

Search for $B \rightarrow X_S \nu \bar{\nu}$ decay in Belle II Experiment

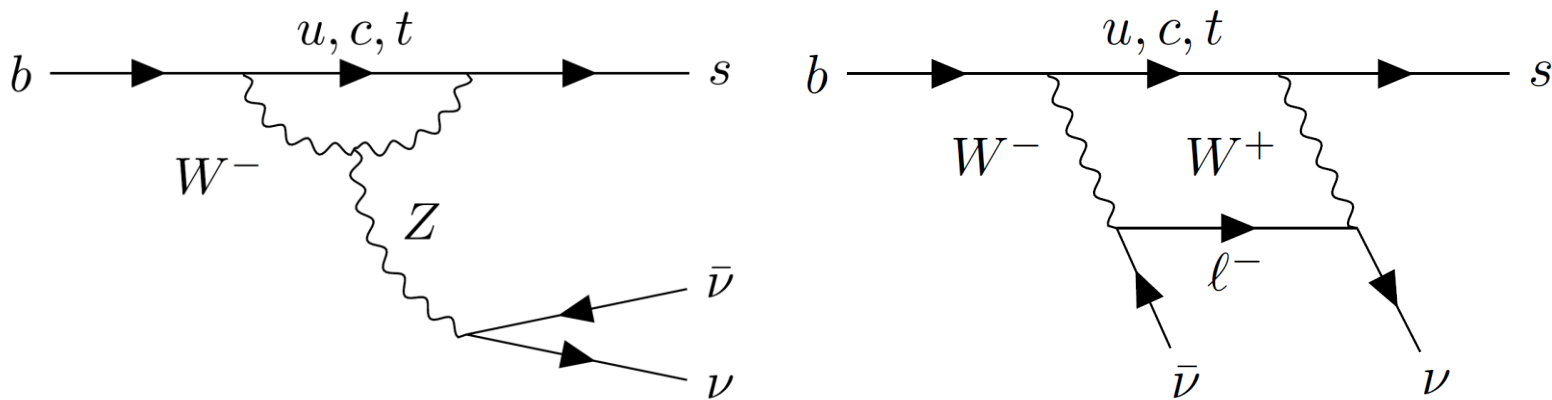
30th ICEPP Symposium

University of Tokyo

Junewoo Park

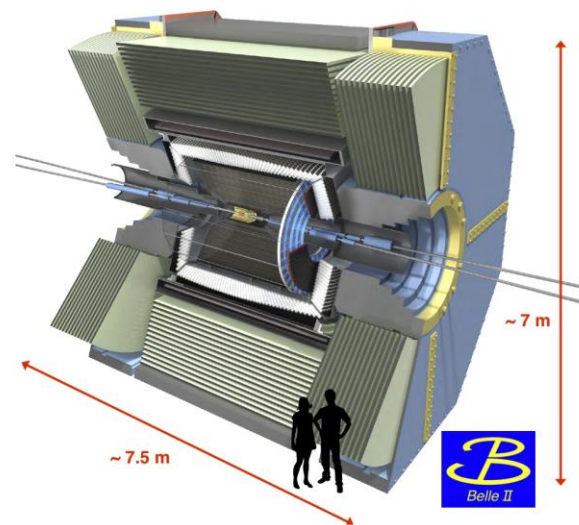
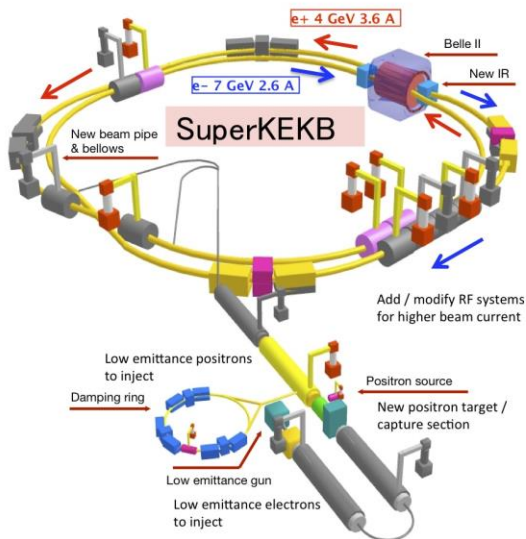
Motivation

- $B \rightarrow X_S \nu \bar{\nu}$ decay is theoretically clean
 - It is flavour changing neutral current (FCNC) process
 - $BR = (2.9 \pm 0.3) \times 10^{-5}$ by Standard Model [\[Journal of High Energy Physics 2015.2 \(2015\): 1-39.\]](#)
 - It can be enhanced by new physics, like Z' boson [\[Journal of High Energy Physics 2009.04 \(2009\): 022.\]](#)
 - This decay mode have not been studied at Belle and Belle II
- $UL(b \rightarrow s \nu \bar{\nu}) = 6.4 \cdot 10^{-4}$ (90CL) by ALEPH [\[The European Physical Journal C-Particles and Fields 19.2 \(2001\): 213-227.\]](#)



Belle II Experiment

- Electrons and positrons are accelerated up to 7 GeV and 4 GeV respectively by SuperKEKB
- Its energy correspond to the resonance of $\Upsilon(4S)$ which mainly decay into B meson pair
 - 10.58 GeV
- Belle II detector consists of several sub-detector components
 - pixelated silicon sensors (PXD), silicon strip sensors (SVD), central drift chamber (CDC), Aerogel Ring Imaging Cherenkov (ARICH), electromagnetic calorimeter (ECL), and K_L^0 and muon detector (KLM)



$\Upsilon(4S)$ DECAY MODES

	Mode	Fraction (Γ_i/Γ)	Confidence level
Γ_1	$B\bar{B}$	> 96 %	95%
Γ_2	$B^+ B^-$	(51.4 ± 0.6) %	

Event Generation

- For MC study, MC samples are produced
 - Particle decay and kinematics are simulated by `EvtGen`
 - Detector simulation is done by `Geant4`

- For background sample

Official background samples are used. 6 types of samples are used:

- $e^+e^- \rightarrow \Upsilon(4S) \rightarrow B\bar{B}$
- $e^+e^- \rightarrow q\bar{q}$ ($q = u, d, s, c$)

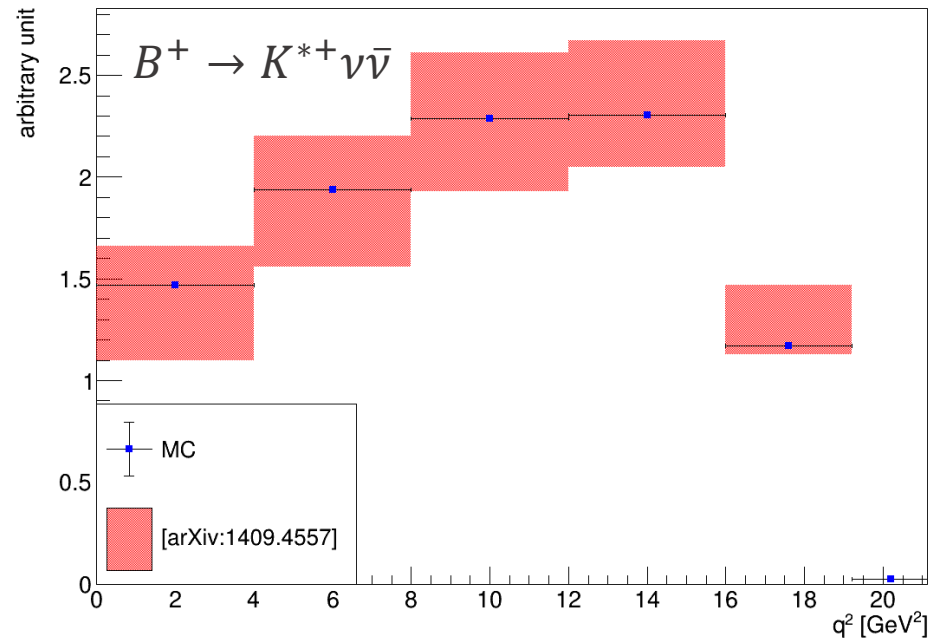
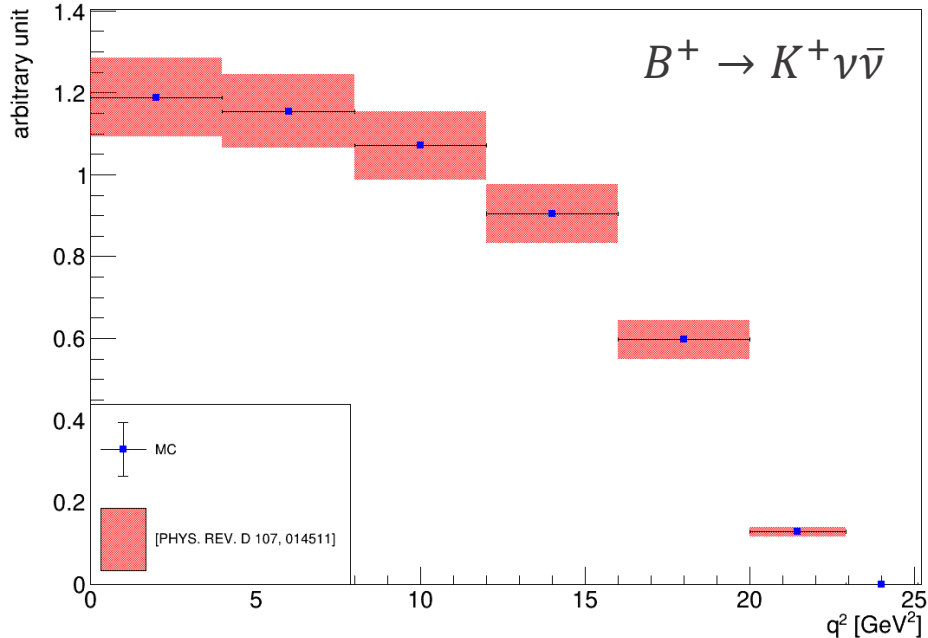
Event Generation

- $B \rightarrow K\nu\bar{\nu}$, $B \rightarrow K^*\nu\bar{\nu}$, and non-resonant $B \rightarrow X_S\nu\bar{\nu}$ MC samples are produced separately

$B \rightarrow K\nu\bar{\nu}$ and $B \rightarrow K^*\nu\bar{\nu}$ samples are produced based on form factors [\[PhysRevD.107.014510\]](#) [\[JHEP08\(2016\)098\]](#)

$$\mathcal{M}(B \rightarrow K\nu\bar{\nu}) \propto f_+(q^2) \left\{ (p_B + p)_\mu - \frac{m_B^2 - m_K^2}{s} q_\mu \right\} (\bar{\nu}\gamma^\mu(1 - \gamma_5)\nu)$$

$$\mathcal{M}(B \rightarrow K^*\nu\bar{\nu}) \propto T_\mu (\bar{\nu}\gamma^\mu(1 - \gamma_5)\nu), \text{ where } T_\mu = (m_B + m_{K^*})A_1(q^2)\epsilon_\mu^* - A_2(q^2)\frac{\epsilon^* \cdot q}{m_B + m_{K^*}}(p + p_{K^*})_\mu + i\frac{2V(q^2)}{m_B + m_{K^*}}\epsilon_{\mu\nu\rho\sigma}\epsilon^{*\nu}p^\rho p_{K^*}^\sigma$$



※ $q^2 = (p_\nu + p_{\bar{\nu}})^2$

Event Generation

- For non-resonant $B \rightarrow X_S \nu \bar{\nu}$ MC samples, following distribution is used [\[JHEP04\(2009\)\]](#)

$$\frac{d\Gamma}{dq^2} \propto \sqrt{\lambda(1, \hat{m}_s, s_b)} [3s_b(1 + \hat{m}_s^2 - s_b - 4\hat{m}_s + \lambda(1, \hat{m}_s, s_b))] , \text{ where } \hat{m}_s = m_s/m_b \text{ and } s_b = q^2/m_b^2$$

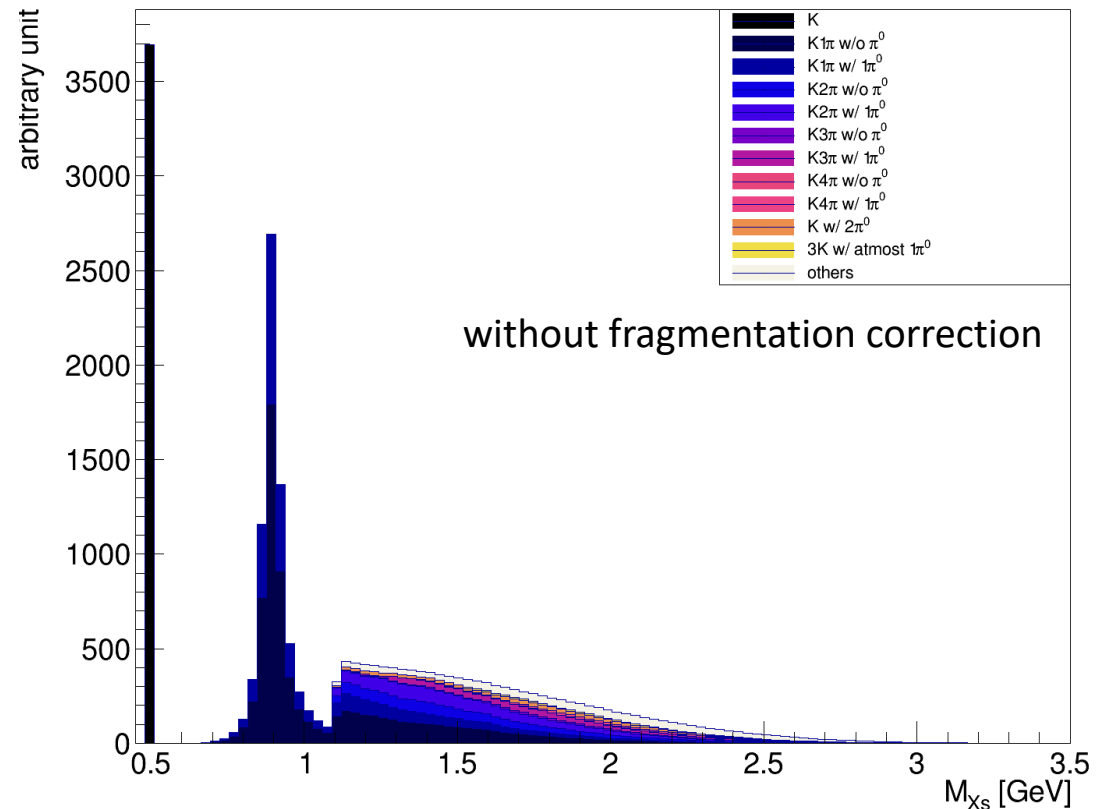
- To determine the mass of non-resonant X_S , Fermi motion model is used [\[PhysRevD.55.4105\]](#)

Inside B meson, b-quark are assumed to follow

$$\phi(p) = \frac{4}{\sqrt{\pi} p_F^3} \exp\left(\frac{-p^2}{p_F^2}\right)$$

as a momentum distribution

- Then non-resonant X_S decays by PYTHIA
 - ratio between decay modes (fragmentation) cannot be reliable
 - Fragmentation is corrected by $B \rightarrow X_S \gamma$ decay and uncertainty is estimated by $B \rightarrow X_S J/\psi$ decay

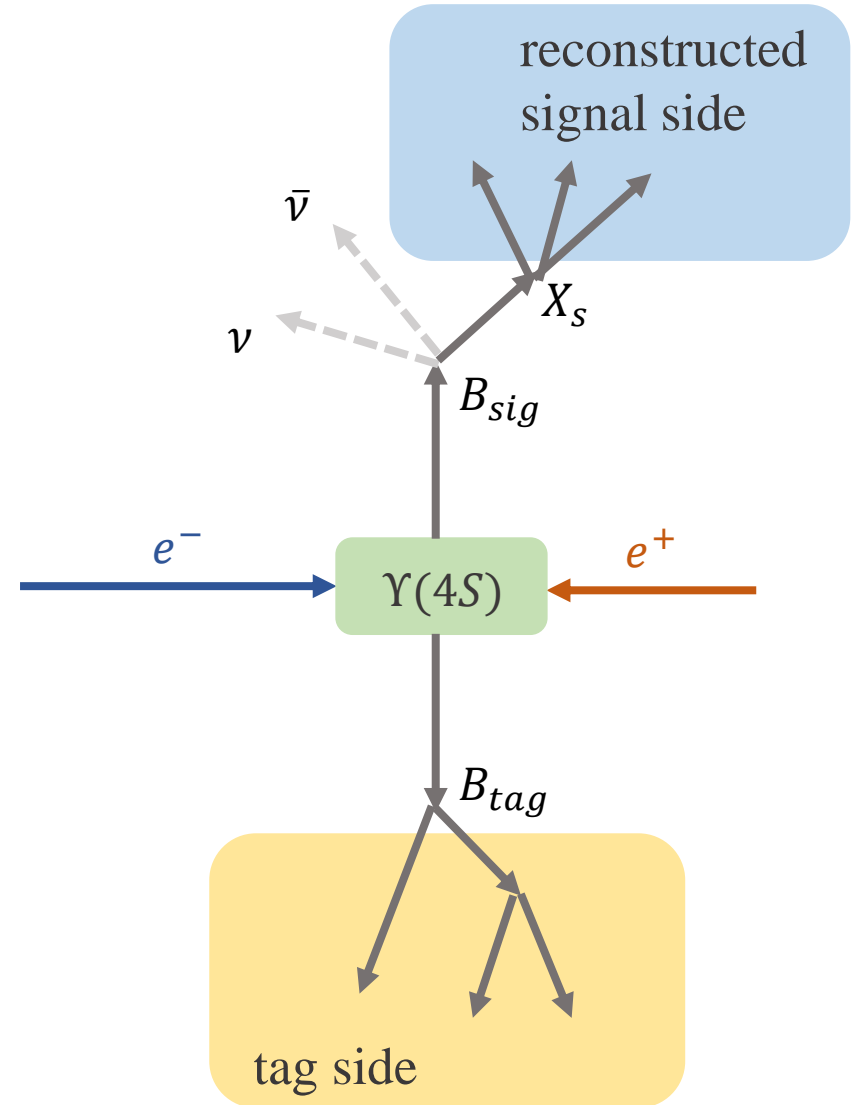


Analysis Procedure

- In $B \rightarrow X_s \nu \bar{\nu}$ decay, there are two neutrinos, which leads to large amount of background
- One side of B meson (B_{tag}) is reconstructed by common decay modes
 - The tool FEI (full event interpretation) is used to reconstruct it automatically [\[s41781-019-0021-8\]](#)
- Information of B_{tag} can be used to remove background

$$M_{bc}^{tag} \equiv \sqrt{E_{beam}^2 - |p_B^2|} \quad \text{- generally B meson mass for signal}$$

$$\Delta E^{tag} \equiv E_B - E_{beam} \quad \text{- generally 0 for signal}$$



Analysis Procedure

- 30 decay modes are reconstructed (sum of exclusive method)

	$B^0 \bar{B}^0$	B^\pm
K	K_S^0	K^\pm
$K\pi$	$K^\pm \pi^\mp \quad K_S^0 \pi^0$	$K^\pm \pi^0 \quad K_S^0 \pi^\pm$
$K2\pi$	$K^\pm \pi^\mp \pi^0 \quad K_S^0 \pi^\pm \pi^\mp \quad K_S^0 \pi^0 \pi^0$	$K^\pm \pi^\mp \pi^\pm \quad K_S^0 \pi^\pm \pi^0 \quad K^\pm \pi^0 \pi^0$
$K3\pi$	$K^\pm \pi^\mp \pi^\pm \pi^\mp \quad K_S^0 \pi^\pm \pi^\mp \pi^0 \quad K^\pm \pi^\mp \pi^0 \pi^0$	$K^\pm \pi^\mp \pi^\pm \pi^0 \quad K_S^0 \pi^\pm \pi^\mp \pi^\pm \quad K_S^0 \pi^\pm \pi^0 \pi^0$
$K4\pi$	$K^\pm \pi^\mp \pi^\pm \pi^\mp \pi^0 \quad K_S^0 \pi^\pm \pi^\mp \pi^\pm \pi^\mp \quad K_S^0 \pi^\pm \pi^\mp \pi^0 \pi^0$	$K^\pm \pi^\mp \pi^\pm \pi^\mp \pi^\pm \quad K_S^0 \pi^\pm \pi^\mp \pi^\pm \pi^0 \quad K^\pm \pi^\mp \pi^\pm \pi^0 \pi^0$
$3K$	$K^\pm K^\mp K_S^0$	$K^\pm K^\mp K^\pm$
$3K\pi$	$K^\pm K^\mp K^\pm \pi^\mp \quad K^\pm K^\mp K_S^0 \pi^0$	$K^\pm K^\mp K^\pm \pi^0 \quad K_S^0 K^\pm K^\mp \pi^\pm$

- It covers ~83% of my non-resonant X_S sample
- $K\nu\bar{\nu}$ and $K^* \nu\bar{\nu}$ decays account for 16.8% and 33.0% of total $X_S \nu\bar{\nu}$ sample

Signal Selection

- Preselection

- To reduce combinatorial backgrounds**

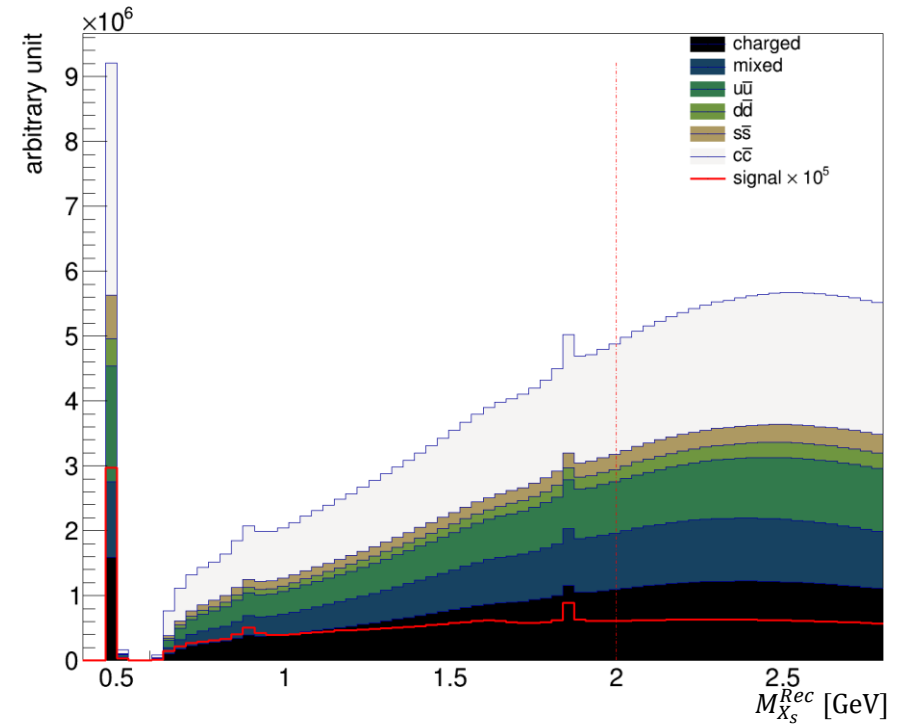
- $M_{X_S}^{Rec} < 2.0 \text{ GeV}/c^2$
 - Kinematic Fit converges for compatible X_S decay modes
 - Kinematic Fit converges for B_{tag}

- To reject backgrounds with additional tracks**

- the number of remaining loose tracks $^\dagger = 0$

- To reject backgrounds with additional particles**

- the number of remaining $\pi^0 = 0$
 - the number of remaining $K_S^0 = 0$



$^\dagger dr < 2 \text{ cm}$ and $|dz| < 4 \text{ cm}$

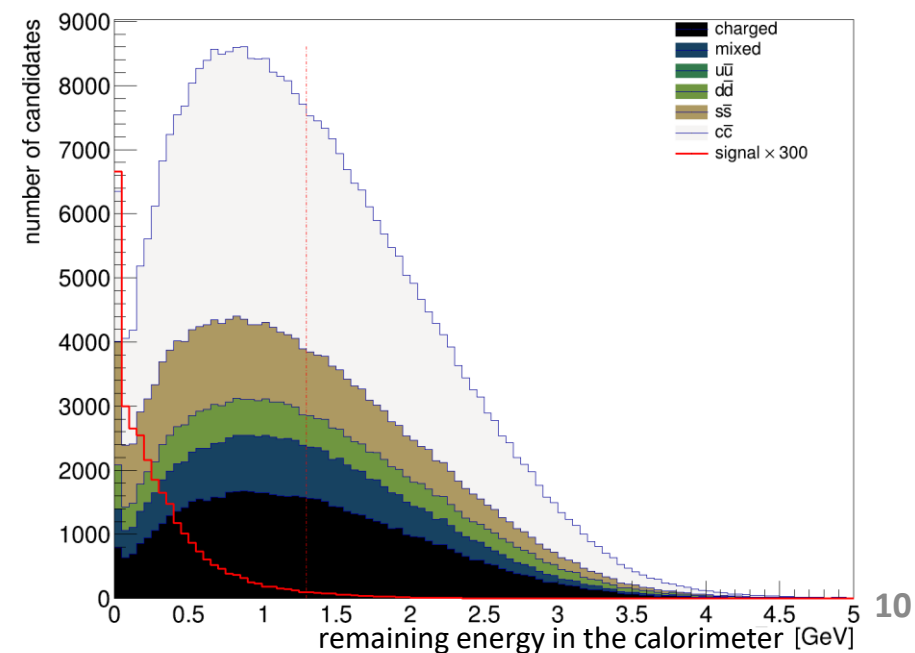
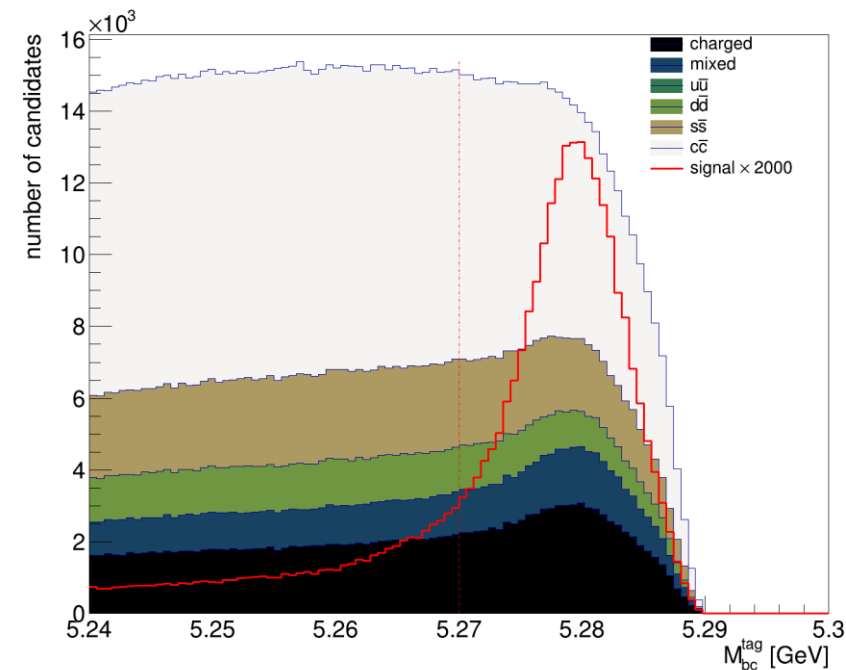
Signal Selection

- Several selections are applied
loose selection is applied. Optimization is done at MVA stage

- $M_{bc}^{tag} > 5.27$ GeV
- $|\Delta E_{bc}^{tag}| < 0.2$ GeV
- remaining energy in the calorimeter < 1.3 GeV
- $0.297 < \theta_{\text{missing}} < 2.618$ rad
- $0.5 < p_{X_s} < 2.96$ GeV
- reject $1.84 < M_{X_s}^{Rec} < 1.89$ GeV

- There can be more than one candidates

Best candidate selection is done by B_{tag} side information



MVA

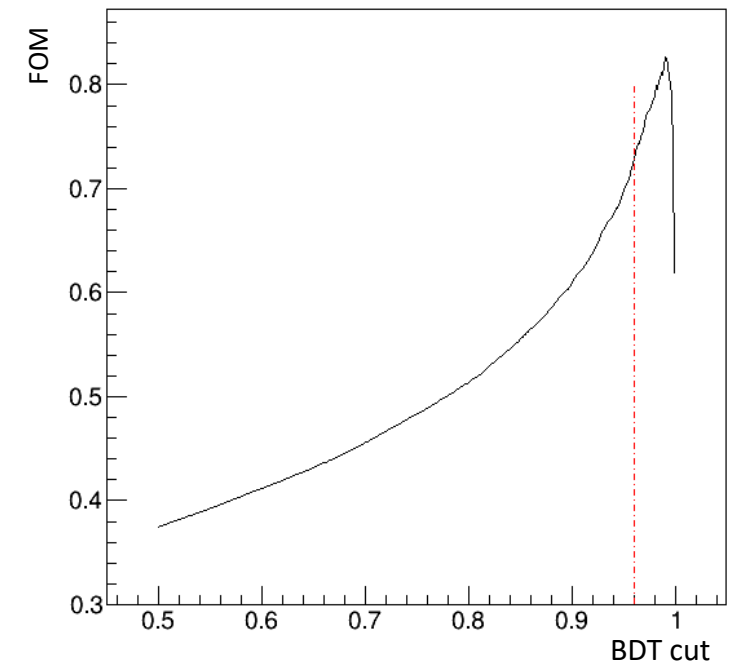
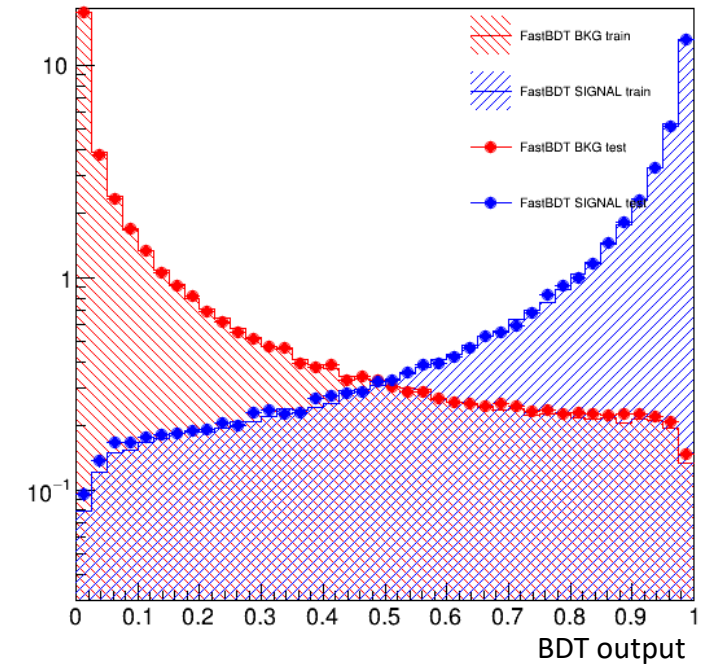
- BDT is used as a multi variate analysis (MVA)
 - 30 variables are used for BDT
 - Independent sample is used to check an overfitting
 - powerful variable: remaining energy in the calorimeter
 - These variables are selected based on discriminant power

- Figure of merit (FOM) is used to select BDT cut

- $$\text{FOM} = \frac{S}{\sqrt{S+B}},$$

- where S and B are the number of signal and background, respectively

- BDT output > 0.96 is applied: ensures good sensitivity and relatively high signal efficiency



Corrections

- Several corrections are applied

There can be difference between data and MC. We need to correct it!

Some examples:

PID correction

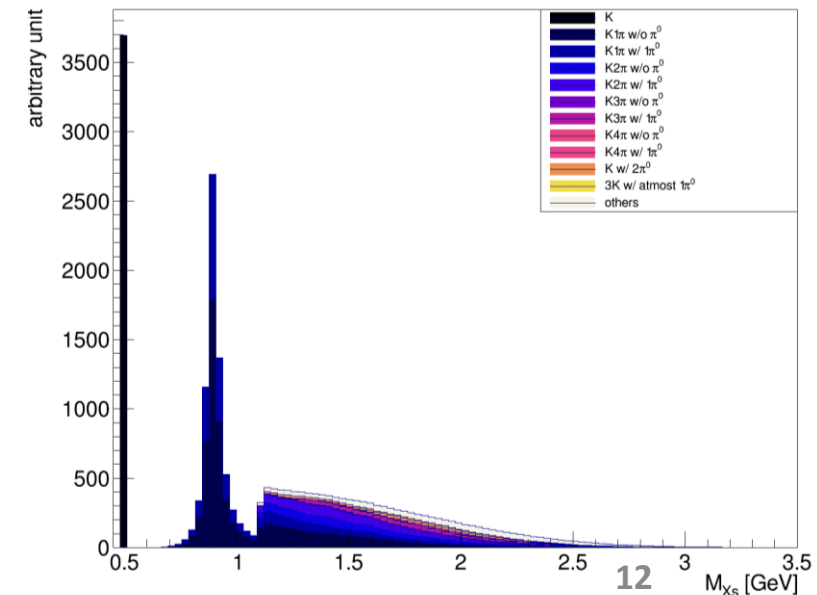
- official correction factors for particle identification are applied
- K and π efficiency, and K and π fake rate from leptons are corrected

π^0 efficiency correction

- official correction factors for π^0 reconstruction is applied

Fragmentation correction

- non-resonant $X_S \nu \bar{\nu}$ decay is produced by PYTHIA, which should be corrected
- use the result of $B \rightarrow X_S \gamma$ study



Corrections

- Several corrections are applied

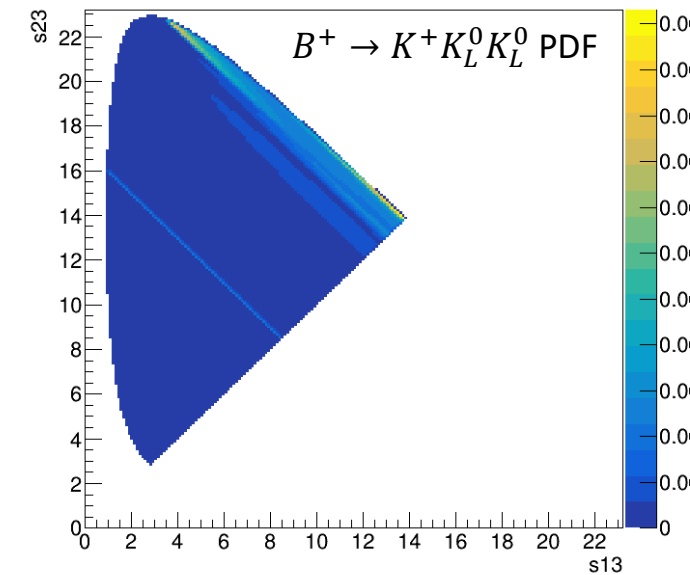
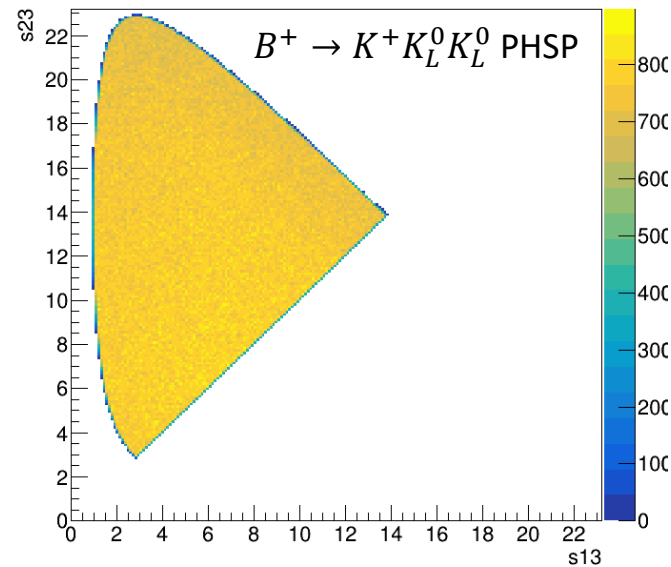
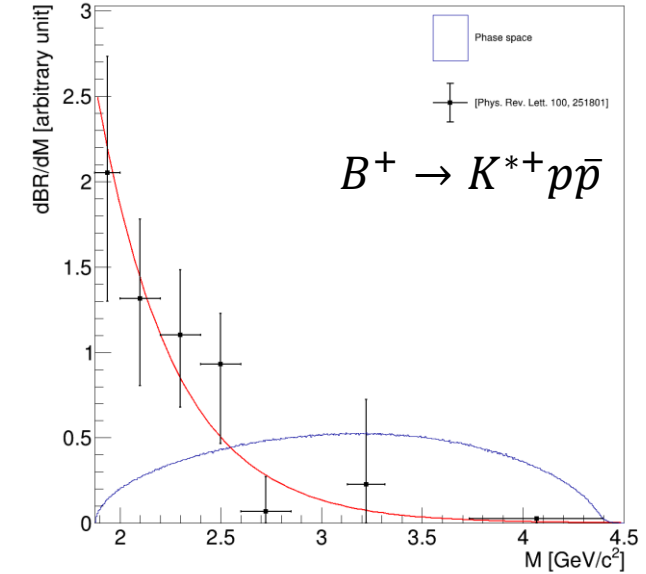
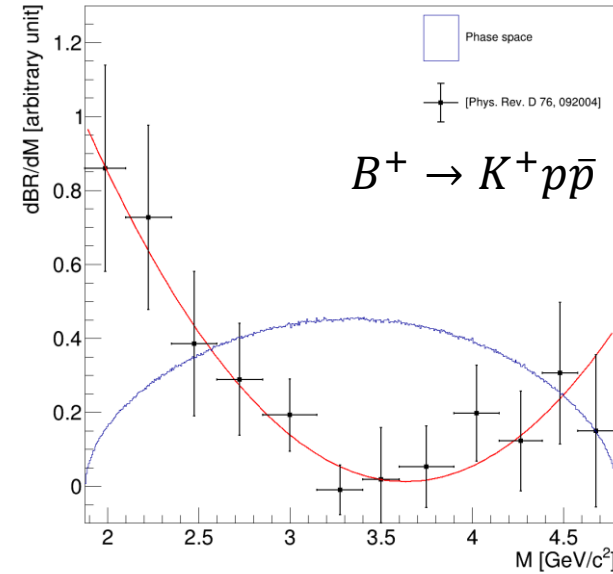
Some examples:

$B \rightarrow K^{(*)} n \bar{n}$ mismodeling correction

- MC sample is produced based on flat phase space
- it was found that there is a enhancement near a threshold
- use the result of $B \rightarrow K^{(*)} p \bar{p}$ study
[j.physletb.2007.11.063] [PhysRevLett.100.251801] [PhysRevD.76.092004]
- $M_{n\bar{n}}$ distribution and BR are corrected

$B \rightarrow K K_L^0 K_L^0$ mismodeling correction

- MC sample does not agree with the experimental result
- use the result of $B \rightarrow K K_S^0 K_S^0$ study
[PhysRevD.85.112010] [PhysRevD.85.054023]
- Dalitz plots are reweighted



Systematics

- Several systematics are estimated

some examples:

Background normalization

- The number of background may not agree with data
- conservatively apply $\pm 30\%$ uncertainties on each backgrounds
- motivated by the $B \rightarrow K\nu\bar{\nu}$ analysis [\[arXiv:2311.14647\]](https://arxiv.org/abs/2311.14647)

MC Statistics

- comes from statistical uncertainty of MC sample

Difference of $B \rightarrow K$ form factors

- comes from two theoretical values [\[JHEP02\(2015\)184\]](https://arxiv.org/abs/1502.02889) [\[PhysRevD.107.014510\]](https://arxiv.org/abs/1007.4635)

BR of major B meson decays

- uncertainty of BR is obtained by PDG
- for major B decays, uncertainties are applied

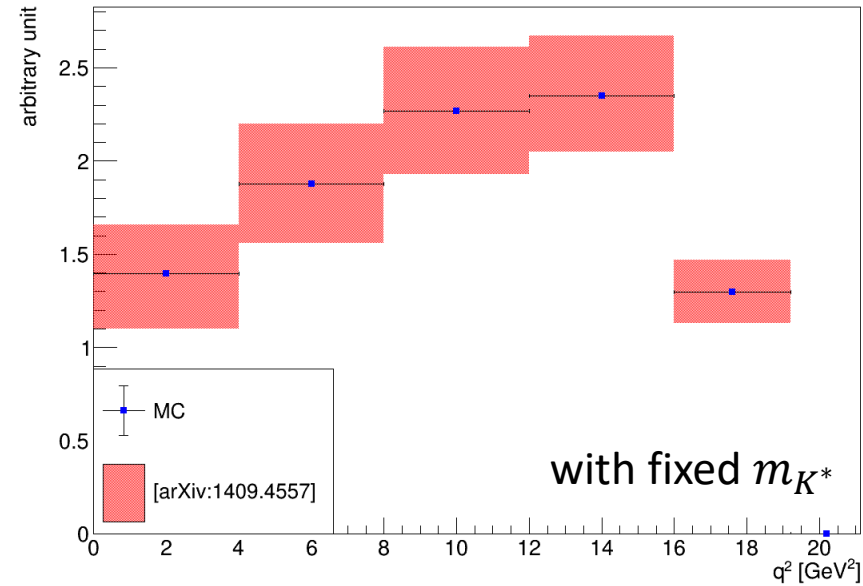
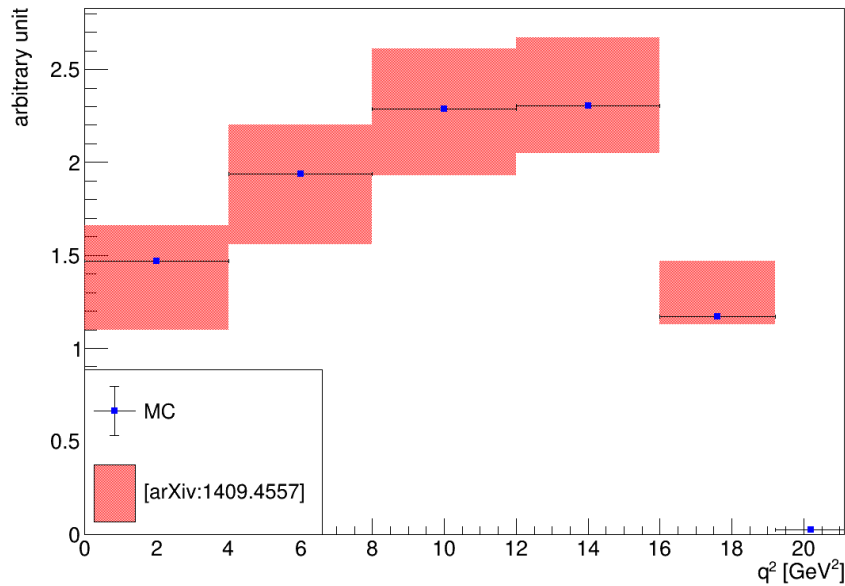
Source	Type	σ_μ
Background normalization	OverallSys	+0.81 -0.84
MC statistics	StatError	+0.78 -0.63
Photon multiplicity correction	HistoSys	+0.40 -0.19
Difference of $B \rightarrow K$ form factors	HistoSys	+0.46 -0.11
BR of main B meson decays	HistoSys	+0.39 -0.16
$q\bar{q}$ shape	HistoSys	+0.19 -0.17
Efficiency correction for $q\bar{q}$	OverallSys	+0.15 -0.12
FastBDT efficiency	OverallSys	+0.19 -0.05
uncorrelated systematics	ShapeSys	+0.10 -0.12
Fraction of decay modes	HistoSys	+0.18 -0.04
FEI calibration for $B\bar{B}$	HistoSys	+0.15 -0.07
π^0 reconstruction	HistoSys	+0.10 -0.10
$B \rightarrow K$ form factor	HistoSys	+0.13 -0.03
Mass width of K^*	HistoSys	+0.07 -0.02
K_S^0 reconstruction	HistoSys	+0.04 -0.04
$B \rightarrow K^*$ form factor	HistoSys	+0.06 -0.01
BB counting	OverallSys	+0.06 -0.02
$K^* - X_s$ transition	HistoSys	+0.06 -0.01
Pion ID	HistoSys	+0.03 -0.03
Fragmentation	HistoSys	+0.04 -0.01
Kaon ID	HistoSys	+0.03 -0.02
BR of $D \rightarrow K_L^0 X$ from B meson	HistoSys	+0.00 -0.02
BR of $B \rightarrow K^{(*)} n\bar{n}$ decay	HistoSys	+0.01 -0.01
Fermi motion momentum	HistoSys	+0.01 -0.00
b-quark mass	HistoSys	+0.01 -0
Tracking efficiency	HistoSys	+0 -0.01
BR of $B \rightarrow KK_L^0 K_L^0$	HistoSys	+0 -0
statistical uncertainty		+1.40 -1.29

Systematics

- Several systematics are estimated

Mass width of K^*

- In theoretical calculation, the mass of K^* is fixed
- In MC generator, the mass of K^* has a finite width



※ $q^2 = (p_\nu + p_{\bar{\nu}})^2$

- The effect of it is estimated

Fitting and Limit Setting

- BDT output is used as a fitting variable
- PDF is constructed by HistFactory

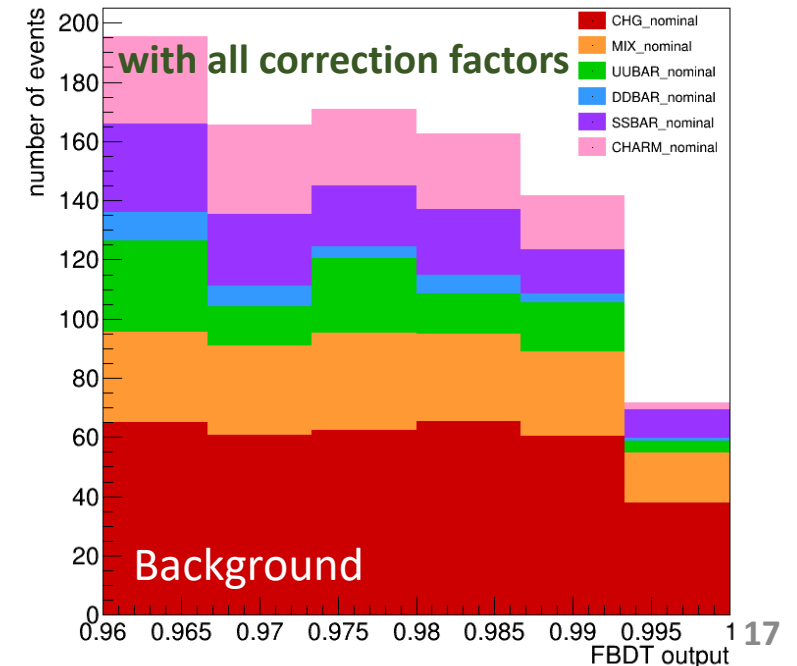
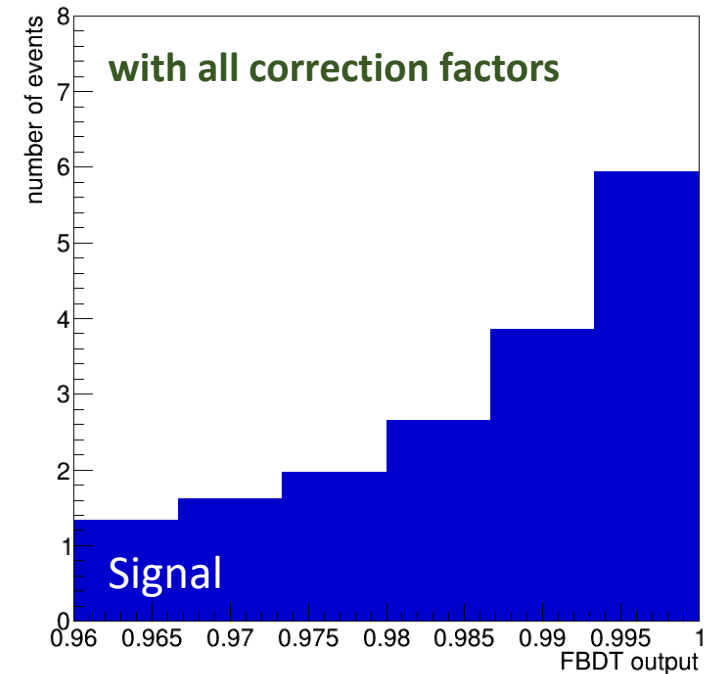
$$\mathcal{P} = \prod_{b \in \text{bins}} \text{Pois}(n_b | \nu_b) \cdot \prod_p f_p(a_p | \alpha_p)$$

Poisson distribution for each bin/channel
Constraint term for systematic uncertainty (nuisance parameters)

- ✂ n_b : the number of observed event by data
- ✂ ν_b : the number of expected event by MC
- ✂ a_p : nominal value of nuisance parameter
- ✂ α_p : nuisance parameter

Orange means the probability that we found n_b events
Blue means the probability that we found NP a_p

- Histogram template can easily describe variable shape

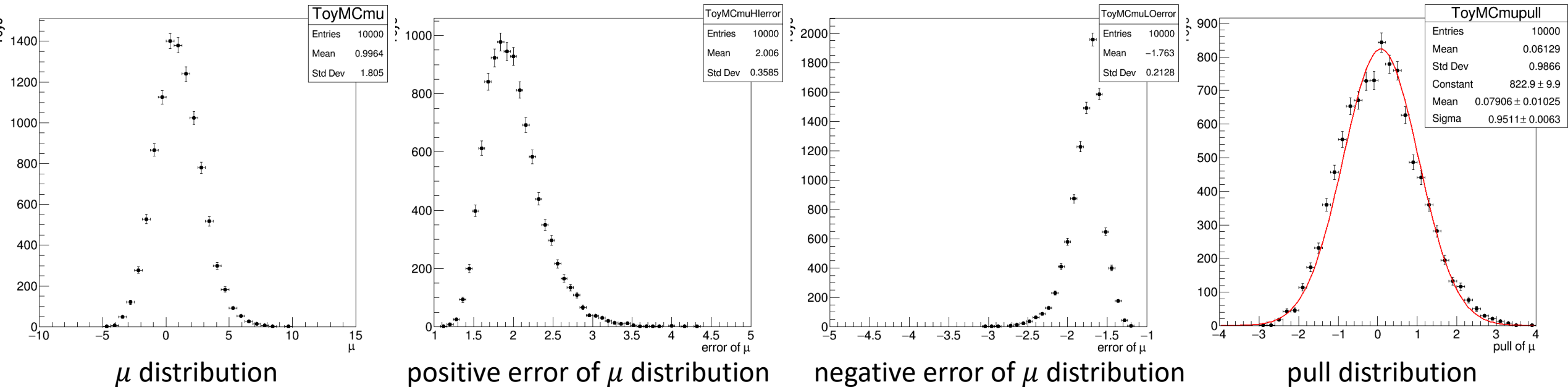


Toy MC study

- Toy MC study is done
 - fluctuate nuisance parameters and produce toy MC
 - `pull` should follow normal distribution, if fitter works fine.
 - pull is calculated:

$$\text{pull} = \begin{cases} \frac{(\text{true value}) - (\text{fit result})}{(\text{positive MINOS error})} & \text{if } (\text{fit result}) \leq (\text{true value}) \\ \frac{(\text{fit result}) - (\text{true value})}{(\text{negative MINOS error})} & \text{otherwise,} \end{cases}$$

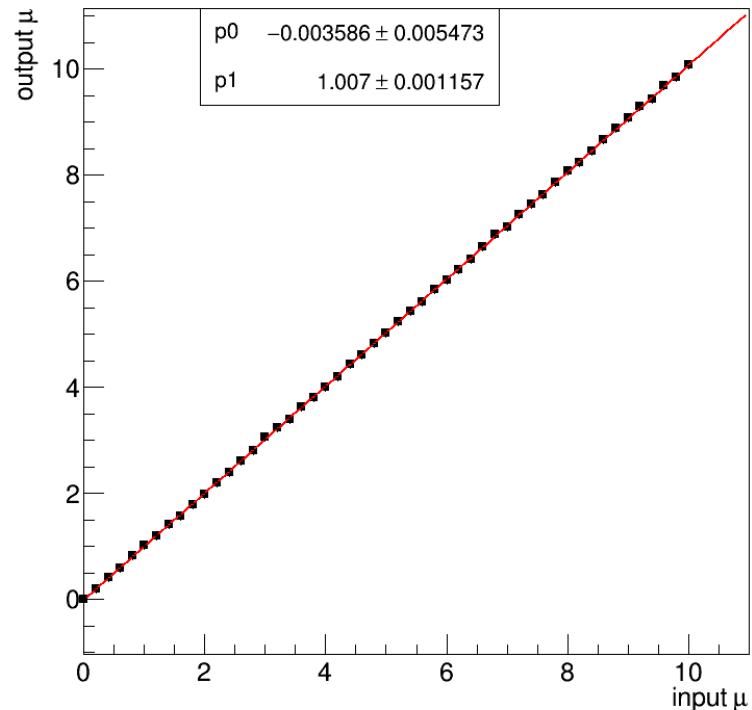
$\mu = 1$ corresponds to SM prediction ($\text{BR} = 2.9 \times 10^{-5}$)



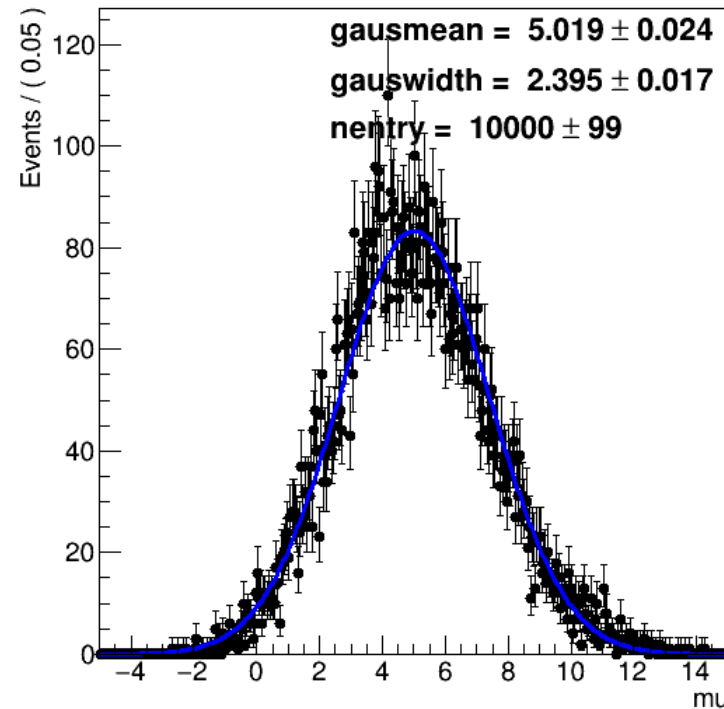
Linearity Test

- Linearity is done
 - do toy MC study for different μ values
 - check the μ distributions and fit them by Gaussian function
 - shows good linearity

$\mu = 1$ corresponds to SM prediction ($BR = 2.9 \times 10^{-5}$)



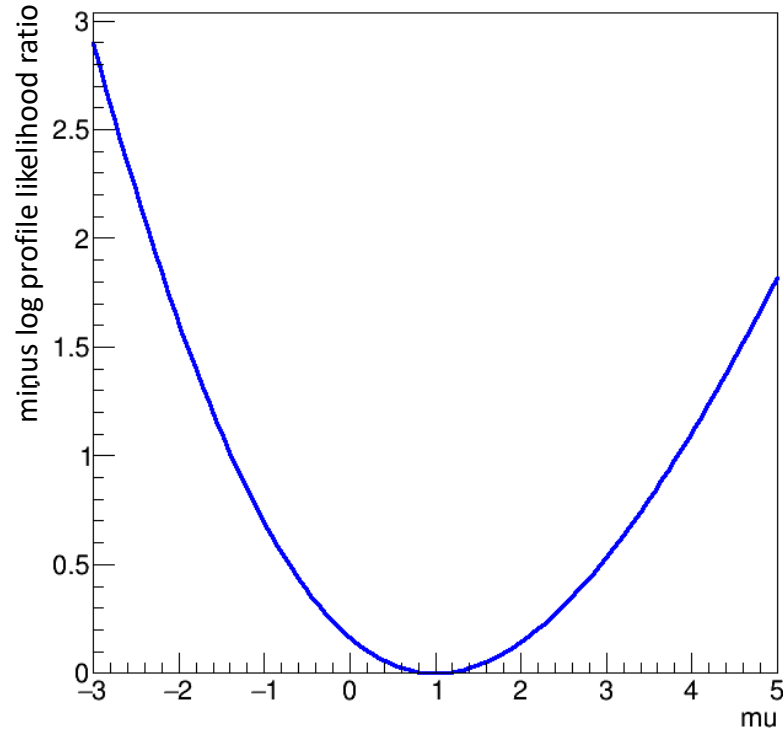
result of linearity test



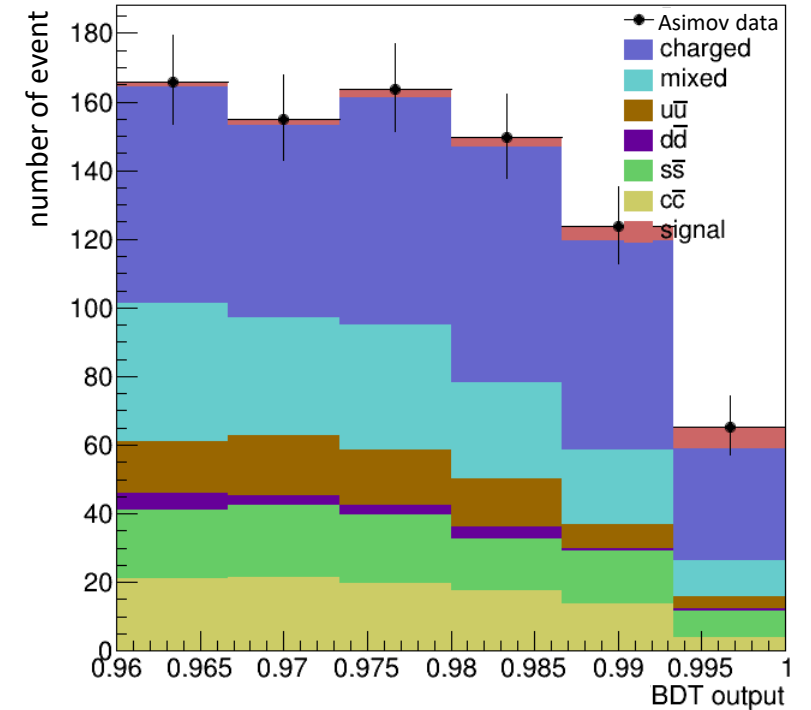
μ distribution when $\mu = 5$

Asimov Fit

- Fit is done with Asimov data †
 - To check the rough result
- No significant signal
 - Need to set an upper limit



$$\mu = 1.0^{+1.9}_{-1.7} = 1.0^{+1.4}_{-1.3}(\text{stat})^{+1.3}_{-1.1}(\text{syst})$$



† the sample which is exactly same with an expectation

Upper Limit

- Upper limit is calculated by CLs method

calculated with 362 fb^{-1}

- Frequentist method

null hypothesis ($\mu = 0$): $UL = 9.17 \times 10^{-5}$ (90CL)

Asimov data ($\mu = 1$): $UL = 1.14 \times 10^{-4}$ (90CL)

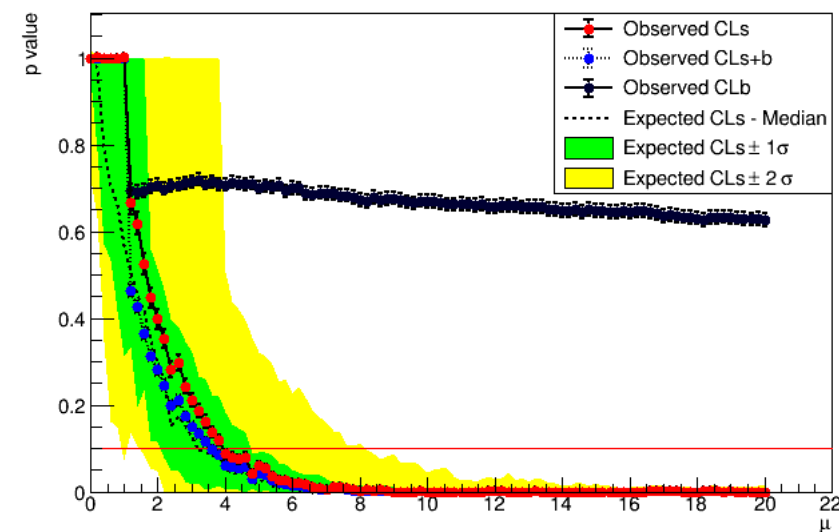
- Hybrid method

null hypothesis ($\mu = 0$): $UL = 9.72 \times 10^{-5}$ (90CL)

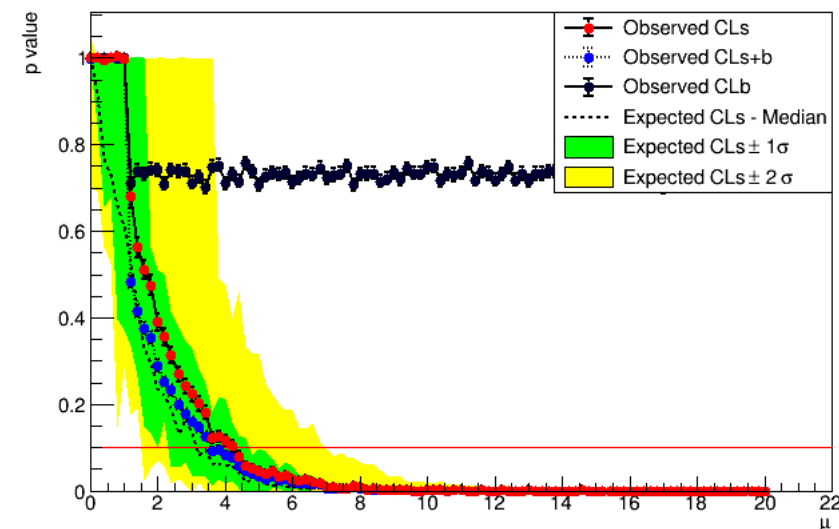
Asimov data ($\mu = 1$): $UL = 1.23 \times 10^{-4}$ (90CL)

* ALEPH experiment: $UL = 6.4 \times 10^{-4}$ (90CL)

* $B^+ \rightarrow K^+ \nu \bar{\nu}$ result: $BR = 2.3_{-0.5}^{+0.5}(\text{stat})_{-0.4}^{+0.5}(\text{syst}) \times 10^{-5}$ [\[arXiv:2311.14647\]](https://arxiv.org/abs/2311.14647)



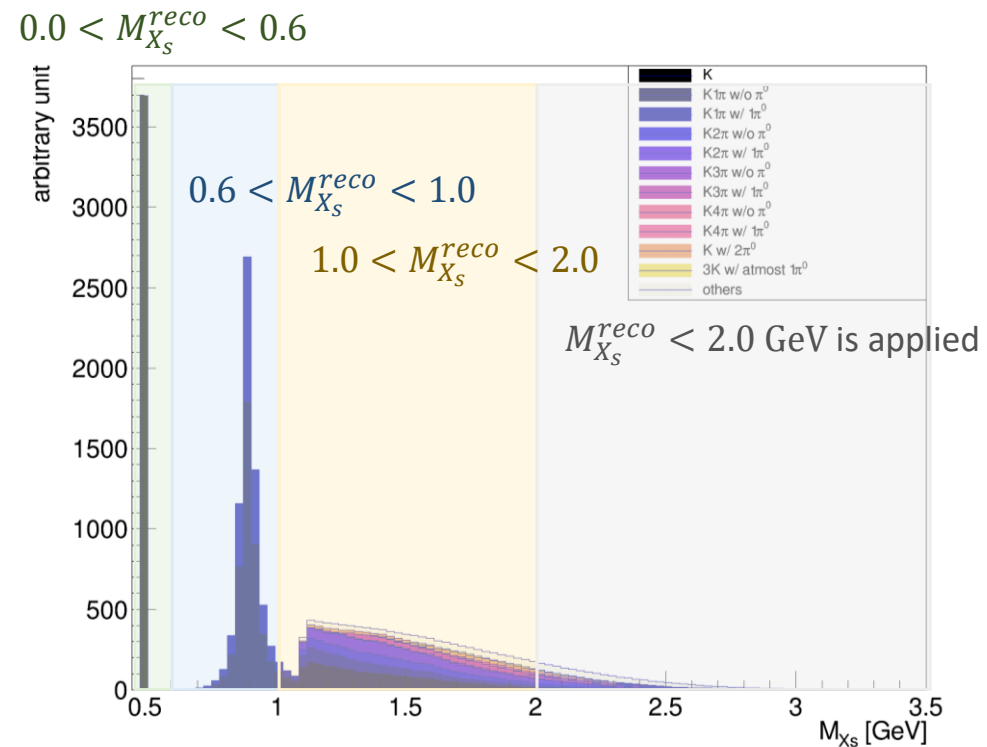
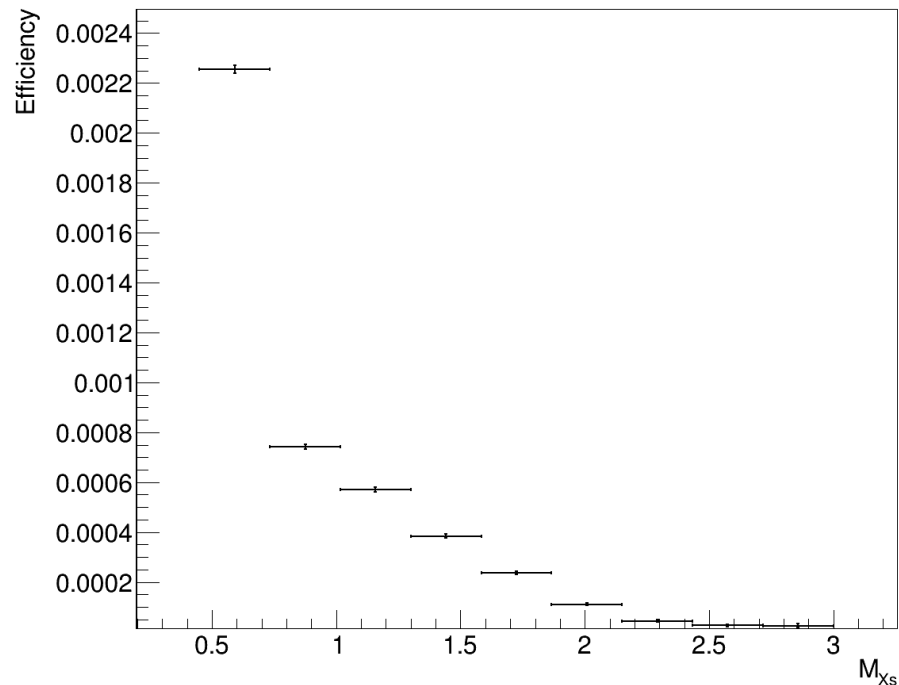
frequentist method



hybrid method

Action Item

- The signal efficiency is low at high multiplicity region
 - separate the candidate by M_{X_S}
 - result = $K + K^* + X_S$, which is better than just K



Summary

- Analysis procedure is established
- Several systematic uncertainties are estimated
- Upper limit is estimated

Plan

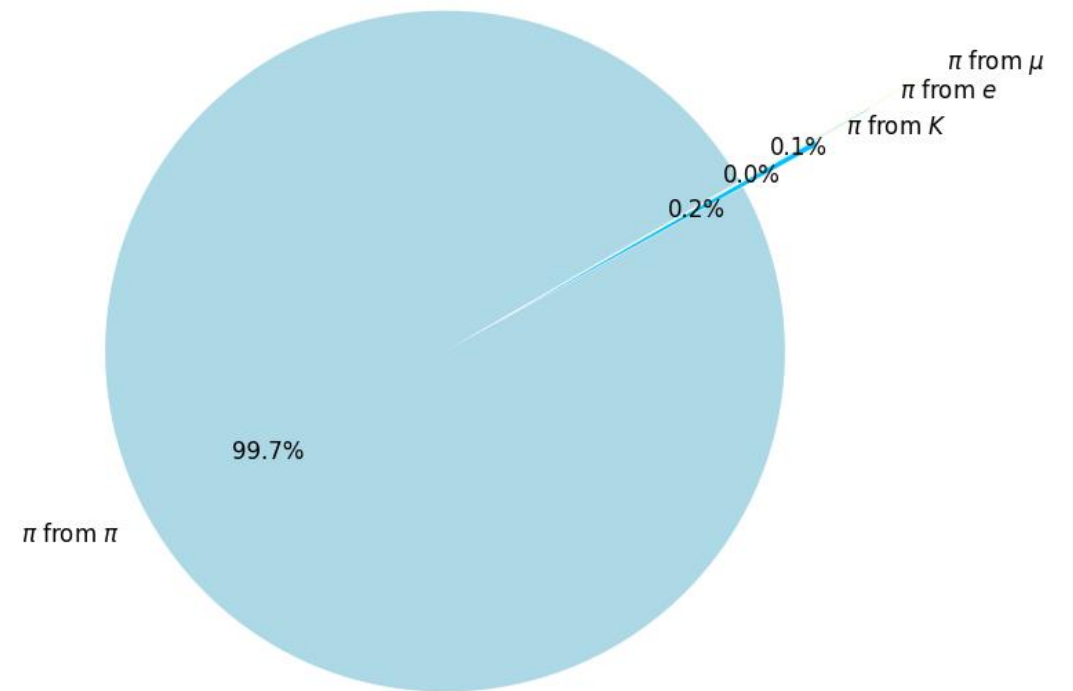
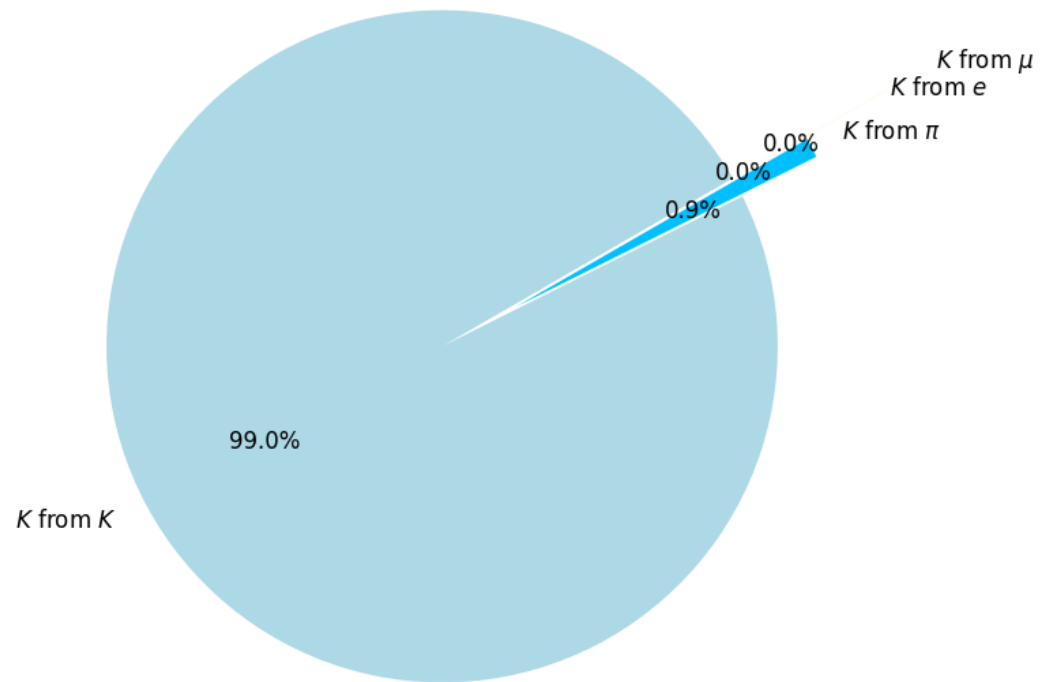
- New fitting method

Backups

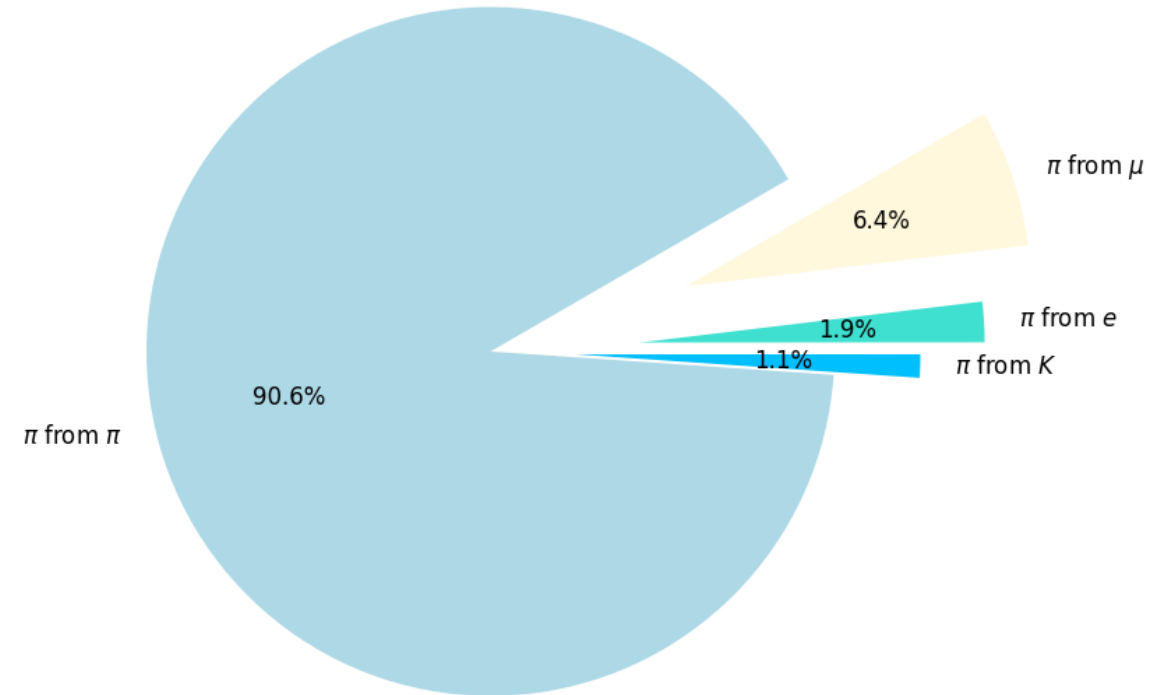
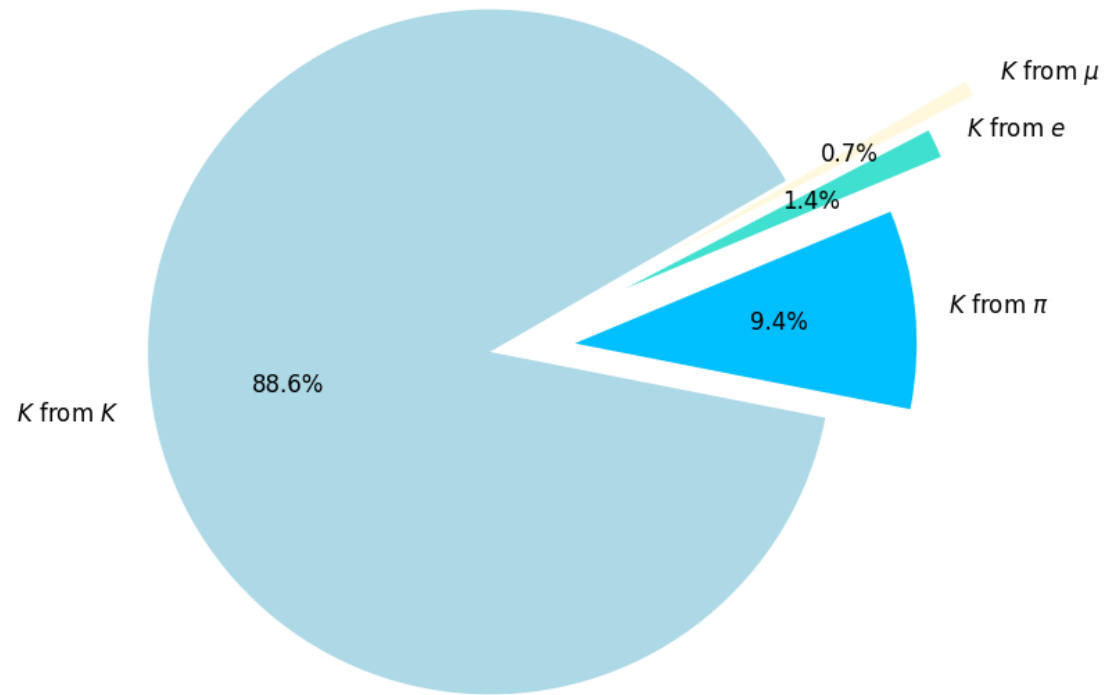
Backups

FastBDT Variables	Explanation
Bsig_KSFVVariables_hso04	KSFV variables of X_s
Bsig_cosTBTO	cosine of angle between thrust axis of the X_s and thrust axis of ROE
Bsig_useCMSFrame_p	momentum of X_s in CMS frame
Btag_CleoConeCS_1, 2, 3	cleo cones from the continuum suppression
Btag_KSFVVariables_hoo2, hoo4	KSFV variables of B_{tag}
Btag_cosTBTO	cosine of angle between thrust axis of the B_{tag} and thrust axis of ROE
Btag_extraInfo_SignalProbability	Signal probability value of FEI
Btag_useCMSFrame_theta	polar angle of B_{tag} in CMS frame
extraInfo__boEeclv133	extra energy from ECLClusters
extraInfo__boNgammav133_bc	the number of photon candidates in ROE
foxWolframR3	Ratio of the 3-rd and 4-th to the 0-th order Fox Wolfram moments
harmonicMomentThrust 2	Harmonic moment calculated with respect to the thrust axis
missingMomentumOfEvent	magnitude of the missing momentum in laboratory frame
missingMomentumOfEvent_theta	theta angle of the missing momentum of the event in laboratory frame
nRemainingTracksInEvent	the number of tracks in ROE
roePTheta__bocleanMask__bc	polar angle θ of momentum of ROE. ROE means rest of event with respect to B_{tag} plus X_s
Bsig_daughter_0_extraInfo_Dcsimpleveto_dz	dz of charged D meson candidate
Bsig_daughter_0_extraInfo_Dcsimpleveto_M	mass of charged D meson candidate
Bsig_daughter_0_extraInfo_D0simpleveto_dz	dz of neutral D meson candidate
Bsig_daughter_0_extraInfo_D0simpleveto_M	mass of neutral D meson candidate

Fake hadron (signal)



Fake hadron (background)



Reconstruction Procedure

- Reconstruction method

K^+

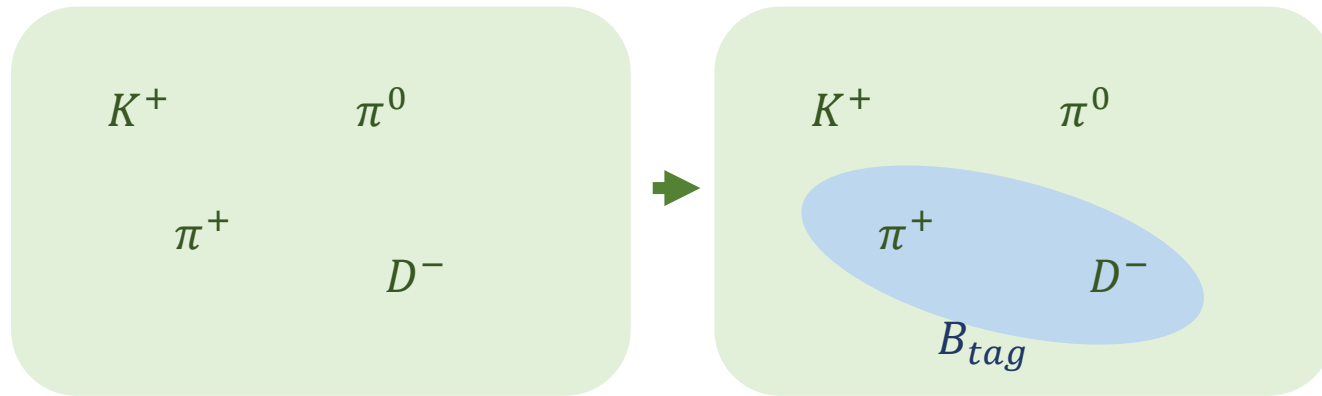
π^0

π^+

D^-

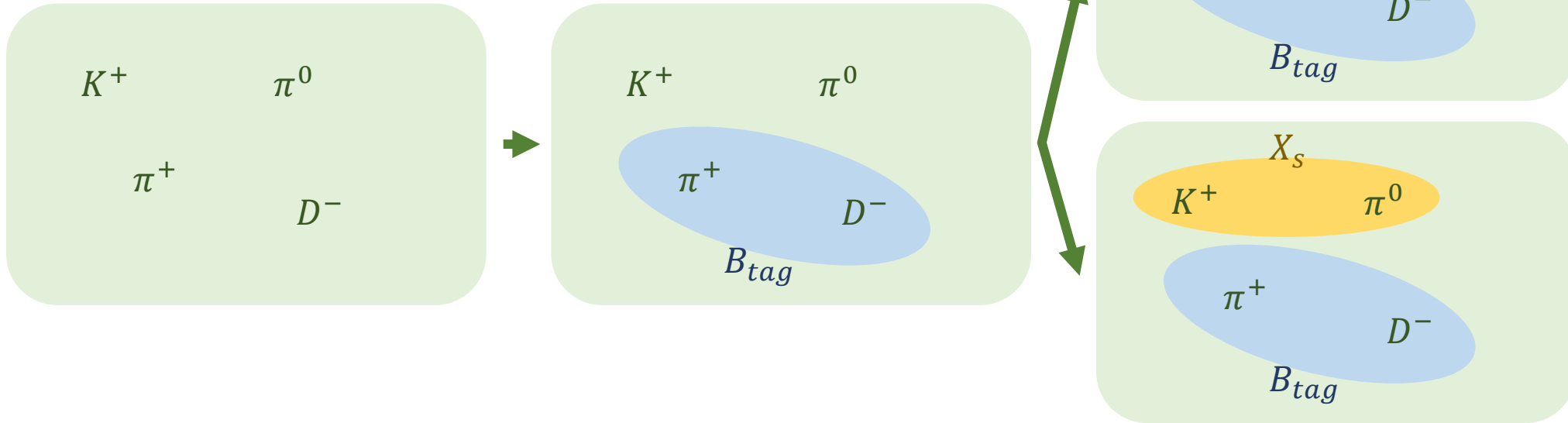
Reconstruction Precedure

- Reconstruction method



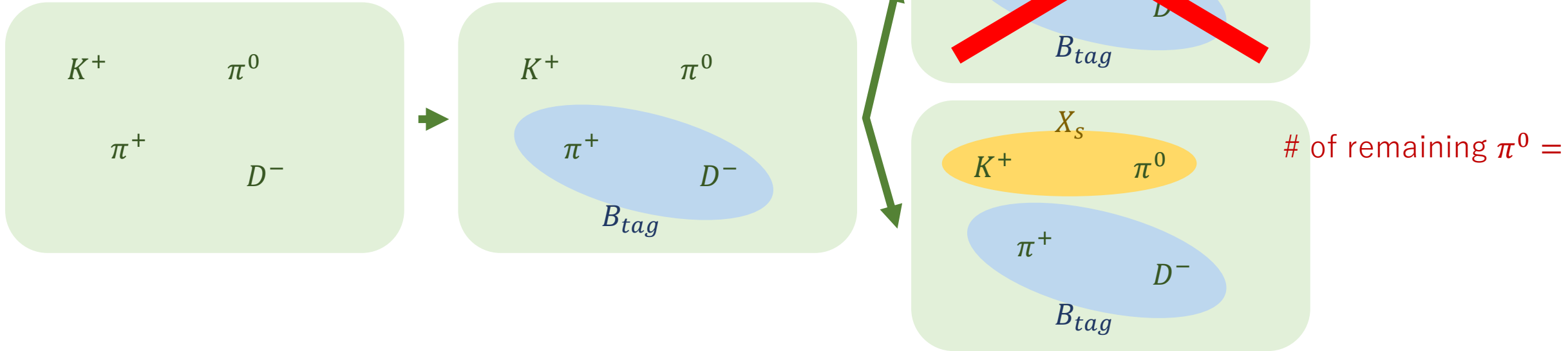
Reconstruction Precedu

- Reconstruction method



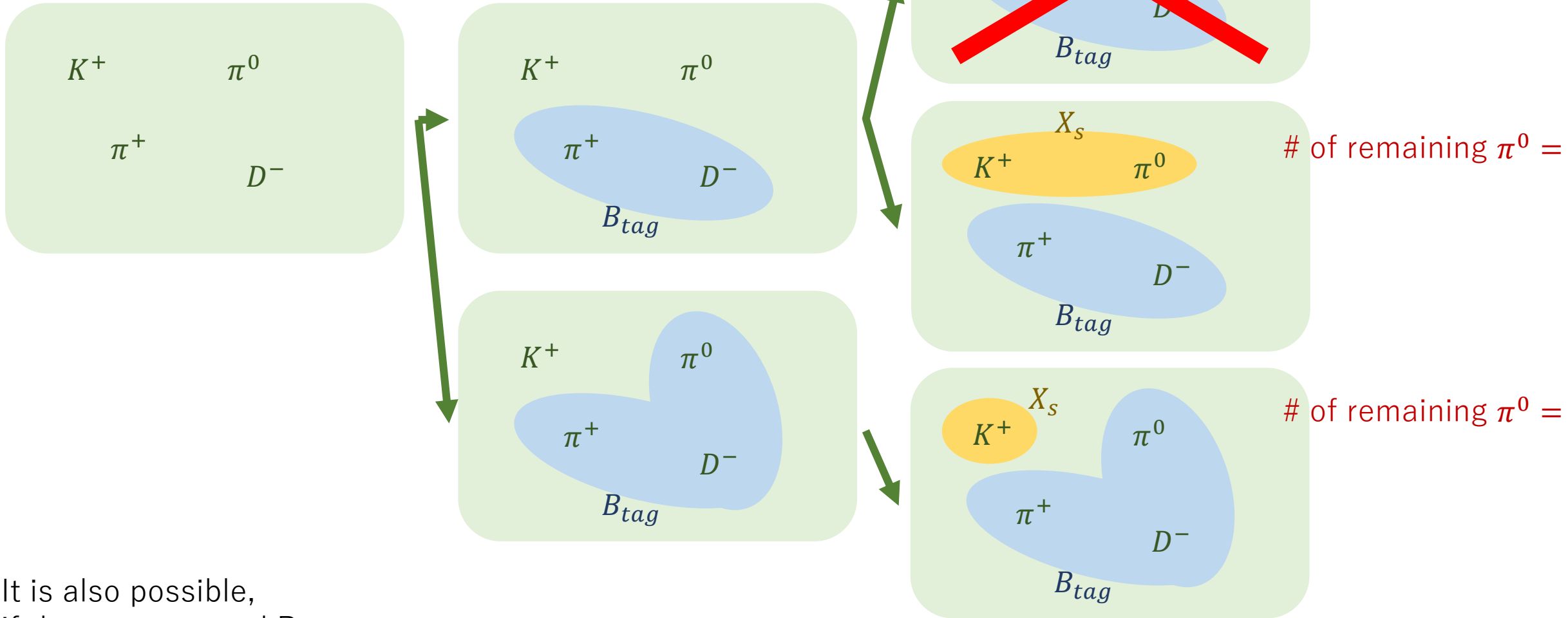
Reconstruction Precedu

● Reconstruction method



Reconstruction Precedu

● Reconstruction method



It is also possible,
if there are several Btag