

# Quantum Collapse Models and Cosmic Inflation

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- PRL 124 (2020) 8, arXiv:080402
- Fundam. Theor. Phys. 198 (2020), 269-290, arXiv:1912.07429
- Eur. Phys. J. C. 81(2021), 64, arXiv:2010.04067
- arXiv:2103.01697



Copernicus Webinar Series  
May 18, 2021



## Outline

- ❑ Introduction & Motivations
- ❑ Quantum collapse models in brief
- ❑ Application to cosmology and perturbation theory
- ❑ Conclusions

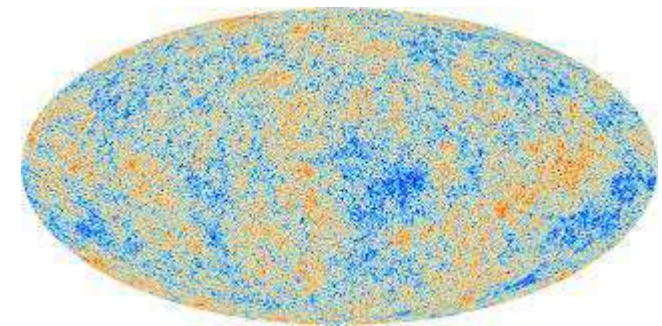
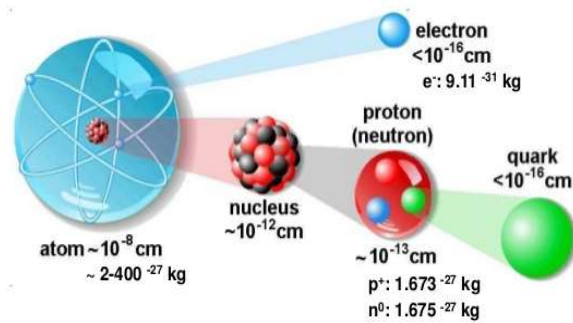


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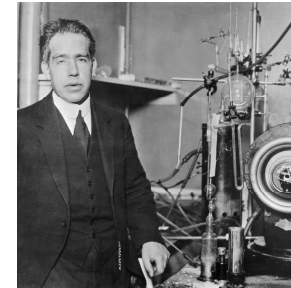


*"I don't believe I've ever seen a scientific paper defended quite as vigorously as this one!"*



- There is no doubt that Quantum Mechanics is a very successful theory
- There is still, however, a debate about how the theory should be understood
- This has given rise to a large variety of interpretations ...

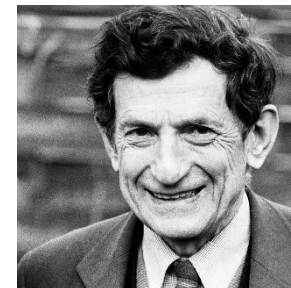
- Copenhagen



- MWI

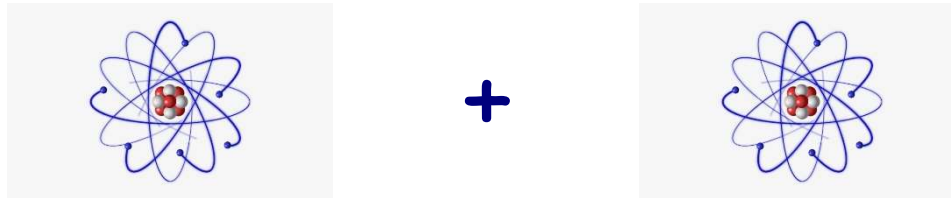


- Bohm



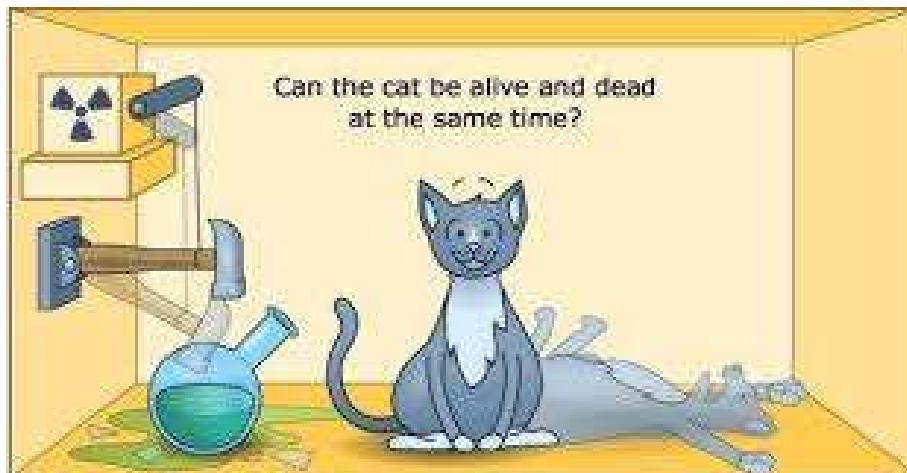
- etc ...

## The problem originates from quantum superpositions



✓ Experimentally seen

Quantum mechanics is linear  
↓



✓ Macroscopic superpositions  
but ... never seen



## Motivations



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- Collapse models are falsifiable



- First motivation: since collapse models are falsifiable, can Cosmology help constraining them?



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- For instance

$$|\Psi\rangle = \sum_{\text{homogeneous}} c(\text{homogeneous}) |\text{homogeneous}\rangle \rightarrow |\text{inhomogeneous}\rangle_{\text{Planck}}$$

**Homogeneous**
**Inhomogeneous**

$$[\hat{H}, \hat{P}_\mu] = 0$$

$$\hat{P}_\mu = - \int d^3\mathbf{x} \sqrt{{}^{(3)}g} \hat{T}^0{}_\mu$$

Why and how in the early Universe??



- First motivation: since collapse models are falsifiable, can Cosmology help constraining them?
- Second motivation: can collapse models help improving our understanding of the behavior of quantum perturbations in the early Universe?



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## A first approach: the GRW model

Main idea: The wave-function undergoes random “flashes” in time and space, with frequency  $\lambda$ , that localizes the state vector on a spatial scale  $r_c$

$$\Psi(t, x) \rightarrow \frac{\hat{L}_q \Psi(t, x)}{\|\hat{L}_q \Psi(t, x)\|} \quad \text{with probability} \quad \|\hat{L}_q \Psi(t, x)\|^2$$

$$\hat{L}_q = \frac{1}{\pi^{3/4} r_c^{3/2}} e^{-(q - \hat{X})^2 / (2r_c^2)}$$

Ghirardi, Rimini, Weber, Phys. Rev. D 34 (1986), 470

Bassi, Ghirardi, Phys. Rept. 379 (2003), 257, quant-ph/0302164

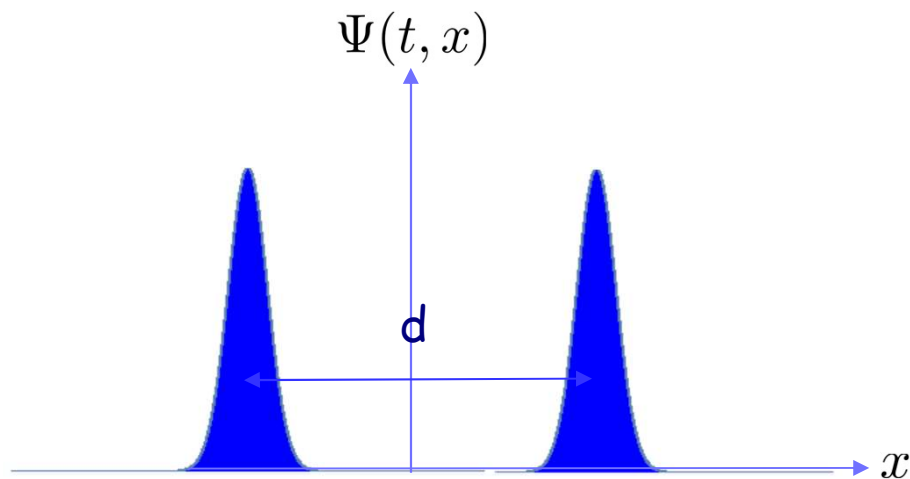
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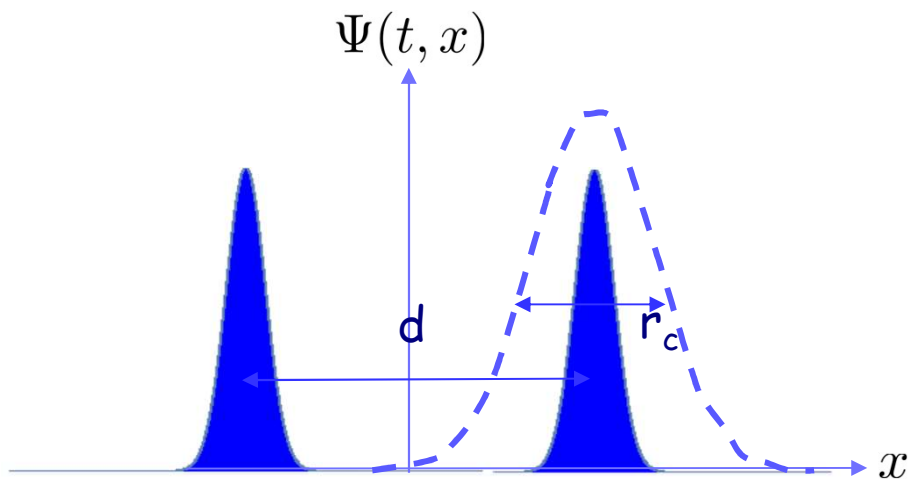


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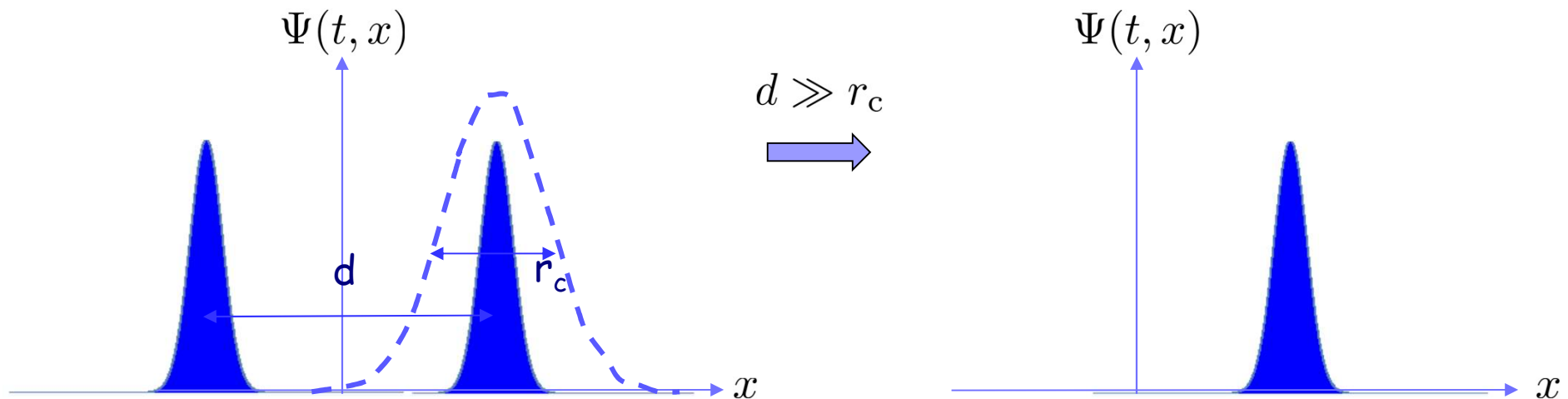


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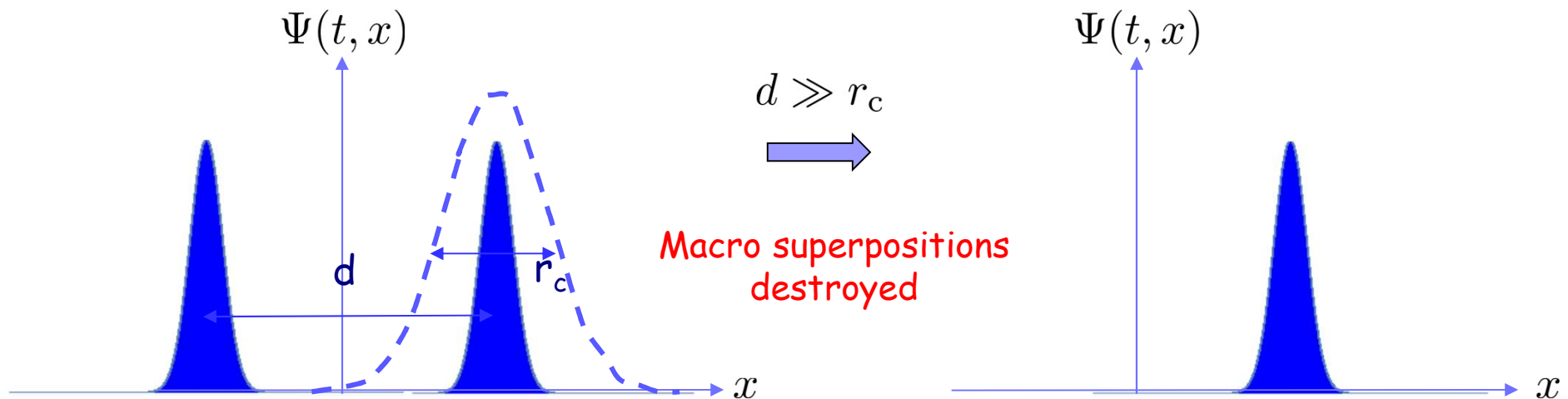


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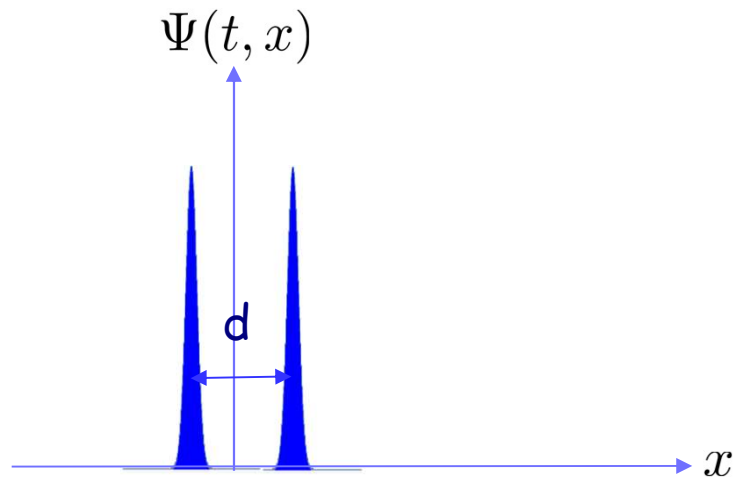


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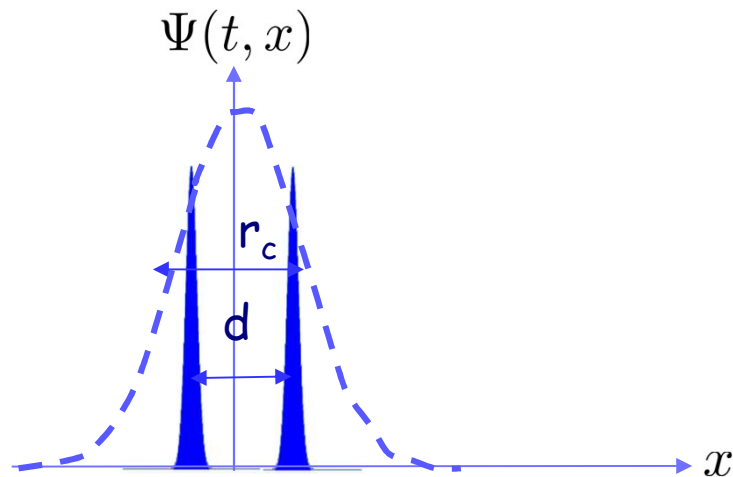


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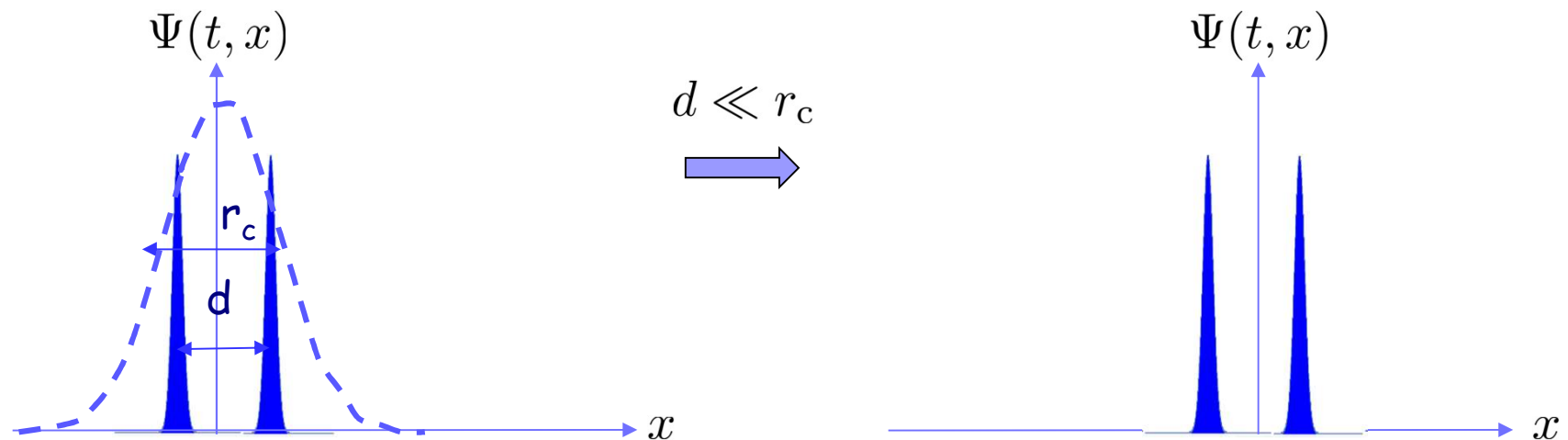


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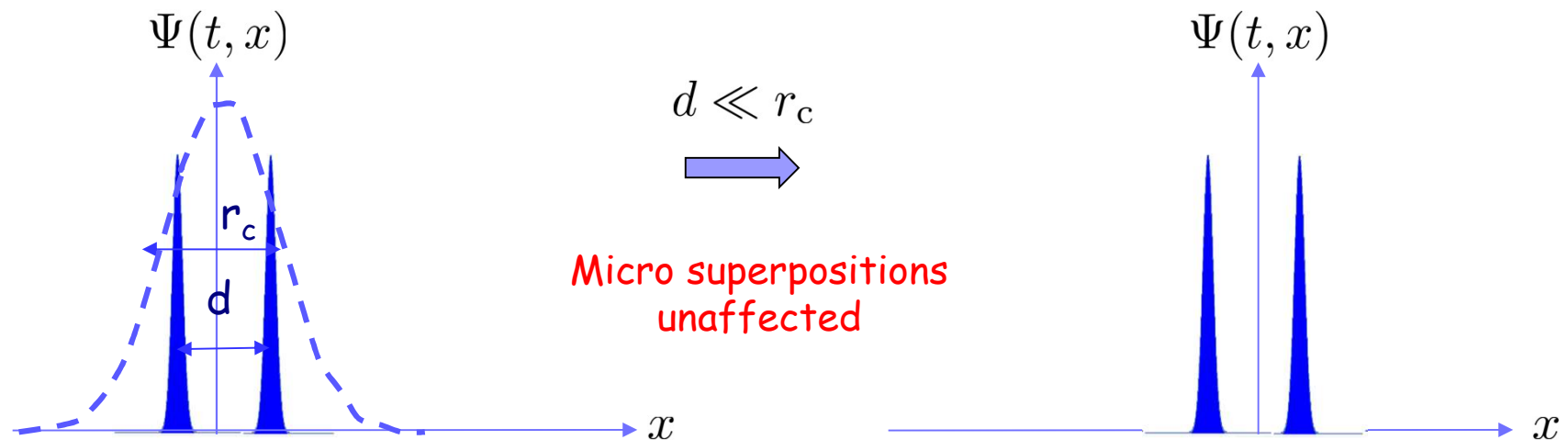


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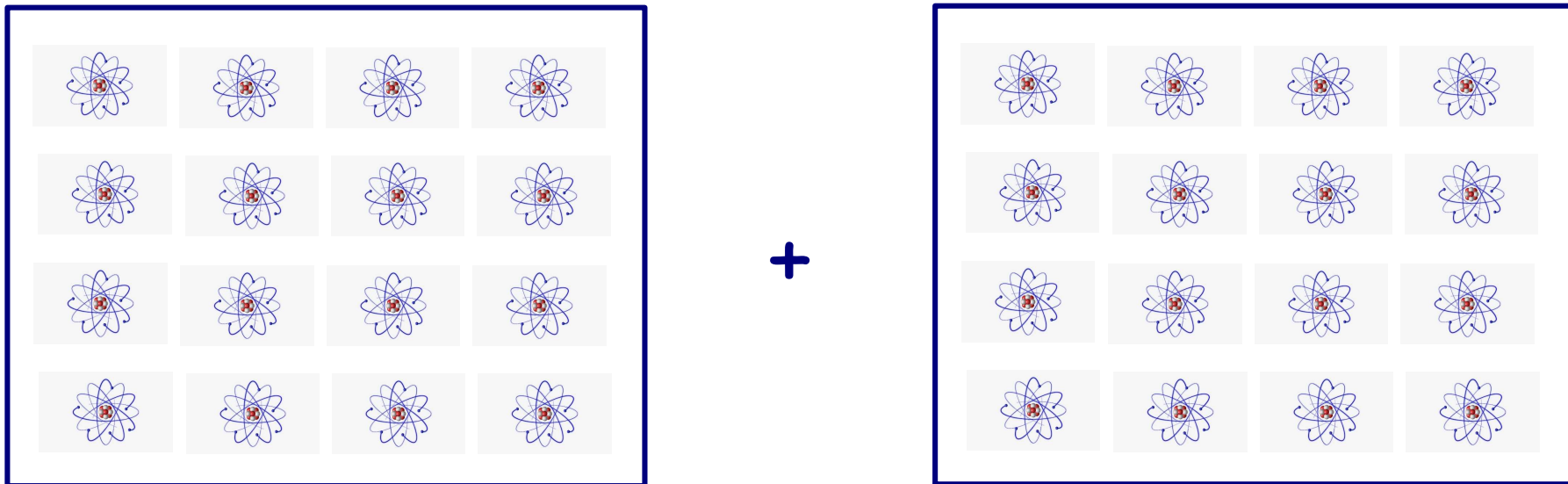
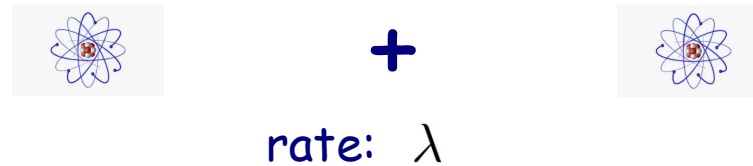
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## A first approach: the GRW model

There is an amplification mechanism: the destruction of big superposition proceeds with a much larger rate



rate:  $\lambda_{\text{eff}} = N\lambda \gg \lambda$

Stochastic evolution in Hilbert space

$$d|\Psi(t, \mathbf{x})\rangle = \left[ \underbrace{-i\hat{H}dt}_{\text{Schrödinger term}} - \underbrace{\frac{\gamma}{2} \sum_i (\hat{C}_i - \langle \hat{C}_i \rangle)^2 dt + \sqrt{\gamma} \sum_i (\hat{C}_i - \langle \hat{C}_i \rangle) dW_i(t)}_{\text{New terms}} \right] |\Psi(t, \mathbf{x})\rangle$$

Schrödinger term

New terms

- $\{C_i\}$ : set of collapse operators
- $\gamma$ : new parameter, sets the strength of the collapse

- Non-linear evolution:

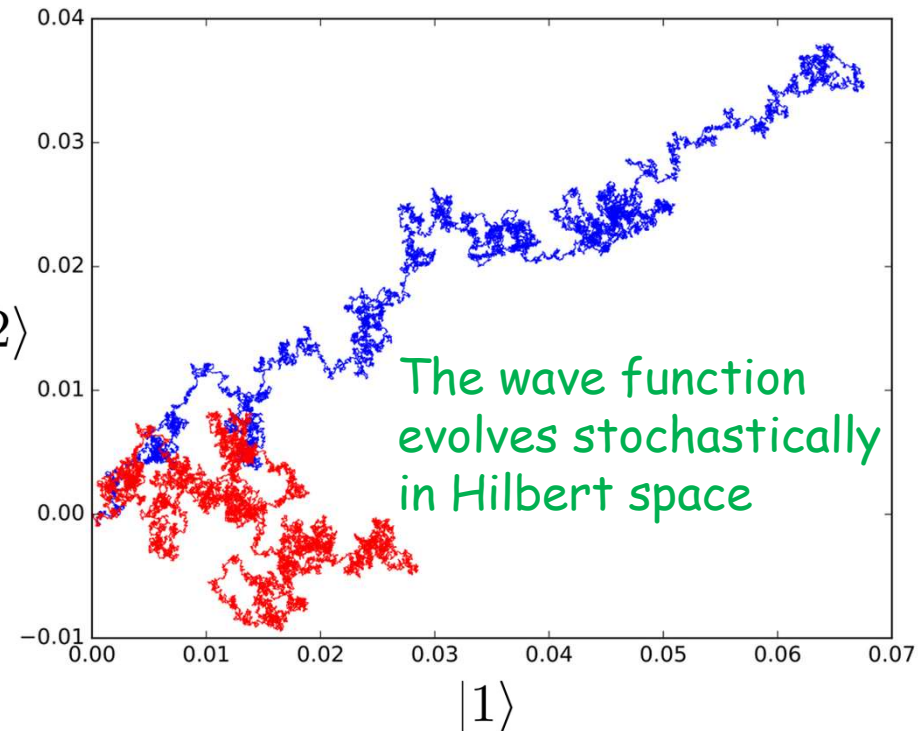
$$\langle \hat{C}_i \rangle = \langle \Psi | \hat{C}_i | \Psi \rangle$$

- Stochastic evolution:

$$\mathbb{E} [dW_i(t)] = 0$$

$$\mathbb{E} [dW_i(t)dW_j(t')] = \delta_{ij}\delta(t - t')$$

$|2\rangle$



The wave function evolves stochastically in Hilbert space



Illustration: collapse of the state vector

$$d|\Psi(t, \mathbf{x})\rangle = \left[ -i\hat{H}dt - \frac{\gamma}{2} \sum_i (\hat{C}_i - \langle \hat{C}_i \rangle)^2 dt + \sqrt{\gamma} \sum_i (\hat{C}_i - \langle \hat{C}_i \rangle) dW_i(t) \right] |\Psi(t, \mathbf{x})\rangle$$



## Illustration: collapse of the state vector

$$d|\Psi(t, \mathbf{x})\rangle = \left[ -\frac{1}{2} (\hat{C} - \langle \hat{C} \rangle)^2 dt + (\hat{C} - \langle \hat{C} \rangle) dW(t) \right] |\Psi(t, \mathbf{x})\rangle$$



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$$\hat{C} = \sum_{\sigma} a_{\sigma} |\sigma\rangle \langle \sigma|$$



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$$dz_{\sigma} = 2z_{\sigma} \sum_{\sigma'} z_{\sigma'} (a_{\sigma} - a_{\sigma'}) dW_t$$

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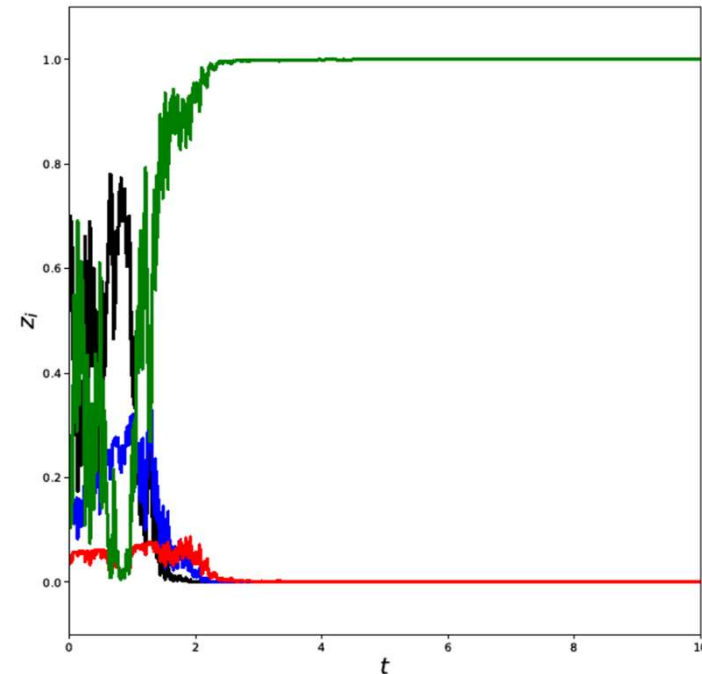
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- Solution





## Continuous Spontaneous Localization (CSL) models

$$d|\Psi\rangle = \left\{ -\frac{i}{\hbar} \hat{H} dt - \frac{\gamma}{2m_0^2} \int d\mathbf{x}_p \left[ \hat{C}_{\text{sm}}(\mathbf{x}_p) - \langle \hat{C}_{\text{sm}}(\mathbf{x}_p) \rangle \right]^2 dt + \frac{\sqrt{\gamma}}{m_0} \int d\mathbf{x}_p \left[ \hat{C}_{\text{sm}}(\mathbf{x}_p) - \langle \hat{C}_{\text{sm}}(\mathbf{x}_p) \rangle \right] dW_t \right\} |\Psi\rangle$$

- Collapse operator is the “smeared” mass density operator

$$\hat{C}_{\text{sm}}(\mathbf{x}_p) = \frac{1}{(2\pi)^{3/2} r_c^3} \int d\mathbf{y}_p \hat{\rho}(\mathbf{x}_p + \mathbf{y}_p) e^{-|\mathbf{y}_p|^2 / (2r_c^2)}$$

- $m_0$  is a reference mass (usually taken as the nucleon mass)
- Two parameters model:  $\lambda = \frac{\gamma}{8\pi^{3/2} r_c^3}$  and  $r_c$
- $[\lambda] = \text{time}^{-1}$ , gives the frequency/collapse and  $[r_c] = \text{length}$ , gives localization scale



Balance sheet

Pros

Cons



## Balance sheet

### Pros

- Solves the measurement problem
- Structure of the modified Schrödinger equation unique
- Born rule derived
- It is falsifiable
- Leads to the Lindblad equation

### Cons





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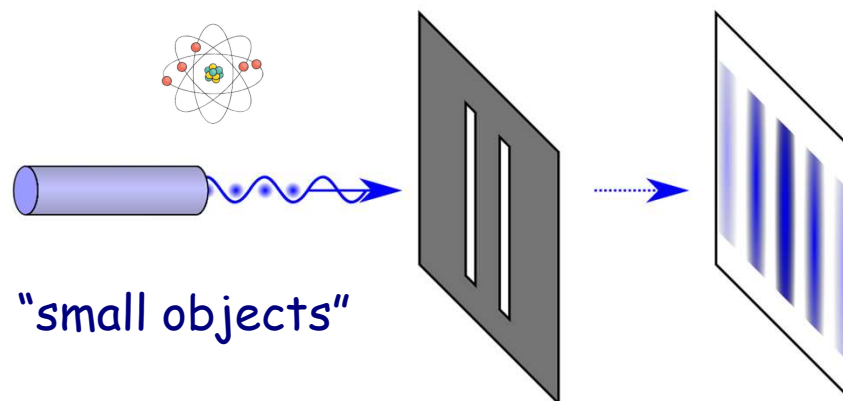
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### Cons

- Interpretation of the noise?
- Energy not conserved
- No easy relativistic generalization

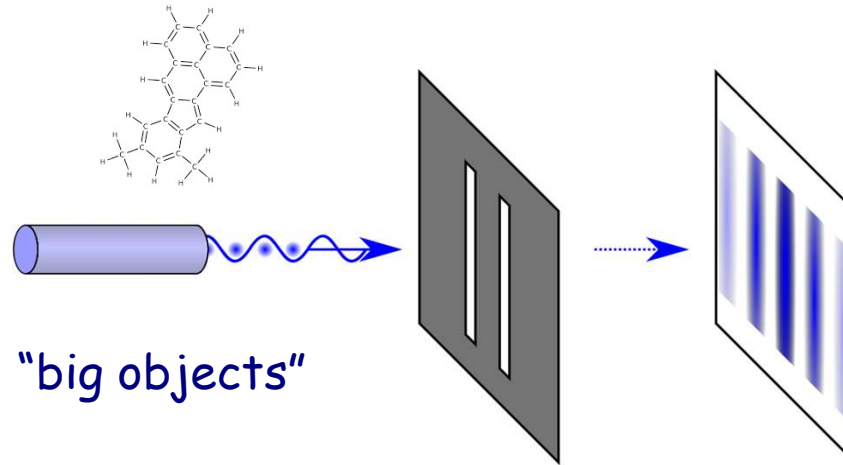


CSL is falsifiable



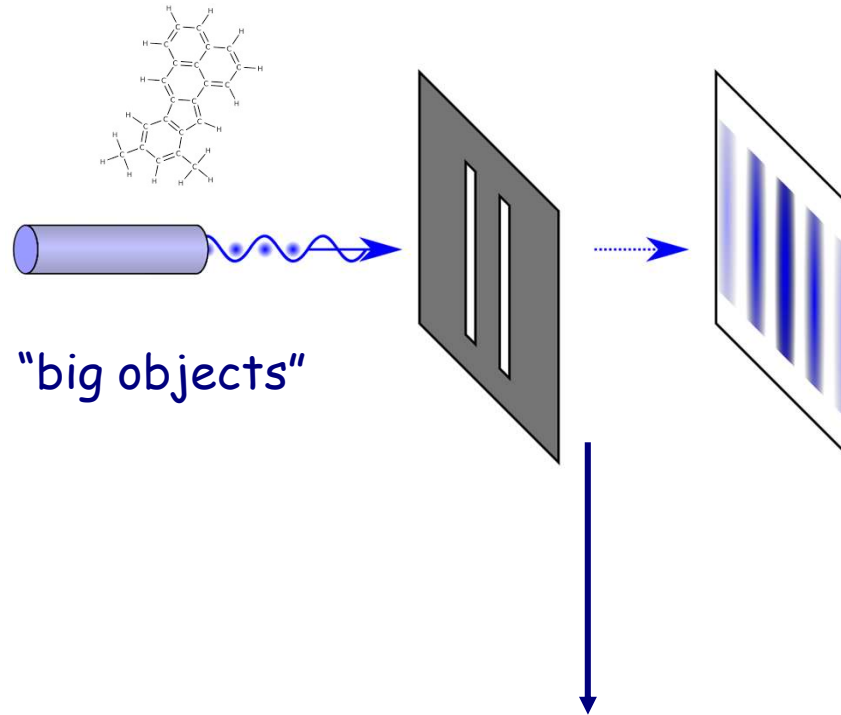


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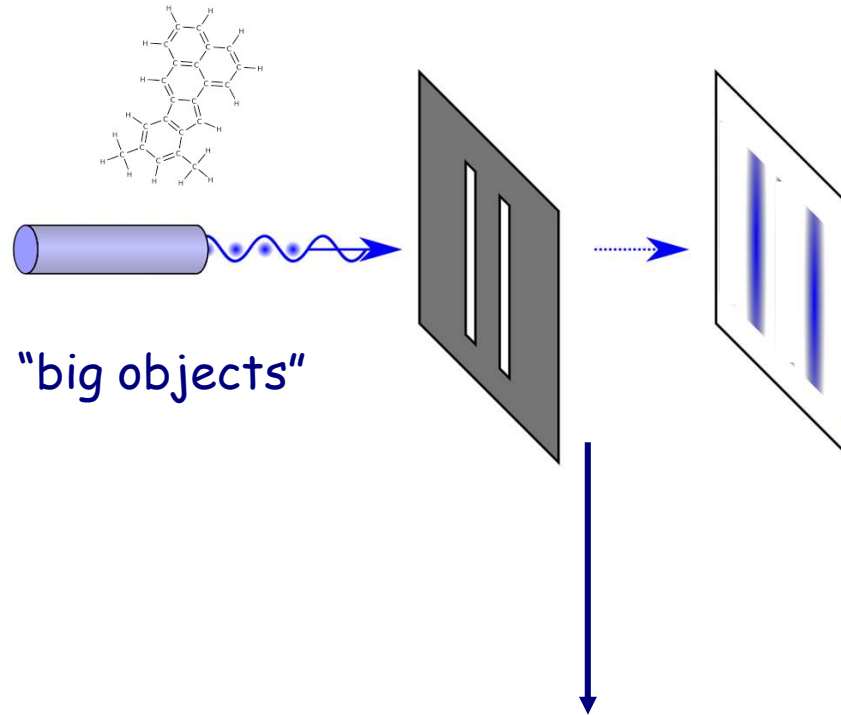


"big objects"

If one increases the mass, the superposition produced after the two slits will be destroyed by spontaneous collapse



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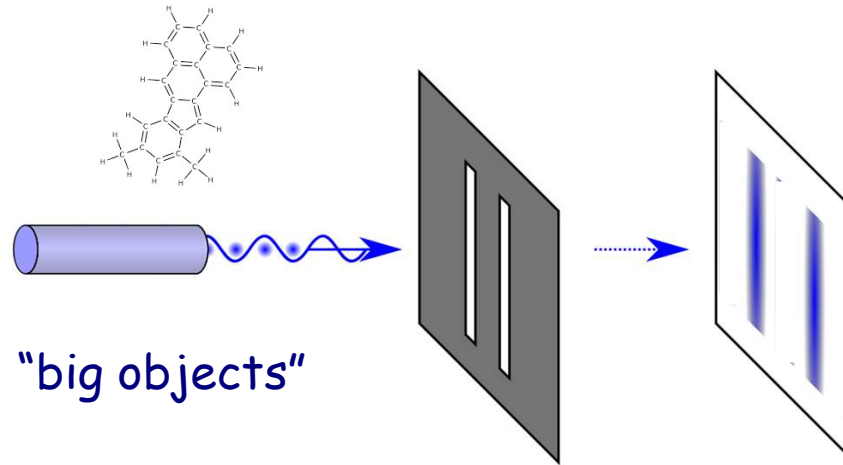


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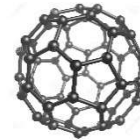


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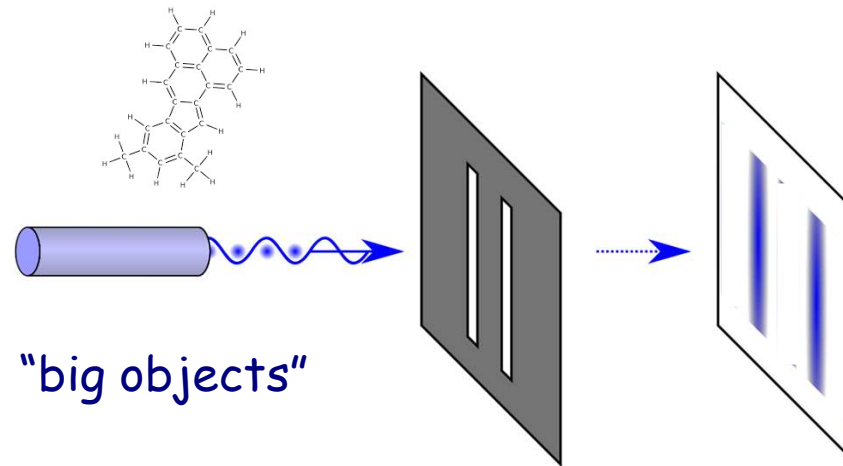


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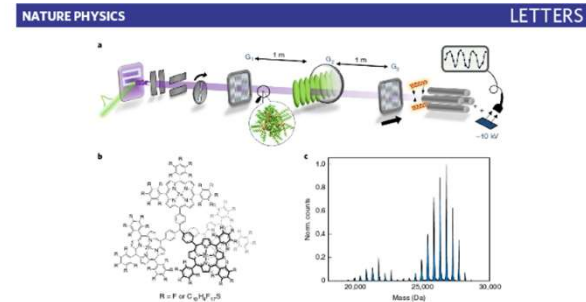
- 1999: Fullerene, 60 atoms, 720 amu



## CSL is falsifiable

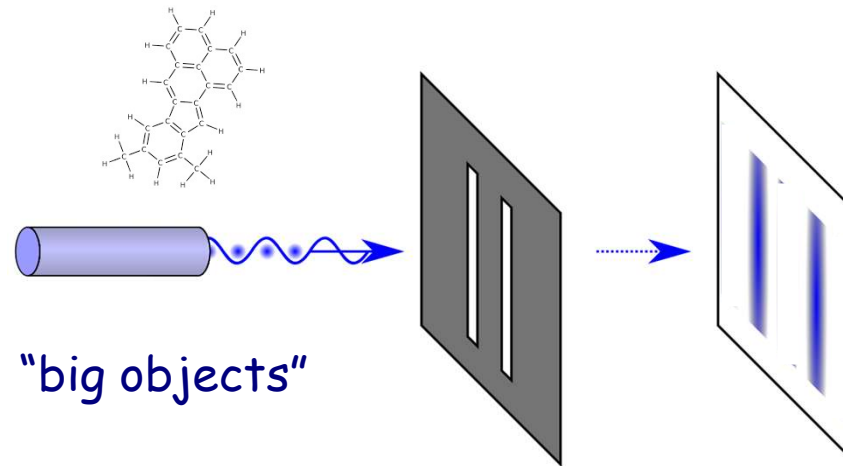


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- 2019: large molecules, 2000 atoms, 26 777 amu

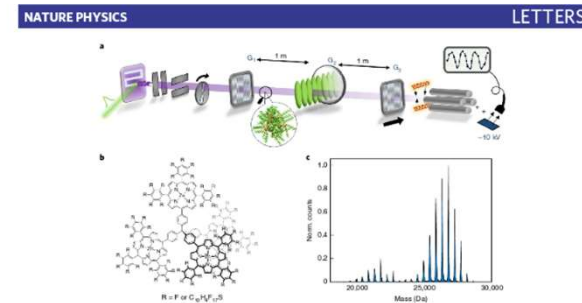


**Fig. 1 | Experimental schematic and molecule details.** **a**, The molecular beam is created via nanosecond laser desorption (532 nm, 140 Hz,  $1 \times 10^{12}$  W cm<sup>-2</sup>), followed by collimation and TCF encoding via a pseudo-random chopper. The beam then enters the interferometer chamber, passing two SN gratings G<sub>1</sub> and G<sub>2</sub> (266 nm period, 43% open fraction, 160 nm thick) and the optical grating G<sub>3</sub> ( $\lambda = 532$  nm, vertical beam waist 690  $\mu$ m), spaced by  $L = 0.98$  m. The third grating shifts transversely across the molecular beam to detect the presence of quantum interference fringes that manifest as a molecular density pattern of period  $d$ . The molecules are then ionized by electron impact and are mass-selected and counted in a customized quadrupole mass spectrometer that can resolve masses beyond 1 MDa. **b**, The molecules in this study consist of a tetraphenylmethane core with four zinc-coordinated porphyrin branches. Each branch contains up to 15 fluoroalkyl/alkyl chains. **c**, The MALDI-TOF spectrum of the molecular library after matrix-free desorption. The mass resolution in LUMI during interference experiments was lower to maximize transmission, as discussed in the Methods.

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- Transition expected around  $10^6$ - $10^9$  amu

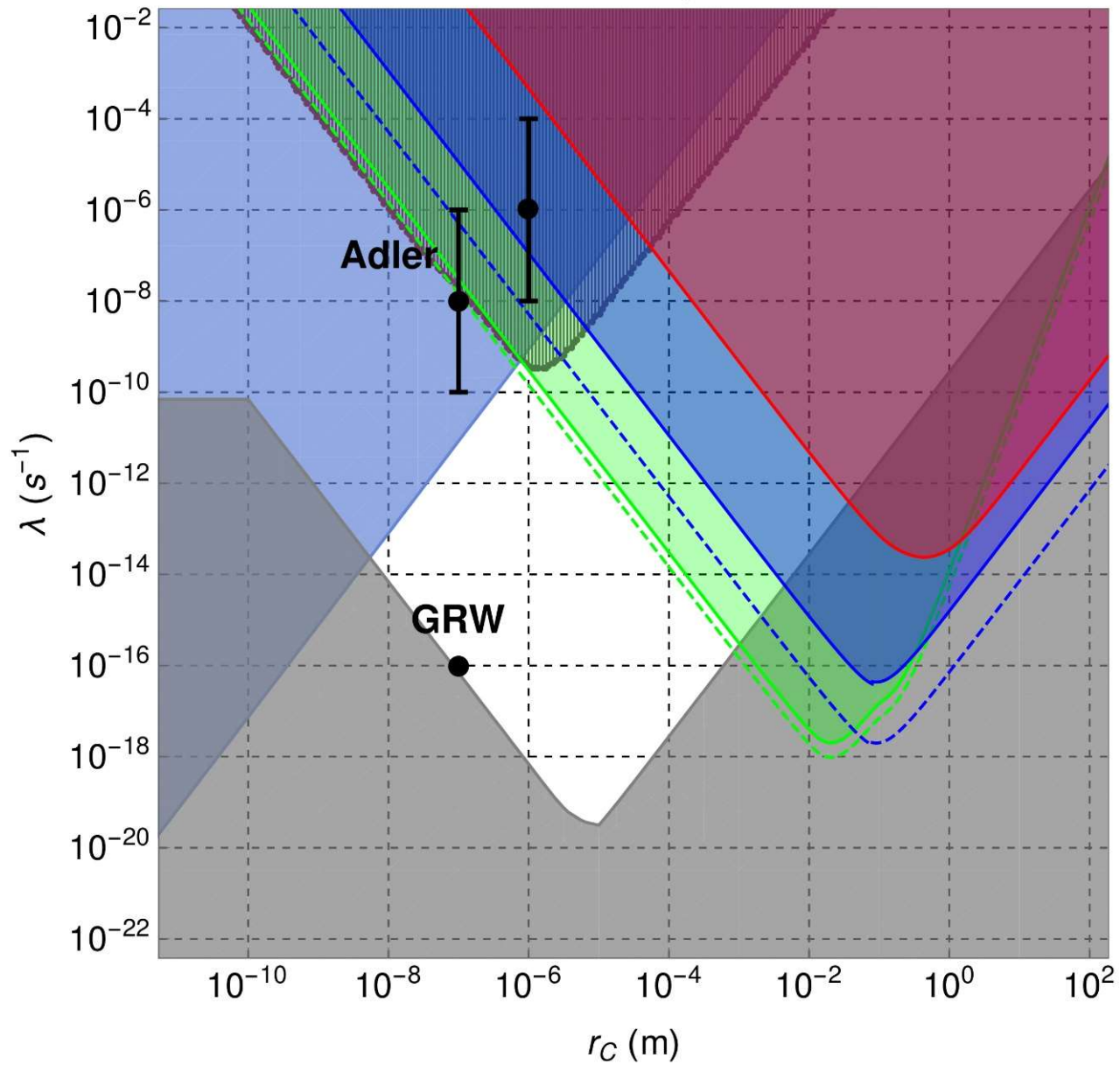


**Fig. 1 | Experimental schematic and molecule details.** **a**, The molecular beam is created via nanosecond laser desorption (532 nm, 1 mJ,  $1 \times 10^{12}$  W cm<sup>-2</sup>), followed by collimation and TOF encoding via a pseudo-random chopper. The beam then enters the interferometer chamber, passing two SN gratings  $G_1$  and  $G_2$  (266 nm period, 43% open fraction, 160 nm thick) and the optical grating  $G_3$  ( $\lambda = 532$  nm, vertical beam waist 690  $\mu$ m), spaced by  $L = 0.98$  m. The third grating shifts transversely across the molecular beam to detect the presence of quantum interference fringes that manifest as a molecular density pattern of paired  $d$ . The molecules are then ionized by electron impact and are mass-selected and counted in a customized quadrupole mass spectrometer that can resolve masses beyond 1 MDa. **b**, The molecules in this study consist of a tetraphenylmethane core with four zinc-coordinated porphyrin branches. Each branch contains up to 15 fluoroalkylsulfanyl chains. **c**, The MALDI-TOF spectrum of the molecular library after matrix-free desorption. The mass resolution in LUMI during interference experiments was lower to maximize transmission, as discussed in the Methods.





Bounds on CSL parameters





## Outline

- Introduction & Motivations
  
- Quantum collapse models in brief
  
- Application to cosmology and perturbation theory
  
- Conclusions



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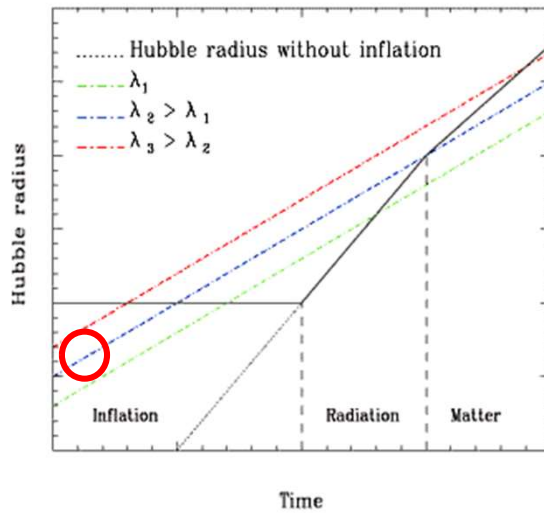
$$v_{\mathbf{k}}'' + \omega^2(k, \eta) v_{\mathbf{k}} = 0$$

✓ Gaussian squeezed state

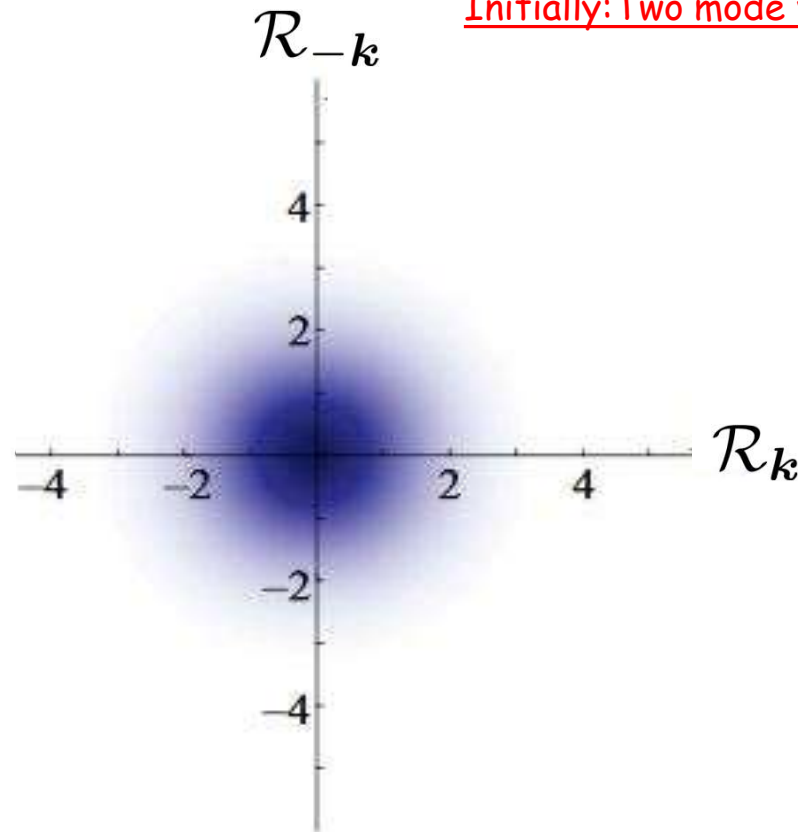




$$\Psi[\mathcal{R}] = \prod_{\mathbf{k} \in \mathbb{R}^{3+}} \Psi(\mathcal{R}_{\mathbf{k}}, \mathcal{R}_{-\mathbf{k}})$$



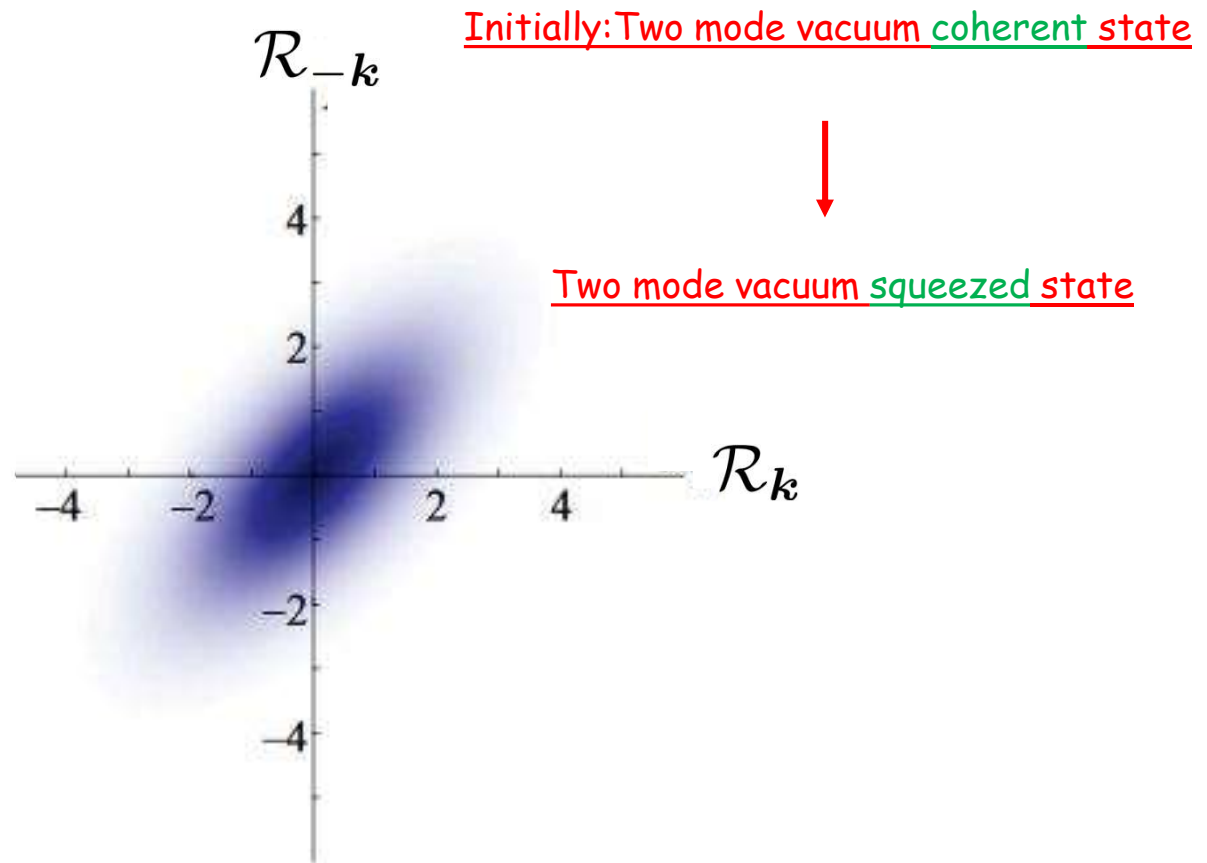
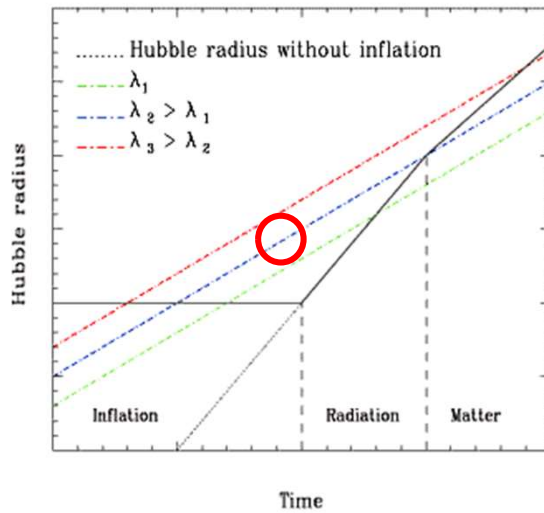
Initially: Two mode vacuum coherent state



$$\Psi_0(\mathcal{R}_{\mathbf{k}}, \mathcal{R}_{-\mathbf{k}}) = \frac{1}{\pi^{1/4}} e^{-\mathcal{R}_{\mathbf{k}}^2/2 - \mathcal{R}_{-\mathbf{k}}^2/2} = \frac{1}{\sqrt{\pi}} e^{-(\mathcal{R}_{\mathbf{k}} - \mathcal{R}_{-\mathbf{k}})^2/4} e^{-(\mathcal{R}_{\mathbf{k}} + \mathcal{R}_{-\mathbf{k}})^2/4}$$



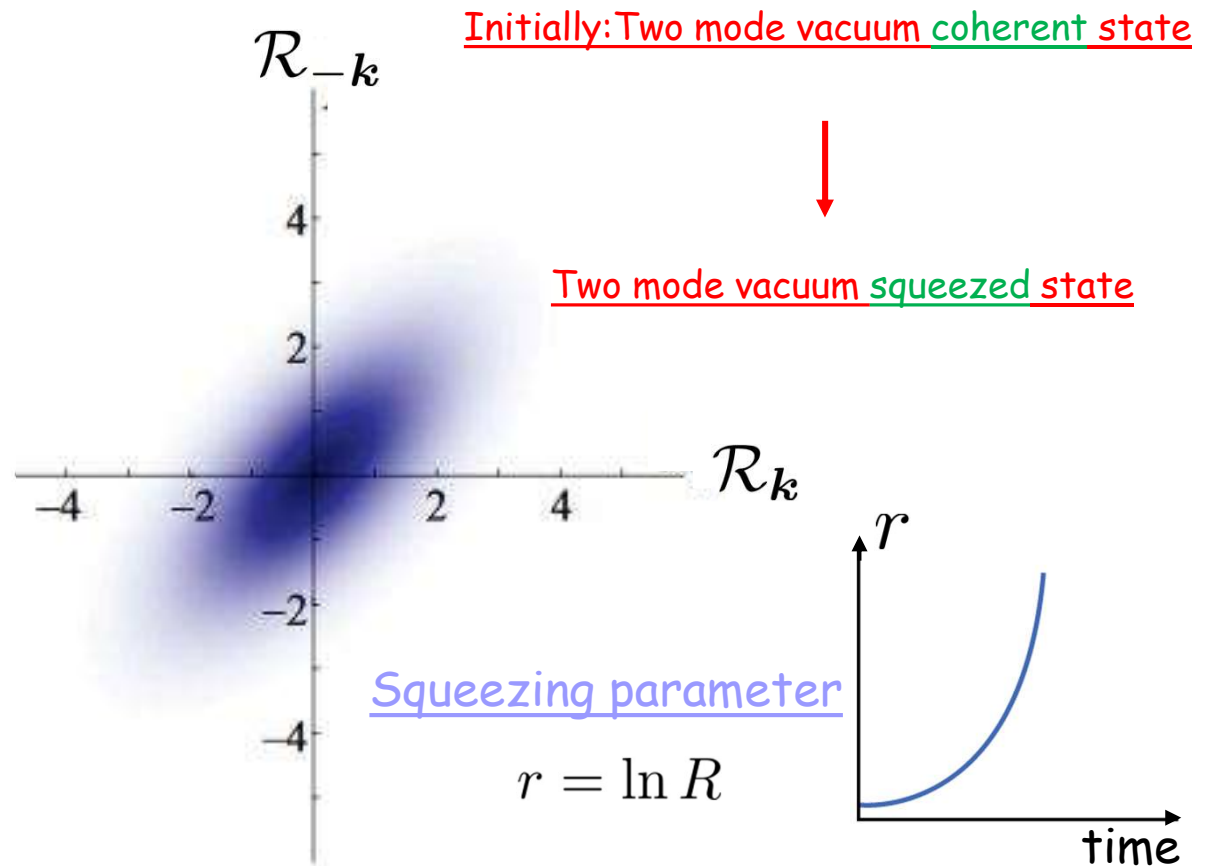
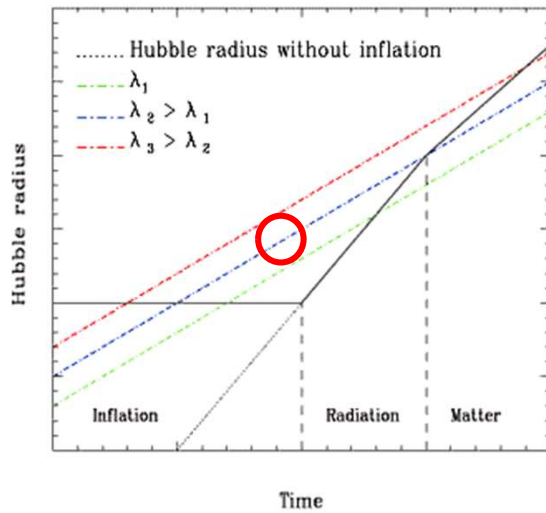
$$\Psi[\mathcal{R}] = \prod_{\mathbf{k} \in \mathbb{R}^{3+}} \Psi(\mathcal{R}_{\mathbf{k}}, \mathcal{R}_{-\mathbf{k}})$$



$$\Psi_R(\mathcal{R}_{\mathbf{k}}, \mathcal{R}_{-\mathbf{k}}) = \frac{1}{\sqrt{\pi}} e^{-(\mathcal{R}_{\mathbf{k}} - \mathcal{R}_{-\mathbf{k}})^2 / (4R^2)} e^{-R^2(\mathcal{R}_{\mathbf{k}} + \mathcal{R}_{-\mathbf{k}})^2 / 4}$$



$$\Psi[\mathcal{R}] = \prod_{\mathbf{k} \in \mathbb{R}^{3+}} \Psi(\mathcal{R}_{\mathbf{k}}, \mathcal{R}_{-\mathbf{k}})$$



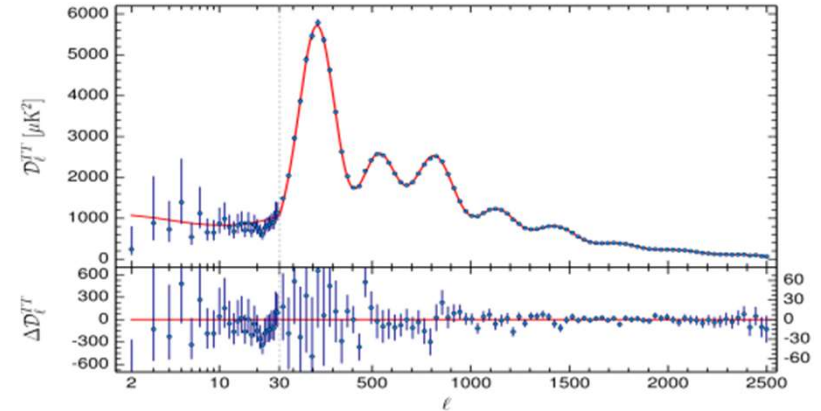
$$\Psi_R(\mathcal{R}_{\mathbf{k}}, \mathcal{R}_{-\mathbf{k}}) = \frac{1}{\sqrt{\pi}} e^{-(\mathcal{R}_{\mathbf{k}} - \mathcal{R}_{-\mathbf{k}})^2 / (4R^2)} e^{-R^2(\mathcal{R}_{\mathbf{k}} + \mathcal{R}_{-\mathbf{k}})^2 / 4}$$



- Universe spatially flat

$$\Omega_{\mathcal{K}} = -0.040^{+0.038}_{-0.041}$$

- Phase coherence



- Adiabatic perturbations

$$\alpha_{\mathcal{R}\mathcal{R}}^{(2,2500)} \in [0.985, 0.999]$$

- Gaussian perturbations

$$f_{\text{NL}}^{\text{loc}} = 0.8 \pm 5$$

- Almost scale invariant power spectrum

$$n_{\text{S}} = 0.9645 \pm 0.0049$$

- Background of quantum gravitational waves

$$r < 0.08$$

Single field slow-roll models, with minimal kinetic terms, are preferred



## CSL: application to Cosmology & inflation

- No (fully satisfactory) relativistic version of CSL: application to cosmology is necessarily phenomenological ...

$$d|\Psi[v(\mathbf{x}_p), t]\rangle = \left\{ -i\hat{H}dt + \frac{\sqrt{\gamma}}{m_0} \int d\mathbf{x}_p \left[ \hat{C}_{\text{sm}}(\mathbf{x}_p) - \langle \hat{C}_{\text{sm}}(\mathbf{x}_p) \rangle \right] dW_t(\mathbf{x}_p) - \frac{\gamma}{2m_0^2} \int d\mathbf{x}_p \left[ \hat{C}_{\text{sm}}(\mathbf{x}_p) - \langle \hat{C}_{\text{sm}}(\mathbf{x}_p) \rangle \right]^2 dt \right\} |\Psi[v(\mathbf{x}_p), t]\rangle$$



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- Which collapse operator? Energy density:  $\hat{\rho} = \bar{\rho} + \hat{\delta\rho}$

$$- \hat{C} - \langle \hat{C} \rangle \rightarrow \hat{\delta\rho} - \langle \hat{\delta\rho} \rangle$$

$$- \hat{C}_{\text{sm}}(\mathbf{x}_p) = \frac{1}{(2\pi)^{3/2} r_c^3} \int d\mathbf{y}_p \hat{\rho}(\mathbf{x}_p + \mathbf{y}_p) e^{-|\mathbf{y}_p|^2/(2r_c^2)}$$



## CSL: application to Cosmology & inflation

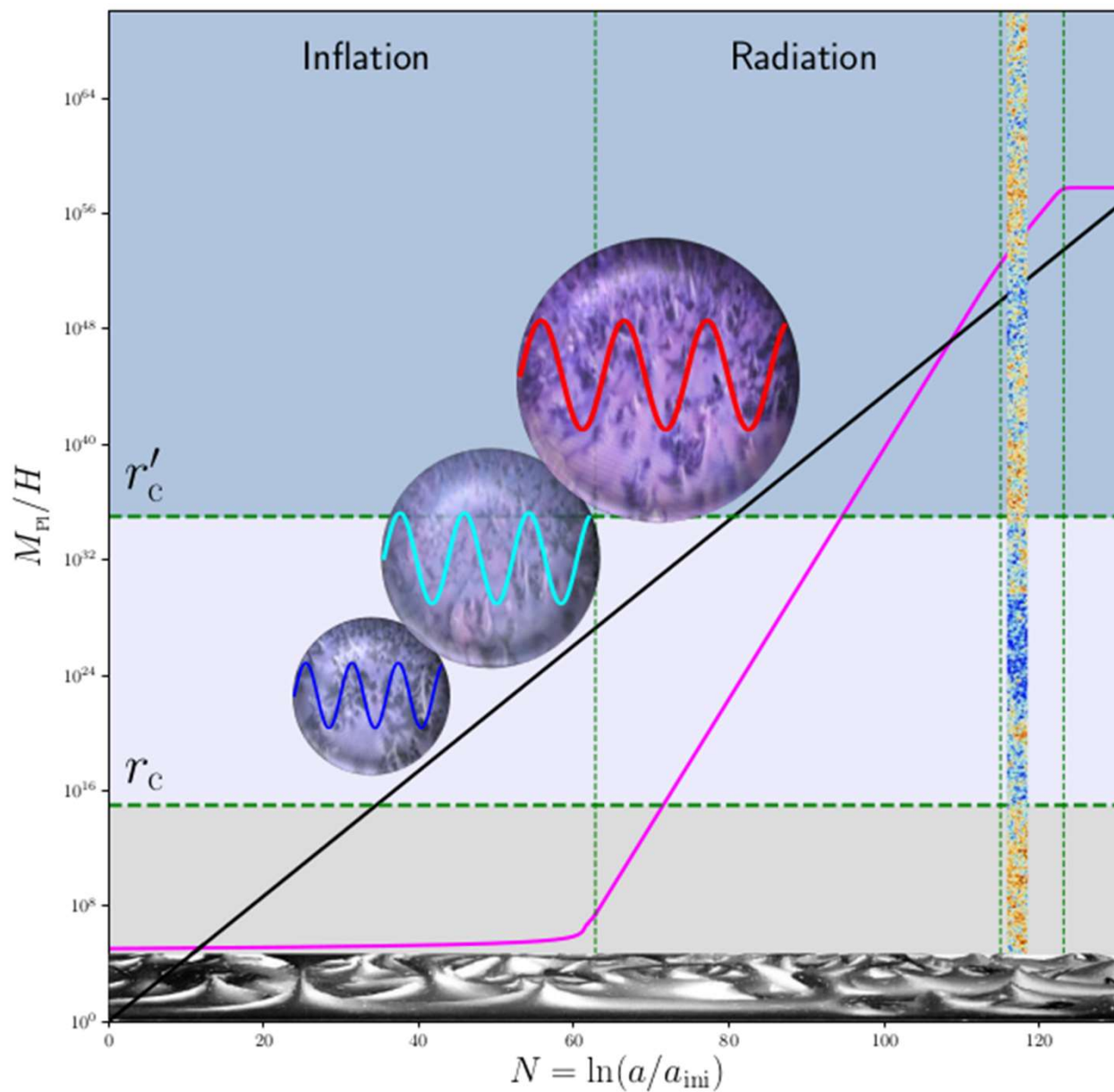
- In Fourier space, this leads to

$$d|\Psi[v_{\mathbf{k}}, t]\rangle = \left\{ -iH_{\mathbf{k}}dt + \frac{\sqrt{\gamma a^3}}{m_0} \left[ \hat{C}_{\text{sm}}(\mathbf{k}) - \langle \hat{C}_{\text{sm}}(\mathbf{k}) \rangle \right] dW_t(\mathbf{k}) - \frac{\gamma a^3}{2m_0^2} \left[ \hat{C}_{\text{sm}}(\mathbf{k}) - \langle \hat{C}_{\text{sm}}(\mathbf{k}) \rangle \right]^2 dt \right\} |\Psi[v_{\mathbf{k}}, t]\rangle$$

$$\hat{C}_{\text{sm}}(\mathbf{k}) = 3M_{\text{Pl}}^2 \frac{\mathcal{H}^2}{a^2} \underbrace{e^{-k^2 r_c^2 / (2a^2)}}_{\text{collapse operator}} \frac{\hat{\delta\rho}}{\bar{\rho}}(\mathbf{k})$$

- Cosmological amplification mechanism:  
the collapse operator is effective only if  $\lambda \gg r_c$

- Btw: no problem with the initial conditions!







## CSL: application to Cosmology & inflation

- Which energy density contrast??

$$\delta_p \propto \delta_g \left( \frac{k}{aH} \right)^p$$

with  $0 < p < 2$

- p=0, Newtonian density contrast

$$\delta_g = \delta + \frac{\rho'}{\rho} (B - E')$$

- p=2, Flat threading, density contrast

$$\delta_m = \delta + \frac{\rho'}{\rho} (v + B)$$



Stochastic evolution of the cosmological wavefunction:

- Gaussian state:

$$\Psi(v_{\mathbf{k}}, \eta) = |N_{\mathbf{k}}(\eta)| \exp \left\{ -\Re \Omega_{\mathbf{k}} [v_{\mathbf{k}} - \bar{v}_{\mathbf{k}}(\eta)]^2 + i\sigma_{\mathbf{k}}(\eta) + i\chi_{\mathbf{k}}(\eta)v_{\mathbf{k}} - i\Im \Omega_{\mathbf{k}} v_{\mathbf{k}}^2 \right\}$$

- $\Omega_{\mathbf{k}}(\eta)$
  - $\bar{v}_{\mathbf{k}}(\eta)$
  - $\sigma_{\mathbf{k}}(\eta)$
  - $\chi_{\mathbf{k}}(\eta)$
- } Random variables

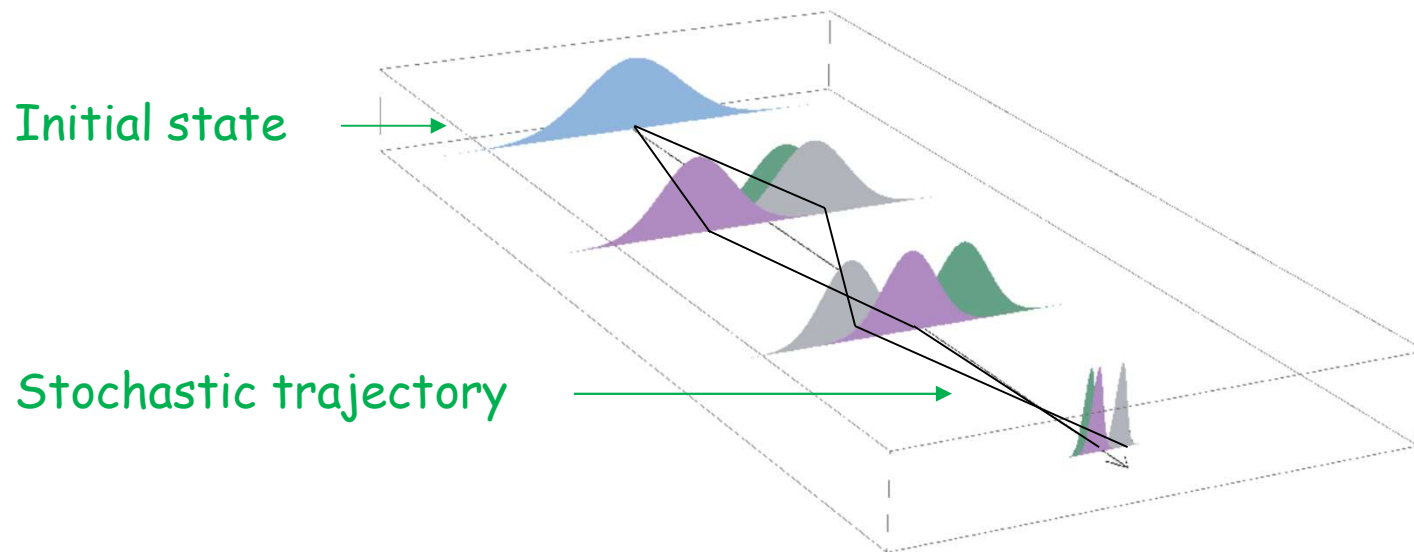
- Mean value  $\langle \hat{v}_{\mathbf{k}} \rangle = \bar{v}_{\mathbf{k}}(\eta)$

- Variance  $\langle (\hat{v}_{\mathbf{k}} - \bar{v}_{\mathbf{k}})^2 \rangle = (4\Re \Omega_{\mathbf{k}})^{-1}$

Stochastic evolution of the cosmological wavefunction:

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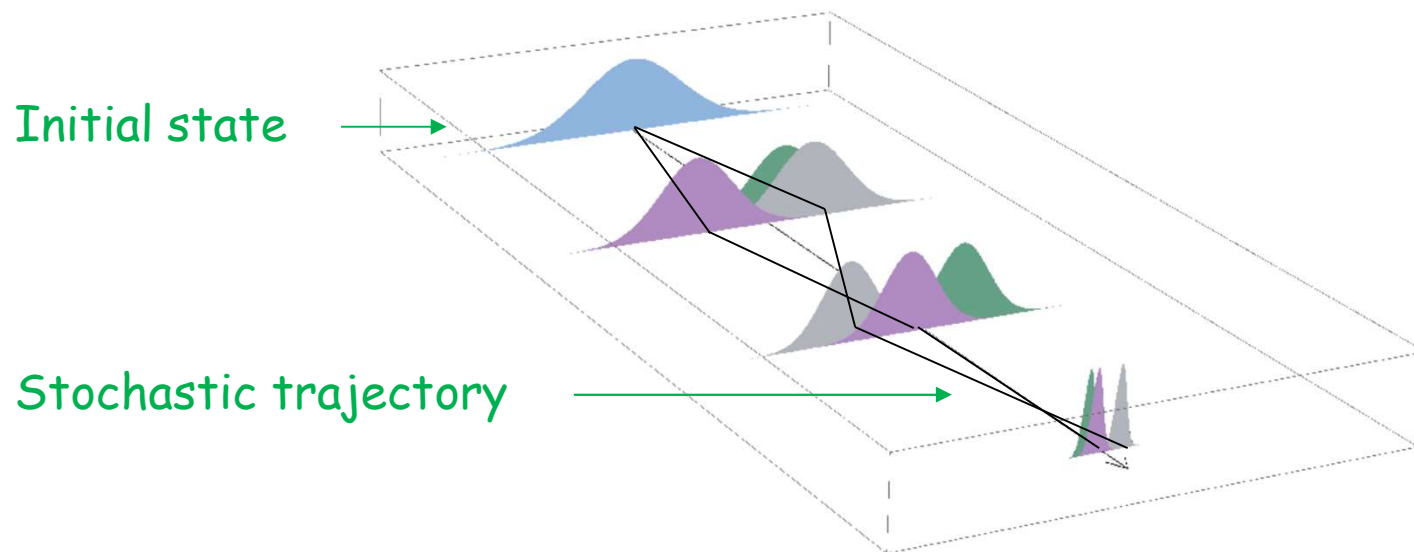




Stochastic evolution of the cosmological wavefunction:

Two requirements

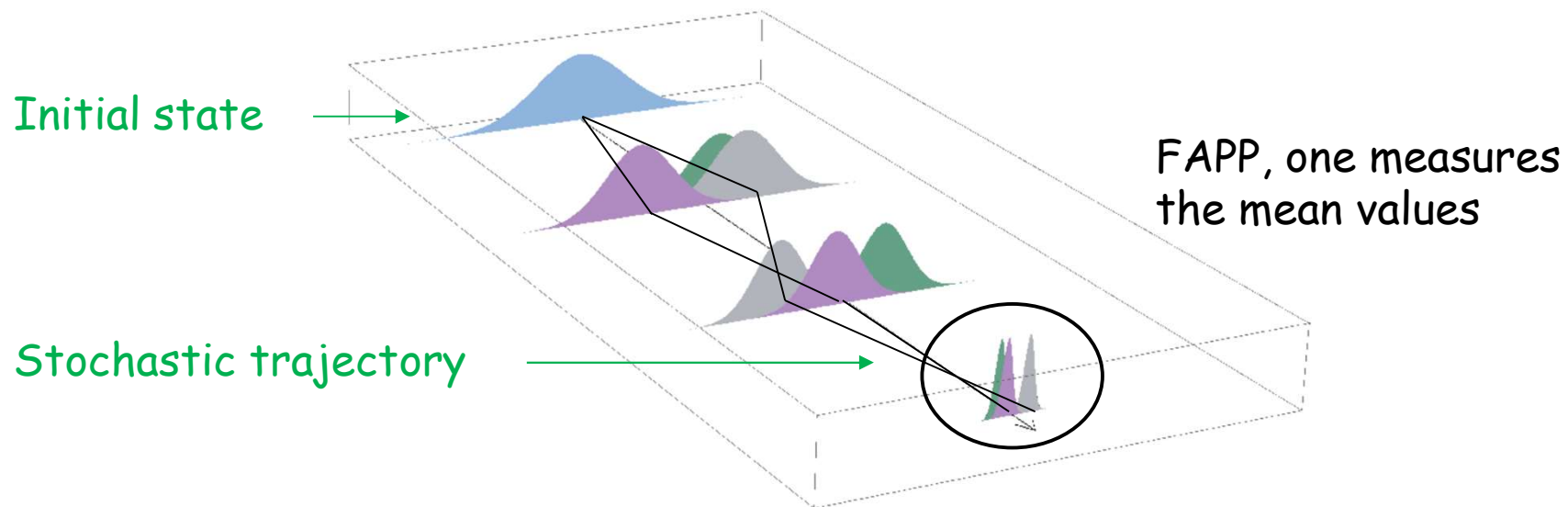
- ✓ The CSL power spectrum is scale-invariant
- ✓ The wavefunction collapses



Stochastic evolution of the cosmological wavefunction:

✓ The CSL power spectrum is scale-invariant

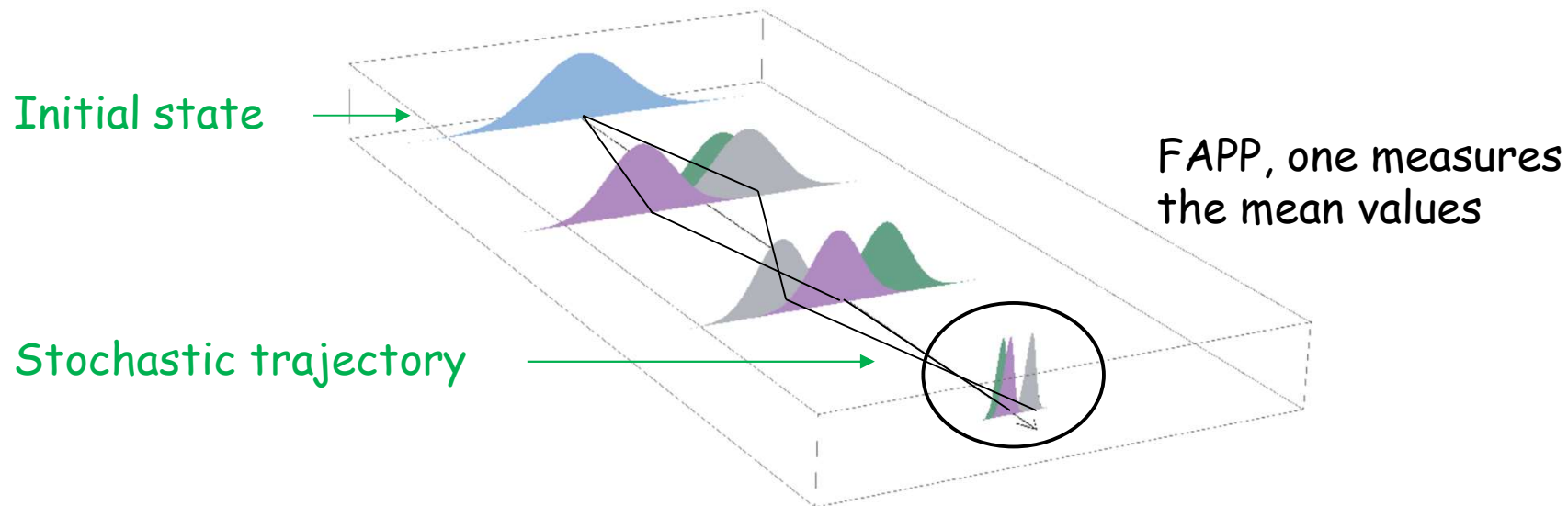
$$\mathcal{P}_v \propto \mathbb{E} (\langle \hat{v}_{\mathbf{k}} \rangle^2) - \mathbb{E}^2 (\langle \hat{v}_{\mathbf{k}} \rangle)$$



Stochastic evolution of the cosmological wavefunction:

✓ The CSL power spectrum is scale-invariant

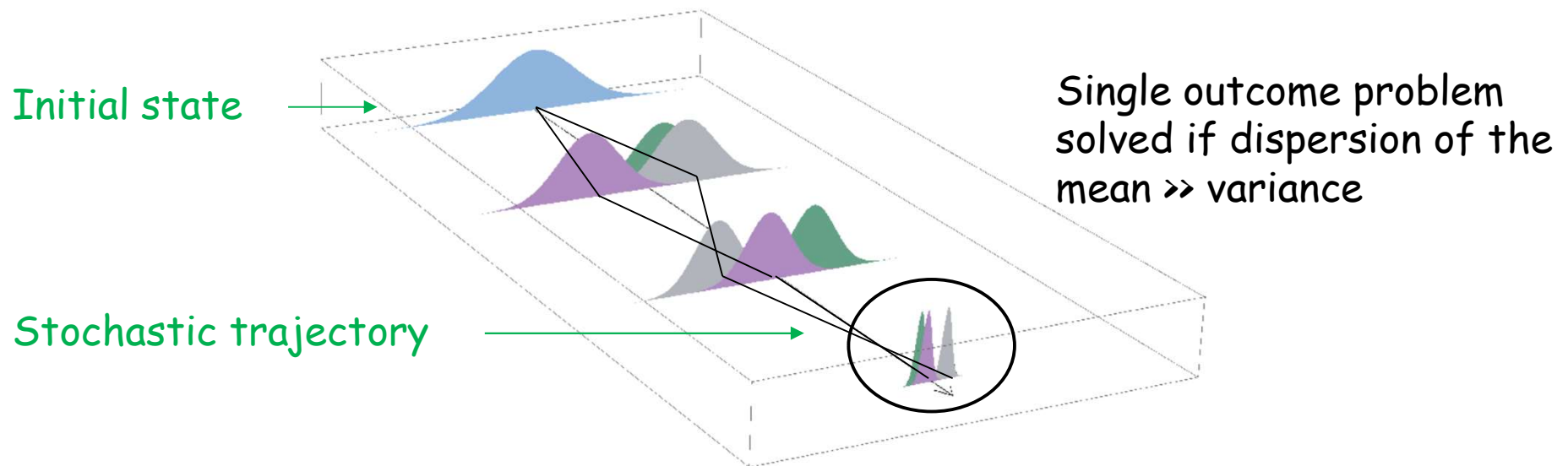
$$\mathcal{P}_v(k) \simeq \mathcal{P}_v(k)|_{\text{std}} \begin{cases} 1 + 448 \frac{\gamma}{m_0^2} M_{\text{Pl}}^2 H^2 \epsilon_1 \left( \frac{k}{aH} \right)_{\text{end}}^{-1}, & r_c \text{ crossed during inflation} \\ 1 + \frac{35408}{143} \frac{\gamma}{m_0^2} M_{\text{Pl}}^2 H^2 \epsilon_1 \left( \frac{r_c}{\ell_H} \right)_{\text{end}}^{-9} \left( \frac{k}{aH} \right)_{\text{end}}^{-10}, & r_c \text{ crossed during radiation epoch} \end{cases}$$



Stochastic evolution of the cosmological wavefunction:

✓ The wavefunction collapses

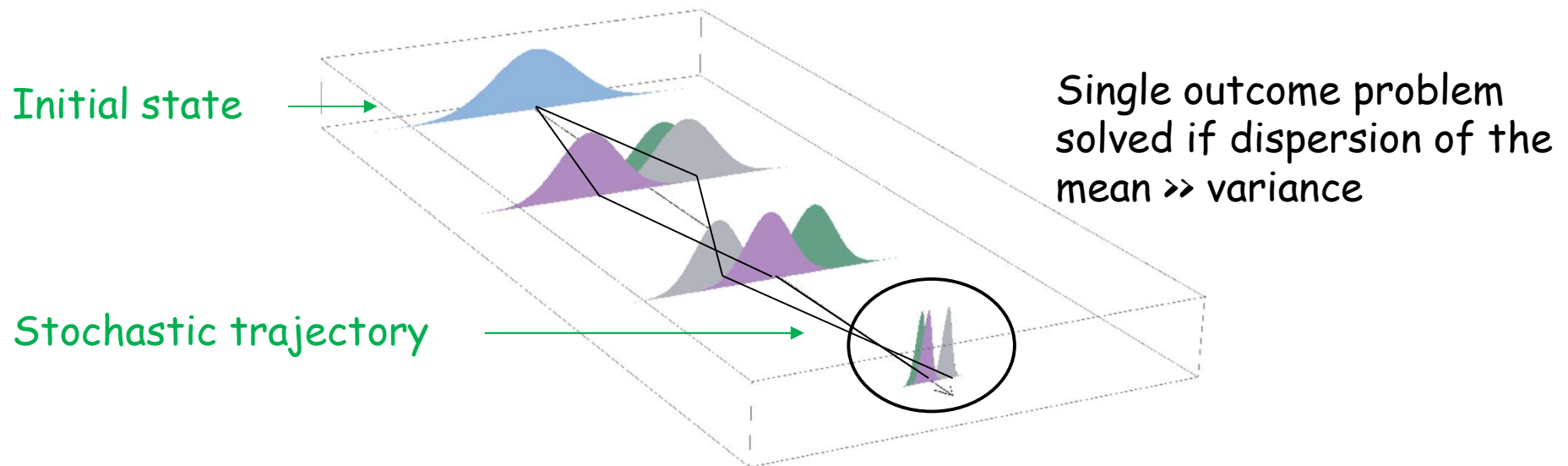
$$R = \frac{\mathbb{E} \left[ \left\langle (\hat{v}_{\mathbf{k}} - \langle \hat{v}_{\mathbf{k}} \rangle)^2 \right\rangle \right]}{\mathbb{E} (\langle \hat{v}_{\mathbf{k}} \rangle^2)} \ll 1$$



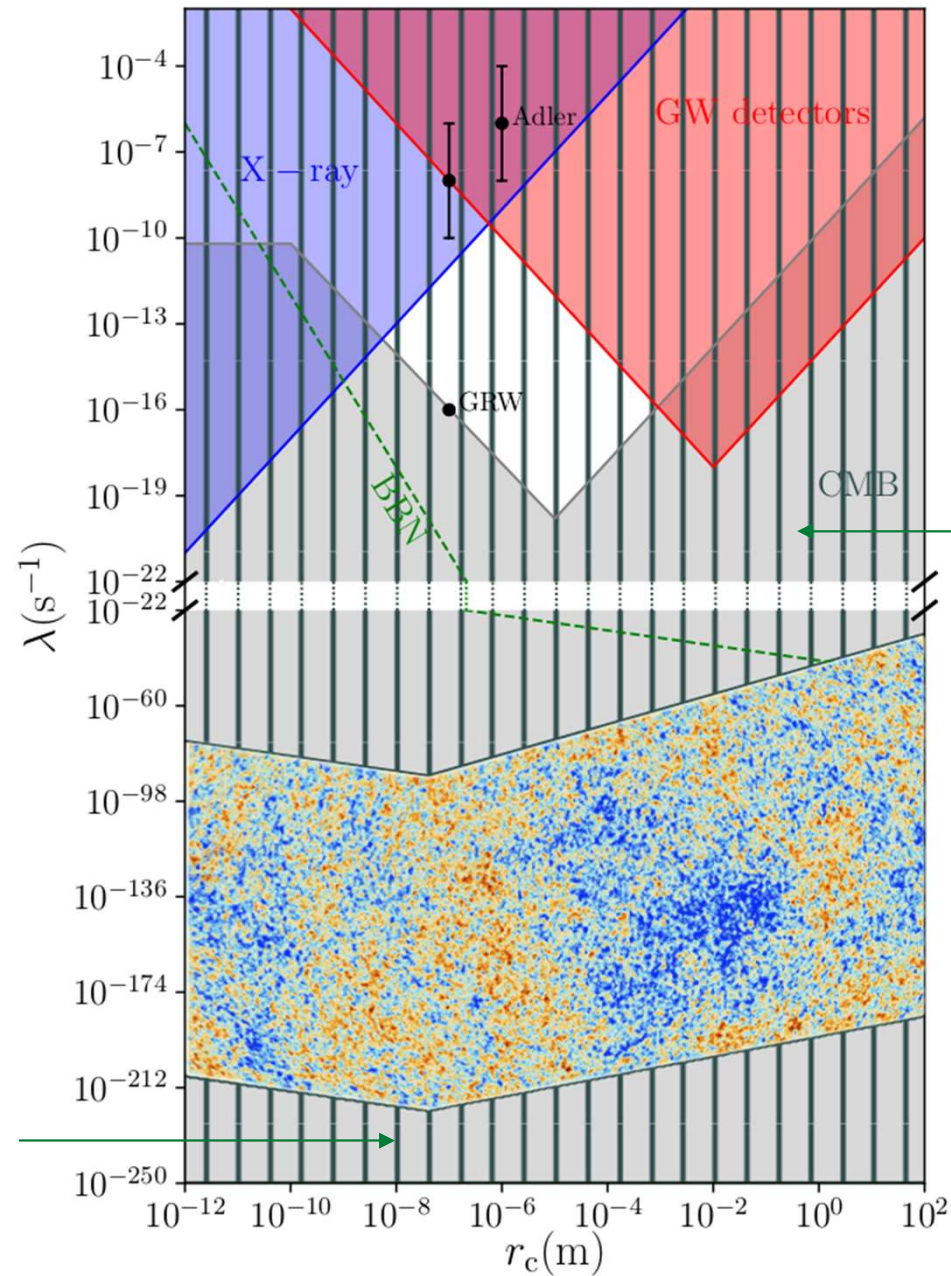
Stochastic evolution of the cosmological wavefunction:

✓ The wavefunction collapses

$$R(k) = \begin{cases} \frac{1}{1 + 3456 \frac{\gamma}{m_0^2} M_{\text{Pl}}^2 H^2 \left(\frac{k}{aH}\right)_{\text{end}}^{-7}} + \mathcal{O}(\gamma) & r_c \text{ crossed during inflation} \\ \frac{1}{1 + \frac{21792}{11} \frac{\gamma}{m_0^2} M_{\text{Pl}}^2 H^2 \left(\frac{r_c}{\ell_H}\right)^{-7} \left(\frac{k}{aH}\right)^{-14}} + \mathcal{O}(\gamma) & r_c \text{ crossed during radiation epoch} \end{cases}$$







Power spectrum is not scale-invariant

The wavefunction does not collapse



### Additional results

- Particular case: if the flat threading density contrast is used then the constraints become compatible with those of lab experiments
- One can show that  $\bar{v}_k$  exactly follows a Gaussian statistics
- Other collapse operators related to stress energy tensor lead to (almost) the same result
- Non linear collapse operators do not collapse the wave function and/or do not lead to Gaussian statistics: flat threading density contrast seems to be the "good" collapse operator for cosmology



### Recap

- ❑ Quantum collapse models (e.g. CSL) are falsifiable alternatives to QM
- ❑ Cosmology can help QM because these models can be constrained by inflation
- ❑ Collapse models can help Cosmology because they can be used in order to understand puzzling issues of inflation
- ❑ CSL with a flat threading density contrast as collapse operator seems to be a good model. Any other choice faces severe difficulties.
- ❑ Take away message: inflation is not only a successful scenario of the early Universe, it is also a very interesting playground for foundational issues of quantum mechanics