

# Low-scale flavon model with a $Z_N$ flavor symmetry

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Froggatt-Nielsen (FN) mechanism with a  $Z_N$  flavor symmetry focused.

- $U(1)_{\text{FN}}$  is anomalous typically.  
Such models are less discussed.

# Flavor mass hierarchy in the Standard Model

## Standard Model of Elementary Particles

	three generations of matter (fermions)			interactions / force carriers (bosons)	
	I	II	III		
mass	$\approx 2.2 \text{ MeV}/c^2$	$\approx 1.28 \text{ GeV}/c^2$	$\approx 173.1 \text{ GeV}/c^2$	0	$\approx 124.97 \text{ GeV}/c^2$
charge	$\frac{2}{3}$	$\frac{2}{3}$	$\frac{2}{3}$	0	0
spin	$\frac{1}{2}$	$\frac{1}{2}$	$\frac{1}{2}$	1	0
	<b>u</b> up	<b>c</b> charm	<b>t</b> top	<b>g</b> gluon	<b>H</b> higgs
<b>QUARKS</b>	$\approx 4.7 \text{ MeV}/c^2$	$\approx 96 \text{ MeV}/c^2$	$\approx 4.18 \text{ GeV}/c^2$	0	
	$-\frac{1}{3}$	$-\frac{1}{3}$	$-\frac{1}{3}$	0	
	$\frac{1}{2}$	$\frac{1}{2}$	$\frac{1}{2}$	1	
	<b>d</b> down	<b>s</b> strange	<b>b</b> bottom	<b><math>\gamma</math></b> photon	
	$\approx 0.511 \text{ MeV}/c^2$	$\approx 105.66 \text{ MeV}/c^2$	$\approx 1.7768 \text{ GeV}/c^2$	$\approx 91.19 \text{ GeV}/c^2$	
	-1	-1	-1	0	
	$\frac{1}{2}$	$\frac{1}{2}$	$\frac{1}{2}$	1	
	<b>e</b> electron	<b><math>\mu</math></b> muon	<b><math>\tau</math></b> tau	<b>Z</b> Z boson	
<b>LEPTONS</b>	$< 1.0 \text{ eV}/c^2$	$< 0.17 \text{ MeV}/c^2$	$< 18.2 \text{ MeV}/c^2$	$\approx 80.39 \text{ GeV}/c^2$	
	0	0	0	$\pm 1$	
	$\frac{1}{2}$	$\frac{1}{2}$	$\frac{1}{2}$	1	
	<b><math>\nu_e</math></b> electron neutrino	<b><math>\nu_\mu</math></b> muon neutrino	<b><math>\nu_\tau</math></b> tau neutrino	<b>W</b> W boson	

Why?

$$\frac{m_\tau}{m_e} \sim 3 \times 10^3$$

$$\frac{m_t}{m_u} \sim 3 \times 10^4$$

$$\frac{m_{\text{atomo}}^2}{m_{\text{solar}}^2} \sim 3 \times 10^{-2}$$

# Flavor mass hierarchy = Yukawa hierarchy

- Yukawa coupling  $y_{ij}$  gives mass of an elementary particle via spontaneous electroweak symmetry breaking:

$$\mathcal{L}_{\text{yukawa}} = y_{ij} \bar{U}_i Q_j H \rightarrow y_{ij} \langle H \rangle \bar{U}_i Q_j \equiv m_{ij} \bar{U}_i Q_j$$
$$\langle H \rangle \neq 0$$

$m_{ij} = y_{ij} \langle H \rangle$ : mass of a particle.

Hierarchy:

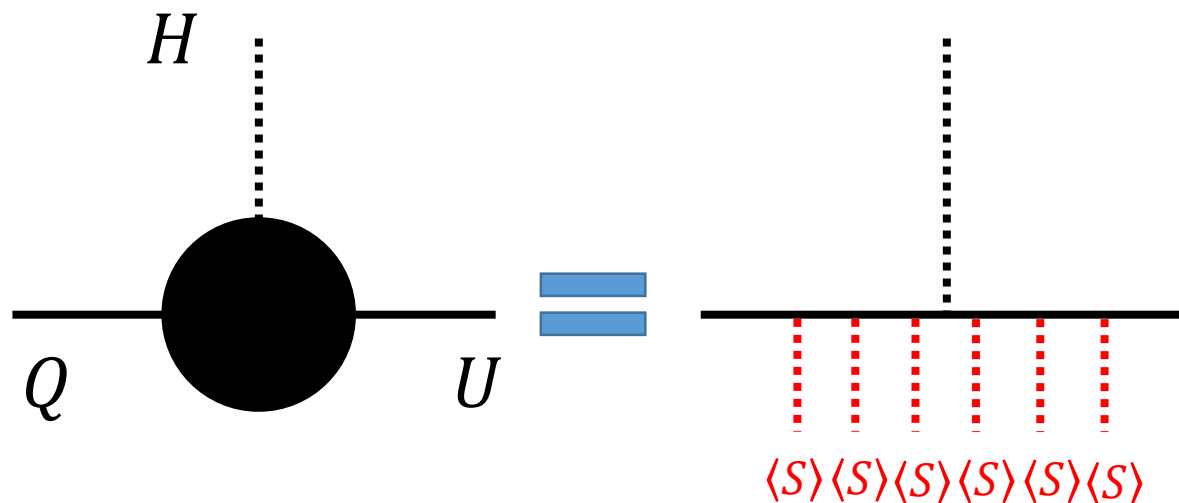
$$\frac{m_j}{m_i} = \frac{y_j}{y_i} \ll 1$$

# Hierarchical Yukawa obtained by the Froggatt-Nielsen (FN) mechanism

- FN mechanism can explain hierarchy with natural parameter choices

[Froggatt-Nielsen]

$$\mathcal{L}_{\text{yukawa}} = \left(\frac{S}{\Lambda}\right)^{n_{ij}} \bar{U}_i Q_j H, \quad \Lambda: \text{cutoff scale}, \quad n_{ij} > 0.$$



$$y_{ij} = \left(\frac{\langle S \rangle}{\Lambda}\right)^{n_{ij}}$$

The more  $S$  in  $y_{ij}$ ,  
the smaller Yukawa for  $\frac{\langle S \rangle}{\Lambda} < 1$ .

# Is FN mechanism controlled by $U(1)_{\text{FN}}$ ?

- Yukawa coupling is invariant under a  $U(1)_{\text{FN}}$ :

$$\mathcal{L}_{\text{yukawa}} = \left( \frac{S}{\Lambda} \right)^{n_{ij}} \bar{U}_i Q_j H$$

$$U(1)_{\text{FN}}: \bar{U}_i \rightarrow e^{i\theta n_{U_i}} \bar{U}_i, \quad Q_j \rightarrow e^{i\theta n_{Q_j}} Q_j, \quad H \rightarrow e^{i\theta n_H} H, \quad S \rightarrow e^{i\theta n_S} S;$$

$$n_{U_i} + n_{Q_j} + n_H + n_{ij} \cdot n_S = 0.$$

- Chiral  $U(1)_{\text{FN}}$  can be anomalous  $\rightarrow Z_N$  flavor symmetry!

Cf. DW problem of QCD axion, discrete gauge symmetry in string model

[Sikivie], [Berasaluce-Gonzalez et al]: See backup.

# SUSY FN mechanism with $Z_4$ instead of U(1)

- Model: MSSM with R-parity + **singlet flavon  $S$**

$$W_{Z_N} = \frac{c_N}{4 \Lambda} S^4 + \frac{c_m}{m \Lambda^{m-1}} S^m H_u H_d + W_{\text{fermion}}$$

$$W_{\text{Fermion}} = c_{ij}^u \left( \frac{S}{\Lambda} \right)^{\eta_{ij}^u} \bar{u}_{R_i} Q_{L_j} H_u + c_{ij}^d \left( \frac{S}{\Lambda} \right)^{\eta_{ij}^d} \bar{d}_{R_i} Q_{L_j} H_d$$

$$+ c_{ij}^e \left( \frac{S}{\Lambda} \right)^{\eta_{ij}^e} \bar{e}_{R_i} L_{L_j} H_d + c_{ij}^n \left( \frac{S}{\Lambda} \right)^{\eta_{ij}^n} \bar{N}_{R_i} L_{L_j} H_u + \frac{1}{2} M_{ij} \bar{N}_{R_i} \bar{N}_{R_j}$$

- $Z_4$  invariance modulo 4 with  $S \rightarrow e^{i\pi/2} S$ ,  $\Phi_{\text{MSSM}} \rightarrow e^{i\pi n_\Phi/2} \Phi_{\text{MSSM}}$ :

$$-\eta_{ij}^u \equiv n_{H_u} + n_{u_i} + n_{Q_j},$$

$$-\eta_{ij}^e \equiv n_{H_d} + n_{e_i} + n_{L_j},$$

$$-\eta_{ij}^d \equiv n_{H_d} + n_{d_i} + n_{Q_j}$$

$$-\eta_{ij}^n \equiv n_{H_u} + n_{n_i} + n_{L_j}$$

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$$+ c_{ij}^e \left( \frac{S}{\Lambda} \right)^{\eta_{ij}^e} \bar{e}_{R_i} L_{L_j} H_d + c_{ij}^n \left( \frac{S}{\Lambda} \right)^{\eta_{ij}^n} \bar{N}_{R_i} L_{L_j} H_u + \frac{1}{2} M_{ij} \bar{N}_{R_i} \bar{N}_{R_j}$$

Assumption:  
 $N_R$  has charge 2



- Yukawa coupling structure given by :

$$Y_{ij} = c_{ij} \epsilon^{\eta_{ij}}, \quad \epsilon := \frac{\langle S \rangle}{\Lambda} \quad c_{ij} = O(1).$$



# 1<sup>st</sup> difference between $Z_N$ and $U(1)$

- For  $Z_4$   $\epsilon^{N-1} = \epsilon^3$  is the smallest Yukawa; model variety is limited

$$\epsilon^3 \sim \frac{m_u}{m_t} = 7.5 \times 10^{-6} \rightarrow \epsilon \sim 0.02.$$

∴  $\epsilon^N$  coupling exists  $\leftrightarrow$   $O(1)$  coupling exists.

- Realistic flavor structure with  $k = 0, 1$  ( $d, e$ ) &  $l = 0, 1, 2, 3$  ( $\nu$ )

$$(m_u, m_c, m_t) \sim (\epsilon^3, \epsilon, 1), \quad (m_d, m_s, m_b) \sim \epsilon^k (\epsilon^2, \epsilon, 1), \quad (m_e, m_\mu, m_\tau) \sim \epsilon^k (\epsilon^2, 1, 1)$$

$$Y_u \sim \begin{pmatrix} \epsilon^3 & \epsilon^3 & \epsilon^2 \\ \epsilon & \epsilon & 1 \\ \epsilon & \epsilon & 1 \end{pmatrix}, \quad Y_d \sim \epsilon^k \begin{pmatrix} \epsilon^2 & \epsilon^2 & \epsilon \\ \epsilon & \epsilon & 1 \\ \epsilon & \epsilon & 1 \end{pmatrix}, \quad Y_e \sim \epsilon^k \begin{pmatrix} \epsilon^2 & \epsilon^2 & \epsilon^2 \\ 1 & 1 & 1 \\ 1 & 1 & 1 \end{pmatrix}, \quad Y_\nu \sim \epsilon^l \begin{pmatrix} 1 & 1 & 1 \\ 1 & 1 & 1 \\ 1 & 1 & 1 \end{pmatrix}; \quad V_{\text{CKM}} \sim \begin{pmatrix} 1 & 1 & \epsilon \\ 1 & 1 & \epsilon \\ \epsilon & \epsilon & 1 \end{pmatrix}, \quad V_{\text{PMNS}} \sim \begin{pmatrix} 1 & 1 & 1 \\ 1 & 1 & 1 \\ 1 & 1 & 1 \end{pmatrix}$$

# 2<sup>nd</sup> difference between $Z_N$ and $U(1)$

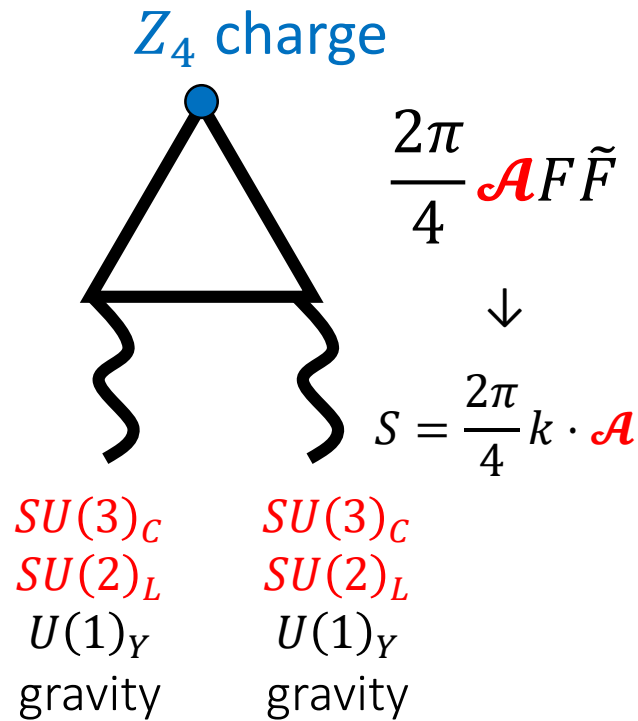
- Vanishing anomaly between  $Z_4$  and the SM/gravity

+  $Z_4$  invariance for realistic hierarchy (**modulo 4**):

$$n_{H_u} + n_{H_d} \equiv 3(1 + k), \quad n_{Q_3} + 3n_{L_3} \equiv 3 + k, \quad n_{u_3} \equiv 3(n_{H_u} + n_{Q_3}),$$

$$n_{d_3} \equiv -k + 3(n_{H_d} + n_{Q_3}), \quad n_{e_3} \equiv 3(1 + n_{H_d} + n_{Q_3}), \quad 2 - \ell \equiv n_{H_u} + n_{L_3}.$$

$$m + n_{H_u} + n_{H_d} = m + 3(1 + k) \equiv 0$$



$$k = 0 \leftrightarrow m = 1$$

$$k = 1 \leftrightarrow m = 2$$

24 choices

$$\frac{m_b}{m_t} \sim \frac{m_\tau}{m_t} \sim \epsilon^k \cot\beta$$



$$W \ni \left( \frac{S}{\Lambda} \right)^m \Lambda H_u H_d.$$

Flavon-Higgs mixing

# 2<sup>nd</sup> difference between $Z_N$ and $U(1)$

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$$m + n_{H_u} + n_{H_d} = m + 3(1+k) \equiv 0$$

Vacuum stability kills  $k = 0$  ( $m = 1$ ).  
(the potential minimal = the EW vacuum)

$k = 1 \leftrightarrow m = 2$

See parameter space for  $k=1$  ( $m=2$ ) in backup.

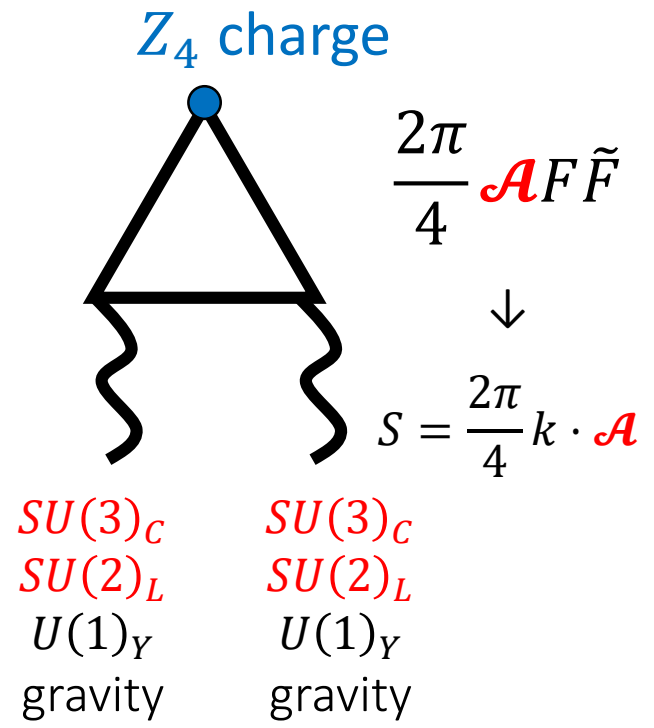
12 choices

$$\frac{m_b}{m_t} \sim \frac{m_\tau}{m_t} \sim \epsilon \cot\beta$$



$$W \ni \frac{S^2}{\Lambda} H_u H_d.$$

Flavon-Higgs mixing



# 12 choices of charge assignments consistent with anomalies

$k$	$n_{H_u}$	$n_{Q_3}$	$\ell$	$m$	$\tilde{A}_Y$	$A_{\text{gr}}$
1	0	0	2	2	1	0
1	0	1	1	2	3	1
1	0	2	0	2	1	0
1	0	3	3	2	3	1
1	1	0	1	2	1	1
1	1	1	0	2	3	0
1	1	2	3	2	1	1
1	1	3	2	2	3	0
1	2	0	0	2	1	0
1	2	1	3	2	3	1
1	2	2	2	2	1	0
1	2	3	1	2	3	1
1	3	0	3	2	1	1
1	3	1	2	2	3	0
1	3	2	1	2	1	1
1	3	3	0	2	3	0

If  $U(1)_Y$  is embedded into  $U(12n)$ ,  
no  $Z_4 - U(1)_Y^2$  anomaly exists.

# A numerical example for observables

$$(m_u, m_c, m_t) = (0.001288, 0.6268, 171.7), \quad (m_d, m_s, m_b) = (0.002751, 0.05432, 2.853),$$
$$(m_e, m_\mu, m_\tau) = (0.0004866, 0.1027, 1.746), \quad (\alpha_{\text{CKM}}, \sin 2\beta_{\text{CKM}}, \gamma_{\text{CKM}}) = (1.518, 0.6950, 1.240),$$

$$|V_{\text{CKM}}| = \begin{pmatrix} 0.974461 & 0.224529 & 0.00364284 \\ 0.224379 & 0.97359 & 0.0421456 \\ 0.00896391 & 0.0413421 & 0.999105 \end{pmatrix}$$

Neutrino  
& PMNS:

$$\Delta m_{12}^2 = 7.37 \times 10^{-5}, \quad \Delta m_{23}^2 = 2.56 \times 10^{-3},$$
$$\sin^2 \theta_{12} = 0.297, \quad \sin^2 \theta_{23} = 0.425, \quad \sin^2 \theta_{13} = 0.0215.$$

Cutoff scale

$$\Lambda \sim 500 \text{ TeV} \times \left( \frac{0.02}{\epsilon} \right) \left( \frac{v_s}{10 \text{ TeV}} \right).$$

# A numerical example for couplings/ $N_R$ masses

$$c^u = \begin{pmatrix} -2.23656 & -3.78792 & 5.07947 \cdot e^{-2.23037i} \\ -1.8029 & 1.51612 & -0.62796 \\ 2.43468 \cdot e^{0.019714i} & -2.11793 & 0.782311 \end{pmatrix}, \quad c^e = \begin{pmatrix} -1.83414 & -4.06715 & -4.55088 \\ 0.814655 & -1.04839 & -1.16518 \\ -0.702312 & 1.27439 & 1.27222 \end{pmatrix}$$
$$c^d = \begin{pmatrix} 7.11034 & 4.75778 & 4.38956 \cdot e^{-1.64741i} \\ 6.74255 & -5.32201 & 3.39087 \\ 2.85434 \cdot e^{2.96002i} & -0.578767 & -2.59023 \end{pmatrix}, \quad c^n = \begin{pmatrix} 3.63525 & -4.36595 & -4.00992 \\ -5.94856 & -2.38206 & 3.74011 \\ -2.19846 & -1.4343 & 0.589928 \end{pmatrix},$$
$$M = M_0 \begin{pmatrix} -6.07582 & 2.75669 & 4.32291 \\ 2.75669 & -4.43903 & 1.68412 \\ 4.32291 & 1.68412 & 5.09895 \end{pmatrix}$$

$\tan \beta = 5$ . With  $M_0 = 33.1474$  TeV and  $\ell = 3$ ,

# Suppressed flavon coupling to the SM fermion

- Coupling of flavon  $S = \sigma + ia$  to the SM fermions  $f$

$$\mathcal{L} \sim \hat{\lambda}^f S \bar{f}_R f_L$$

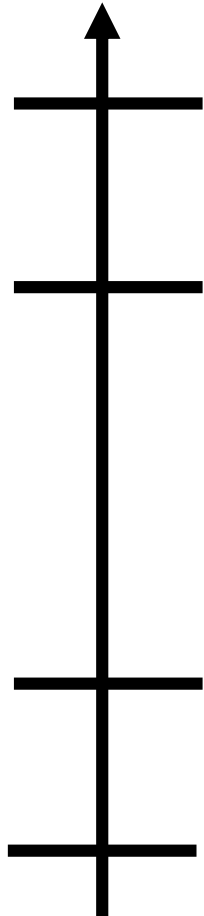
$$\hat{\lambda}^{u,S} \sim \rho_u \frac{v_u}{v_s} \begin{pmatrix} \epsilon^3 & \epsilon^3 & \epsilon^2 \\ \epsilon^3 & \epsilon & \epsilon^2 \\ \epsilon & \epsilon & 1 \end{pmatrix}, \quad \hat{\lambda}^{d,S} \sim \rho_d \frac{v_d}{v_s} \begin{pmatrix} \epsilon^3 & \epsilon^3 & \epsilon^2 \\ \epsilon^4 & \epsilon^2 & \epsilon^3 \\ \epsilon^2 & \epsilon^2 & \epsilon \end{pmatrix}, \quad \hat{\lambda}^{e,S} \sim \rho_e \frac{v_d}{v_s} \begin{pmatrix} \epsilon^3 & \epsilon^3 & \epsilon^3 \\ \epsilon^5 & \epsilon & \epsilon^5 \\ \epsilon^5 & \epsilon^5 & \epsilon \end{pmatrix}$$

suppressed by  $\Gamma_{ij} = \frac{\langle H \rangle}{\langle S \rangle} \eta_{ij} Y_{ij}$  from  $W = \left( \frac{S}{\Lambda} \right)^{\eta_{ij}} H \Phi_i \Phi_j$

and **alignment** in diagonalizing fermion mass (**off-diagonal element**).

- Flavino: heavier than  $a$ /higgsino DM, and coupled to the MSSM via  $\Gamma_{ij}$ .

# Energy scales in a model



$$\Lambda \sim \frac{\langle S \rangle}{\epsilon} \sim 1 \text{ PeV}$$

$$\langle S \rangle \sim 10 \text{ TeV} \sim m_{\text{SUSY}}$$

(Heavy SUSY assumed)

$$m_{\tilde{g}} \sim \epsilon \langle S \rangle$$

$$m_{\sigma} \sim \epsilon \langle S \rangle$$

$$\mu_{\text{higgsino}} \sim \epsilon \langle S \rangle \sim 1 \text{ TeV} \quad (\text{LSP assumed})$$

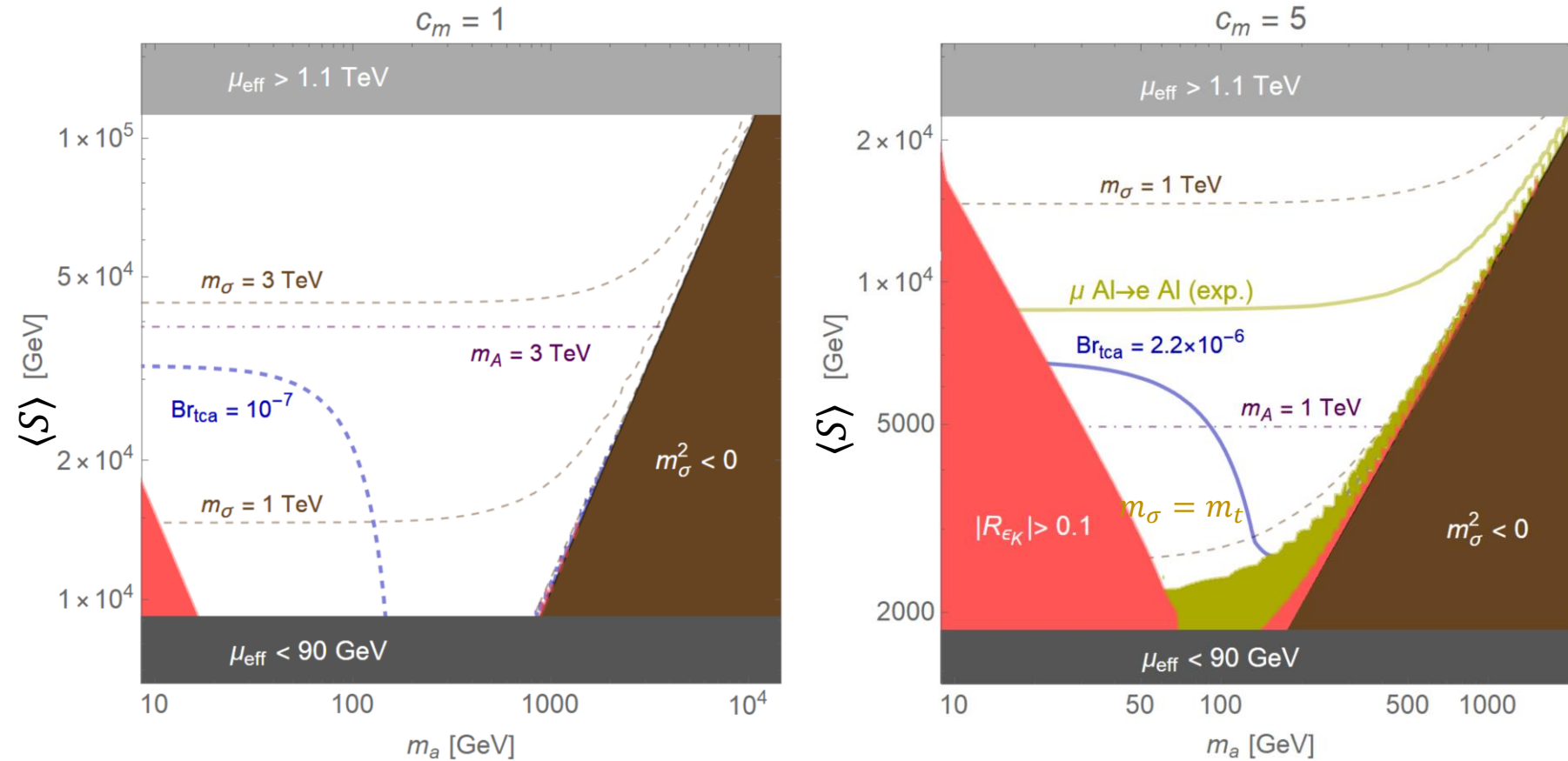
$$m_a \sim 100 \text{ GeV}$$

Flavor :

$$\epsilon^3 \sim \frac{m_u}{m_t} \rightarrow \epsilon \sim 0.02.$$



# Flavon constraint on model with $Z_4$ symmetry



- Colored region excluded by:
  - Red:  $K - \bar{K}$
  - Yellow:  $\mu \rightarrow e$  with Gold
  - Brown: tachyonic flavon  $\sigma$
- (Right) testable:
  - $\mu \rightarrow e$  with Aluminium:  
 $6 \times 10^{-17} < Br < 7 \times 10^{-13}$
  - $t \rightarrow ca$  at 100TeV collider

$W \sim c_m \frac{S^2}{\Lambda} H_u H_d$ ; larger  $c_m$  = larger  $S$  coupling to the fermions via scalar mixing.

# Summary

- FN mechanism with  $Z_N$  flavor symmetry considered.

FN flavor symmetry can be **discrete** rather than continuous.

- A viable model constructed for  $Z_4$  flavor symmetry.

(The Kähler potential can be included in the model. See **backup** or paper.)

**Hierarchy bound:  $\epsilon^3$ , discrete anomaly constraints, vacuum stability.**

- Suppressed flavon coupling to the SM fermions.

**Model consistent with current experiments & testable in future.**

Back up

# Ex: Discrete symmetry from $U(1)$ via Anomaly

- QCD axion with a global  $U(1)_{\text{PQ}}$  symmetry:

$$N \frac{a}{f} G_{\mu\nu} \tilde{G}^{\mu\nu} \quad \text{or} \quad V(a) \sim \cos\left(N \frac{a}{f}\right)$$

$$U(1)_{\text{PQ}} \rightarrow \mathbf{Z}_N: \delta a = \frac{2\pi}{N} f.$$

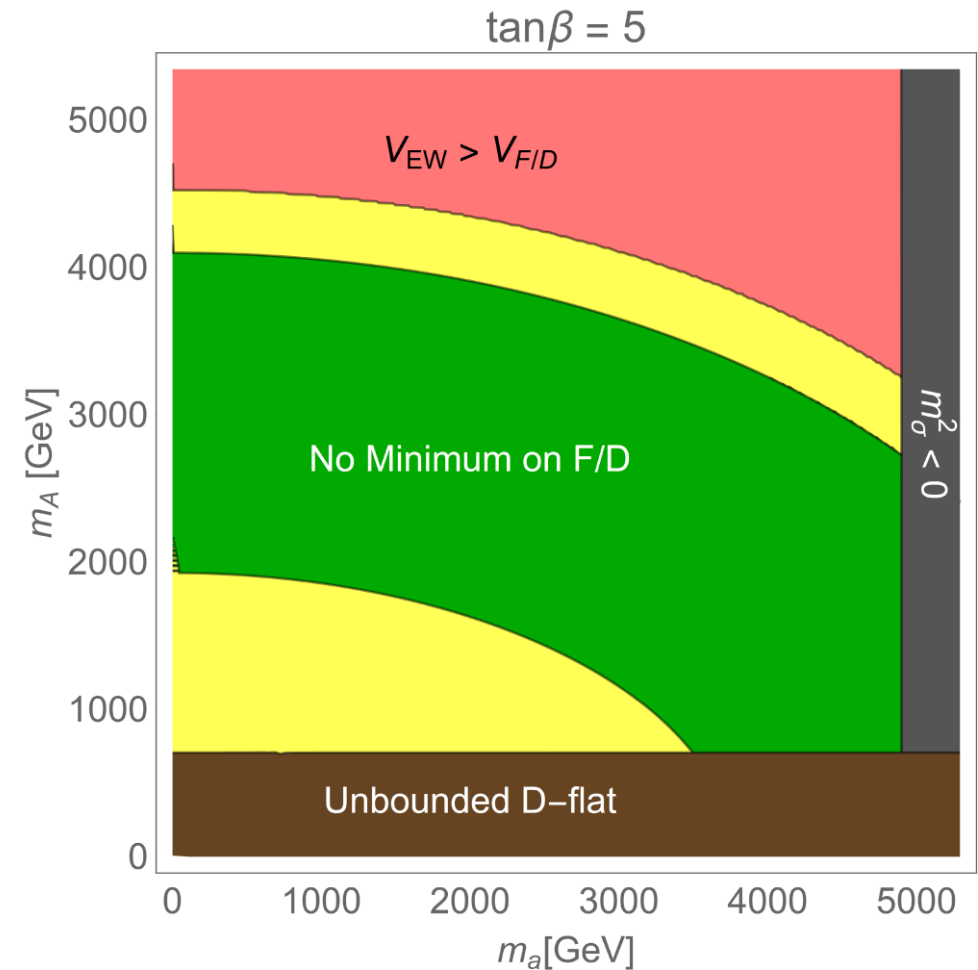
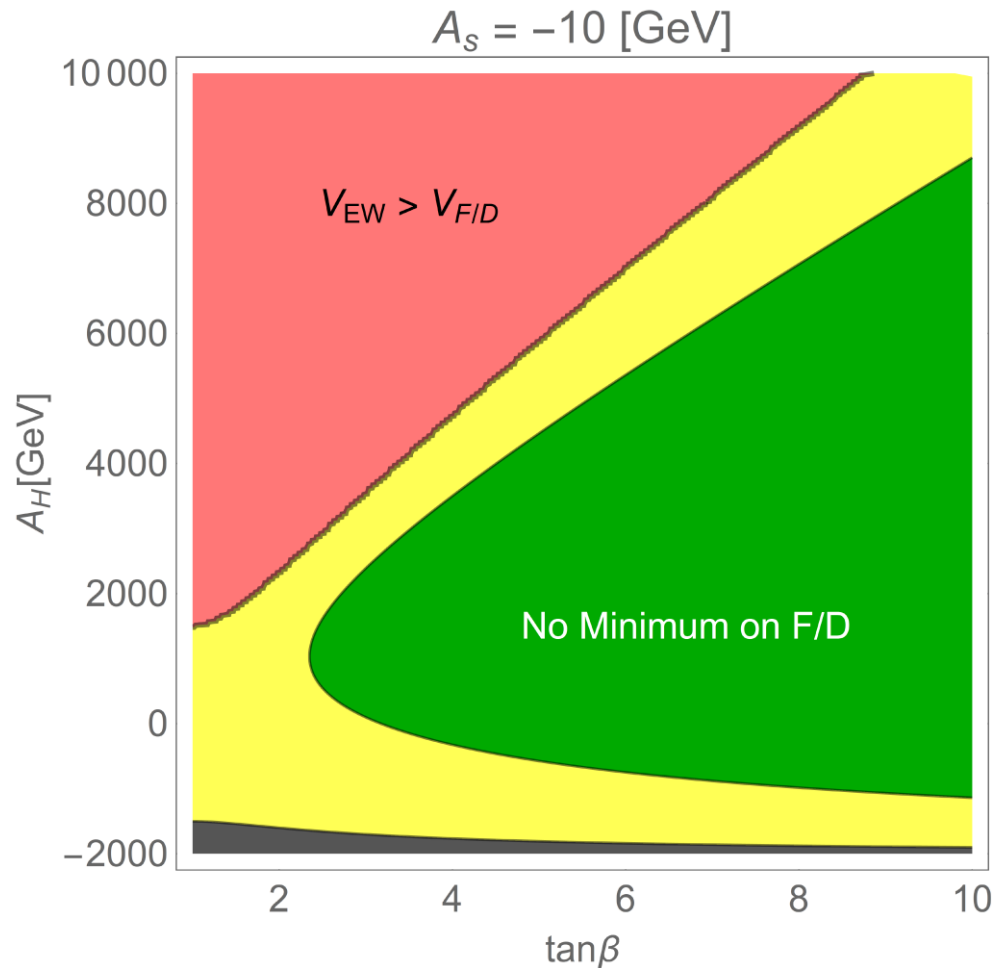
- In string theory,  $\mathbf{Z}_N$  gauge symmetry from a  $U(1)$  gauge symmetry:

$$\mathcal{L} = e^{-i\phi} \mathcal{O}_N + \phi F_{\mu\nu} \tilde{F}^{\mu\nu} + f^2 (\partial_\mu \phi - N A_\mu)^2 + \text{chiral fermions}$$

$$U(1): \delta\phi = N\alpha(x), \quad \delta\mathcal{O}_N = iN\alpha(x)\mathcal{O}_N \rightarrow \mathbf{Z}_N: \text{same with } \alpha(x) = \frac{2\pi}{N}.$$

$\phi$ : string theoretic axion,  $\mathcal{O}_N$ : an operator with charge  $N$

# Vacuum stability for $m = 2$ ( $k = 1$ )



This case can be stable,  
is different from  $m=1$  ( $k=0$ ).

$$V_{\text{soft}} := m_S^2 |S|^2 + m_{H_u}^2 |H_u^0|^2 + m_{H_d}^2 |H_d^0|^2 + \left( A_S \frac{S^N}{N \Lambda^{N-3}} - A_H \frac{S^m}{m \Lambda^{m-1}} H_u^0 H_d^0 + \text{h.c.} \right),$$

# Possible Kähler potential corrections

$$\Delta_Q K = \left( \frac{a_j^i}{\Lambda} S Q_i^\dagger Q_j + \frac{\tilde{a}_j^i}{\Lambda^2} D^\alpha D_\alpha S \cdot Q_i^\dagger Q_j + \frac{b_j^i}{\Lambda^2} S^2 Q_i^\dagger Q_j + \frac{c^{ij}}{\Lambda^2} S^\dagger H_a Q_i Q_j + h.c. \right) \\ + \frac{d_j^i}{\Lambda^2} S^\dagger S Q_i^\dagger Q_j + \frac{e_{ijkl}}{\Lambda^2} Q_i^\dagger Q_j Q_k^\dagger Q_l + \mathcal{O}(\Lambda^{-3}),$$

$$\int d^4\theta \frac{e_{Q_2 Q_1 \bar{d}_1 \bar{d}_2}}{\Lambda^3} S Q_{L_2}^\dagger Q_{L_1} \bar{d}_{R_1}^\dagger \bar{d}_{R_2} \supset \frac{\epsilon e_{Q_2 Q_1 \bar{d}_1 \bar{d}_2}}{2\Lambda^2} \bar{s} \gamma^\mu P_L d \cdot \bar{s} \gamma_\mu P_R d.$$



$$|\Delta \epsilon_K| = \frac{\kappa_\epsilon}{\sqrt{2} \Delta M_K} \frac{\epsilon \cdot \text{Im}(e_{Q_2 Q_1 \bar{d}_1 \bar{d}_2})}{2\Lambda^2} |\mathcal{O}_1^{\text{LR}}|$$

$$\sim 10^{-2} \times \left( \frac{\epsilon}{0.02} \right)^3 \left( \frac{100 \text{ TeV}}{v_s} \right)^2 \left( \frac{\text{Im}(e_{Q_2 Q_1 \bar{d}_1 \bar{d}_2})}{1.0} \right).$$