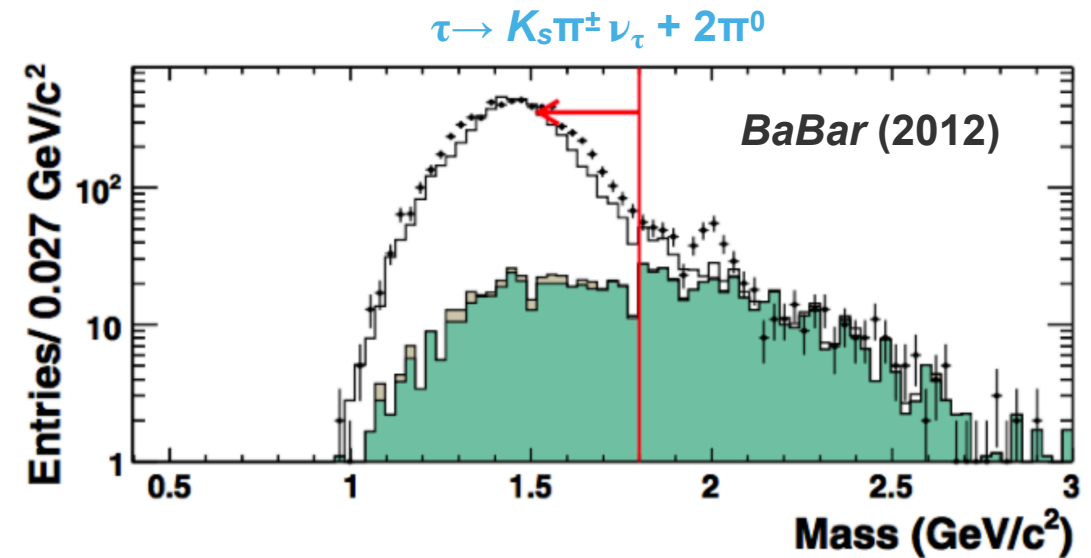
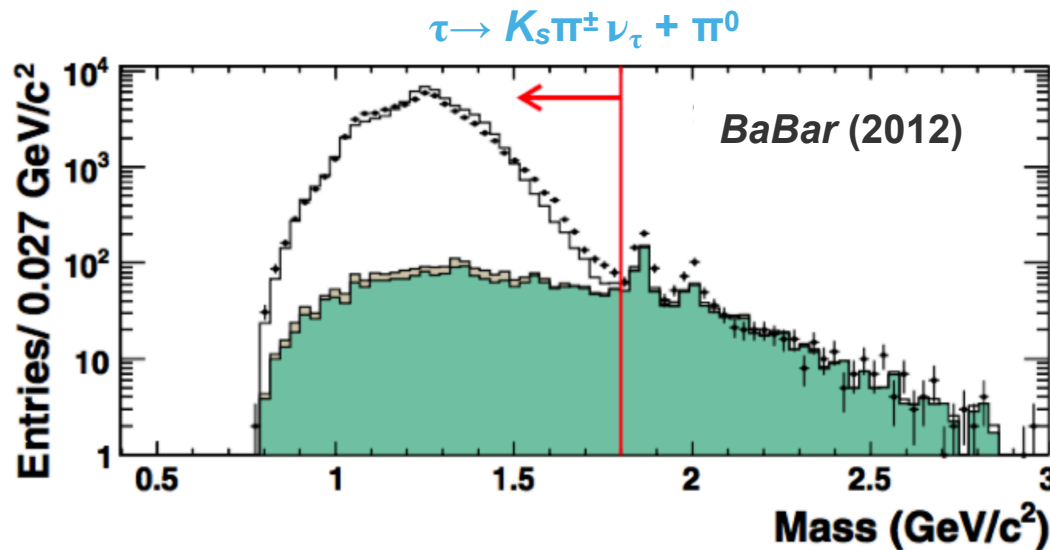
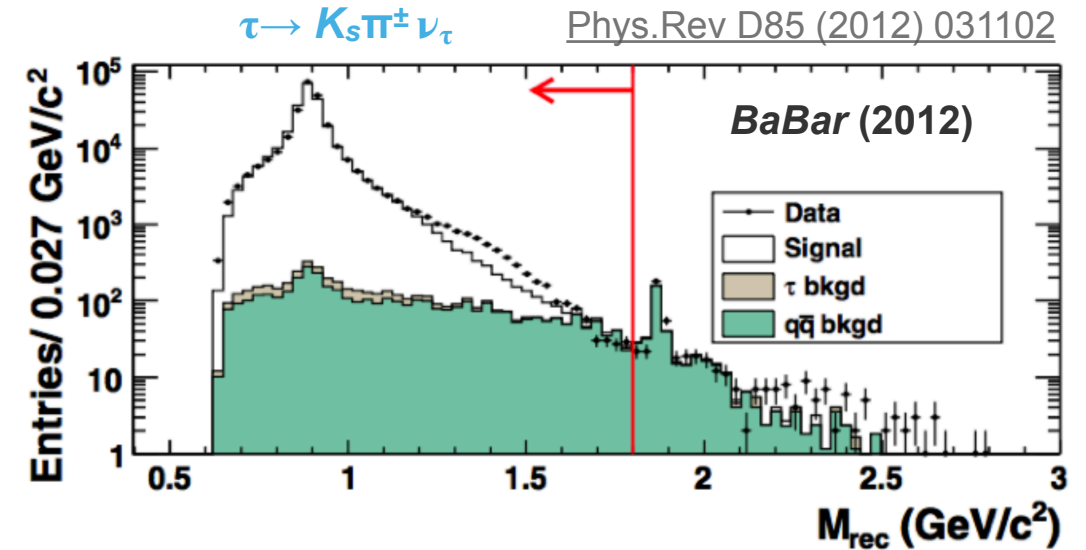
Other players in the game will be **SHiP**, **LBNE** and **FCC-ee**

CP violation in $\tau \rightarrow K_S \pi^\pm \nu_\tau + n\pi^0$

- Due to CP violation in the kaon sector, $\tau \rightarrow K_S \pi^\pm \nu_\tau$ decays in the SM have a nonzero decay-rate asymmetry:

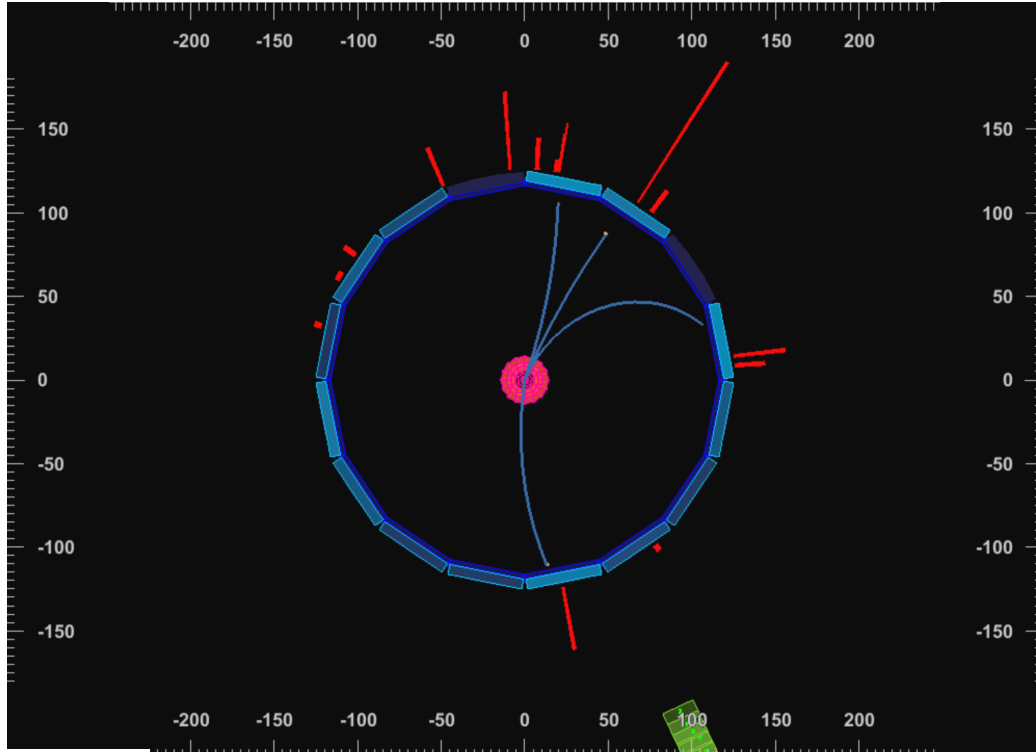
$$A_\tau = \frac{\Gamma(\tau^+ \rightarrow \pi^+ K_S^0 \bar{\nu}_\tau) - \Gamma(\tau^- \rightarrow \pi^- K_S^0 \nu_\tau)}{\Gamma(\tau^+ \rightarrow \pi^+ K_S^0 \bar{\nu}_\tau) + \Gamma(\tau^- \rightarrow \pi^- K_S^0 \nu_\tau)}$$

- SM prediction: $(3.6 \pm 0.1) \times 10^{-3}$
- BaBar measurement: $(-3.6 \pm 2.3 \pm 1.1) \times 10^{-3}$ (2.8σ)
- An improved A_τ measurement is a priority at Belle II



Summary and Outlook

- Belle II is now well into the Phase 3 data taking period, breaking the peak luminosity world record in June and collecting $\sim 74 \text{ fb}^{-1}$ of data so far.
- On target to deliver the world's largest sample of τ -pair events in the coming years, enabling a rich program of both **performance** and **physics results**.



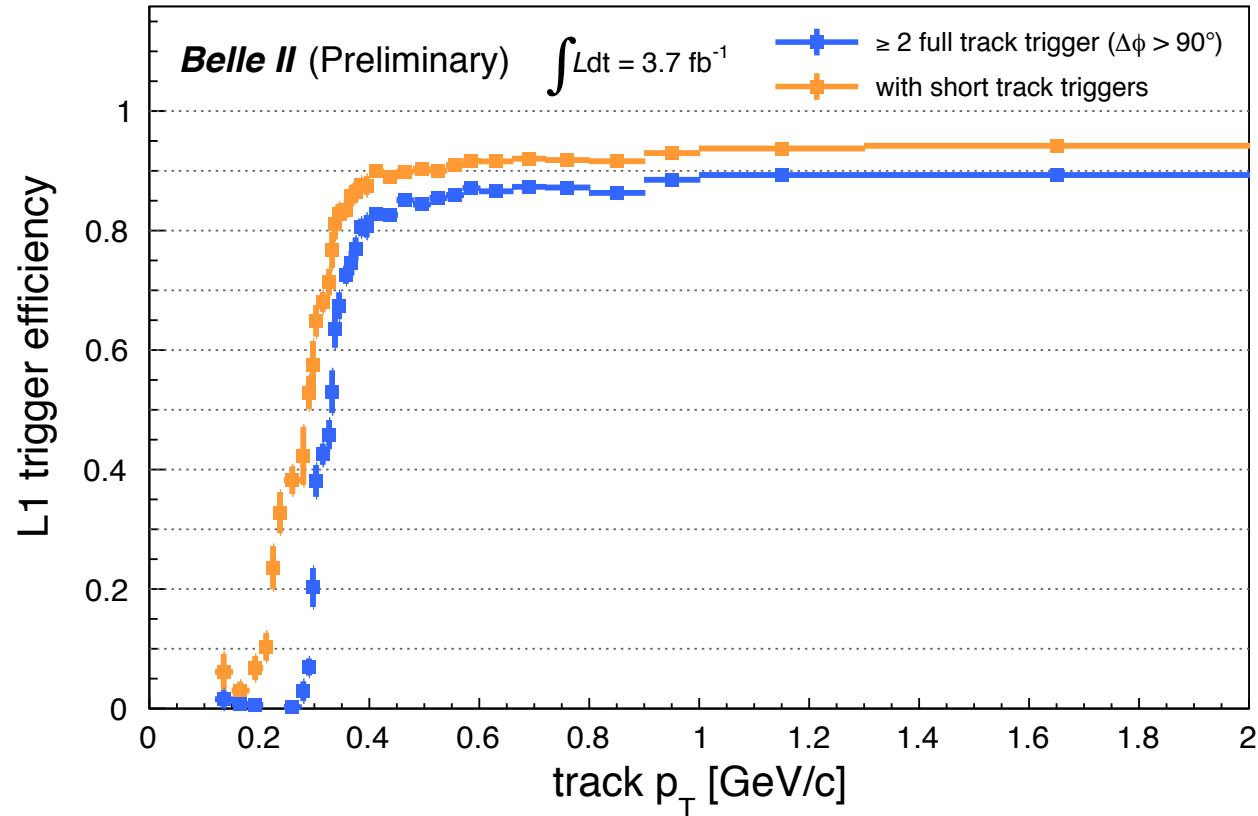
- Tau physics goals/highlights:
 - ▶ Most precise τ mass measurement amongst the pseudomass techniques.
 - ▶ Searches for LFV τ decays, with a potential first paper on $\tau \rightarrow l\alpha$ coming early/mid 2021.
 - ▶ Pushing the limits of LFU with the world's leading measurement of R_μ .
 - ▶ Search for HNLs through a novel probe of $N \leftrightarrow \nu_\tau$ mixing
 - ▶ and much more!

⇒ **Exciting times ahead!**

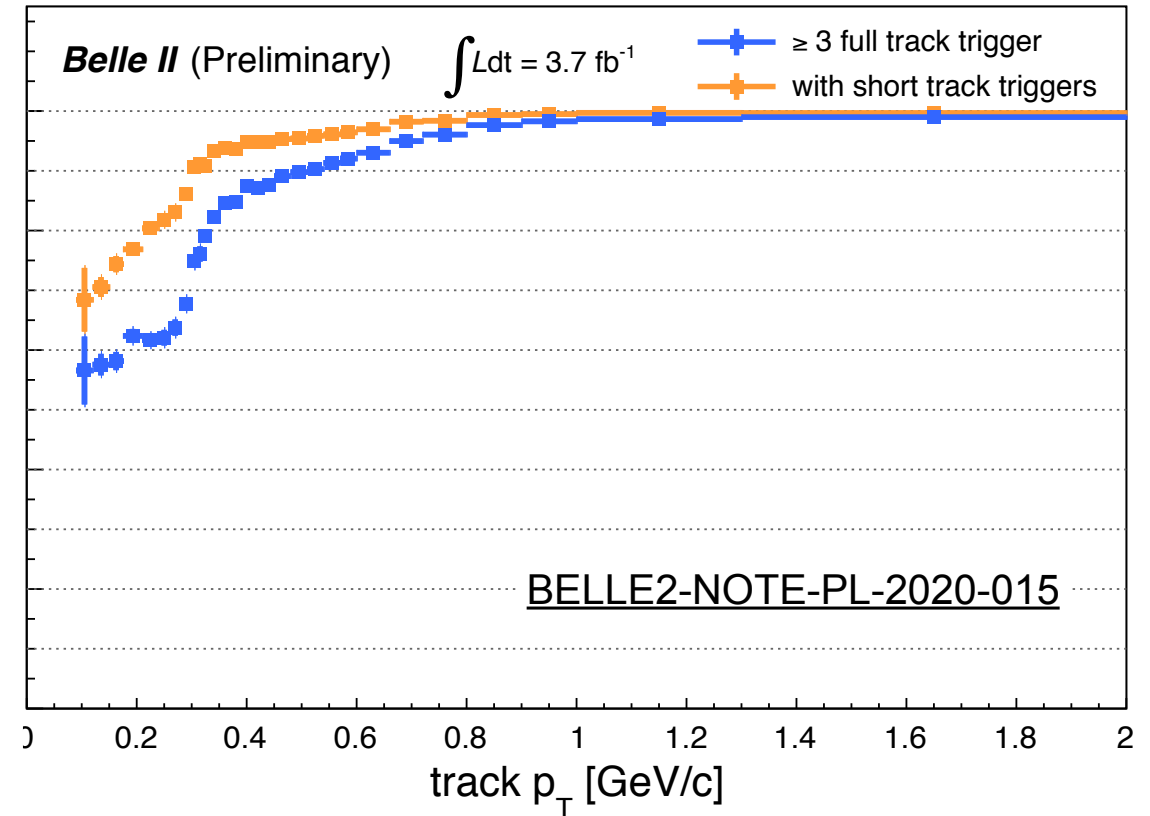
BACKUP

Full and short track triggers

$ee \rightarrow \tau\tau \rightarrow 1x1$ prong

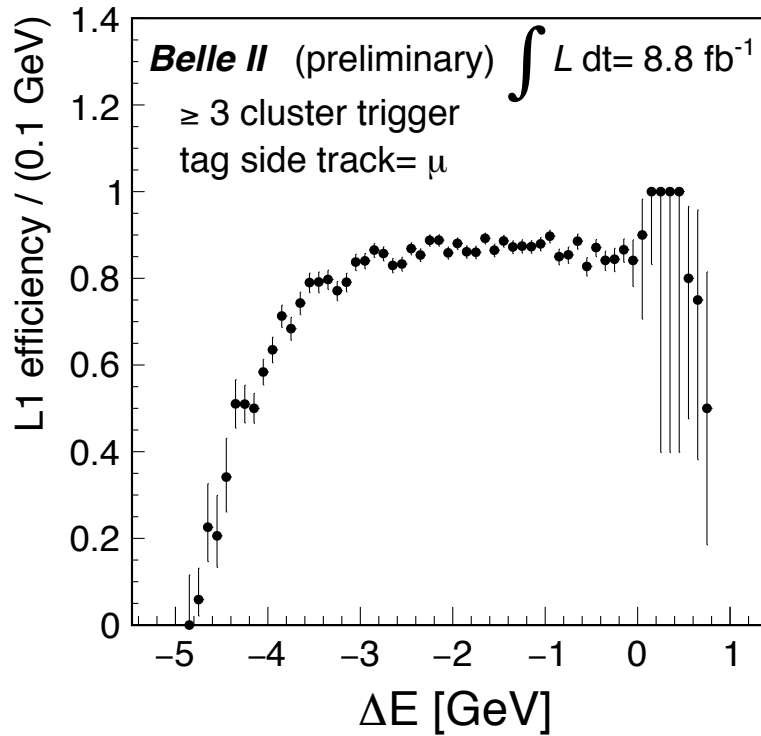


$ee \rightarrow \tau\tau \rightarrow 1x3$ prong

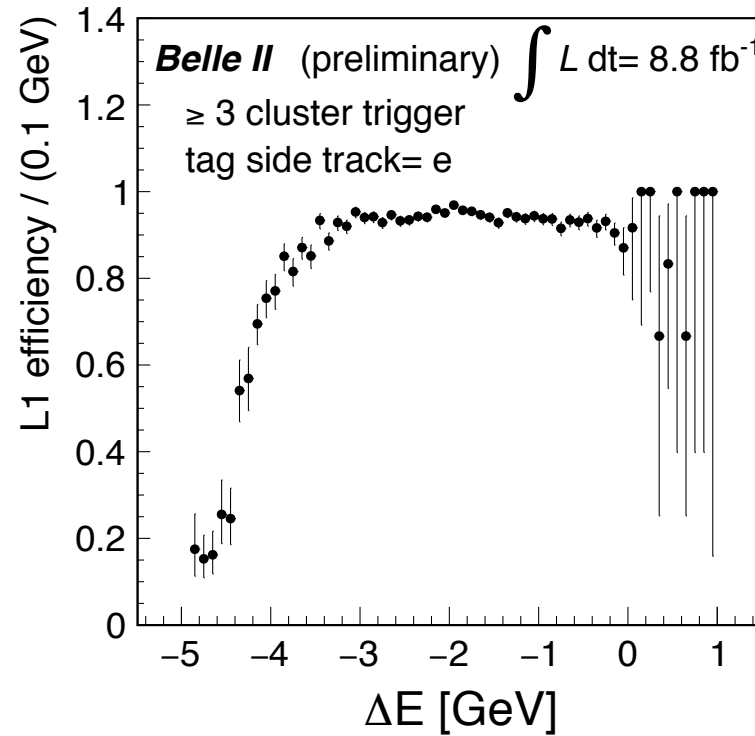


Trigger efficiency for τ LFV

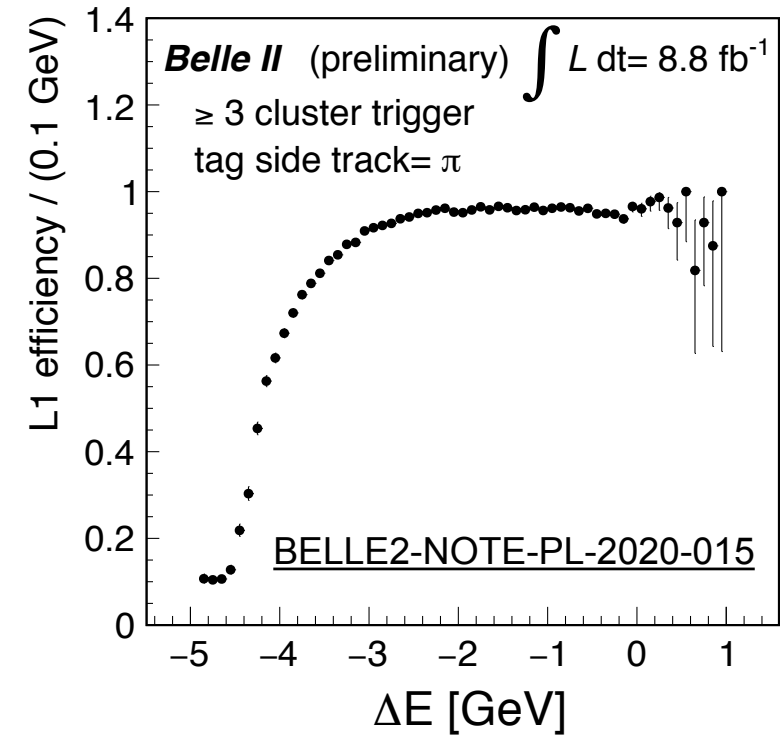
μ - 3π , LFV-like event



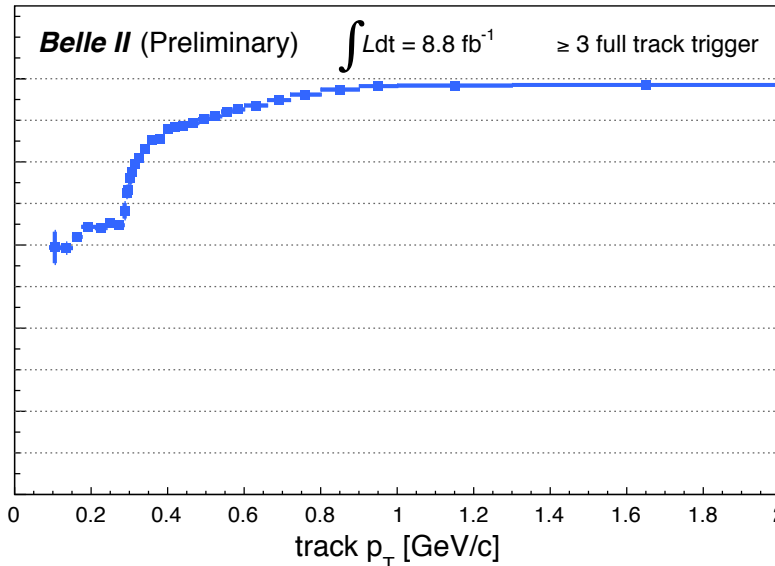
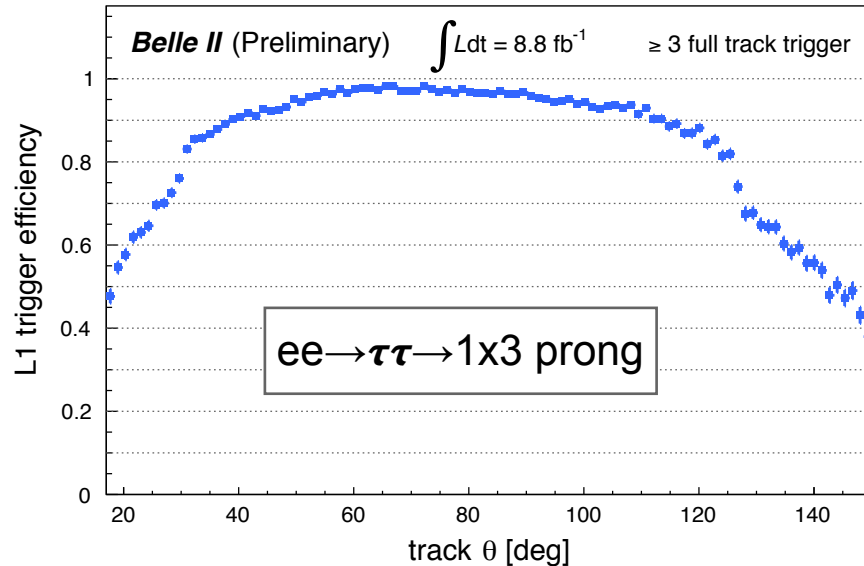
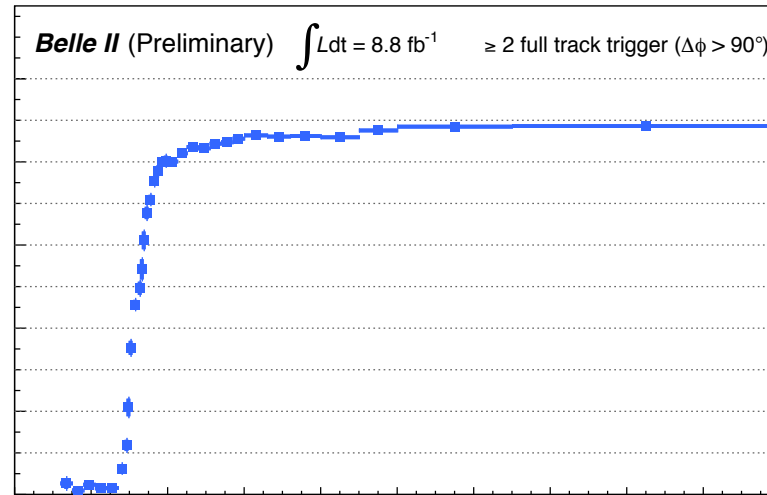
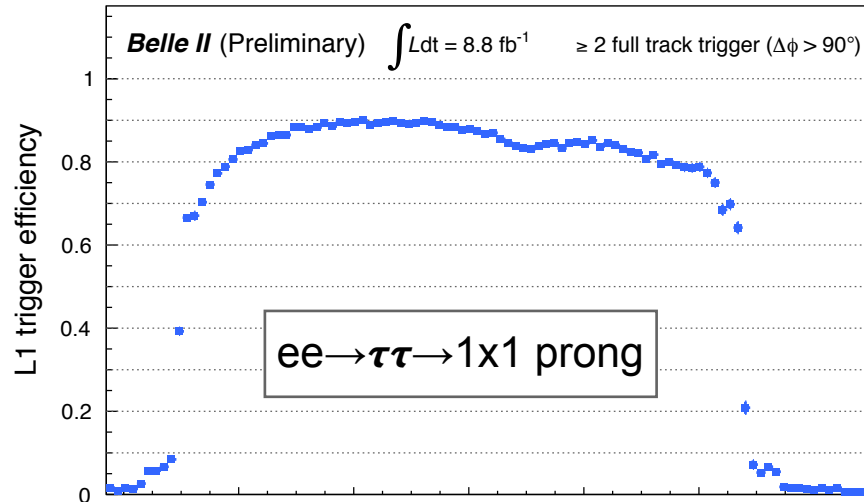
e - 3π , LFV-like event



π - 3π , LFV-like event



Full track triggers



- L1 requirement
 - ≥ 2 full tracks
 - track pair with $\Delta\phi > 90^\circ$
 - ECL Bhabha veto
- ⇒ low efficiency in endcaps, puts limitations on tau + other low multi physics

- L1 requirement
 - ≥ 3 full tracks
- ⇒ less severe drop in endcaps and at low p_T (due to one track redundancy)

Trigger definitions

- **ffo** : ≥ 2 full tracks, track pair with $\Delta\phi > 90^\circ$ and not an ECL Bhabha.
- **fff** : ≥ 3 full tracks.
- **fso** : ≥ 1 full tracks, ≥ 1 short tracks, track pair with $\Delta\phi > 90^\circ$ and not an ECL Bhabha.
- **sso** : ≥ 2 short tracks, track pair with $\Delta\phi > 90^\circ$ and not an ECL Bhabha.
- **ffs** : ≥ 2 full tracks and ≥ 1 short tracks.
- **fss** : ≥ 1 full tracks and ≥ 2 short tracks.
- **sss** : ≥ 3 short tracks.
- **hie** : total energy above 1 GeV and not an ECL Bhabha.
- **c4** : ≥ 4 isolated clusters with energy above 100 MeV and not an ECL Bhabha.
- **eclmumu** : cluster pair each with $E^* < 2$ GeV, $165^\circ < \sum\theta < 190^\circ$ and $160^\circ < \Delta\phi < 200^\circ$.

Trigger definitions

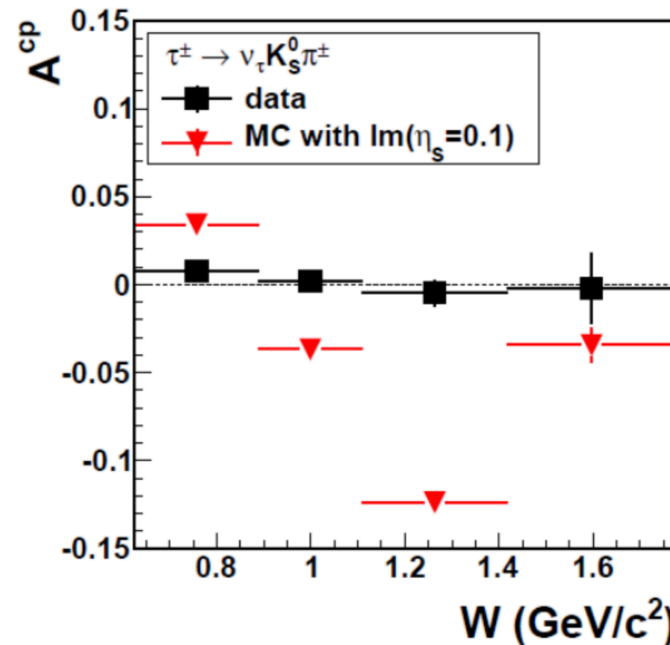
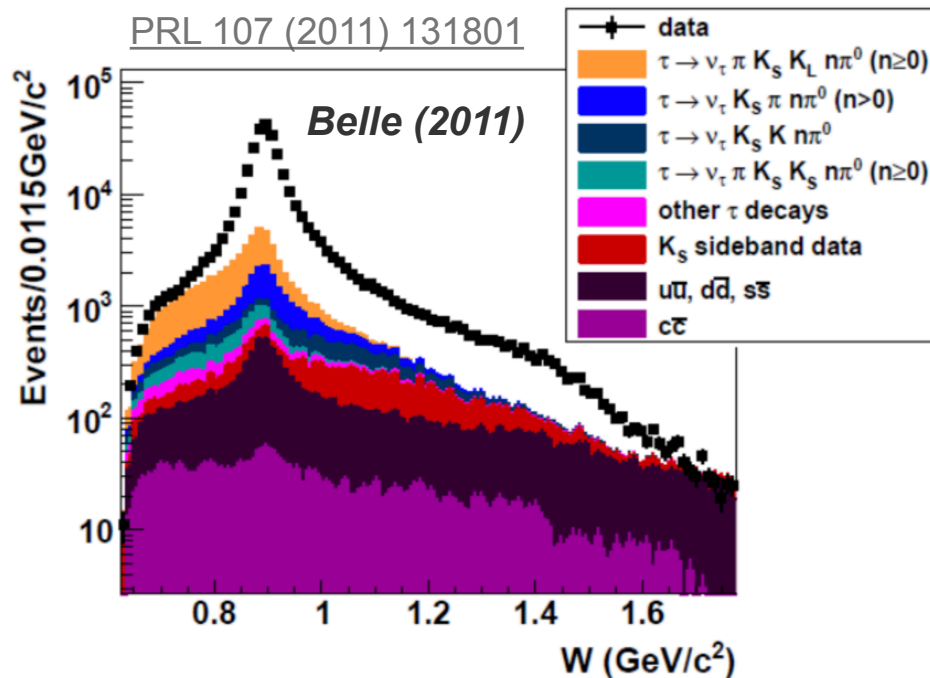
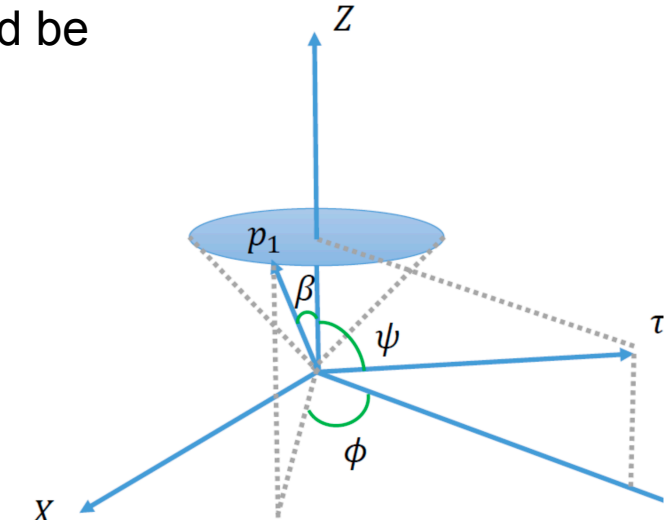
- **lml0** : ≥ 3 clusters with at least one having $E^* > 300$ MeV, $1 < \theta_{ID} < 17$ (corresponding to $18.5^\circ < \theta < 139.3^\circ$, full ECL) and not an ECL Bhabha.
- **lml1** : exactly 1 cluster with $E^* > 2$ GeV and $4 < \theta_{ID} < 14$ ($32.2^\circ < \theta < 124.6^\circ$)
- **lml2** : ≥ 1 cluster with $E^* > 2$ GeV, $\theta_{ID} = 2, 3, 15,$ or 16 ($18.5^\circ < \theta < 32.2^\circ$ or $124.6^\circ < \theta < 139.3^\circ$) and not an ECL Bhabha.
- **lml4** : ≥ 1 cluster with $E^* > 2$ GeV, $\theta_{ID} = 1$ or 17 ($\theta < 18.5^\circ$ or $\theta > 139.3^\circ$) and not an ECL Bhabha.
- **lml6** : exactly 1 cluster with $E^* > 1$ GeV, $4 < \theta_{ID} < 15$ ($32.2^\circ < \theta < 128.7^\circ$, full ECL barrel) and no other cluster with $E > 300$ MeV anywhere.
- **lml7** : exactly 1 cluster with $E^* > 1$ GeV, $\theta_{ID} = 2, 3$ or 16 ($18.5^\circ < \theta < 31.9^\circ$ or $128.7^\circ < \theta < 139.3^\circ$) and no other cluster with $E > 300$ MeV anywhere.
- **lml8** : cluster pair with $170^\circ < \Delta\phi < 190^\circ$, both clusters with $E^* > 250$ MeV and no 2 GeV cluster in the event.
- **lml9** : cluster pair with $170^\circ < \Delta\phi < 190^\circ$, one cluster with $E^* < 250$ MeV with the other having $E^* > 250$ MeV, and no 2 GeV cluster in the event.
- **lml10** : cluster pair with $160^\circ < \Delta\phi < 200^\circ$, $160^\circ < \sum\theta < 200^\circ$ and no 2 GeV cluster in the event.
- **lml12** : ≥ 3 clusters with at least one having $E^* > 500$ MeV, $2 < \theta_{ID} < 16$ (corresponding to $18.5^\circ < \theta < 139.3^\circ$, full ECL) and not an ECL Bhabha.

CP violation in $\tau \rightarrow K_S \pi^\pm \nu_\tau$

- CP violation could also arise from a charged scalar boson exchange. It would be detected as a difference in the decay angular distributions:

$$A_i^{CP} = \frac{\iint_{Q_{1,i}^2}^{Q_{2,i}^2} \cos\beta \cos\psi \left(\frac{d\Gamma_{\tau^-}}{d\omega} - \frac{d\Gamma_{\tau^+}}{d\omega} \right) d\omega}{\frac{1}{2} \iint_{Q_{1,i}^2}^{Q_{2,i}^2} \left(\frac{d\Gamma_{\tau^-}}{d\omega} + \frac{d\Gamma_{\tau^+}}{d\omega} \right) d\omega} \simeq \langle \cos\beta \cos\psi \rangle_{\tau^-}^i - \langle \cos\beta \cos\psi \rangle_{\tau^+}^i,$$

$$d\omega = dQ^2 d\cos\theta d\cos\beta$$



- With 50 ab^{-1} of data, Belle II is expected to provide a x70 more precise measurement:

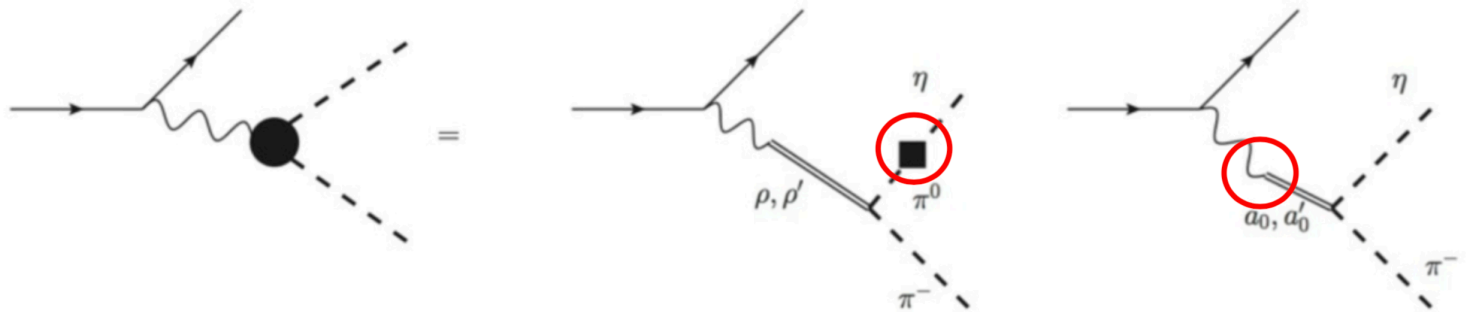
$$|A_{CP}| < (0.5-3.8) \times 10^{-4}$$

(assuming central value $A^{CP} = 0$)

Second class currents in $\tau \rightarrow \eta \pi \nu$

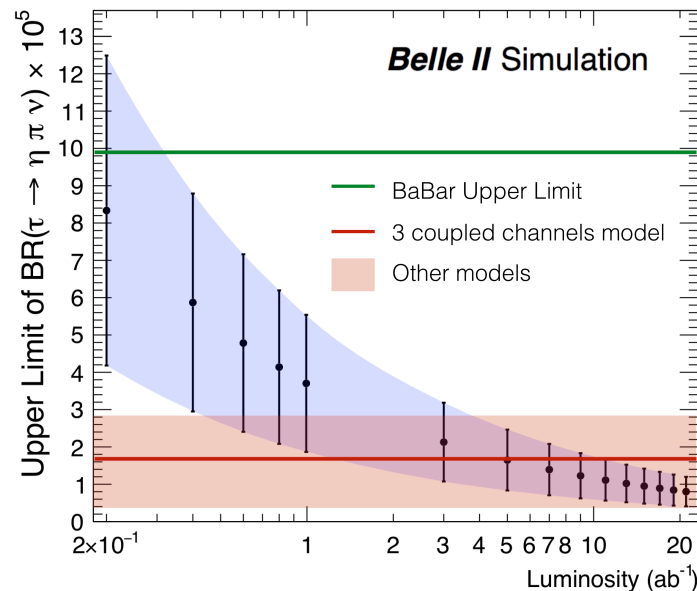
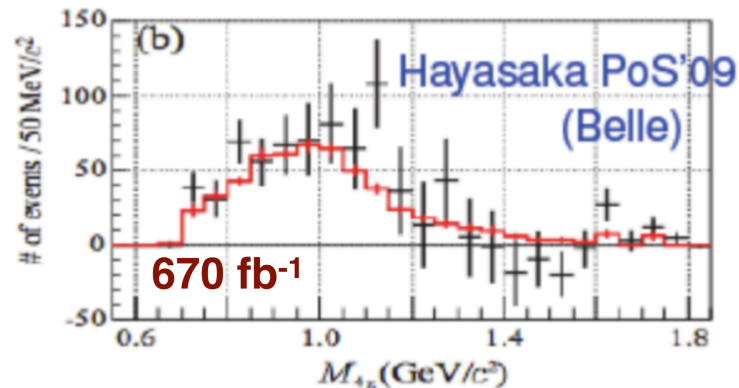
- Hadronic currents classified as first or second class according to their spin, parity and G-parity quantum numbers
 - Second Class Current (SCC): $J^{PG} = 0^{+-} (a_0), 0^{-+} (\eta), 1^{++} (b_1), 1^{--} (\omega) \Rightarrow$ **yet to be observed!**

- In the SM, $\tau \rightarrow \eta \pi \nu$ decays proceed via SCCs (isospin-violating) with tiny BRs $\lesssim \mathcal{O}(10^{-5})$



- Searched for at last-gen B factories:

- Belle: $Br < 7.3 \times 10^{-5}$
- BaBar: $Br < 9.9 \times 10^{-5}$



- The observation of SCC via $\tau \rightarrow \eta \pi \nu$ decay is a priority at Belle II
- SM predictions can be tested for the first time with the first years data taking (1 ab^{-1})
- Clear signal could suggest New Physics!**

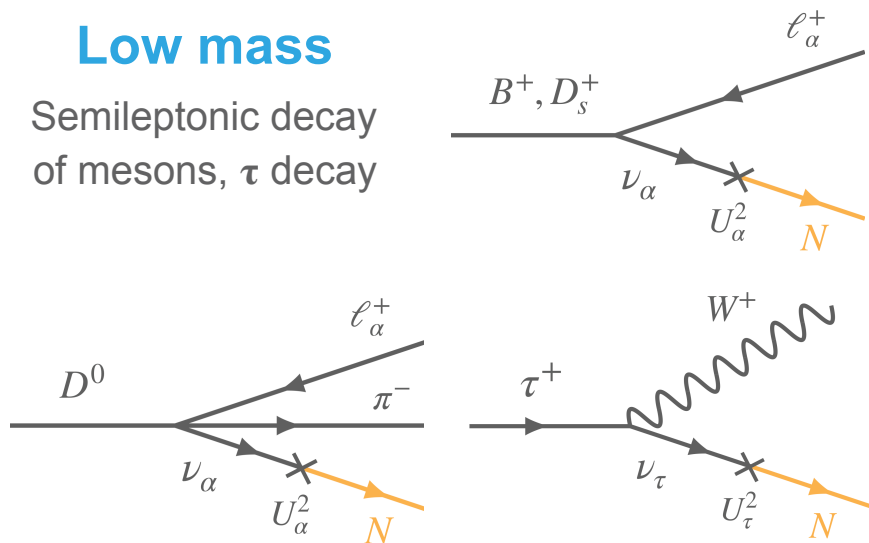
HNL Production and Decay

- Neutrino flavour and mass eigenstates need not coincide, but may be related through a unitary transformation $\nu_\alpha = \sum_i U_{\alpha,i} \nu_i$, $\alpha = e, \mu, \tau, \dots$, $i = 1, 2, 3, 4, \dots$
- HNL production can occur through mixing with the SM neutrinos \Rightarrow suppressed by factor of \mathbf{U}_α^2
- They can then decay (after long flight length) by mixing again with SM neutrinos \Rightarrow additional \mathbf{U}_α^2

Production

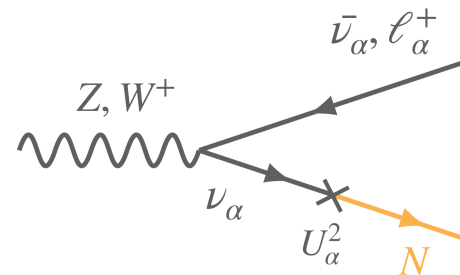
Low mass

Semileptonic decay of mesons, τ decay



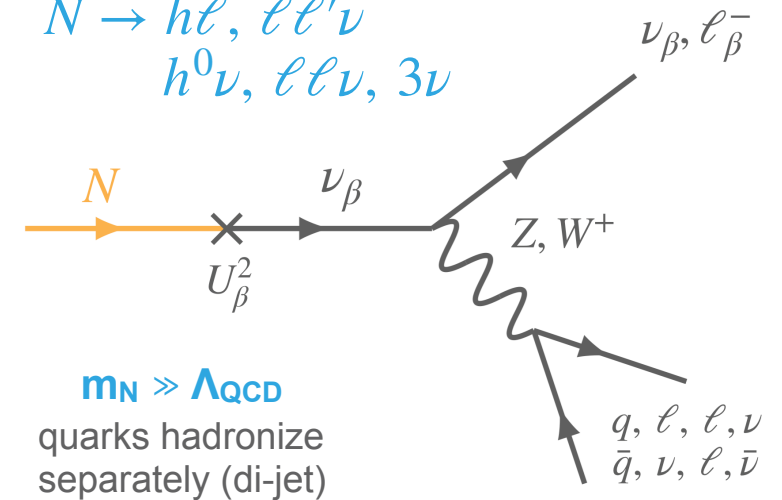
High mass

Decays of Z and W^\pm bosons



Decay

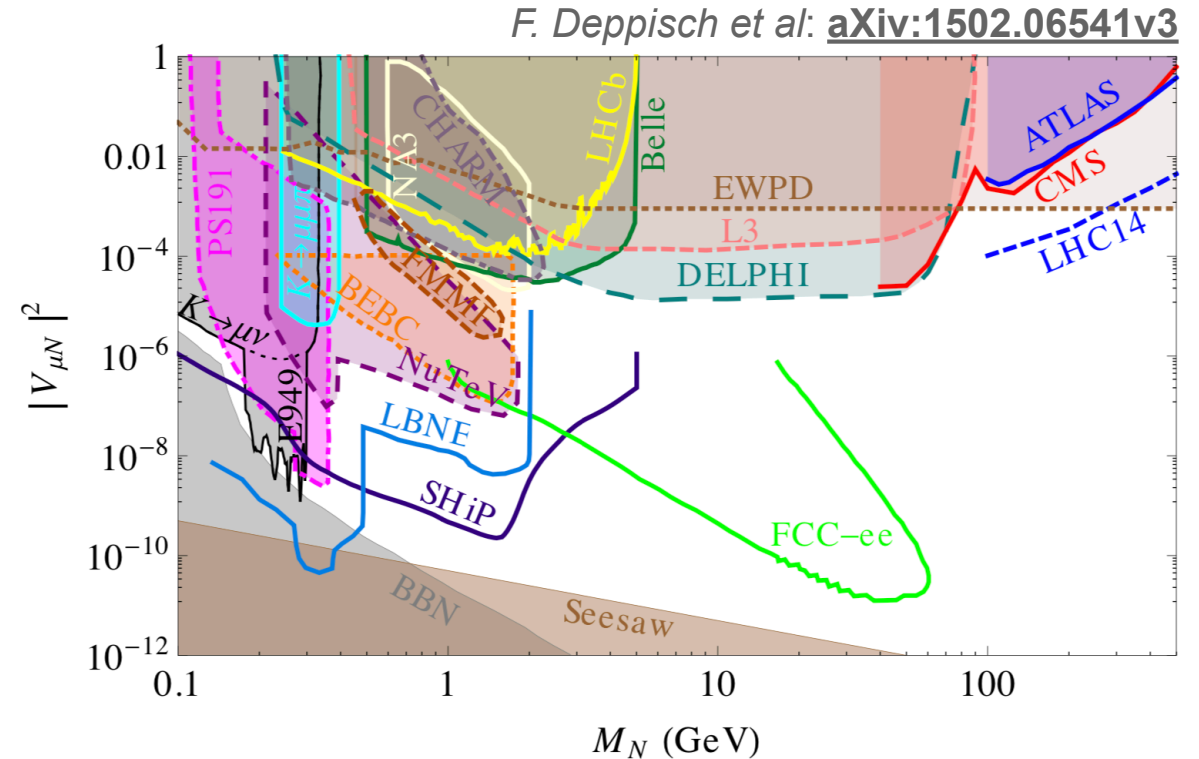
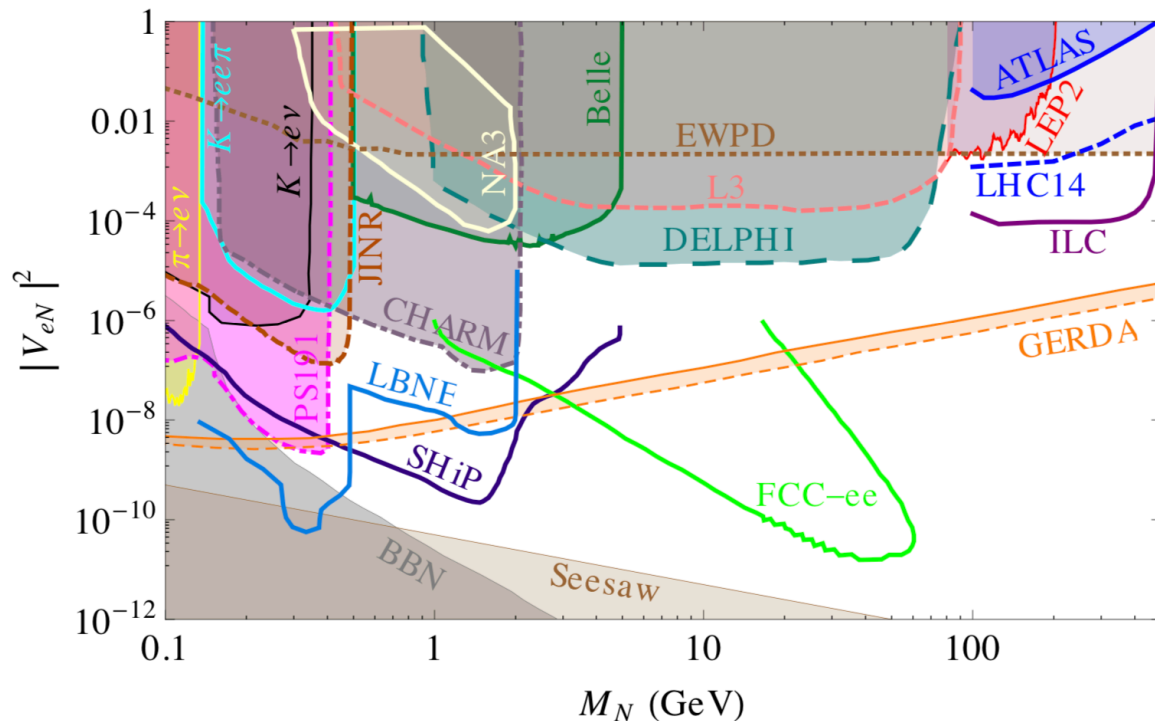
$N \rightarrow h\ell, \ell\ell'\nu$
 $h^0\nu, \ell\ell\nu, 3\nu$



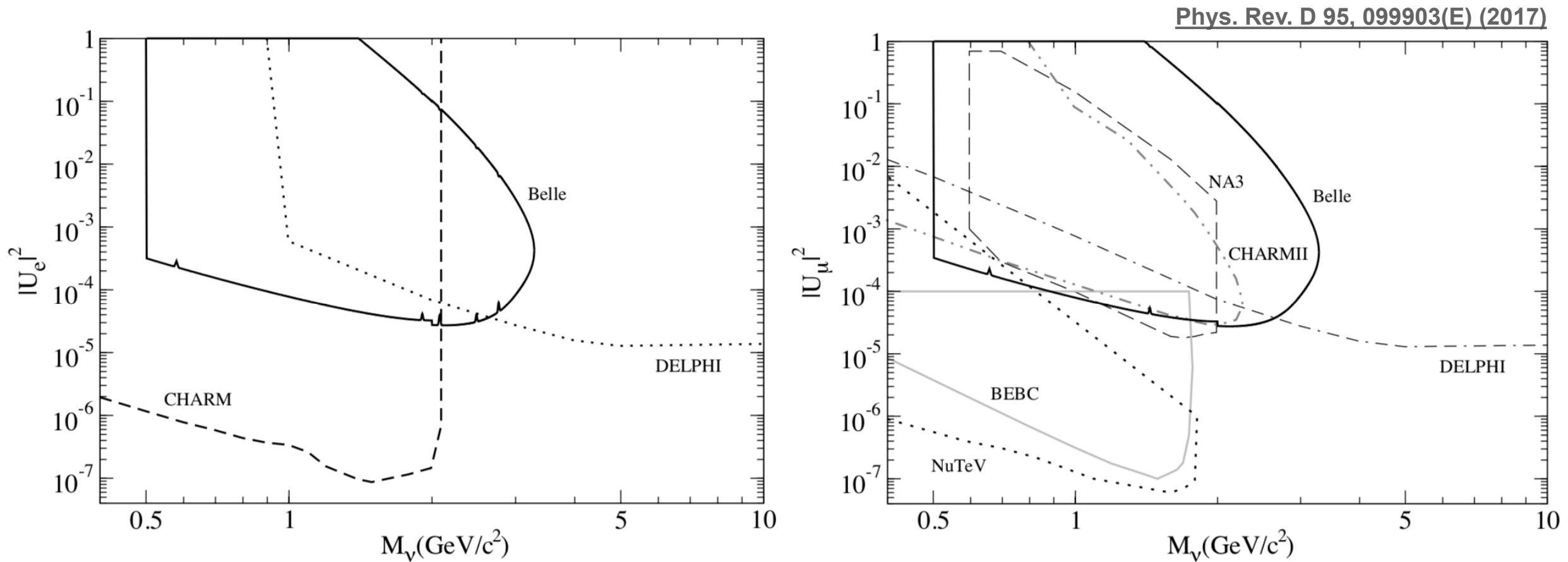
$m_N \gg \Lambda_{\text{QCD}}$
quarks hadronize separately (di-jet)

Status of Direct Searches for HNL

- Existing experiments have explored M_N from 100 MeV up to almost 1 TeV
- $M_N > M_Z$
direct search @LHC ($pp \rightarrow Nl^\pm$)
- $M_N < M_{Z,W}$
DELPHI ($Z^0 \rightarrow \nu N$)
ATLAS/CMS ($W^\pm \rightarrow Nl^\pm$)
- $M_N < M_{B,D,K}$
beam-dump, NA62, etc.
LHCb, **Belle**, soon also **Belle II**



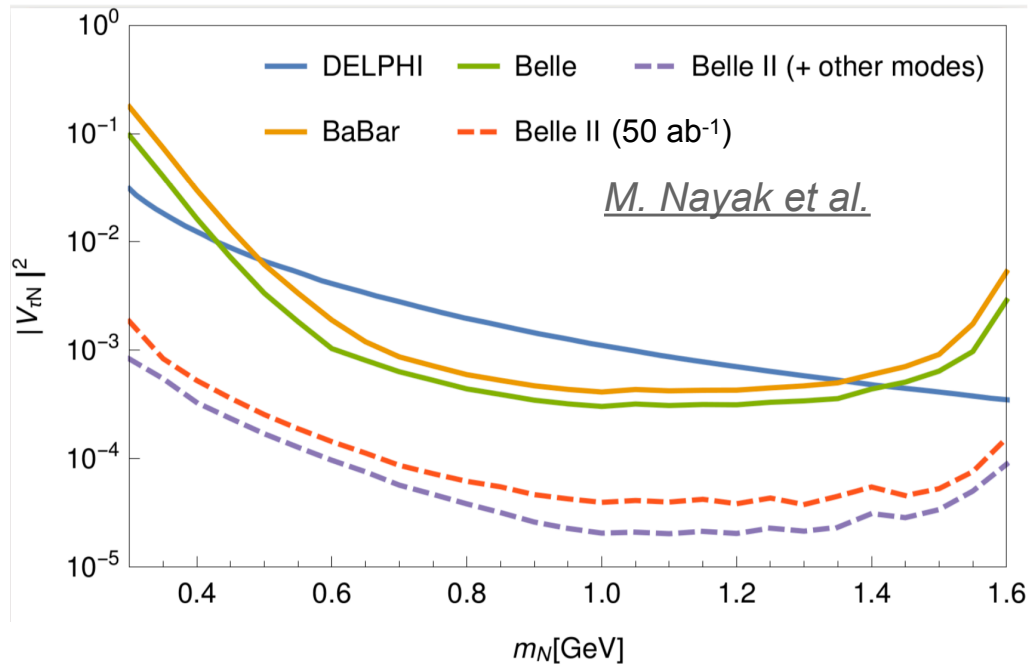
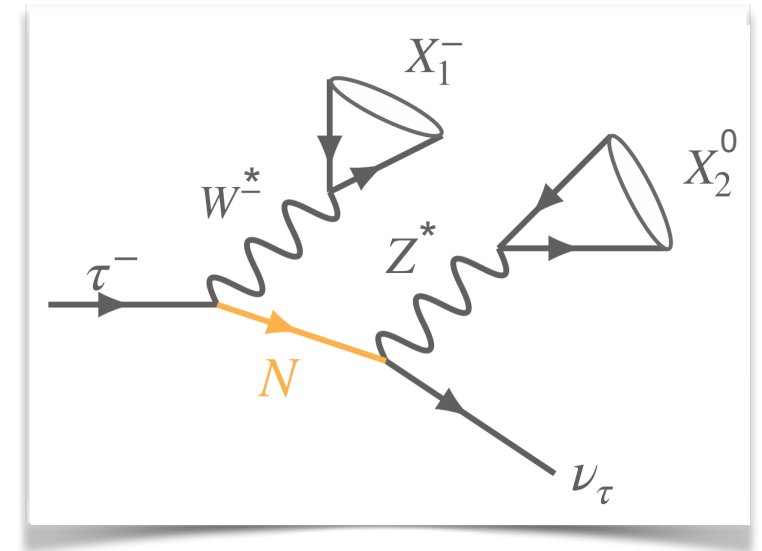
Comparison with other experiments



- Results are shown from Belle, CHARM, CHARMII, DELPHI, NuTeV, BEBC and NA3

Search for HNL vertex with taus

- Proposed search for displaced HNL vertex in $ee \rightarrow \tau\tau \rightarrow 1x3$ prong
- For $|U_{\tau N}|^2 \gg |U_{eN}|^2, |U_{\mu N}|^2$ and $m_N < m_\tau$, decay occurs via $N \rightarrow \nu_\tau (Z^* \rightarrow X^0)$
- For this preliminary sensitivity study:
 - X_1 restricted to π or $\pi\pi^0$
 - X_2 restricted to $\mu\mu$ or ee (hadronic X_2 could enter final analysis)
- Long lifetime ($c\tau \propto |U_{\tau N}|^{-2} m_N^{-5}$) \Rightarrow tiny background but low signal efficiency



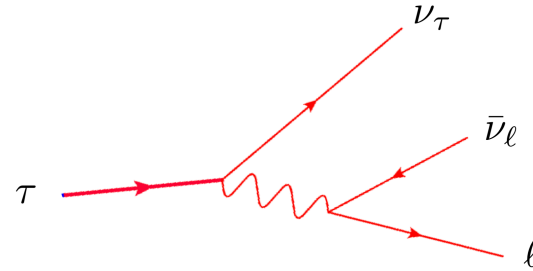
- Bkg suppression driven by $N \rightarrow ee/\mu\mu$ vertex-based constraints and flight length > 10 cm
- Signal yields extracted from fit to reconstructed M_N distribution
- Assumption of zero background search
 - achievable based on studies with official Belle II MC
 - more comprehensive bkg studies are ongoing

In this channel alone, Belle or Belle II could exceed DELPHI limits!

Michel Parameters

- In SM, τ lepton decay is due to the interaction with a charged weak current

- Leptonic decays are of particular interest since absence of strong interaction allows precise study of EW Lorentz structure



- When spin of τ lepton is not determined, only four bilinear combinations of the coupling constants are experimentally accessible:

- ▶ ρ , η , ξ and δ
- ▶ in SM: $3/4$, 0 , 1 and $3/4$

- With full dataset (50 ab^{-1}), the stat uncertainty is expected to be $\sim 10^{-4}$

- Systematic uncertainties will be challenging at Belle II ($\sim 10^{-3}$)

